

Desk Officer, Office of Information & Regulatory Affairs, Office of Management and Budget, New Executive Office Building NW., Room 10235, Washington, DC 20503.

FOR FURTHER INFORMATION CONTACT: For copies of this proposal, contact C.C. "Corky" Conyers, Ph.D., *C.I.O. P.R.A./Forms Officer* at (202) 606-0125, or via email to Charles.Conyers@opm.gov. Please include a mailing address with your request.

SUPPLEMENTARY INFORMATION: As required by the Paperwork Reduction Act of 1995 (Pub. L. 104-13, May 22, 1995), as amended by the Clinger-Cohen Act (Pub. L. 104-106), OPM is soliciting comments for this collection. The previous collection (OMB No. 3206-0263) was published in the **Federal Register** on November 26, 2013 at 78 FR 70598. Approximately 800 respondents will complete the Multi-State Plan Program External Review Intake Form, OPM Form 1840 on a yearly basis. We estimate it will take 60 minutes to complete the OPM Form 1840. The annual estimated burden is 800 hours.

Comments are particularly invited on:

1. Whether this collection of information is necessary for the proper performance of functions of the Office of Personnel Management, and whether it will have practical utility;
2. Whether our estimate of the public burden of this collection is accurate, and based on valid assumptions and methodology; and
3. Ways in which we can minimize the burden of the collection of information on those who are to respond, through the use of the appropriate technological collection techniques or other forms of information technology.

Analysis

Agency: Multi-State Plan Program, National Healthcare Operations, Healthcare and Insurance, Office of Personnel Management.

Title: External Review Intake Form.
OMB: 3206-0263.

Frequency

Affected Public: Individuals or Households.

Number of Respondents: 800.

Estimated Time per Respondent: 60 minutes.

Total Burden Hours: 800 hours.

Kathleen M. McGettigan,

Acting Director, U.S. Office of Personnel Management.

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RAILROAD RETIREMENT BOARD

Computer Matching and Privacy Protection Act of 1988; Report of Matching Program: RRB and State Medicare/Medicaid Agencies (Renewal)

AGENCY: U.S. Railroad Retirement Board (RRB).

ACTION: Notice of a renewal of an existing computer matching program that expired on January 1, 2016.

SUMMARY: As required by the *Privacy Act of 1974*, as amended, the RRB is issuing a public notice in the **Federal Register** of its intent to renew an ongoing computer matching program. In this match, we provide certain Medicare and benefit rate information to state agencies allowing them to review and if necessary, adjust amounts of benefits in their public assistance programs as well as to coordinate Medicare/Medicaid payments for public assistance recipients.

The purpose of this notice is to advise individuals receiving benefits under the Railroad Retirement Act that the RRB plans to share this computer matching data with state agencies.

DATES: Submit comments on or before July 12, 2017, at which time matching activities may continue. Agreements with the individual states will run for a maximum length of 18 months with a provision for an automatic, one-time 12 month renewal, for a maximum length of 30 months (5 U.S.C. 552a(o)(2)(D)). In order to qualify for the renewal, both parties must certify to the RRB Data Integrity Board, three months prior to the expiration of the agreement that:

- (1) The program will continue to be conducted without change, and
- (2) Each party certifies to the board in writing that the program has been conducted in compliance with the agreement.

The number of matches conducted with each state during the period of the match will vary from state to state, but typically are 2 to 4 matches per calendar year.

ADDRESSES: Address any comments concerning this notice to Ms. Martha P. Rico, Secretary to the Board, Railroad Retirement Board, 844 North Rush Street Chicago, Illinois 60611-2092.

FOR FURTHER INFORMATION CONTACT: Mr. Timothy S. Grant, Chief Privacy Officer, Railroad Retirement Board, 844 North Rush Street Chicago, Illinois 60611-2092, tim.grant@rrb.gov.

