

**SECURITIES AND EXCHANGE COMMISSION****[Release No. IC-29663]****Notice of Applications for Deregistration Under Section 8(f) of the Investment Company Act of 1940**

April 29, 2011.

The following is a notice of applications for deregistration under section 8(f) of the Investment Company Act of 1940 for the month of April 2011. A copy of each application may be obtained via the Commission's Web site by searching for the file number, or an applicant using the Company name box, at <http://www.sec.gov/search/search.htm> or by calling (202)551-8090. An order granting each application will be issued unless the SEC orders a hearing. Interested persons may request a hearing on any application by writing to the SEC's Secretary at the address below and serving the relevant applicant with a copy of the request, personally or by mail. Hearing requests should be received by the SEC by 5:30 p.m. on May 24, 2011, and should be accompanied by proof of service on the applicant, in the form of an affidavit or, for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Secretary, U.S. Securities and Exchange Commission, 100 F Street, NE., Washington, DC 20549-1090.

**For Further Information Contact:** Diane L. Titus at (202) 551-6810, SEC, Division of Investment Management, Office of Investment Company Regulation, 100 F Street, NE., Washington, DC 20549-4041.

**Federated American Leaders Fund, Inc. [File No. 811-1704]**

**Summary:** Applicant seeks an order declaring that it has ceased to be an investment company. On September 18, 2009, applicant transferred its assets to Federated Clover Value Fund, a series of Federated Equity Funds, based on net asset value. Expenses of approximately \$577,896 incurred in connection with the reorganization were paid by applicant, Federated Equity Management Company of Pennsylvania, applicant's investment adviser, or its affiliates.

**Filing Dates:** The application was filed on April 12, 2011 and amended on April 26, 2011.

**Applicant's Address:** Federated Investors Funds, 4000 Ericsson Dr., Warrendale, PA 15086-7561.

**Banknorth Funds [File No. 811-10021]**

**Summary:** Applicant seeks an order declaring that it has ceased to be an investment company. On August 27, 2004, applicant transferred its asset to corresponding series of Federated Equity Funds, Federated Total Return Series, Inc., and Federated Municipal Securities Income Trust, based on net asset value. Expenses of approximately \$71,914 incurred in connection with the reorganization were paid by Federated Equity Company of Pennsylvania and Federated Investment Management Company, the investment advisers to the surviving funds, or their affiliates.

**Filing Dates:** The application was filed on February 4, 2011 and two amendments were filed on April 7, 2011.

**Applicant's Address:** Federated Investors Tower, 1001 Liberty Ave., Pittsburgh, PA 15222-3779.

**Tortoise Total Return Fund, LLC [File No. 811-22085]**

**Summary:** Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On January 5, 2011, applicant made a final liquidating distribution to its shareholders, based on net asset value. Expenses of approximately \$14,996 incurred in connection with the liquidation were paid by applicant. Applicant has retained approximately \$10,188 in cash to pay any remaining outstanding expenses.

**Filing Dates:** The application was filed on February 15, 2011 and amended on April 8, 2011.

**Applicant's Address:** 11550 Ash St., Suite 300, Leawood, KS 66211.

**Neuberger Berman Income Opportunity Fund [File No. 811-21334] Neuberger Berman High Yield Strategies Fund [File No. 811-21342]**

**Summary:** Each applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On August 6, 2010, each applicant transferred its assets to Neuberger Berman High Yield Strategies Fund Inc., based on net asset value. Each applicant's preferred stockholders received an equivalent number of shares of preferred stock of the acquiring fund. Expenses of \$200,000 were incurred in connection with each reorganization and were paid by the respective applicant.

**Filing Date:** The applications were filed on March 28, 2011.

**Applicants' Address:** 605 Third Ave., 2nd Floor, New York, NY 10158-0180.

**Greenwich Advisors Trust [File No. 811-21996]**

**Summary:** Applicant seeks an order declaring that it has ceased to be an investment company. On February 25, 2011, applicant made a liquidating distribution to its shareholders, based on net asset value. Expenses of \$28,680 incurred in connection with the liquidation were paid by applicant and Greenwich Advisors LLC, applicant's investment adviser.

**Filing Date:** The application was filed on March 31, 2011.

**Applicant's Address:** Greenwich Advisors LLC, 330 Railroad Ave., Greenwich, CT 06830.

**BlackRock Apex Municipal Fund, Inc. [File No. 811-5227]**

**Summary:** Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On February 28, 2011, applicant transferred its assets to BlackRock MuniAssets Fund, Inc., based on net asset value. Expenses of approximately \$203,515 incurred in connection with the reorganization were paid by applicant.

**Filing Date:** The application was filed on April 4, 2011.

**Applicant's Address:** 100 Bellevue Parkway, Wilmington, DE 19809.

**Lord Abnett Municipal Income Trust [File No. 811-6418]**

**Summary:** Applicant seeks an order declaring that it has ceased to be an investment company. On November 19, 2010, applicant transferred its assets to Lord Abnett Municipal Income Fund, Inc., based on net asset value. Expenses of \$150,000 incurred in connection with the reorganization were paid by Lord, Abnett & Co. LLC, applicant's investment adviser.

**Filing Date:** The application was filed on March 30, 2011.

**Applicant's Address:** 90 Hudson St., Jersey City, NJ 07302.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

**Cathy H. Ahn,**

*Deputy Secretary.*

[FR Doc. 2011-10931 Filed 5-4-11; 8:45 am]

**BILLING CODE 8011-01-P**

**SECURITIES AND EXCHANGE COMMISSION****Sunshine Act Meeting**

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange

Commission will hold a roundtable discussion on money market funds and systemic risk on Tuesday, May 10, 2011, in the Multipurpose Room, L-006, beginning at 2 p.m. The roundtable will be webcast on the Commission's Web site at <http://www.sec.gov> and will be archived for later viewing.

