

Estimated Time Per Response: 4 hours.

Total Burden Hours: 4.

Total Annualized Capital/Startup Costs: \$0.

Total Annual Costs (operating/maintaining systems or purchasing services): \$0.

Description: Section 13(c)(4) of the Fair Labor Standards Act (FLSA), 29 U.S.C. 201 *et seq.*, authorizes the Secretary of Labor to grant a waiver of the child labor provisions of the FLSA for the agricultural employment of 10 and 11 year old minors in the hand harvesting of short season crops if specific requirements are met. The Act requires that employers who are granted such waivers keep on file a signed statement of the parent or person standing in the place of the parent of each 10 and 11 year old minor, consenting to their employment, along with a record of the name and address of the school in which the minor is enrolled.

The application for a waiver and supporting data is used by the Department of Labor to determine whether the statutory requirements and conditions for granting an exemption have been met which would permit the applicants to employ 10 and 11 year olds to hand harvest short season crops. Without this information, the Department of Labor would not have the statutory authority to grant a waiver.

Ira L. Mills,

Departmental Clearance Officer.

[FR Doc. 01-15046 Filed 6-13-01; 8:45 am]

BILLING CODE 4510-27-M

DEPARTMENT OF LABOR

Office of the Secretary

Women in Apprenticeship and Nontraditional Occupations (WANTO) DOL FY-2001 Budget, Training and Employment Services (TES) 1601 77174

AGENCY: Women's Bureau, Department of Labor.

ACTION: Notice of availability of funds and solicitation for grant applications (SGA 01-03).

Note: This notice contains all of the information needed to apply for grant funding. Grant proposals that are not completed as directed will be judged non-responsive and will not be evaluated.

SUMMARY: The Women's Bureau, U.S. Department of Labor (DOL), announces the 2001 Solicitation for Grant Applications (SGA) authorized under the Women in Apprenticeship and

Nontraditional Occupations (WANTO) Act of 1992. The purpose of this program is to assist employers and labor unions in the placement and retention of women in apprenticeship and nontraditional occupations. To that end, WANTO grant funds are disbursed to eligible community-based organizations which, in turn, provide employers and labor unions with technical assistance geared towards the successful placement and retention of women in apprenticeship and nontraditional occupations.

DATES: One signed original, complete grant application plus five copies of the Technical Proposal and two copies of the Cost Proposal must be submitted by 5 p.m. EST, July 16, 2001. Hand-delivered applications must be received by that time. Applications transmitted by facsimile, e-mail, or telegraph will not be considered.

An application received after July 16, 2001, will not be considered unless:

1. It was sent by registered or certified mail not later than July 9, 2001;
2. It is determined by the Government that the late receipt was due solely to mishandling by the Government after receipt at the U.S. Department of Labor at the address listed under **ADDRESSES**; or
3. It was sent by U.S. Postal Service Express Mail Next Day Service-Post Office to Addressee, not later than 5 p.m. EST on July 12, 2001.

The only acceptable evidence to establish the date of mailing of a late application sent by registered or certified mail is the U.S. Postal Service postmark on the envelope or wrapper and on the original receipt from the U.S. Postal Service. If the postmark is not legible, an application received after the specified time and date will be processed as if mailed late. "Postmark" means a printed, stamped, or otherwise placed impression (not a postage meter machine impression) that is readily identifiable without further action as having been applied and affixed by an employee of the U.S. Postal Service on the date of mailing. Therefore, applicants shall request that the postal clerk place a legible hand cancellation bull's-eye postmark on both the receipt and the wrapper or envelope.

The only acceptable evidence to establish the date of mailing of a late application sent by U.S. Postal Service Mail Next Day Service-Post Office to Addressee is the date entered by the post office receiving clerk on the "Express Mail Next Day Service-Post Office to Addressee" label and the postmark on the envelope or wrapper and on the original receipt from the U.S.

Postal Service. "Postmark" has the same meaning as defined in the preceding paragraph. Therefore, applicants shall request that the postal clerk place a legible hand cancellation bull's-eye postmark on both the receipt and the envelope or wrapper.

The only acceptable evidence to establish the time of receipt at the U.S. Department of Labor is the date/time stamp of the Office of Procurement Services on the application wrapper or other documentary evidence of receipt maintained by that office.

ADDRESSES: Applications must be mailed or hand-delivered to: U.S. Department of Labor, Office of Procurement Services, Attention: Grant Officer, Reference SGA 01-03, Room N-5416, 200 Constitution Avenue, NW., Washington, DC 20210.

FOR FURTHER INFORMATION CONTACT: Questions concerning this SGA may be sent to Cassandra Willis, at the following Internet address: willis-cassandra@dol.gov. Applications will not be mailed. The **Federal Register** may be obtained from your nearest government office or library. In addition, a copy of this notice and the application requirements may be downloaded from the Women's Bureau's Website at www.dol.gov/wb.

