

officials or agents observe the highest standards of business ethics and ensure fair dealings in the operation of the Exchange.

Therefore, the Exchange is proposing to file with the Commission its statements on Fiduciary Responsibilities of the Members of the Board of Governors, Fiduciary Responsibilities of Committee Members and Floor Officials and Employee Handbook which reflect its policy prohibiting its Governors, Committee Members, employees, officers, directors, and other officials or agents from engaging directly or indirectly in any conduct that threatens, harasses, intimidates, constitutes a refusal to deal or retaliate against any member, employee of a member or any other market participant because such member: (1) Has made a proposal to any exchange or other market to list or trade any option issue; (2) has advocated or made proposals concerning the listing or trading of an option issue on any exchange or other market; (3) has commenced making a market in or trading any option issue on any exchange or other market; (4) seeks to increase the capacity of any options exchange or the options industry to disseminate quote or trade data; (5) seeks to introduce new option products; or (6) acts or seeks to act competitively.

The PCX believes that the prohibited conduct discussed above is inconsistent with the obligation of all Governors, Committee Members, employees, officers, directors, and other officials or agents in their responsibilities to the Exchange and the public interest in the operation of fair and efficient options markets. The PCX will strictly enforce the requirements of the proposed rule.

2. Statutory Basis

The Exchange believes that the proposed rule change is consistent with Section 6(b) of the Act,³ in general, and furthers the objectives of Section 6(b)(5)⁴ in particular, in that it is designed to promote just and equitable principles of trade, prevent fraudulent and manipulative acts and practices, and protect investors and the public interest by prohibiting harassment in the listing of options.

B. Self-Regulatory Organization's Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition.

C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

Written comments were neither solicited nor received.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The proposed rule change has been filed by the Exchange as a "non-controversial" rule change pursuant to Section 19(b)(3)(A)(iii) of the Act⁵ and Rule 19b-4(f)(6) thereunder.⁶ Because the foregoing proposed rule change: (1) Does not significantly affect the protection of investors or the public interest, (2) does not impose any significant burden on competition, and (3) by its terms does not become operative for 30 days after February 12, 2001, the date on which it was filed, or such shorter time as the Commission may designate, and the Exchange provided the Commission with written notice of its intent to file the proposed rule change at least five business days prior to the filing date, it has become effective pursuant to Section 19(b)(3)(A)(iii)⁷ of the Act and Rule 19b-4(f)(6)⁸ thereunder. At any time within 60 days of the filing of the proposed rule change, the Commission may summarily abrogate such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549-0609. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be

available for inspection and copying at the Commission's Public Reference Room. Copies of such filing will also be available for inspection and copying at the principal office of the Exchange. All submissions should refer to the File No. SR-PCX-01-11 and should be submitted by April 26, 2001.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.⁹

Margaret H. McFarland,
Deputy Secretary.

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DEPARTMENT OF STATE

Office of Visa Services

[Public Notice 3630]

Proposed Information Collection; Notice

AGENCY: Department of State.

ACTION: 30-Day notice of proposed information collection (OMB 1405-0015): DS-230, Application for immigrant visa and alien registration (Formerly OF-230).

SUMMARY: The Department of State has submitted the following information collection request to the Office of Management and Budget (OMB) approval in accordance with the Paperwork Reduction Act of 1995. Comments should be submitted to OMB within 30 days of the publication of this notice.

The following summarizes the information collection proposal submitted to OMB:

Type of Request: Extension of Currently Approved Collection
Originating Office: Bureau of Consular Affairs, Office of Visa Services (CA/VO)

Title of Information Collection:
Application for Immigrant Visa and Alien Registration.

Frequency: Once.

Form Number: DS-230 (formerly OF-230).

Respondents: All immigrant visa applicants.

Estimated Number of Respondents: 750,000.

Average Hours Per Response: 2 hours.

Total Estimated Burden: 1,500,000 hours.

Public comments are being solicited to permit the agency to:

- Evaluate whether the proposed information collection is necessary for the proper performance of the functions

⁵ 15 U.S.C. 78s(b)(3)(A)(iii).

⁶ 17 CFR 240.19b-4(f)(6).

⁷ 15 U.S.C. 78s(b)(3)(A)(iii).

⁸ 17 CFR 240.19b-4(f)(6).

⁹ 17 CFR 200.30-3(a)(12).

³ 15 U.S.C. 78f(b).

⁴ 15 U.S.C. 78f(b)(5).

of the agency, including whether the information will have practical utility.

- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection, including the validity of the methodology and assumptions used.
- Enhance the quality, utility, and clarity of the information to be collected.
- Minimize the reporting burden on those who are to respond, including through the use of automated collection techniques or other forms of technology.

