

Department of Health and Human Services

DHGS60419 Confidential Assistant to the Deputy Assistant Secretary for Public Affairs (Policy and Strategy). Effective November 03, 2009.

DHGS60032 Special Assistant to the Commissioner of Food and Drugs. Effective November 16, 2009.

DHGS60463 Senior Advisor, Office of Health Reform to the Principal Deputy Assistant Secretary for Planning and Evaluation. Effective November 18, 2009.

DHGS60469 Director of Communications, Office of Health Reform to the Principal to the Deputy Assistant Secretary for Planning and Evaluation. Effective November 18, 2009.

DHGS60240 Regional Director, Dallas, Texas, Region VI to the Director of Intergovernmental Affairs. Effective November 23, 2009.

DHGS60244 Regional Director, Seattle, Washington, Region X to the Director of Intergovernmental Affairs. Effective November 23, 2009.

DHGS60255 Regional Director, Chicago, Illinois-Region V to the Director of Intergovernmental Affairs. Effective November 23, 2009.

DHGS60417 Regional Director, Kansas City, Missouri, Region VII to the Director of Intergovernmental Affairs. Effective November 23, 2009.

Department of Education

DBGS00192 Special Assistant to the Assistant Secretary for Civil Rights. Effective November 06, 2009.

DBGS00647 Special Assistant to the Assistant Secretary for Elementary and Secondary Education Effective November 09, 2009.

DBGS00353 Special Assistant to the Assistant Secretary for Planning, Evaluation, and Policy Development. Effective November 16, 2009.

DBGS00414 Press Secretary for Strategic Communications to the Assistant Secretary, Office of Communications and Outreach. Effective November 16, 2009.

DBGS00434 Press Secretary for Media Relations to the Assistant Secretary, Office for Communications and Outreach. Effective November 16, 2009.

Environmental Protection Agency

EPGS05005 Deputy Press Secretary to the Associate Administrator for Public Affairs. Effective November 02, 2009.

EPGS05016 Deputy Press Secretary to the Associate Administrator for Public Affairs. Effective November 02, 2009.

EPGS06032 Deputy to the Scheduler to the Administrator. Effective November 02, 2009.

EPGS06008 Advance Specialist to the Administrator. Effective November 13, 2009.

Department of Energy

DEGS00777 Special Assistant to the Deputy Chief of Staff. Effective November 24, 2009.

DEGS00778 Special Assistant to the Chief of Staff. Effective November 24, 2009.

DEGS00779 Special Assistant to the Deputy Secretary of Energy. Effective November 27, 2009.

Federal Deposit Insurance Corporation

FDOT00015 Writer-Editor to the Chairman of the Board of Directors. Effective November 20, 2009.

Federal Trade Commission

FTGS60001 Director, Office of Public Affairs to the Chairman. Effective November 09, 2009.

Department of Transportation

DTGS60377 Director, Office of Governmental, International and Public Affairs to the Deputy Administrator. Effective November 03, 2009.

DTGS60465 Press Secretary to the Assistant to the Secretary and Director of Public Affairs. Effective November 20, 2009.

DTGS60476 Deputy Press Secretary to the Secretary and Director of Public Affairs. Effective November 20, 2009.

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR 1954-1958 Comp., p. 218.

U.S. Office of Personnel Management.

John Berry,
Director.

[FR Doc. E9-31027 Filed 12-29-09; 8:45 am]

BILLING CODE 6325-39-P

OFFICE OF PERSONNEL MANAGEMENT**Privacy Act of 1974: Amendment to System of Records**

AGENCY: U.S. Office of Personnel Management.

ACTION: Proposed new Category of Records and a new Routine Use in OPM/GOVT-1 system of records.

SUMMARY: The U.S. Office of Personnel Management (OPM) proposes to add a new Category of Records and a new Routine Use to OPM/GOVT-1, General Personnel Records, System of Records. This action is necessary to meet the requirements of the Privacy Act to

publish in the **Federal Register** notice of the existence and character of records maintained by the agency (5 U.S.C. 552a(e)(4)). OPM last published OPM/GOVT-1 on June 19, 2006 (FR Vol. 71, Number 117).

DATES: This action will be effective without further notice on February 8, 2010 unless comments are received that would result in a contrary determination.

ADDRESSES: Send written comments to the Office of Personnel Management, Deputy Associate Director, Center for Workforce Information and Systems Requirements, Room 7439, U.S. Office of Personnel Management, 1900 E Street, NW., Washington, DC 20415.

FOR FURTHER INFORMATION CONTACT: Michael Trinite, Privacy Advisor 202-606-2016, fax 202-606-1719 or e-mail: Michael.Trinite@opm.gov.

SUPPLEMENTARY INFORMATION: In accordance with the Privacy Act of 1974, 5 U.S.C. 552(a), this document provides public notice that the OPM is proposing to adopt a new Category of Records "o" and a new Routine Use "pp" in OPM/GOVT-1, General Personnel Records system of records. These changes will not affect any Privacy Act rights afforded individuals who are the subject of such records. A new Routine Use "pp" for OPM/GOVT-1 is being proposed to provide relevant and necessary information to Federal Government Web sites and to any person in support of E.O. 13490, January 21, 2009, Ethics Commitments by Executive Branch Personnel, and in support of the White House's core principles for the business of government; transparency, participation, collaboration and innovation.

A new Category of Records is being established to accommodate the requirements, as established in E.O. 13490, January 21, 2009, for retaining the ethics pledges and pledge waiver certifications thereof in the Official Personnel Folder.

