

**ADDRESSES:** Copies of the subject form may be obtained from the Agency Submitting Officer.

**FOR FURTHER INFORMATION CONTACT:**

*OPIC Agency Submitting Officer:* Essie Bryant, Record Manager, Overseas Private Investment Corporation, 1100 New York Avenue, NW., Washington, DC 20527; (202) 336-8563.

**SUMMARY FORM UNDER REVIEW:**

*Type of Request:* Extension, without change, of a currently approved collection.

*Title:* Expedited Screening Questionnaire (ESQ)—Downstream Investments.

*Form Number:* OPIC 168A & B.

*Frequency of Use:* OPIC-supported financial intermediaries will complete Form 168A and 168B for each company in which they propose to invest. Form could be used by any given OPIC-supported financial intermediary between 3–4 times per year depending on the number investments the financial intermediary intends to consummate in a given year.

*Type of Respondents:* Business or other for-profit institutions.

*Standard Industrial Classification Codes:* All.

*Description of Affected Public:* U.S. companies or citizens investing overseas.

*Standard Industrial Classification Codes:* All.

*Description of Affected Public:* U.S. companies or citizens investing overseas.

*Reporting Hours:* One hour per project.

*Number of Responses:* 63 per year.

*Federal Cost:* \$1,280.00 per year.

*Authority for Information Collection:* Sections 231, 234(a), 239(d), and 240A of the Foreign Assistance Act of 1961, as amended.

*Abstract (Needs and Uses):* Form 168A and 168B is the principal document used by OPIC to determine OPIC-supported financial intermediaries' compliance with OPIC economic, environmental, labor rights, and human rights policies.

Dated: June 23, 2011.

**Nicole Cadiente,**  
*Administrative Counsel, Department of Legal Affairs.*

[FR Doc. 2011-16158 Filed 6-28-11; 8:45 am]

**BILLING CODE M**

## POSTAL REGULATORY COMMISSION

### Sunshine Act Meetings

**TIME AND DATE:** Wednesday, July 13, 2011, at 11 a.m.

**PLACE:** Commission hearing room, 901 New York Avenue, NW., Suite 200, Washington, DC 20268-0001.

**STATUS:** Part of this meeting will be open to the public. The rest of the meeting will be closed to the public. The open part of the meeting will be audiocast. The audiocast can be accessed via the Commission's Web site at <http://www.prc.gov>.

**MATTERS TO BE CONSIDERED:** The agenda for the Commission's July 2011 meeting includes the items identified below.

#### Portions Open to the Public

1. Report on the Joint Periodicals Task Force and the report to the Congress pursuant to section 708 of the Postal Accountability and Enhancement Act (PAEA).

2. Report on legislative review pursuant to section 701 of the PAEA.

3. Report on status of pending dockets.

4. Review of postal-related Congressional activity.

5. Report on international activities.

6. Report on studies to quantify the social value of the postal system.

#### Portions Closed to the Public

7. Discussion of pending litigation.

8. Discussion of contractual matters involving sensitive business information—lease issues.

#### CONTACT PERSON FOR MORE INFORMATION:

Stephen L. Sharfman, General Counsel, Postal Regulatory Commission, 901 New York Avenue, NW., Suite 200, Washington, DC 20268-0001, at 202-789-6820 (for agenda-related inquiries) and Shoshana M. Grove, Secretary of the Commission, at 202-789-6800 or [shoshana.grove@prc.gov](mailto:shoshana.grove@prc.gov) (for inquiries related to meeting location, access for handicapped or disabled persons, the audiocast, or similar matters).

Dated: May 20, 2011.

By the Commission.

**Shoshana M. Grove,**  
*Secretary.*

[FR Doc. 2011-16436 Filed 6-27-11; 4:15 pm]

**BILLING CODE 7710-FW-P**

## POSTAL SERVICE

### Board of Governors; Sunshine Act Meeting; Board Votes To Close June 2, 2011, Meeting

By telephone vote on June 2, 2011, all members contacted and voting, the Board of Governors of the United States Postal Service voted unanimously to close to public observation its meeting held in Washington, DC, via teleconference. The Board determined

that no earlier public notice was possible.