SUPPLEMENTARY INFORMATION:

Part I. Background

A. Authority and Funding

The Women in Apprenticeship and Nontraditional Occupations Act of 1992 (WANTO)(29 U.S.C. 2501 *et seq.*) authorizes DOL to disburse technical assistance grants. The WANTO grants for Fiscal Year (FY) 2001 are funded by DOL FY 2001 Budget: Training and Employment Services (TES)1610174.

The Women's Bureau (WB) co-administers the WANTO program with the DOL Office of Apprenticeship Training, Employer & Labor Services (ATELS). WB has responsibility for implementing the grant process.

B. Purpose

This grant program is designed to assist employers and labor unions (E/LU) in the placement and retention of women in specific sections of the workforce. It is envisioned that the program will promote increased participation by women in apprenticeship and nontraditional occupations (A/NTO). Therefore, WANTO grant funds are distributed to community based organizations (CBO), which provide technical assistance to E/LU with the goal of placing and retaining women in these occupations. DOL has found that placement and

retention of women in A/NTO pose significant challenges. Those challenges are especially acute in fields requiring high technology skills, such as those related to computer-based information technology, for example, telecommunications, utilities, manufacturing, transportation, and general services.

C. Grant Awards

The WB is soliciting proposals on a competitive basis for the WANTO program. WB anticipates that each grantee will receive between \$50,000 and \$100,000 in funds to conduct innovative projects that comply with the goals set forth in WANTO and in this SGA. The period of performance begins September 30, 2001, and ends on September 29, 2002. The initial performance period may be extended once, for up to three months, at no additional cost to DOL, so that a grantee can finish its final reports. Each application shall clearly state the applicant's intention to begin performance no later than October 1, 2001.

Part II. Application Process

A. Eligible Applicants—Community-Based Organizations

DOL will award grants through a competitive process which identifies the best Community-Based Organizations (CBO) applicants. Applicants shall provide evidence, where available, demonstrating their ability to connect women to apprenticeship and nontraditional occupations. Applicants shall also document any experience in enabling women and/or businesses to contact ATELS field offices for assistance and/or for information pertaining to apprenticeship training/placement. A CBO must not be classified under the IRS Tax Code as a 501(c)(4) entity nor be a public body such as a governmental body, public school, college, or hospital. All proposals must document that these eligibility requirements have been, and will continue to be, satisfied.

A consortium of CBOs may apply for a grant provided they include a copy of the consortium agreement and identify the entity/entities that will administer the grant.

B. Application Contents

The proposals must consist of the following information:

1. Table of Contents, listing all of the report sections.
2. A Two-Page Abstract, giving a clear summary of the technical proposal.
3. Eligibility Documentation, as set forth in Part II, Section A, of this notice.

4. Statement of Work, stated in a clear and concise manner. Explain how the Technical Assistance (TA) project:

a. Complies With Allowable Activities

Grant funds may be used to provide a broad range of TA to assist E/LU in recruiting and retaining women in A/NTO. Examples of allowable TA are: establishing or improving upon workplace assessment tools and surveys; the development of strategic plans for changes in the workplace and in work practices that support women; and providing E/LU that have pledged employment and/or sponsored apprenticeship opportunities for women with linkages to pre-apprenticeship programs. The TA services may, in part, encompass supportive services (such as setting up support groups, assisting with child-care, tools, uniforms, and transportation) that help women enter and remain in A/NTO. However, the primary emphasis shall be on TA that helps E/LU increase the number of women employed in A/NTO. For additional examples of allowable project activities, see section 4 of the WANTO Act (29 U.S.C. 2503).

b. Develops 10 or More New Relationships With E/LU

Grantees need to develop relationships with E/LU in order to provide the E/LU with technical assistance. Without these relationships, a grantee's efforts to place women in A/NTO are unlikely to be productive. Expanding the availability of TA can dramatically increase women's awareness of and participation in A/NTO.

c. Builds Upon the CBO's Established Working Relationships With E/LU

Applicants should show how their existing linkages with E/LU have been and can continue to be effective in moving working women from NTO-related entry level employment into registered apprenticeship or other higher-skilled NTO employment, including employment that requires high-technology skills.

5. Documentation of the following:

- A. Established and developing working relationships with E/LU;
- b. Successful experience in administering a program that provides TA, particularly information and support, to E/LU for placing women in A/NTO. Such TA should be the major component of the CBO's activities. Activities can also include outreach, orientation, mentoring, support groups, networks, workplace consultation, employee and supervisory workshops, seminars, and other workplace-specific

strategic planning to increase the participation of women in A/NTO;

c. Leadership in promoting economic self-sufficiency for women and (where applicable) in mentoring other CBO participating in the WANTO program; and

d. Names of ten or more targeted E/LU to whom the CBO plans to provide TA, along with the information required by Part II, Section A, of this notice.