SUPPLEMENTARY INFORMATION:

A. General

The *Privacy Act of 1974* (5 U.S.C. 552a), as amended, regulates Federal

agencies when they conduct computer matching activities in a system of records with other Federal, State, or local government records. It requires Federal agencies involved in computer matching programs to:

- (1) Negotiate written agreements with the other agency or agencies participating in the matching programs;
- (2) Obtain approval of the matching agreement by the Data Integrity Boards of the participating Federal agencies;
- (3) Publish notice of the computer matching program in the **Federal Register**;
- (4) Furnish reports about matching programs to Congress and Office of Management and Budget;
- (5) Notify beneficiaries and applicants that their records are subject to matching; and
- (6) Verify match findings before reducing, suspending, terminating, or denying a person's benefits or payments.

B. RRB Computer Matches Subject to the Privacy Act

We have taken action to ensure that our computer matching programs comply with the requirements of the *Privacy Act of 1974*, as amended.

C. Notice of Computer Matching Program: RRB with State Medicare Agencies (Renewal):

Name of Participating Agencies: The Railroad Retirement Board and state public aid/public assistance agencies.

Authority for Conducting the Match: 20 CFR 200.5(j)(1), 20 CFR 200.8(g)(10), 42 CFR 435.940 through 435.965.

Purpose of the Match: The match has several purposes allowing state agencies to:

- (1) Accurately identify qualified Railroad Retirement Beneficiaries;
- (2) Make necessary adjustments required under state law in public aid payments due to cost of living or other adjustments in RRB annuities;
- (3) Coordinate benefits of dually eligible Medicare and Medicaid beneficiaries; and
- (4) To identify individuals who are eligible for Part B Medicare and not enrolled in order to enroll such individuals in the State Buy-In program.

Categories of Individuals: All beneficiaries under the Railroad Retirement Act who have been identified by a state as a recipient of public aid will have information about their RRB benefits and Medicare enrollment furnished to the requesting state agency.

Categories of Records: The state agency will provide the RRB with a file of records. The data elements in the

records will consist of beneficiary identifying information such as: Name, Social Security Number (SSN), date of birth, and RRB Claim Number, if known. The RRB will then conduct a computer match on the state provided identifying information.

If the matching operation reveals that an individual who received benefits under the Railroad Retirement Act also received benefits from the state for any days in the period, the RRB will notify the state agency and provide benefit payment and Medicare Entitlement data for those matched individuals. The state agency will then make adjustments, as necessary by law or regulation for those matched records.

Systems of Records: This information is covered as a routine disclosure under the Privacy Act system of records RRB-20, *Health Insurance and Supplementary Medical Insurance Enrollment and Premium Payment System (MEDICARE)*, or RRB-21, *Railroad Unemployment and Sickness Insurance Benefit System*, which were published in the **Federal Register** on: RRB-20, September 30, 2014 (79 FR 58886), and RRB-21 on May 15, 2015 (80 FR 28016). You can also find all RRB Privacy Act Systems of Records notices on our public Web site at: (http://www.rrb.gov/bis/privacy_act/SORNList.asp).

Other information: The notice we are giving here is in addition to any individual notice.

We will furnish a copy of this notice to both Houses of Congress and the Office of Management and Budget.

Dated: May 25, 2017.

By Authority of the Board.

Martha P. Rico,

Secretary to the Board.

[FR Doc. 2017-11414 Filed 6-1-17; 8:45 am]

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-80799; File No. SR-NYSE-2017-23]

Self-Regulatory Organizations; New York Stock Exchange LLC; Notice of Filing of Proposed Rule Change To Eliminate Requirements That Will Be Duplicative of CAT

May 26, 2017.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”)¹ and Rule 19b-4 thereunder,² notice is hereby given that on May 22,

2017, New York Stock Exchange LLC (“NYSE” or the “Exchange”) filed with the Securities and Exchange Commission (“SEC” or “Commission”) the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to delete the Order Audit Trail System (“OATS”) rules in the Rule 7400 Series and amend Rule 8211 governing submission of Electronic Blue Sheet trading data (“EBS”) as these Rules provide for the collection of information that is duplicative of the data collection requirements of the CAT once the Financial Industry Regulatory Authority (“FINRA”) publishes a notice announcing the date that it will retire its OATS and EBS rules. The proposed rule change is available on the Exchange’s Web site at www.nyse.com, at the principal office of the Exchange, and at the Commission’s Public Reference Room.

