

("Commission") has submitted to the Office of Management and Budget a request for extension of the previously approved collection of information discussed below.

- Rules 17Ad-6 and 17Ad-7: Recordkeeping requirements for transfer agents

Rule 17Ad-6 under the Securities Exchange Act of 1934 (15 U.S.C. 78b *et seq.*) requires every registered transfer agent to make and keep current records about a variety of information, such as: (1) Specific operational data regarding the time taken to perform transfer agent activities (to ensure compliance with the minimum performance standards in Rule 17Ad-2 (17 CFR 240.17Ad-2)); (2) written inquiries and requests by shareholders and broker-dealers and response time thereto; (3) resolutions, contracts or other supporting documents concerning the appointment or termination of the transfer agent; (4) stop orders or notices of adverse claims to the securities; and (5) all canceled registered securities certificates.

Rule 17Ad-7 under the Securities Exchange Act of 1934 (15 U.S.C. 78b *et seq.*) requires each registered transfer agent to retain the records specified in Rule 17Ad-6 in an easily accessible place for a period of six months to six years, depending on the type of record or document. Rule 17Ad-7 also specifies the manner in which records may be maintained using electronic, microfilm, and microfiche storage methods.

These recordkeeping requirements ensure that all registered transfer agents are maintaining the records necessary to monitor and keep control over their own performance and for the Commission to adequately examine registered transfer agents on an historical basis for compliance with applicable rules.

We estimate that approximately 1,000 registered transfer agents will spend a total of 500,000 hours per year complying with Rules 17Ad-6 and 17Ad-7. Based on average cost per hour of \$50, the total cost of compliance with Rule 17Ad-6 is \$25,000,000.

The retention period for the recordkeeping requirements under Rule 17Ad-6 is six months to one year. In addition, such records must be retained for a total of two to six years or for one year after termination of the transfer agency, depending on the particular record or document. The recordkeeping requirements under Rules 17Ad-6 and 17Ad-7 are mandatory to assist the Commission and other regulatory agencies with monitoring transfer agents and ensuring compliance with the rule. This rule does not involve the collection

of confidential information. Please note that an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number.

General comments regarding the above information should be directed to the following persons: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503; and (ii) Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange Commission, 450 5th Street, NW., Washington, DC 20549. Comments must be submitted to OMB within 30 days of this notice.

Dated: August 29, 2001.

Margaret H. McFarland,

Deputy Secretary.

[FR Doc. 01-22507 Filed 9-6-01; 8:45 am]

BILLING CODE 8010-01-M

SECURITIES AND EXCHANGE COMMISSION

Issuer Delisting; Notice of Application To Withdraw From Listing and Registration on the American Stock Exchange LLC (Moog Inc, Common Stock, Class A and B, \$1.00 par Value) File No. 1-5219

August 31, 2001.

Moog Inc., a New York Corporation ("Issuer"), has filed an application with the Securities and Exchange Commission ("Commission"), pursuant to Section 12(d) of the Securities Exchange Act of 1934 ("Act")¹ and Rule 12d2-2(d) thereunder,² to withdraw its Class A and B Common Stock, \$1.00 par value ("Security"), from listing and registration on the American Stock Exchange LLC ("Amex").

On June 16, 2001, the Board of Directors of the Issuer approved a resolution to withdraw the Issuer's Security from listing on the Amex and list it on the New York Stock Exchange, Inc. ("NYSE"). In its application, the Issuer states that trading in the Security on the Amex will cease on August 24, 2001, and trading in the Security is expected to begin on the NYSE at the opening of business on August 27, 2001. In making the decision to withdraw the Security from listing on the Amex, the Issuer considered the potential increased institutional interest and benefit to its capital structure.

¹ 15 U.S.C. 78l(d).

² 17 CFR 240.12d2-2(d).

The Issuer stated in its application that it has met the requirements of Amex Rule 18 by complying with all applicable laws in effect in the State of New York, in which it is incorporated and with the Amex's rules governing an issuer's voluntary withdrawal of a security from listing and registration. The Issuer's application relates solely to the Security's withdrawal from listing on the Amex and shall have no effect upon its listing on the NYSE or its registration under Section 12(b) of the Act.³

Any interested person may, on or before September 20, 2001, submit by letter to the Secretary of the Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549-0609, facts bearing upon whether the application has been made in accordance with the rules of the Amex and what terms, if any, should be imposed by the Commission for the protection of investors. The Commission, based on the information submitted to it, will issue an order granting the application after the date mentioned above, unless the Commission determines to order a hearing on the matter.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.⁴

Jonathan G. Katz,
Secretary.