The agenda for the roundtable will include a panel discussion on money market funds and systemic risk and will provide a forum for various stakeholders in money market funds to exchange views on the potential effectiveness of certain options in mitigating systemic risks associated with money market funds. These will include, but are not limited to, options raised in the President's Working Group report on possible money market fund reforms that was issued in October 2010 (<http://www.treasury.gov/press-center/press-releases/Documents/10.21%20PWG%20Report%20Final.pdf>).

This Sunshine Act notice is being issued because a majority of the Commission may attend the roundtable.

For further information, please contact the Office of the Secretary at (202) 551-5400.

May 2, 2011.

**Elizabeth M. Murphy,**  
Secretary.

[FR Doc. 2011-11061 Filed 5-3-11; 11:15 am]

**BILLING CODE 8011-01-P**

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-64370; File No. SR-CHX-2011-07]

### Self-Regulatory Organizations; Chicago Stock Exchange, Inc.; Notice of Filing of Proposed Rule Change To Amend Minor Rule Violation Plan

April 29, 2011.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act")<sup>1</sup> and Rule 19b-4 thereunder,<sup>2</sup> notice is hereby given that on April 20, 2011, the Chicago Stock Exchange, Inc. ("CHX" or the "Exchange") filed with the Securities and Exchange Commission ("Commission") the proposed rule change as described in Items I and II below, which Items have been prepared by the CHX. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The CHX proposes to amend its rules that pertain to the Exchange's minor rule violation plan. The text of this proposed rule change is available on the Exchange's Web site at (<http://www.chx.com>) and in the Commission's Public Reference Room, and at <http://www.sec.gov>.

#### II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the CHX included statements concerning the purpose of and basis for the proposed rule changes and discussed any comments it received regarding the proposal. The text of these statements may be examined at the places specified in Item IV below. The CHX has prepared summaries, set forth in sections A, B and C below, of the most significant aspects of such statements.

##### A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

###### 1. Purpose

The Exchange's Minor Rule Violation Plan ("Plan") provides an effective and efficient method for the Exchange to encourage its members to fully comply with applicable rules. Under the Plan, the Exchange may impose a monetary fine, instead of instituting a formal disciplinary proceeding, for a rule violation that the Exchange has found to be minor in nature, but which the Exchange believes should still be the subject of a meaningful sanction.<sup>3</sup> Currently, fines imposed under the Plan can be up to \$2,500 per violation. Each individual violation is identified to the Minor Rule Violation Panel ("Panel"), which is composed of individuals associated with an Exchange Participant firm. The Panel decides whether to assess fines under the Plan and determines the amount of the fine.

<sup>3</sup> Fines under the Minor Rule Violation Plan provide an appropriate sanction in many situations. For example, where member conduct is not intentional or of such magnitude that it can be considered reckless, a fine under the Minor Rule Violation Plan might be an appropriate response to a first, second or third violation by an Exchange member. The Exchange is mindful, however, that more egregious violations should not be handled through the summary proceedings authorized by the Minor Rule Violation Plan. The mere fact that the Exchange is authorized to impose a sanction pursuant to the Plan does not preclude it from instituting other disciplinary proceedings. Article 12, Rule 8(f).

#### Proposed New Rules for the Minor Rule Violation Plan

The Exchange is seeking to revise its list of rules eligible for disposition under the Plan as well as its Recommended Fine Schedule ("Fine Schedule") to include a number of new rules that are currently not eligible for disposition under the Plan. As a general matter, the new rules fall into one of two categories: Reporting and recordkeeping provisions or trading-related rules.

The new reporting and recordkeeping provisions include the following: Failure to notify the Exchange of a request to withdraw capital contribution (Article 3, Rule 6(b)); failure to request Exchange approval of the transfer of equity securities of a participant firm (Article 3, Rule 11); reporting of loans (Article 3, Rule 12); failure to provide the Exchange with information (Article 6, Rules 7); impede or delay an Exchange examination, inquiry or investigation (Article 6, Rule 9); designation of email addresses (Article 3, Rule 13); registration and approval of personnel (Article 6, Rule 2(a)); written supervisory procedures (Article 6, Rule 5(b)); failure to report short positions (Article 7, Rule 9); furnishing of records (Article 11, Rule 1); maintenance of books and records (Article 11, Rule 2) participant communications (Article 11, Rule 4); market maker registration and appointment (Article 16, Rule 1); market maker reporting of position information (Article 16, Rule 10) and institutional broker registration and appointment (Article 17, Rule 1).

The new trading violations which the Exchange proposes to add to the Plan include the reporting of transactions (Article 9, Rule 13); institutional broker obligations for entry of orders into an automated system (Article 17, Rule 3(a)); and institutional broker responsibilities for handling orders within an integrated system (Article 17, Rule 3(b)).

In general, the majority of these rules are similar in nature to the rules already eligible for disposition under the Plan inasmuch as they relate to recordkeeping or reporting obligations of participants to the Exchange or the manner in which trading activity occurs on the Exchange.<sup>4</sup> A number of these additions also relate to registration, recordkeeping or trading responsibilities of Exchange-registered market makers or institutional brokers. Articles 16 and 17 of the Exchange's rules set forth a

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b-4.

<sup>4</sup> A number of these rules had been included in previous iterations of the Plan.