6. The number of women to be placed into A/NTO;

7. A support services plan for women when the TA results in women being placed into A/NTO. These plans should include cooperative E/LU programs and community-based services, as well as those available at the workplace;

8. A description of any leveraging or co-funding anticipated for the accomplishment of the proposed project;

9. The inclusion of any activities that would encourage and promote the continuation or expansion of grant activities beyond the grant period; and

10. The inclusion of a management plan and job descriptions.

a. The management plan must include: the CBO's organizational chart, and where applicable, a narrative differentiating among the CBO's, the consultant's, and the sub-contractor's staffs and the names and full resumes of any proposed staff.

b. The job descriptions must identify: all key tasks; the hours required for the completion of such tasks; the person(s) responsible for completing each task, including sub-contractors and consultants; and the month-to-month time-line charting the tasks.

11. A listing of all items for which grant funds will be expended. Do not include any cost information, only expenditure items.

12. Copies of the CBO's budget and major funding sources for the past three (3) years, including foundation and government funds, as well as other types of funding.

Part III. Format of the Technical Proposal

The grant technical proposal text is limited to twenty (20) single-sided, double-spaced, 10 to 12 pitch, numbered "8½ x 11" typed pages (not including attachments).

Part IV. Application Process and Guidelines

Grant application packages must contain the following:

A. A Technical Proposal

Applicants must submit one (1) original and five (5) copies of their

technical proposal, with original signatures.

B. A Cost Proposal

The Cost Proposal is a physically separate document and must not be included within the twenty-page limit of the technical proposal. The Cost Proposal must include the following:

1. A Standard Form (SF) 424, "Application for Federal Assistance." All copies of the SF 424 must have original signatures of the legal entity applying for grant funding. Applicants shall indicate on the SF 424 the organization's IRS status.

The Catalogue of Federal Domestic Assistance number for this program is 17.700, which should be entered on the SF 424, block 10.

2. A certification prepared within the last six (6) months, attesting to the adequacy of the entity's fiscal management and accounting systems to account for and safeguard Federal funds properly. The certification should be obtained as follows:

a. For incorporated organizations, a certification from a Certified Public Accountant or

b. For other applicants, their employer's identification number (EIN) issued by the IRS;

3. Budget Information Form 424A with a narrative description of each line item.

4. A copy of the most current Indirect Cost Rate Agreement issued by the cognizant federal agency, if applicable.

5. Applications from a consortium of organizations also must include a copy of the consortium agreement and must identify the consortium that will act as the administrative entity for the project. No member of a consortium shall make a separate application under this grant program. In addition, the agreement must specify the consortium's arrangements for handling the administrative and financial responsibilities for the program.

6. The applicants must include the Assurances and Certifications Signature Page.

Potential applicants who do not have the current version of the standard grant forms listed above can download them from the following OMB Website address: www.whitehouse.gov/OMB/grants/forms.html.

To be considered responsive to this SGA, each application must consist of, and follow the order of, the sections listed in Part II, Section B (Application Process, Application Contents), of this solicitation. The application must also include information that the applicant believes will address the selection criteria identified in Part V. ANY

PROPOSAL THAT DOES NOT CONFORM TO THESE STANDARDS SHALL BE DEEMED NON-RESPONSIVE TO THIS SGA AND WILL NOT BE EVALUATED.

Part V. Evaluation Criteria and Selection

Applicants are advised that selection for a grant award will be made after careful evaluation of technical proposals by a review panel. Each panelist will evaluate applications against the various criteria on the basis of 100 points available. The scores will then serve as the primary basis to select applications for a potential award. The WB retains the discretion to request that grant applicants clarify statements made in their proposals.

A. Technical Evaluation Criteria/Points

1. Organizational Overview

Applicants must demonstrate their experience with the movement of women to apprenticeship and nontraditional occupations, as well as their capabilities and qualifications. Information submitted should include qualifications of the staff through the submission of pertinent materials such as resumes and organizational charts. In addition, applicants must explain how the proposed management and staffing plans will be responsive to the needs of women—up to 40

2. Established E/LU Linkages

Applicants must demonstrate commitments and/or potential for five (5) or more new working relationships with E/LU and demonstrate experience or potential for working with employed women to move them into apprenticeship or NTO—up to 20

