

investors, or otherwise in furtherance of the purposes of the Act.<sup>6</sup>

#### IV. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act.<sup>7</sup> Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549-0609. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Commission's Public Reference Room. Copies of such filing will also be available for inspection and copying at the principal office of NYSE. All submissions should refer to File No. SR-NYSE-99-27 and should be submitted by July 29, 1999.

For the Commission, by the Division of Market Regulation, pursuant to the delegated authority.<sup>8</sup>

**Margaret H. McFarland,**

*Deputy Secretary.*

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BILLING CODE 8010-01-M

#### SOCIAL SECURITY ADMINISTRATION

##### Agency Information Collection Activities: Proposed Request and Comment Request

In compliance with Pub. L. 104-13, the Paperwork Reduction Act of 1995, SSA is providing notice of its information collections that require submission to the Office of Management and Budget (OMB). SSA is soliciting comments on the accuracy of the agency's burden estimate; the need for the information; its practical utility; ways to enhance its quality, utility and clarity; and on ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology.

I. The information collections listed below will be submitted to OMB within 60 days from the date of this notice. Therefore, comments and recommendations regarding the information collections would be most useful if received by the Agency within 60 days from the date of this publication. Comments should be directed to the SSA Reports Clearance Officer at the address listed at the end of this publication. You can obtain a copy of the collection instruments by calling the SSA Reports Clearance Officer on (410) 965-4145, or by writing to him at the address listed at the end of this publication.

1. Application for Widow's or Widower's Insurance Benefits-0960-0004. The Social Security Administration (SSA) uses the information collected on Form SSA-10-BK to determine whether the applicant meets the statutory and regulatory conditions for entitlement to widow(er)'s benefits. The respondents are applicants for widow(er)'s benefits.

*Number of Respondents:* 288,850.

*Frequency of Response:* 1.

*Average Burden Per Response:* 15 minutes.

*Estimated Annual Burden:* 72,213 hours.

2. Request for Waiver of Overpayment Recovery or Change in Repayment Notice-0960-0037. Form SSA-632 collects information on the circumstances surrounding overpayment of Social Security Benefits to recipients. SSA uses the information to determine whether recovery of an overpayment amount can be waived or must be repaid and, if repaid, how recovery will be made. The respondents are recipients of Social Security, Medicare, Black Lung or Supplemental Security Income overpayments.

*Number of Respondents:* 500,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 120 minutes.

*Estimated Annual Burden:* 1,000,000 hours.

3. Voluntary Customer Surveys in Accordance with E.O. 12862 within the Social Security Administration-0960-0526. These voluntary customer surveys will be used to ascertain customer satisfaction with the Social Security Administration in terms of timeliness, appropriateness, access, and other measures of quality service. Surveys will involve individuals that are the direct or indirect beneficiaries of SSA services. The average burden per response for these activities is estimated to range from 5 minutes for a simple comment card to 2 hours for participation in a focus group.

*FY 2000:*

*Number of Respondents:* 1,328,264.

*Frequency of Response:* 1.

*Estimated Annual Burden:* 123,231 Hours.

*FY 2001:*

*Number of Respondents:* 1,325,760.

*Frequency of Response:* 1.

*Estimated Annual Burden:* 122,274 Hours.

*FY 2002:*

*Number of Respondents:* 1,327,400.

*Frequency of Response:* 1.

*Estimated Annual Burden:* 121,734 Hours.

II. The information collections listed below have been submitted to OMB for clearance. Written comments and recommendations on the information collections would be most useful if received within 30 days from the date of this publication. Comments should be directed to the SSA Reports Clearance Officer and the OMB Desk Officer at the addresses listed at the end of this publication. You can obtain a copy of the OMB clearance packages by calling the SSA Reports Clearance Officer on (410) 965-4145, or by writing to him.

1. Marriage Certification-0960-0009. Form SSA-3 is used by the Social Security Administration (SSA) to determine whether the claimant's spouse has the necessary relationship to the worker as required by section 216(h)(1) of the Social Security Act (the Act).

