

Staff Assistant to the Regional Director, Atlanta, Georgia. Effective April 19, 2002.

Special Assistant to the Regional Director, Philadelphia, Pennsylvania. Effective April 26, 2002.

Legislative Officer to the Deputy Assistant Secretary for Legislation. Effective April 30, 2002.

#### *Department of the Interior*

Public Affairs Specialist to the Director, External and Internal Governmental Affairs. Effective April 8, 2002.

Chief, Congressional and Legislative Affairs to the Director of External and Intergovernmental Affairs. Effective April 12, 2002.

Special Assistant to the Assistant Secretary Indian Affairs. Effective April 15, 2002.

Special Assistant to the Director, External and Intergovernmental Affairs. Effective April 30, 2002.

#### *Department of Justice*

Public Affairs Specialist to the Director, Office of Public Affairs. Effective April 3, 2002.

Special Assistant to the Assistant Attorney General, Civil Rights Division. Effective April 19, 2002.

Senior Counsel to the Director and Project Safe Neighborhoods Coordinator. Effective April 19, 2002.

Director, Office of Police Corps and Law Enforcement Education to the Assistant Attorney General, Office of Justice Programs. Effective April 29, 2002.

#### *Department of Labor*

Special Assistant to the Assistant Secretary for Employment and Training Administration. Effective April 9, 2002.

Staff Assistant to the Director of Public Liaison. Effective April 17, 2002.

#### *Department of State*

Foreign Affairs Officer to the Under Secretary for Global Affairs. Effective April 5, 2002.

Staff Assistant to the Under Secretary for Global Affairs. Effective April 9, 2002.

Special Assistant to the Deputy Assistant Secretary, Chief Operating Officer of Overseas Buildings Operations. Effective April 9, 2002.

Director, Art in Embassies Program to the Deputy Assistant Secretary, Chief Operating Officer of Overseas Buildings Operations. Effective April 9, 2002.

Legislative Management Officer to the Assistant Secretary for Legislative Affairs. Effective April 19, 2002.

Public Affairs Specialist to the Deputy Assistant Secretary (Principal) in Public Affairs. Effective April 22, 2002.

#### *Department of Transportation*

Director of Public Affairs to the Administrator, Research and Special Programs Administration. Effective April 11, 2002.

Deputy Assistant Secretary to the Assistant Secretary for Governmental Affairs. Effective April 15, 2002.

Special Assistant to the Assistant to the Secretary and Director of Public Affairs. Effective April 23, 2002.

Executive Assistant to the Associate Deputy Secretary of Transportation. Effective April 29, 2002.

#### *Department of the Treasury*

Deputy Director for Scheduling and Advance to the Director of Scheduling. Effective April 17, 2002.

Deputy Assistant Secretary to the Assistant Secretary for Financial Institutions. Effective April 17, 2002.

Deputy Assistant Secretary to the Assistant Secretary, Legislative Affairs. Effective April 29, 2002.

#### *Environmental Protection Agency*

Deputy Associate Administrator to the Associate Administrator, Office of Congressional Affairs. Effective April 5, 2002.

#### *Federal Emergency Management Agency*

Legislative Branch Chief to the Director of Congressional and Intergovernmental Affairs Division. Effective April 11, 2002.

Advisor for Media Affairs to the Director, Public Affairs. Effective April 22, 2002.

Assistant Division Director to the Director, Public Affairs Division. Effective April 25, 2002.

#### *General Services Administration*

Special Assistant to the Chief of Staff, Public Buildings Service. Effective April 17, 2002.

#### *National Transportation Safety Board*

Director, Office of Communications to the Chairman. Effective April 2, 2002.

Director, Office of Family Members to the Chairman. Effective April 18, 2002.

#### *Office of Management and Budget*

Confidential Assistant to the Associate Director for Information Technology and E-Government. Effective April 5, 2002.

Confidential Assistant to the Associate Director for Legislative Affairs. Effective April 25, 2002.

#### *Office of National Drug Control Policy*

Special Assistant to the Director, Office of National Drug Control Policy. Effective April 3, 2002.

Information Receptionist to the Director, Office of the National Drug Control Policy. Effective April 3, 2002.

#### *Office of Personnel Management*

Special Counselor to the General Counsel. Effective April 9, 2002.

#### *Office of Science and Technology Policy*

Assistant to the Director for Communications. Effective April 15, 2002.

#### *Social Security Administration*

Special Assistant to the Commissioner of Social Security. Effective April 9, 2002.

**Authority:** 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR 1954-1958 Comp., P.218.

Office of Personnel Management.

**Kay Coles James,**

*Director.*

[FR Doc. 02-12579 Filed 5-17-02; 8:45 am]

**BILLING CODE 6325-38-P**

## **SECURITIES AND EXCHANGE COMMISSION**

### **Proposed Collection; Comment Request**

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Filings and Information Services, Washington, DC 20549.

Extension:

Rule 17Ad-17; SEC File No. 270-412; OMB Control No. 3235-0469.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

- Rule 17Ad-17 *Transfer Agents' Obligation to Search for Lost Securityholders.*

Rule 17Ad-17 requires approximately 952 registered transfer agents to conduct searches using third party database vendors to attempt to locate lost securityholders. These recordkeeping requirements assist the Commission and other regulatory agencies with monitoring transfer agents and ensuring compliance with the rule.

The staff estimates that the average number of hours necessary for each transfer agent to comply with Rule 17Ad-17 is five hours annually. The total burden is 4,760 hours annually for all transfer agents. The cost of

compliance for each individual transfer agent depends on the number of lost accounts at each transfer agent. Based on information received from transfer agents, we estimate that the annual cost industry wide is \$3.3 million.