3. Scope of WANTO Project and Projected Outcomes

Applicants must document their workplace assessment and technical assistance strategies for upgrading the skills of women in NTO and "focus industries" and for promoting changes in the workplace culture and in work practices that lead to increased numbers of women in A/NTO. Such information should include an applicant's support services plan; budget; listing of cost items; numbers of women to be placed; proposed A/NTO outcomes; leverage and continuance TA—up to 40

4. Bonus Points

Bonus points will be awarded, as follows, for projects that demonstrate their experience or indicate their plans to:

a. Provide opportunities for women to be placed into A/NTO pertaining to the high-technology fields—up to 10

b. Provide services for disabled women to be placed into A/NTO—up to 10

Overall, the Department will review grant proposals to determine whether CBO applicants: (a) Demonstrate experience preparing women to gain employment in apprenticeable occupations or other nontraditional occupations; (b) demonstrate experience working with the business community in preparing women to enter apprenticeable occupations or other nontraditional occupations; (c) have tradeswomen or women in nontraditional occupations as active members of their organizations, as either employed staff or as board members; and (d) have experience delivering TA.

B. Cost Criteria

Proposals will be ranked, based on their costs, in relation to other proposals submitted in response to this SGA.

C. Total Score

When ranking the proposals for the purposes of making selections for awards, the technical quality of the proposals will be weighted three (3) times the estimated price. Proposals received will be evaluated by a review panel based on the criteria described in this SGA under Technical Evaluation Criteria 1 and 2. The panel's recommendations will be advisory, and final awards will be made based on the best interests of the Government, including, but not limited to, such factors as technical quality, geographic balance, and occupational and/or industrial impact.

The submission of a previous proposal for a WANTO grant from any prior year does not guarantee an award under this solicitation. A final or the most recent technical report for WANTO programs should be submitted with this application. Although the Government reserves the right to award on the basis of the applicants' initial submissions, the Government may establish a competitive range or technically acceptable range based upon proposal evaluation for the purpose of selecting qualified applicants. The panel's conclusions are advisory in nature and not binding on the Grant Officer. The Government reserves the right to ask for clarification or hold discussions, but is not obligated to do so. The Grant Officer's determination for award under this SGA 01-03 is the final agency action.

Part VI. Deliverables

This section is provided only so that grantees may more accurately estimate the staffing budgetary requirements when preparing their proposal. Applicants are to exclude from their cost proposal the cost of any requested travel to Washington, DC.

A. Post Grant Award Conference

No later than eight (8) weeks after an award, the grantees and partners shall meet with the WB and the ATELS at the Post-Award Conference to discuss the project, related components and TA; time-lines; technical assistance outcomes; assessment for comment; and final approval. The grantees and partners and the Department will discuss and make decisions on the following program activities:

1. The proposed TA commitments for employment, registered apprenticeship, and related skilled nontraditional occupation activities and responsibilities; the number of targeted partnerships with employers and labor unions; and the number of women who will be served.
2. The methodology the proposed partnership will use to support/change management and employee attitudes to promote female workers in A/NTO.
3. The types of systemic change anticipated by the TA strategies that will be incorporated into ongoing employer recruitment, hiring, training, and promotion of women in A/NTO.
4. The occupational, industrial, and geographical impact anticipated.
5. The supportive services to be provided to employers and women after successful placement into A/NTO.
6. The plan for the development and maintenance of a relationship with the State level of the ATELS or the corresponding State Apprenticeship Council.

The WB and ATELS will provide further input orally or in writing, if necessary, within ten (10) working days after the Post-Award Conference.

B. Grant Plan of Action

No later than ten (10) weeks after an award, the grantees and the WB will confirm the "plan of action" and detailed time-line for program implementation.

C. Grant Implementation

No later than twelve (12) weeks after an award, the grantee(s) shall have begun providing E/LU with TA to recruit, select, train, place, retain, and otherwise prepare women for A/NTO, with progress to be measured in terms of employment growth and rising earnings.

D. Quarterly Reports

1. No later than sixteen (16) weeks after an award, the first quarterly progress report of work done under this grant must be submitted. Thereafter, quarterly reports will be due twenty (20) working days after the end of each of the remaining quarters.

2. Quarterly progress reports must describe:

- a. The overall progress achieved during the reporting period, as measured by the number of E/LU provided with TA, as well as by the number of women trained (on and off the workplace) and placed in A/NTO;
 - b. Any linkages between pre-apprenticeship programs with sponsored apprenticeship programs, giving the name and address of each workplace/company involved, the person(s) responsible for the programs, as well as the number of women affected by or participating in the programs;
 - c. The number of E/LU receiving TA, giving the E/LU name, address, number of employees at the workplace (including the percentage of women employees), as well as brief profiles of the E/LU;
 - d. Any systemic workplace and policy changes, whether actual or in process, including the hiring and promotion of women already in the workplace or in career ladders or other training activities;
 - e. Any public presentations given by the grantee;
 - f. Any media articles or appearances;
 - g. Publications disseminated; and
 - h. Publications developed.
- i. Any problems which may impede the performance of the grant and the proposed corrective action.
- j. The work to be performed during the next reporting period.