[FR Doc. 01-22509 Filed 9-6-01; 8:45 am]

BILLING CODE 8010-01-M

SECURITIES AND EXCHANGE COMMISSION

[Release No. IC-25147]

Notice of Applications for Deregistration Under Section 8(f) of the Investment Company Act of 1940

August 31, 2001.

The following is a notice of applications for deregistration under section 8(f) of the Investment Company Act of 1940 for the month of August, 2001. A copy of each application may be obtained for a fee at the SEC's Public Reference Branch, 450 Fifth St., NW., Washington, DC 20549-0192 (tel. 202-942-8090). An order granting each application will be issued unless the SEC orders a hearing. Interested person may request a hearing on any application by writing to the SEC's Secretary at the address below and serving the relevant applicant with a copy of the request, personally or by

³ 15 U.S.C. 78l(b).

⁴ 17 CFR 200.30-3(a)(1).

mail. Hearing requests should be received by the SEC by 5:30 p.m. on September 26, 2001, and should be accompanied by proof of service on the applicant, in the form of an affidavit or, for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Secretary, SEC, 450 Fifth Street, NW., Washington, DC 20549-0609. For Further Information Contact: Diane L. Titus, at (202) 942-0564, SEC, Division of Investment Management, Office of Investment Company Regulation, 450 Fifth Street, NW., Washington, DC 20549-0506.

Minn Shares Inc. [File No. 811-7744]

Summary: Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has remaining assets of approximately \$492,277, consisting primarily of liquid securities. Applicant will appoint a liquidating agent, who will liquidate the assets over a period of approximately three years and distribute the proceeds pro rata to applicant's shareholders. Distributions will be made to shareholders after payment of approximately \$172,596 of outstanding debts and liquidation expenses. A special meeting of shareholders is scheduled for September 7, 2001 to approve applicant's liquidation. Applicant currently is not a party to any litigation or administration proceeding. Lawrence P. Grady, applicant's president and largest shareholder, is a party to an SEC enforcement action arising out of the SEC's examination of applicant. The application is submitted in connection with that proceeding.

Filing Dates: The application was filed on August 3, 2001. Applicant has agreed to file an amended application during the notice period, the substance of which is reflected in this notice.

Applicant's Address: 520 Diamond Lake Lane, Minneapolis, MN 55419.

Mutual Selection Fund, Inc. [File No. 811-2300]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. On July 2, 2001, applicant transferred its assets to Pearl Total Return Fund, a series of Pearl Mutual Funds, based on net asset value. Expenses of \$39,276 incurred in connection with the reorganization were paid by Pearl Management Company, applicant's investment adviser.

Filing Dates: The application was filed on August 13, 2001, and amended on August 27, 2001.

Applicant's Address: 2610 Park Ave., PO Box 209, Muscatine, IA 52761.

Credit Suisse Warburg Pincus Small Company Value II Fund, Inc. [File No. 811-7375]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. On June 11, 2001, applicant transferred its assets to Credit Suisse Warburg Pincus Small Company Value Fund, a series of Credit Suisse Warburg Pincus Capital Funds, based on net asset value. Expenses of \$122,129 incurred in connection with the reorganization were paid by applicant's investment adviser, Credit Suisse Asset Management, LLC.

Filing Date: The application was filed on August 16, 2001.

Applicant's Address: 466 Lexington Ave., New York, NY 10017.

Zero Gravity Funds [File No. 811-9787]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. On March 30, 2001, applicant made a liquidating distribution to its shareholders based on net asset value. Expenses of \$10,950 incurred in connection with the liquidation will be paid by Zero Gravity Capital Management LLC, applicant's investment adviser.

Filing Date: The application was filed on August 6, 2001.

Applicant's Address: 400 Montgomery Street, 3rd Floor, San Francisco, CA 94104.