II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change

1. Purpose

Background

Bats BYX Exchange, Inc., Bats BZX Exchange, Inc., Bats EDGA Exchange, Inc., Bats EDGX Exchange, Inc., BOX Options Exchange LLC, C2 Options Exchange, Incorporated, Chicago Board Options Exchange, Incorporated, Chicago Stock Exchange, Inc., FINRA, Investors’ Exchange LLC, Miami International Securities Exchange, LLC, MIAX PEARL, LLC, NASDAQ BX, Inc., Nasdaq GEMX, LLC, Nasdaq ISE, LLC,

Nasdaq MRX, LLC,³ NASDAQ PHLX LLC, The NASDAQ Stock Market LLC, the Exchange, NYSE MKT LLC, NYSE Arca, Inc. and NYSE National, Inc.⁴ (collectively, the “Participants”) filed with the Commission, pursuant to Section 11A of the Exchange Act⁵ and Rule 608 of Regulation NMS thereunder,⁶ the CAT NMS Plan.⁷ The Participants filed the Plan to comply with Rule 613 of Regulation NMS under the Exchange Act.⁸ The Plan was published for comment in the **Federal Register** on May 17, 2016,⁹ and approved by the Commission, as modified, on November 15, 2016.¹⁰ On March 21, 2017, the Commission approved¹¹ the Exchange’s new Rule 6800 Series to implement provisions of the CAT NMS Plan that are applicable to Exchange member organizations.¹²

³ ISE Gemini, LLC, ISE Mercury, LLC and International Securities Exchange, LLC have been renamed Nasdaq GEMX, LLC, Nasdaq MRX, LLC, and Nasdaq ISE, LLC, respectively. See Securities Exchange Act Release No. 80248 (March 15, 2017), 82 FR 14547 (March 21, 2017) (SR-ISEGemini-2017-13); Securities Exchange Act Release No. 80326 (March 29, 2017), 82 FR 16460 (April 4, 2017) (SR-ISEMercury-2017-05); and Securities Exchange Act Release No. 80325 (March 29, 2017), 82 FR 16445 (April 4, 2017) (SR-ISE-2017-25).

⁴ National Stock Exchange, Inc. has been renamed NYSE National, Inc. See Securities Exchange Act Release No. 79902 (January 30, 2017), 82 FR 9258 (February 3, 2017) (SR-NSX-2016-16).

⁵ 15 U.S.C. 78k-1.

⁶ 17 CFR 242.608.

⁷ See Letter from the Participants to Brent J. Fields, Secretary, Commission, dated September 30, 2014; and Letter from Participants to Brent J. Fields, Secretary, Commission, dated February 27, 2015. On December 24, 2015, the Participants submitted an amendment to the CAT NMS Plan. See Letter from Participants to Brent J. Fields, Secretary, Commission, dated December 23, 2015.

⁸ 17 CFR 242.613.

⁹ Securities Exchange Act Release No. 77724 (April 27, 2016), 81 FR 30614 (May 17, 2016) (File No. 4-698).

¹⁰ Securities Exchange Act Release No. 79318 (Nov. 15, 2016), 81 FR 84696 (November 23, 2016) (File No. 4-698) (“Approval Order”).

¹¹ See Securities Exchange Act Release No. 80256 (March 15, 2017), 82 FR 14526 (March 21, 2017) (SR-NYSE-2017-01) (Order Approving Proposed Rule Changes to Adopt Consolidated Audit Trail Compliance Rules).

¹² The Rule 6800 Series utilizes the term “Industry Member,” which applies to the Exchange’s member organizations. The term “member organization” means a “registered broker or dealer (unless exempt pursuant to the Act) that is a member of FINRA or another registered securities exchange. Member organizations that transact business with public customers or conduct business on the Floor of the Exchange shall at all times be members of FINRA. A registered broker or dealer must also be approved by the Exchange and authorized to designate an associated natural person to effect transactions on the floor of the Exchange or any facility thereof. This term shall include a natural person so registered, approved and licensed who directly effects transactions on the floor of the Exchange or any facility thereof.” See Rule 2(b)(i). The term “member organization” also includes any registered broker or dealer that is

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¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.