3. In addition, between scheduled reporting dates, the grantee(s) shall immediately inform the Grant Officer's Technical Representative of significant developments affecting the ability to accomplish the work.

E. Final Report

1. No later than sixty-four (64) weeks after an award, the grantee(s) shall submit two (2) copies of the draft Final Report, an integrated draft analysis of the process, and results of the technical assistance activities during the year. The WB and the ATELS will provide written comments on the draft Report within twenty (20) working days, if substantive problems are identified. The grantee's response to these comments shall be incorporated into the Final Report.

2. The Final Report shall cover findings, final performance data,

outcome results and assessment, and employer or labor organization plans for follow-up of participants.

3. No later than sixty-four (64) weeks after an award, the grantee(s) shall submit:

- a. Two (2) copies of the camera-ready Final Report, bound in a professional manner, and not a collection of loose leaf sheets, and
- b. An Executive Summary of the findings and recommendations must be included in the Final Report, separately or combined with the Final Report. These materials must be paid for with grant funds.

Part VII. Grant Requirements

A. Administrative Provisions

The grant awarded under this SGA shall be subject to the following administrative standards and provisions:

29 CFR Part 96—Federal Standards for Audit of Federally Funded Grants, Contracts, and Agreements.

29 CFR Part 95—Uniform Administrative Requirements for Grants and Cooperative Agreements with Institutions of Higher Education, etc.

B. Allowable Costs.

The WB shall determine what constitutes allowable costs in accordance with OMB Circular A-122, Nonprofit Organizations.

Part VIII. Definitions

The following terms are defined for the convenience of prospective applicants:

Nontraditional Occupations (NTO) are those where women account for less than 25 percent of all persons employed in a single occupational group.

Pre-Apprenticeship Programs are those which prepare individuals for occupational skills training or entry-level employment in NTO. The curriculum includes pre-vocational instruction in identification and use of tools, blueprint reading, basic shop skills, and safety procedures, as well as math skills and physical conditioning.

Apprenticeship is a formal employment relationship designed to promote skill training and learning on the job. "Hands on" learning takes place in conjunction with related theoretical instruction (often in a classroom setting). An apprentice who successfully completes an ATELS-registered program, which usually requires 3 to 5 years, is awarded a certificate of completion.

Employers, or groups of employers, and unions design, organize, manage, and finance apprenticeship programs

under the standards developed and registered with ATELS or ATELS-recognized State Apprenticeship Agencies. They also select apprentices who are trained to meet certain pre-determined occupational standards.

Community-Based Organizations (CBO) are private non-profit organizations, which may be faith-based, which are representative of

communities or significant segments of communities and which have demonstrated experience administering programs that train women for A/NTO.

Signed at Washington, DC on June 8, 2001.

Lawrence J. Kuss,

Grant Officer.

Appendix A. Application for Federal Assistance, Form SF 424

Appendix B. Budget Information Sheet, Form SF 424A

Appendix C. Assurances and Certifications Signature Page

BILLING CODE 4510-23-P

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION:		2. DATE SUBMITTED	Applicant Identifier
Application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION			
Legal Name:		Organizational Unit:	
Address (give city, county, State, and zip code):		Name and telephone number of person to be contacted on matters involving this application (give area code)	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): □□ — □□□□□□□□		7. TYPE OF APPLICANT: (enter appropriate letter in box) <input type="checkbox"/>	
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) <input type="checkbox"/> <input type="checkbox"/> A. Increase Award B. Decrease Award C. Increase Duration D. Decrease Duration Other(specify): _____		A. State H. Independent School Dist. B. County I. State Controlled Institution of Higher Learning C. Municipal J. Private University D. Township K. Indian Tribe E. Interstate L. Individual F. Intermunicipal M. Profit Organization G. Special District N. Other (Specify) _____	
		9. NAME OF FEDERAL AGENCY:	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: □□ — □□□□		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:	
TITLE: 12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):			
13. PROPOSED PROJECT		14. CONGRESSIONAL DISTRICTS OF:	
Start Date	Ending Date	a. Applicant	b. Project
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$.00	a. YES. THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON: DATE _____	
b. Applicant	\$.00	b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
c. State	\$.00		
d. Local	\$.00		
e. Other	\$.00		
f. Program Income	\$.00		
g. TOTAL	\$.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation. <input type="checkbox"/> No	
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Type Name of Authorized Representative		b. Title	c. Telephone Number
d. Signature of Authorized Representative		e. Date Signed	

INSTRUCTIONS FOR THE SF-424

Public reporting burden for this collection of information is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form used by applicants as a required facesheet for preapplications and applications submitted for Federal assistance. It will be used by Federal agencies to obtain applicant certification that States which have established a review and comment procedure in response to Executive Order 12372 and have selected the program to be included in their process, have been given an opportunity to review the applicant's submission.