Written comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimates of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Please direct your written comments to Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange Commission, 450 5th Street, NW., Washington, DC 20549.

Dated: May 10, 2002.

**Margaret H. McFarland,**

*Deputy Secretary.*

[FR Doc. 02-12567 Filed 5-17-02; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 25574; 812-12578]

### J.P. Morgan Fleming Asset Management (USA), Inc., et al.; Notice of Application

May 15, 2002.

**AGENCY:** Securities and Exchange Commission ("Commission").

**ACTION:** Notice of application under sections 6(c) and 17(b) of the Investment Company Act of 1940 (the "Act") for an exemption from section 17(a).

**APPLICANTS:** J.P. Morgan Fleming Asset Management (USA), Inc. ("JPMFAM"), J.P. Morgan Fleming Asset Management (London), Ltd. ("JPMFAML"), any other existing or future registered investment adviser which acts as investment adviser or subadviser to a Portfolio (defined below) and which controls, is controlled by, or is under common control (as defined in section 2(a)(9) of the Act) with J.P. Morgan Chase & Co.

("JPM") ("Future Advisers"),<sup>1</sup> J.P. Morgan Securities, Inc. ("JPMSI"), Mutual Fund Group ("MFG"), Mutual Fund Trust ("MFT"), Mutual Fund Select Group ("MFSG"), Mutual Fund Select Trust ("MFST"), Mutual Fund Variable Annuity Trust ("MFVAT"), Mutual Fund Investment Trust ("MFIT"), Growth and Income Portfolio ("GIP" together with MFG, MFT, MFSG, MFVAT, and MFIT, the "Trusts"), all existing and future series of the Trusts, and any existing or future registered investment companies and their series, that are advised or subadvised by the Advisers.<sup>2</sup>

**SUMMARY OF APPLICATION:** Applicants request an order to permit the Portfolio to engage in certain principal transactions with JPMSI.

**FILING DATES:** The application was filed on July 13, 2001, and amended on April 22, 2002. Applicants have agreed to file an amendment to the application, the substance of which is reflected in this notice, during the notice period.

**HEARING ON NOTIFICATION OF HEARING:** An order granting the application will be issued unless the Commission orders a hearing. Interested persons may request a hearing by writing to the Commission's Secretary and serving applicants with a copy of the request, personally or by mail. Hearing requests should be received by the Commission by 5:30 p.m. on June 10, 2002, and should be accompanied by proof of service on the applicants, in the form of an affidavit or, for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons may request notification of a hearing by writing to the Commission's Secretary.

**ADDRESSES:** Secretary, Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. Applicants: c/o Philip von Türk, Esq., JP Morgan Chase Bank, Legal Department, 345 Park Avenue, 5th Floor, New York, NY 10154-1002; and Robert B. Adams, Esq. and Merrill B.

<sup>1</sup> JPMFAM, JPMFAML and the Future Advisers are referred to collectively in this notice as the Advisers. Any Adviser that currently intends to rely on the requested order is named as an applicant in this application. Any other Adviser that relies on the order in the future will comply with the terms and conditions of this application.

<sup>2</sup> The Trusts, all existing or future series of the Trusts, and any existing or future registered investment companies and their series that are advised or subadvised by the Advisers are referred to collectively in this notice as the "Portfolios". Any Portfolio that currently intends to rely on the requested order is named as an applicant in this application. Any other Portfolio that relies on the order in the future will comply with the terms and conditions of this application.

Stone, Esq., Kelley Drye & Warren LLP, 101 Park Avenue, New York, NY 10178.

**FOR FURTHER INFORMATION CONTACT:** Janet M. Grossnickle, Branch Chief, or Nadya B. Roytblat, Assistant Director, (202) 942-0564 (Office of Investment Company Regulation, Division of Investment Management).

**SUPPLEMENTARY INFORMATION:** The following is a summary of the application. The complete application may be obtained for a fee from the Commission's Public Reference Branch, 450 Fifth Street, NW, Washington, DC. 20549-0102 (tel. 202-942-8090).

### Applicants' Representations

1. Each Trust is an open end management investment company registered under the Act, MFG, MFT, MFSG, MFST, MFVAT, MFIT and MFMIT are organized as business trusts under the laws of the Commonwealth of Massachusetts. GIP is organized as a trust under the laws of the State of New York. Each Trust, consistent with its stated investment objectives and policies, may invest in high quality short-term taxable money market instruments and repurchase agreements.

2. JPMFAM, a Delaware corporation, is a wholly-owned subsidiary of JP Morgan Chase Bank ("Chase"), a New York banking corporation and wholly-owned subsidiary of JPM, a Delaware corporation. JPMFAML is a United Kingdom corporation and a wholly owned subsidiary of Chase. JPMFAM and JPMFAML are each registered as investment advisers under the Investment Advisers Act of 1940 (the "Advisers Act"). Currently, each Portfolio has an investment advisory agreement with JPMFAM under which JPMFAM provides investment advisory and management services. JPMFAM, in turn, has entered into subadvisory agreements with JPMFAML for certain of the Portfolios.

3. JPMSI is a wholly owned subsidiary of JPM and is registered as a broker-dealer under the Securities Exchange Act of 1934 (the "1934 Act"). JPMSI, a primary dealer in U.S. Government securities, is one of the largest dealers in commercial paper, repurchase agreements and other money market instruments in the United States.

4. Applicants state that the Advisers and JPMSI are functionally independent of each other. JPMSI and the Advisers operate as completely separate entities under the umbrella of JPM, the parent holding company. While JPMSI and the Advisers are under common control, each entity has its own separate directors, officers and employees, is separately capitalized, maintains its