Dreyfus Global Bond Fund, Inc. [File No. 811-7085]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. On June 30, 2000, applicant made a final liquidating distribution to its shareholders based on net asset value. Expenses of \$5,000 incurred in connection with the liquidation were paid by applicant's investment adviser, The Dreyfus Corporation.

Filing Date: The application was filed on July 31, 2001.

Applicant's Address: c/o The Dreyfus Corporation, 200 Park Avenue, New York, NY 10166.

Allegiance Investment Trust-American Value Fund [File No. 811-9185]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. On August 7, 2000, applicant transferred its assets to Van Deventer & Hoch American Value Fund, a series of Advisors Series Trust,

based on net asset value. Applicant incurred no expenses in connection with the reorganization.

Filing Date: The application was filed on August 3, 2001.

Applicant's Address: 800 North Brand Boulevard, Suite 300, Glendale, CA 91203.

TD Waterhouse Family of Funds [File No. 811-9543]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

Filing Dates: The application was filed on July 12, 2001, and amended on August 17, 2001.

Applicant's Address: P.O. Box 100, Toronto Dominion Centre, 26th Floor, Toronto Dominion Tower, 55 King Street West, Toronto, Ontario, Canada M5K 1A2.

Virtus Funds [File No. 811-6158]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. On February 27, 1998, each series of applicant transferred its assets to corresponding portfolios of Evergreen Municipal Trust, Evergreen Fixed Income Trust, Evergreen Money Market Trust and Evergreen Equity Trust based on net asset value. Applicant incurred no expenses in connection with the reorganization.

Filing Date: The application was filed on August 8, 2001.

Applicant's Address: Federated Investors Tower, Pittsburgh, PA 15222-3779.

SG Cowen Standby Tax-Exempt Reserve Fund, Inc. [File No. 811-4344]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. By May 31, 2001, all shareholders of applicant had voluntarily redeemed their shares at net asset value, except SG Cowen Asset Management, Inc. ("SGCAM"), applicant's investment adviser. SGCAM plans to redeem its shares in connection with the winding up of applicant's affairs. Applicant incurred no expenses in connection with the liquidation.

Filing Dates: The application was filed on July 25, 2001, and amended on August 14, 2001.

Applicant's Address: 560 Lexington Avenue, New York, NY 10022.

MuniHoldings California Insured Fund V, Inc. [File No. 811-9313]

Summary: Applicant, a closed-end management investment company,

seeks an order declaring that it has ceased to be an investment company. On March 5, 2001, applicant transferred its assets to MuniHoldings California Insured Fund, Inc. based on net asset value. Each holder of applicant's auction market preferred stock ("AMPS") received the equivalent number of newly-issued shares of an existing series of AMPS of the acquiring fund representing the same aggregate liquidation preference. Expenses of \$261,031 incurred in connection with the reorganization were paid by applicant and the acquiring fund.

Filing Date: The application was filed on July 19, 2001.

Applicant's Address: 800 Scudders Mill Rd., Plainsboro, NJ 08536.

MuniHoldings Florida Insured Fund V [File No. 811-9331]

Summary: Applicant, a closed-end management investment company, seeks an order declaring that it has ceased to be an investment company. On March 5, 2001, applicant transferred its assets to MuniHoldings Florida Insured Fund based on net asset value. Each holder of applicant's auction market preferred stock ("AMPS") received the equivalent number of newly-issued shares of an existing series of AMPS of the acquiring fund representing the same aggregate liquidation preference. Expenses of \$276,084 incurred in connection with the reorganization were paid by applicant and Fund Asset Management, L.P., applicant's investment adviser.

Filing Date: The application was filed on July 19, 2001.

Applicant's Address: 800 Scudders Mill Rd., Plainsboro, NJ 08536.

MuniHoldings New Jersey Insured Fund IV, Inc. [File No. 811-9315]

Summary: Applicant, a closed-end management investment company, seeks an order declaring that it has ceased to be an investment company. On March 5, 2001, applicant transferred its assets to MuniHoldings New Jersey Insured Fund, Inc. based on net asset value. Each holder of applicant's auction market preferred stock ("AMPS") received the equivalent number of newly created series of AMPS of the acquiring fund representing the same aggregate liquidation preference. Expenses of \$258,468 incurred in connection with the reorganization were paid by applicant and Fund Asset Management, L.P., applicant's investment adviser.