- | Item: | Entry: | Item: | Entry: |
|---|--------|--|--------|
| 1. Self-explanatory. | | 12. List only the largest political entities affected (e.g., State, counties, cities). | |
| 2. Date application submitted to Federal agency (or State if applicable) and applicant's control number (if applicable). | | 13. Self-explanatory. | |
| 3. State use only (if applicable). | | 14. List the applicant's Congressional District and any District(s) affected by the program or project. | |
| 4. If this application is to continue or revise an existing award, enter present Federal identifier number. If for a new project, leave blank. | | 15. Amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines as applicable. If the action will result in a dollar change to an existing award, indicate <u>only</u> the amount of the change. For decreases, enclose the amounts in parentheses. If both basic and supplemental amounts are included, show breakdown on an attached sheet. For multiple program funding, use totals and show breakdown using same categories as item 15. | |
| 5. Legal name of applicant, name of primary organizational unit which will undertake the assistance activity, complete address of the applicant, and name and telephone number of the person to contact on matters related to this application. | | 16. Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the State intergovernmental review process. | |
| 6. Enter Employer Identification Number (EIN) as assigned by the Internal Revenue Service. | | 17. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes. | |
| 7. Enter the appropriate letter in the space provided. | | 18. To be signed by the authorized representative of the applicant. A copy of the governing body's authorization for you to sign this application as official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.) | |
| 8. Check appropriate box and enter appropriate letter(s) in the space(s) provided: | | | |
| -- "New" means a new assistance award. | | | |
| -- "Continuation" means an extension for an additional funding/budget period for a project with a projected completion date. | | | |
| -- "Revision" means any change in the Federal Government's financial obligation or contingent liability from an existing obligation. | | | |
| 9. Name of Federal agency from which assistance is being requested with this application. | | | |
| 10. Use the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested. | | | |
| 11. Enter a brief descriptive title of the project. If more than one program is involved, you should append an explanation on a separate sheet. If appropriate (e.g., construction or real property projects), attach a map showing project location. For preapplications, use a separate sheet to provide a summary description of this project. | | | |

OMB Approval No. 0348-0044

BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY						
Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		Total (g)
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	
1.		\$	\$	\$	\$	\$
2.						
3.						
4.						
5. Totals		\$	\$	\$	\$	\$
SECTION B - BUDGET CATEGORIES						
6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY					Total (5)
	(1)	(2)	(3)	(4)	(5)	
a. Personnel	\$	\$	\$	\$	\$	\$
b. Fringe Benefits						
c. Travel						
d. Equipment						
e. Supplies						
f. Contractual						
g. Construction						
h. Other						
i. Total Direct Charges (sum of 6a-6h)						
j. Indirect Charges						
k. TOTALS (sum of 6i and 6j)	\$	\$	\$	\$	\$	\$
7. Program Income	\$	\$	\$	\$	\$	\$

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Prescribed by OMB Circular A-102

SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8.	\$	\$	\$	\$	\$
9.					
10.					
11.					
12. TOTAL (sum of lines 8-11)	\$	\$	\$	\$	\$
SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
	\$	\$	\$	\$	\$
13. Federal					
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)	\$	\$	\$	\$	\$
SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT					
(a) Grant Program	FUTURE-FUNDING PERIODS (Years)				(e) Fourth
	(b) First	(c) Second	(d) Third		
16.	\$	\$	\$	\$	\$
17.					
18.					
19.					
20. TOTAL (sum of lines 16-19)	\$	\$	\$	\$	\$
SECTION F - OTHER BUDGET INFORMATION					
21. Direct Charges:		22. Indirect Charges:			
23. Remarks:					

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INSTRUCTIONS FOR THE SF-424A

Public reporting burden for this collection of information is estimated to average 180 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0044), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing Federal grantor agency guidelines which prescribe how and whether budgeted amounts should be separately shown for different functions or activities within the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

Section A. Budget Summary Lines 1-4 Columns (a) and (b)

For applications pertaining to a *single* Federal grant program (Federal Domestic Assistance Catalog number) and *not requiring* a functional or activity breakdown, enter on Line 1 under Column (a) the Catalog program title and the Catalog number in Column (b).

For applications pertaining to a *single* program *requiring* budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the Catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the Catalog program title on each line in Column (a) and the respective Catalog number on each line in Column (b).