The system report, as required by 5 U.S.C. 552a(r), has been submitted to the Committee on Governmental Affairs of the United States Senate, the Committee on Government Reform and Oversight of the House of Representatives and the Office of Management and Budget.

Routine Use "pp"

"pp" to disclose on public and internally-accessible Federal Government Web sites, and to otherwise disclose to any person, including other departments and agencies, the signed ethics pledges and pledge waiver

certifications issued under E.O. 13490 of January 21, 2009, Ethics Commitments by Executive Branch Personnel.

Category of Records

“o” records maintained in accordance with E.O. 13490, section 4(e), January 21, 2009. These records include the ethics pledge and all pledge waiver certifications with respect thereto.

U.S. Office of Personnel Management.

John Berry,

Director.

[FR Doc. E9-31026 Filed 12-29-09; 8:45 am]

BILLING CODE 6325-39-P

SECURITIES AND EXCHANGE COMMISSION

Sunshine Act Meeting Notice

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Public Law 94-409, that the Securities and Exchange Commission will hold a Closed Meeting on Tuesday, December 29, 2009 at 10 a.m.

Commissioners, Counsel to the Commissioners, the Deputy Secretary to the Commission, and recording secretaries will attend the Closed Meeting. Certain staff members who have an interest in the matter also may be present.

The General Counsel of the Commission, or his designee, has certified that, in his opinion, one or more of the exemptions set forth in 5 U.S.C. 552b(c)(5), (7), 9(B) and (10) and 17 CFR 200.402(a)(5), (7), 9(ii) and (10), permit consideration of the scheduled matter at the Closed Meeting.

Commissioner Paredes, as duty officer, voted to consider the item listed for the Closed Meeting in a closed session, and determined that no earlier notice thereof was possible.

The subject matter of the Closed Meeting scheduled for Tuesday, December 29, 2009 will be:

[A] matter related to an enforcement proceeding.

At times, changes in Commission priorities require alterations in the scheduling of meeting items.

For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact:

The Office of the Secretary at (202) 551-5400.

December 28, 2009.

Florence E. Harmon,
Deputy Secretary.

[FR Doc. E9-31076 Filed 12-28-09; 4:15 pm]

BILLING CODE 8011-01-P

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-61221; File No. SR-NSX-2009-08]

Self-Regulatory Organizations; National Stock Exchange, Inc.; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Amend NSX Rule 11 Governing Round Lots, Odd Lots and Mixed Lots.

December 22, 2009.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the “Act”) ¹ and Rule 19b-4 thereunder, ² notice is hereby given that on December 17, 2009, National Stock Exchange, Inc. (“NSX” or “Exchange”) filed with the Securities and Exchange Commission (“Commission”) the proposed rule change, as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comment on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of the Substance of the Proposed Rule Change

The Exchange is proposing to amend NSX Rules 11.2 and 11.11 governing round, odd and mixed lots.

The text of the proposed rule change is available on the Exchange’s Web site at <http://www.nsx.com>, on the Commission’s Web site at <http://www.sec.gov>, at the principal office of the Exchange, and at the Commission’s Public Reference Room.

II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The Exchange proposes to amend NSX Rules 11.2 and 11.11(c)(4) in order

to clarify the Exchange’s rules regarding round lots, odd lots and fixed lots. The proposed changes are part of an effort to provide clarity with respect to such definitions in the context of an overriding interest in maintaining a fair and orderly market, protecting investors and protecting the public interest. The proposed changes are more fully discussed below.

The definitions of “round lot”, “odd lot” and “mixed lot” in Rule 11.2 are proposed to be modified in order to clarify their meanings and to conform with common usage and treatment within the financial industry.³ The definition of “round lot” is being modified to mean a normal unit of trading, which is most frequently (but not always) 100 shares. Similarly, the definitions of “odd lot” and “mixed lot” are rephrased for purposes of clarity and transparency.

In addition, in proposed Rule 11.11(c)(4), the definition of “Mixed Lot Order” is modified in order to clarify the Exchange’s treatment of the odd lot portion of Mixed Lot Orders. The newly added language in proposed Rule 11.11(c)(4) confirms that Mixed Lot Orders may be entered, and clarifies that the Exchange will treat the odd lot component of a Mixed Lot Order for purposes of order interaction as an Odd Lot Order. This language is intended to clarify for purposes of certainty and transparency how the Exchange treats the odd lot portions of Mixed Lot Orders. Consistent with the new language, the revised rule retains the existing statement that the odd lot components of Mixed Lot Orders are only eligible to be protected quotations if aggregated to form a round lot.⁴ Providing this clarity with respect to the treatment of the odd lot component of a mixed lot order is consistent with the rules of other markets which specifically provide for the treatment of the odd lot components of mixed lot orders.⁵ Further, such treatment is consistent with Reg NMS, including Rules 610 and 611, which permit market centers to establish rules for the

³ See FINRA Rule 6320A (“‘Normal unit of trading’ means 100 shares of a security unless, with respect to a particular security, FINRA determines that a normal unit of trading shall constitute other than 100 shares”).

⁴ The round lot component of a Mixed Lot Order is treated as a round lot order.

⁵ See NYSE Rule 124 on Odd Lot Orders and Supplementary Material .40 thereto; see also ISE Rule 2105(c)(3) (providing that the odd lot component of a mixed lot order will be treated the same as an odd lot order, i.e., rejected unless it meets certain requirements).

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.