Filing Date: The application was filed on July 19, 2001.

Applicant's Address: 800 Scudders Mill Rd., Plainsboro, NJ 08536.

MuniHoldings New York Insured Fund IV, Inc. [File No. 811-9317]

Summary: Applicant, a closed-end management investment company, seeks an order declaring that it has ceased to be an investment company. On March 5, 2001, applicant transferred its assets to MuniHoldings New York Insured Fund, Inc. on net asset value. Each holder of applicant's auction market preferred stock ("AMPS") received the equivalent number of newly-issued shares of an existing series of AMPS of the acquiring fund representing the same aggregate liquidation preference. Expenses of \$216,087 incurred in connection with the reorganization were paid by applicant and the acquiring fund.

Filing Date: The application was filed on July 19, 2001.

Applicant's Address: 800 Scudders Mill Rd., Plainsboro, NJ 08536.

Credit Suisse Institutional Strategic Global Fixed Income Fund, Inc. [File No. 811-8931]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. By December 21, 2000, all shareholders of applicant had voluntarily redeemed their shares at net asset value. Expenses of approximately \$2,500 incurred in connection with the liquidation were paid by Credit Suisse Asset Management, LLC, applicant's investment adviser, or its affiliates.

Filing Dates: The application was filed on February 22, 2001, and amended on June 8, 2001, and August 9, 2001.

Applicant's Address: 466 Lexington Avenue, New York, NY 10017.

GTBD Fund, L.L.C. (Deauville Europe Fund, L.L.C.) [File No. 811-10225]

Summary: Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering or engage in business of any kind.

Filing Dates: The application was filed on June 15, 2001, and amended on August 16, 2001, and August 21, 2001.

Applicant's Address: One World Financial Center, 31st Floor, 200 Liberty St., New York, NY 10281.

Multistate Tax Exempt Unit Trust [File No. 811-2774]

Summary: Applicant, a unit investment trust, seeks an order declaring that it has ceased to be an investment company. By April 9, 2001, each series of applicant had distributed its assets to unitholders based on net

asset value. As of August 24, 2001, applicant had 400 unitholders who have not presented their trust certificates for redemption. Applicant's trustee, the Bank of New York, is holding any unclaimed funds, which will escheat to each unitholder's state of residence after the applicable holding period. Applicant incurred no expenses in connection with the liquidation.

Filing Dates: The application was filed on May 18, 2001, and amended on August 24, 2001.

Applicant's Address: 90 State House Square, Hartford, CT 06103.

NSB Asset Fund, Inc. [File No. 811-10031]

Summary: Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. Applicant has never made a public offering of its securities and does not propose to make a public offering. Applicant will continue to operate as an unregistered real estate investment trust in reliance on section 3(c)(1) of the Act.

Filing Dates: The application was filed on July 3, 2001, and amended on August 27, 2001.

Applicant's Address: One South Main, Suite 1380, Salt Lake City, UT 84111.

Morgan Stanley Dean Witter World Wide Income Trust [File No. 811-5744]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. On March 31, 2001, applicant transferred its assets to Morgan Stanley Diversified Income Trust based on net asset value. Expenses of \$137,000 incurred in connection with the reorganization were paid by applicant.

Filing Date: The application was filed on July 23, 2001.

Applicant's Address: Two World Trade Center, 70th Floor, New York, NY 10048.

A.G. Series Trust [File No. 811-03050]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. At the time of filing, Applicant had distributed all of its shares at net asset value to its shareholders in connection with Applicant's liquidation. There were no expenses incurred in connection with the liquidation.

Filing Dates: The application was filed on June 7, 2001, and amended on July 19, 2001.

Applicant's Address: 2929 Allen Parkway, Houston, Texas 77019.

Templeton Variable Annuity Fund [File No. 811-5024]

Summary: Applicant seeks an order declaring that it has ceased to be an investment company. On May 8, 1998, applicant transferred its assets to Templeton Stock Fund based on net asset value. Expenses of \$20,946 incurred in connection with the reorganization were paid by Templeton Variable Annuity Fund and Templeton Stock Fund.

Filing Date: The application was filed on June 20, 2001.