For applications pertaining to *multiple* programs where one or more programs *require* a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g)

For *new applications*, leave Column (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

For *continuing grant program applications*, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) and (d) the estimated amounts of funds which will remain unobligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For *supplemental grants and changes* to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5 - Show the totals for all columns used.

Section B Budget Categories

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Line 6a-i - Show the totals of Lines 6a to 6h in each column.

Line 6j - Show the amount of indirect cost.

Line 6k - Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

Line 7 - Enter the estimated amount of income, if any, expected to be generated from this project. Do not add or subtract this amount from the total project amount. Show under the program

INSTRUCTIONS FOR THE SF-424A (continued)

narrative statement the nature and source of income. The estimated amount of program income may be considered by the Federal grantor agency in determining the total amount of the grant.

Section C. Non-Federal Resources

Lines 8-11 Enter amounts of non-Federal resources that will be used on the grant. If in-kind contributions are included, provide a brief explanation on a separate sheet.

Column (a) - Enter the program titles identical to Column (a), Section A. A breakdown by function or activity is not necessary.

Column (b) - Enter the contribution to be made by the applicant.

Column (c) - Enter the amount of the State's cash and in-kind contribution if the applicant is not a State or State agency. Applicants which are a State or State agencies should leave this column blank.

Column (d) - Enter the amount of cash and in-kind contributions to be made from all other sources.

Column (e) - Enter totals of Columns (b), (c), and (d).

Line 12 - Enter the total for each of Columns (b)-(e). The amount in Column (e) should be equal to the amount on Line 5, Column (f), Section A.

Section D. Forecasted Cash Needs

Line 13 - Enter the amount of cash needed by quarter from the grantor agency during the first year.

Line 14 - Enter the amount of cash from all other sources needed by quarter during the first year.

Line 15 - Enter the totals of amounts on Lines 13 and 14.

Section E. Budget Estimates of Federal Funds Needed for Balance of the Project

Lines 16-19 - Enter in Column (a) the same grant program titles shown in Column (a), Section A. A breakdown by function or activity is not necessary. For new applications and continuation grant applications, enter in the proper columns amounts of Federal funds which will be needed to complete the program or project over the succeeding funding periods (usually in years). This section need not be completed for revisions (amendments, changes, or supplements) to funds for the current year of existing grants.

If more than four lines are needed to list the program titles, submit additional schedules as necessary.

Line 20 - Enter the total for each of the Columns (b)-(e). When additional schedules are prepared for this Section, annotate accordingly and show the overall totals on this line.

Section F. Other Budget Information

Line 21 - Use this space to explain amounts for individual direct object class cost categories that may appear to be out of the ordinary or to explain the details as required by the Federal grantor agency.

Line 22 - Enter the type of indirect rate (provisional, predetermined, final or fixed) that will be in effect during the funding period, the estimated amount of the base to which the rate is applied, and the total indirect expense.

Line 23 - Provide any other explanations or comments deemed necessary.

ASSURANCES AND CERTIFICATIONS - SIGNATURE PAGE

The Department of Labor will not award a grant or agreement where the grantee/recipient has failed to accept the ASSURANCES AND CERTIFICATIONS contained in this section. By signing and returning this signature page, the grantee/recipient is providing the certifications set forth below:

- A. Assurances - Non-Construction Programs
- B. Certifications Regarding Lobbying, Debarment, Suspension, and Other Responsibility Matters and Drug-Free/Tobacco-Free Workplace Requirements.
- C. Certification of Release of Information

APPLICANT NAME and LEGAL ADDRESS:

If there is any reason why one of the assurances or certifications listed cannot be signed, please explain. Applicant need only submit and return this signature page with the grant application. All other instructions shall be kept on file by the applicant.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL

TITLE

APPLICANT ORGANIZATION

DATE SUBMITTED

Please Note: This signature page and any pertinent attachments which may be required by these assurances and certifications shall be attached to the applicant's Cost Proposal.

[FR Doc. 01-15067 Filed 6-13-01; 8:45 am]

BILLING CODE 4510-23-C

DEPARTMENT OF LABOR

Employment and Training Administration

[TA-W-38,690; TA-W-38,690A]

C-Cor.Net Corp; Amended Certification Regarding Eligibility To Apply for Worker Adjustment Assistance

In accordance with section 223 of the Trade Act of 1974 (19 U.S.C 2273) the Department of Labor issued a Certification of Eligibility to Apply for Worker Adjustment Assistance on March 6, 2001, applicable to workers of C-Cor.net Corporation, State College, Pennsylvania. The notice was published in the **Federal Register** on April 5, 2001 (66 FR 18117).