#### Items Considered

1. Strategic Issues.
2. Financial Matters.
3. Pricing.
4. Personnel Matters and Compensation Issues.
5. Governors' Executive Session—discussion of prior agenda items and Board Governance.

#### General Counsel Certification:

The General Counsel of the United States Postal Service has certified that the meeting was properly closed under the Government in the Sunshine Act.

#### Contact Person for More Information:

Requests for information about the meeting should be addressed to the Secretary of the Board, Julie S. Moore, at (202) 268-4800.

**Julie S. Moore,**  
*Secretary.*

[FR Doc. 2011-16522 Filed 6-27-11; 4:15 pm]

**BILLING CODE 7710-12-P**

## POSTAL SERVICE

### Board of Governors; Sunshine Act Meeting; Board Votes to Close June 17, 2011, Meeting

By telephone vote on June 17, 2011, all members contacted and voting, the Board of Governors of the United States Postal Service voted unanimously to close to public observation its meeting held in Dulles, Virginia, via teleconference. The Board determined that no earlier public notice was possible.

#### Items Considered

1. Strategic Issues.
2. Financial Matters.
3. Pricing.
4. Personnel Matters and Compensation Issues.
5. Governors' Executive Session—discussion of prior agenda items and Board Governance.

#### General Counsel Certification

The General Counsel of the United States Postal Service has certified that the meeting was properly closed under the Government in the Sunshine Act.

#### Contact Person for More Information

Requests for information about the meeting should be addressed to the

Secretary of the Board, Julie S. Moore, at (202) 268-4800.

Julie S. Moore,  
Secretary.

[FR Doc. 2011-16524 Filed 6-27-11; 4:15 pm]

BILLING CODE 7710-12-P

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-64728; File No. SR-NYSEAmex-2011-39]

### Self-Regulatory Organizations; NYSE Amex LLC; Notice of Filing and Immediate Effectiveness of Proposed Rule Change Amending Rule 17—NYSE Amex Equities To Codify Inbound Routing Functions Performed by Its Affiliate Broker-Dealer, Archipelago Securities LLC

June 23, 2011.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”)<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that, on June 16, 2011, NYSE Amex LLC (the “Exchange” or “NYSE Amex”) filed with the Securities and Exchange Commission (the “Commission”) the proposed rule change as described in Items I and II below, which Items have been prepared by NYSE Amex. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend Rule 17—NYSE Amex Equities to codify inbound routing functions performed by its affiliate broker-dealer, Archipelago Securities LLC (“Arca Securities”). The text of the proposed rule change is available at the Exchange’s principal office, at <http://www.nyse.com>, at the Commission’s Public Reference Room, and at the Commission’s Web site at <http://www.sec.gov>.

#### II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below.

The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

#### A. Self-Regulatory Organization’s Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change

##### 1. Purpose

The Exchange proposes to amend Rule 17—NYSE Amex Equities to codify inbound routing functions performed by its affiliate broker-dealer, Arca Securities, which have previously been approved by the Commission.<sup>3</sup>

Background—Arca Securities Functions as Routing Broker

Arca Securities currently is the primary outbound and inbound routing broker for NYSE Amex. The outbound routing function for NYSE Amex is governed by Rules 13 and 17—NYSE Amex Equities.<sup>4</sup> These rules permit NYSE Amex to utilize Arca Securities to route orders to an away market center for execution whenever such routing is required by Exchange Rules and Federal securities laws.<sup>5</sup>

The inbound routing function of Arca Securities currently is governed by a pilot program established to permit Arca Securities to route orders from NYSE and NYSE Arca to NYSE Amex.<sup>6</sup> The pilot was extended and is currently scheduled to expire on September 30, 2011.<sup>7</sup> The terms of the inbound routing

pilot are generally set forth in the Commission’s approval orders, rather than rule text (except as noted below).<sup>8</sup> The terms of the pilots are as follows:

The Exchange and the Financial Industry Regulatory Authority (“FINRA”) have entered into a Rule 17d-2 agreement pursuant to which FINRA is allocated regulatory responsibilities to review Arca Securities’ compliance with certain Exchange rules. The Exchange, however, retains ultimate responsibility for enforcing its rules with respect to Arca Securities.