FOR ADDITIONAL INFORMATION CONTACT:

Copies of the proposed information collection and supporting documents may be obtained from Eric Cohan, 2401 E ST NW., RM L-703, U.S. Department of State, Washington, DC 20520, (202) 663-1164. Public comments and questions should be directed to the State Department Desk Officer, Office of Information and Regulatory Affairs, Office of Management and Budget (OMB), Washington, DC 20530, (202) 395-5871.

Dated: March 5, 2001.

George Lannon,

Deputy Assistant, Secretary of State for Visa Services, Bureau of Consular Affairs, U.S. Department of State

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DEPARTMENT OF STATE

Office of Visa Services

[Public Notice 3631]

Proposed Information Collection Notice

AGENCY: Department of State.

ACTION: 30-Day notice of proposed information collection (OMB 1405-0091): DS-117, Application to determine returning resident status (Formerly DSP-117).

SUMMARY: The Department of State has submitted the following information collection request to the Office of Management and Budget (OMB) approval in accordance with the Paperwork Reduction Act of 1995. Comments should be submitted to OMB within 30 days of the publication of this notice.

The following summarizes the information collection proposal submitted to OMB:

Type of Request: Extension of Currently Approved Collection
Originating Office: Bureau of Consular Affairs, Office of Visa Services (CA/VO).

Title of Information Collection: Application to Determine Returning Resident Status.

Frequency: Once.

Form Number: DS-117 (formerly DSP-117).

Respondents: All applicants for returning resident status.

Estimated Number of Respondents: 1,000.

Average Hours Per Response: 0.5 hours.

Total Estimated Burden: 500 hours.

Public comments are being solicited to permit the agency to:

- Evaluate whether the proposed information collection is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility.
- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection, including the validity of the methodology and assumptions used.
- Enhance the quality, utility, and clarity of the information to be collected.
- Minimize the reporting burden on those who are to respond, including through the use of automated collection techniques or other forms of technology.

FOR ADDITIONAL INFORMATION CONTACT:

Copies of the proposed information collection and supporting documents may be obtained from Eric Cohan, 2401 E ST NW., RM L-703, U.S. Department of State, Washington, DC 20520, (202) 663-1164. Public comments and questions should be directed to the State Department Desk Officer, Office of Information and Regulatory Affairs, Office of Management and Budget (OMB), Washington, DC 20530, (202) 395-5871.

Dated: March 5, 2001.

George Lannon,

Deputy Assistant, Secretary of State for Visa Services, Bureau of Consular Affairs, U.S. Department of State.

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DEPARTMENT OF STATE

[Public Notice 3632]

Bureau of Educational and Cultural Affairs Request for Grant Proposals: International Visitor Program Assistance Awards

SUMMARY: The Office of International Visitors of the Division of Professional and Cultural Exchanges, Bureau of Educational and Cultural Affairs, (ECA/PE/V), United States Department of State (DOS) announces an open

competition for two assistance awards to support the International Visitor program. Awards will be divided into one small awards' category (Award A) and one large awards' category (Award B). Funding will be for FY-2002 (October 1, 2001-September 30, 2002). The small assistance award (AWARD A) will include the development and implementation of International Visitor programs (IV) for up to 450 current or potential foreign leaders; the large award (AWARD B) will include the development and implementation of IV programs for up to 1,700 current or potential foreign leaders. Public and private nonprofit organizations not receiving Office of International Visitor assistance awards for FY-2002 and meeting the provisions described in IRS regulation 26 CFR 1.501© may apply for these awards. *[See Project Objectives, Goals and Implementation (POGI) for definitions of program-related terminology.]

The intent of this announcement is to provide the opportunity for two organizations to develop and implement a variety of IV programs including those funded through FREEDOM Support Act (FSA) and Support for Eastern European Democracy (SEED) Act transfers. The award recipients will function as national program agencies (NPAs) and will work closely with DOS Bureau staff, who will guide them through procedural, budgetary and/or programmatic issues for the full range of IV programs, as they arise. (Hereafter, the terms "award recipient" and "national program agency" will be used interchangeably to refer to the winning organization(s). On occasion, the award recipients may be asked to develop and implement specialized IV programs.

The award recipients will develop over the course of fiscal year 2002 (October 1, 2001-September 30, 2002) two discrete sets of IV programs: (1) (AWARD A): up to 450 foreign participants; and (2) (AWARD B): up to 1,700 foreign participants. Applicant organizations may bid on one or both awards. Pending availability of funds, one award will be made under the small assistance award and one will be made under the large assistance award. If an organization is interested in bidding on both awards, a separate proposal and budget is required for each award.

Program Information

Overview: IV program goals are based on U.S. foreign policy objectives and are designed to: (1) increase mutual understanding between the people of the U.S. and the people of other countries; and (2) provide substantive professional exchange between the