At the request of the petitioners, the Department reviewed the certification for workers of the subject firm. New information shows that worker separations occurred at the Tipton, Pennsylvania facility of C-Cor.net Corporation. The workers are engaged in employment related to the production of cable television amplifiers.

Accordingly, the Department is amending the certification to cover works of C-Cor.net Corporation, Tipton, Pennsylvania.

The intent of the Department's certification is to include all workers of C-Cor.net Corporation adversely affected by increased imports.

The amended notice applicable to TA-W-38,690 is hereby issued as follows:

All workers of C-Cor.net Corporation, State College, Pennsylvania (TA-W-38,690) and Tipton, Pennsylvania, Pennsylvania (TA-W-38,690A) who became totally or partially separated from employment on or after February 6, 2000 through March 6, 2003 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

Signed at Washington DC this 30th day of May, 2001.

Linda G. Poole,

Certifying Officer, Division of Trade Adjustment Assistance.

[FR Doc. 01-15038 Filed 6-13-01; 8:45 am]

BILLING CODE 4510-30-M

DEPARTMENT OF LABOR

Employment and Training Administration

[TA-W-38,442; CMI Industries, Inc., Clinton Fabric Division; TA-W-38,442B; TA-W-38,442C]

Amended Certification Regarding Eligibility To Apply for Worker Adjustment Assistance

In accordance with section 223 of the Trade Act of 1974 (19 U.S.C. 2273) the Department of Labor issued a Notice of Certification Regarding Eligibility to Apply for Worker Adjustment Assistance on April 13, 2001, applicable to workers of CMI Industries, Inc., Clinton Fabric Division, Clinton, South Carolina. The notice was published in the **Federal Register** on May 2, 2001 (66 FR 22007).

At the request of the company, the Department reviewed the certification for workers of the subject firm. New information shows that worker separations occurred at the Vance Complex and the Administrative Office of the Clinton Fabric Division of CMI Industries, Clinton, South Carolina. The Vance Complex workers are engaged in the production of grieg woven fabric. Workers at the Administrative Office, Clinton Fabric Division, CMI Industries provide administrative services to support the production of grieg woven fabric at the subject firms' manufacturing facilities.

Based on these findings, the Department is amending the certification to include workers of the Vance Complex and Administrative Office, CMI Industries, Clinton Fabric Division, Clinton, South Carolina.

The intent of the Department's certification is to include all workers of CMI Industries, Inc. who were adversely affected by increased imports of grieg woven fabric.

The amended notice applicable to TA-W-38,442 is hereby issued as follows:

All workers of CMI Industries, Inc., Clinton Fabric Division, Clinton, South Carolina (TA-W-38,442) and Clinton Fabric Division, Vance Complex, Clinton, South Carolina (TA-W-38,442B) and Clinton Fabric Division, Administrative Office, Clinton, South Carolina (TA-W-38,442C) who became totally or partially separated from employment on or after December 4, 1999 through April 13, 2003 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

Signed at Washington, DC, this 30th day of May, 2001.

Linda G. Poole,

Certifying Officer, Division of Trade Adjustment Assistance.

[FR Doc. 01-15039 Filed 6-13-01; 8:45 am]

BILLING CODE 4510-30-M

DEPARTMENT OF LABOR

Employment and Training Administration

Notice of Determinations Regarding Eligibility To Apply for Worker Adjustment Assistance and NAFTA Transitional Adjustment Assistance

In accordance with section 223 of the Trade Act of 1974, as amended, the Department of Labor herein presents summaries of determinations regarding eligibility to apply for trade adjustment assistance for workers (TA-W) issued during the period of May, 2001.

In order for an affirmative determination to be made and a certification of eligibility to apply for worker adjustment assistance to be issued, each of the group eligibility requirements of section 222 of the Act must be met.

(1) That a significant number or proportion of the workers in the workers' firm, or an appropriate subdivision thereof, have become totally or partially separated,

(2) That sales or production, or both, of the firm or subdivision have decreased absolutely, and

(3) That increases of imports of articles like or directly competitive with articles produced by the firm or appropriate subdivision have contributed importantly to the separations, or threat thereof, and to the absolute decline in sales or production.

Negative Determinations for Worker Adjustment Assistance

In each of the following cases the investigation revealed that criterion (3) has not been met. A survey of customers indicated that increased imports did not contribute importantly to worker separations at the firm.

TA-W-38,993; *Jake Shook Logging, Inc., Newcastle, WY*

TA-W-38,221; *Northwest Fourslide, Inc., Sherwood, OR*

TA-W-38,917; *Meade Industrial Service, Inc., Boardman, OH*

TA-W-38,872; *J. Paul Levesque and Sons, Inc., Ashland, ME*

In the following cases, the investigation revealed that the criteria for eligibility have not been met for the reasons specified.