Applicant's Address: 500 East Broward Boulevard, Suite 2100, Fort Lauderdale, Florida 33394-3091.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

Jonathan G. Katz,
Secretary.

[FR Doc. 01-22508 Filed 9-6-01; 8:45 am]

BILLING CODE 8010-01-M

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-44483A; File No. SR-Amex-2001-40]

Self-Regulatory Organizations; Notice of Filing and Order Granting Accelerated Approval of Proposed Rule Change by American Stock Exchange LLC Relating to the Listing and Trading of Institutional Index Notes; Correction

August 30, 2001.

Release No. 34-44483, issued on June 27, 2001, and published in the **Federal Register** on July 6, 2001,¹ contained an error in Part IV.² The term "Industrial Holdings" was mistakenly used. The correct term is "Institutional Holdings."

Accordingly, the term "Institutional Holdings" should replace the term "Industrial Holdings" in Part IV of the Release.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.³

Margaret H. McFarland,
Deputy Secretary.

[FR Doc. 01-22511 Filed 9-6-01; 8:45 am]

BILLING CODE 8010-01-M

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-44739A; File No. SR-ISE-00-22]

Self-Regulatory Organizations; International Securities Exchange LLC; Order Approving Proposed Rule Change and Notice of Filing and Order Granting Accelerated Approval of Amendments Nos. 1 and 2 to the Proposed Rule Change Relating to Market Maker Financial Requirements; Correction

August 30, 2001.

In Release No. 34-44739, issued on August 22, 2001 (FR Document 01-21739 beginning on page 45713 for Wednesday, August 29, 2001), the conclusion inadvertently referred to the proposed rule change as SR-NYSE-00-22. The conclusion should read that the proposed rule change (SR-ISE-00-22), as amended, is approved.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.¹

Margaret H. McFarland,
Deputy Secretary.

[FR Doc. 01-22513 Filed 9-6-01; 8:45 am]

BILLING CODE 8010-01-M

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-44734A; File No. SR-NASD-2001-42]

Self-Regulatory Organizations; the National Association of Securities Dealers, Inc.; Notice of Filing and Immediate Effectiveness of Proposed Rule Change and Amendment No. 1 To Extend the Expiration Date of Nasdaq's Transaction Credit Pilot Program; Correction

August 30, 2001.

In Release No. 34-44734, issued on August 22, 2001 (FR Document 01-21651 beginning on page 45347 for Tuesday, August 28, 2001), the title inadvertently omitted the name of the self-regulatory organization. The title is corrected to read as set forth above. Additionally, the release contained an inaccurate expiration date for the pilot program. The pilot program is extended for an additional six months, through December 31, 2001, not through September 1, 2001.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.¹

Margaret H. McFarland,
Deputy Secretary.

[FR Doc. 01-22512 Filed 9-6-01; 8:45 am]

BILLING CODE 8010-01-M

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-44752; File No. SR-NYSE-2001-28]

Self-Regulatory Organizations; Notice of Filing of Proposed Rule Change by the New York Stock Exchange, Inc. To Administer NYSE Rule 91.10 Pursuant to the NYSE's Minor Rule Violation Plan

August 29, 2001.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),¹ and Rule 19b-4 thereunder,² notice is hereby given that on August 21, 2001, the New York Stock Exchange, Inc. ("NYSE" or "Exchange") filed with the Securities and Exchange Commission ("Commission") the proposed rule change as described in Items I, II, and III below, which Items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend the "List of Exchange Rule Violations and Fines Applicable Thereto Pursuant to Rule 476A" for imposition of fines for minor rule violations of rules and/or policies ("List") by adding to the List the failure to comply with the provisions of NYSE Rule 91.10, Taking or Supplying Securities Named in Order. The Exchange believes it is appropriate to make the failure to comply with the provisions of NYSE Rule 91.10 subject to the possible imposition of a fine under NYSE Rule 476A procedures. The text of the proposed rule change is available at the NYSE and at the Commission.

II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements

¹ See 66 FR 35677.

² See 66 FR 35677, 35680.

³ 17 CFR 200.30-3(a)(12).

¹ 17 CFR 200.30-3(a)(12).

¹ 17 CFR 200.30-3(a)(12).

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b-4.