NYSE Regulation monitors Arca Securities for compliance with the Exchange’s trading rules and collects and maintains certain related information. Specifically, NYSE Regulation collects and maintains the following information of which NYSE Regulation staff becomes aware—namely, all alerts, complaints, investigations and enforcement actions where Arca Securities is identified as a participant that has potentially violated Exchange or applicable SEC rules—in an easily accessible manner so as to facilitate any review conducted by the SEC’s Office of Compliance Inspections and Examination.

NYSE Regulation has agreed with the Exchange that it will provide a report to the Exchange’s Chief Regulatory Officer, on a quarterly basis, that (i) Quantifies all alerts (of which NYSE Regulation is aware) that identify Arca Securities as a participant that has potentially violated Exchange or SEC rules, and (ii) quantifies the number of all investigations that identify Arca Securities as a participant that has potentially violated Exchange or Commission rules.

NYSE Euronext, as parent of the Exchange, was obligated to adopt a rule requiring it to establish and maintain procedures and internal controls reasonably designed to ensure that Arca Securities does not develop or implement changes to its system, based on non-public information obtained regarding planned changes to the Exchange’s systems as a result of its affiliation with the Exchange, until such information is available generally to similarly situated members of the Exchange.<sup>9</sup>

Since the initiation of the inbound routing pilot in 2008, the Exchange in 2010 entered into a comprehensive

September 30, 2010 to March 31, 2011); and 64014 (March 2, 2011), 76 FR 12733 (March 8, 2011) (SR-NYSEAmex-2011-10) (extending pilot from March 31, 2011 to September 30, 2011).

<sup>8</sup> See *supra* note 4.

<sup>9</sup> See *supra* note 4. See also Rule 2B—NYSE Amex Equities.

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>3</sup> The Exchange’s affiliates, New York Stock Exchange, LLC (“NYSE”) and NYSE Arca, Inc. (“NYSE Arca”), are proposing substantially similar rule changes. See SR-NYSE-2011-24 and SR-NYSEArca-2011-38.

<sup>4</sup> See Securities Exchange Act Release No. 58705 (October 1, 2008), 73 FR 58995 (October 8, 2008) (SR-Amex-2008-63). Rules 13 and 17—NYSE Amex Equities permit not only Arca Securities but also unaffiliated third-party broker-dealers to perform the outbound routing function, which serves as a risk management function in the event of a system malfunction or failure. As such, Rule 17 currently refers generically to “Routing Broker(s),” rather than just Arca Securities.

<sup>5</sup> See Securities Exchange Act Release No. 59009 (November 24, 2008), 73 FR 73363 (December 2, 2008) (SR-NYSEALTR-2008-07).

<sup>6</sup> See Securities Exchange Act Release No. 58673 (September 29, 2008), 73 FR 57707, 57716 (October 3, 2008) (SR-Amex-2008-62). See also Securities Exchange Act Release No. 58705 (October 1, 2008), 73 FR 58995 (October 8, 2008) (SR-Amex-2008-63).

<sup>7</sup> See Securities Exchange Act Release Nos. 60751 (September 30, 2009), 74 FR 51630 (October 7, 2009) (SR-NYSEAmex-2009-67) (extending pilot from September 29, 2009 to December 31, 2009); 61269 (December 31, 2009), 75 FR 1097 (January 8, 2010) (SR-NYSEAmex-2009-91) (extending pilot from December 31, 2009 to March 31, 2010); 61815 (March 31, 2010), 75 FR 17813 (April 7, 2010) (SR-NYSEAmex-2010-32) (extending pilot from March 31, 2010 to September 30, 2010); 62831 (September 2, 2010), 75 FR 55388 (September 10, 2010) (SR-NYSEAmex-2010-91) (extending pilot from