The respondents are applicants for Spouse's Benefits.

*Number of Respondents:* 180,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 5 minutes.

*Estimated Annual Burden:* 15,000 hours.

2. Claimant's Work Background-0960-0300. The information collected on Form HA-4633 is used by SSA in cases in which claimants for disability benefits have requested a hearing, which is a statutory right granted to claimants under the Act, on the decision regarding their claim. A completed form provides an updated summary of a claimant's past relevant work and helps the Administrative Law Judge better decide whether or not the claimant is disabled. The respondents are claimants who request a hearing on entitlement to disability benefits, under titles II and/or XVI of the Act.

*Number of Respondents:* 120,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 15 minutes.

*Estimated Annual Burden:* 30,000 hours.

3. Report on Individual with Childhood Impairment-0960-0084.

<sup>6</sup> 15 U.S.C. 78s(b)(3)(C).

<sup>7</sup> In reviewing the proposed rule change, the Commission considered its potential impact on efficiency, competition, and capital formation. 15 U.S.C. 78c(f).

<sup>8</sup> 17 CFR 200.30-3(a)(12).

Form SSA-1323 is used by SSA to determine the claimant's medical status prior to making disability determinations. The respondents are public and nonpublic schools and agencies who provide status reports on claimants applying for disability benefits.

*Number of Respondents:* 7,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 20 minutes.

*Estimated Annual Burden:* 2,333 hours.

4. Medicare Buy-In Part B Screening Guide—0960-0601. Pub. L. 105-277 authorizes SSA to conduct a Medicare buy-in demonstration project to evaluate means to promote the Medicare buy-in programs targeted to elderly and disabled individuals under titles XVIII and XIX of the Social Security Act. A lack of awareness about the Medicare buy-in programs appears to be one of the major obstacles to enrollments. Other obstacles to enrollment include the confusion of potential eligibles as to how to apply for these programs and a preference for dealing with SSA field offices rather than with local Medicaid offices.

SSA will screen respondents voluntarily for potential Medicare Part B buy-in eligibility using a screening guide developed for this purpose. The screening guide will collect information from SSA beneficiaries regarding income, resources, marital status, and living arrangements and also ask questions about their awareness of Medicare Part B buy-in programs. SSA will gather this information to identify

and overcome obstacles to Medicare Part B buy-in enrollments and to determine potential eligibility for Medicare Part B benefits. The screening guide will be in use from March 1, 1999 through December 31, 1999.

*Number of Respondents:* 130,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 20 minutes.

*Estimated Annual Burden:* 43,333 hours.

5. State Report of Incorrect Bendex Information—0960-0517. SSA uses the information collected on Form SSA-1086 to correct its master database and to facilitate the electronic exchange of data. The respondents are State agencies administering programs for Aid to Families with Dependent Children.

*Number of Respondents:* 123.

*Frequency of Response:* 2.

*Average Burden Per Response:* 10 minutes.

*Estimated Annual Burden:* 41 hours.

(SSA Address) Social Security Administration, DCFAM, Attn: Frederick W. Brickenkamp, 6401 Security Blvd., 1-A-21 Operations Bldg., Baltimore, MD 21235  
(OMB Address) Office of Management and Budget, OIRA, Attn: Desk Officer for SSA, New Executive Office Building, Room 10230, 725 17th St., NW, Washington, DC 20503

Dated: July 1, 1999.

**Nicholas E. Tagliareni,**

*Director, Center for Publications Management, Social Security Administration.*  
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## DEPARTMENT OF STATE

[Public Notice No. 3087]

### Office of Defense Trade Controls; Notifications to the Congress of Proposed Export Licenses

**AGENCY:** Department of State.

**ACTION:** Notice.

**SUMMARY:** Notice is hereby given that the Department of State has forwarded the attached Notifications of Proposed Export Licenses to the Congress on the dates shown on the attachments pursuant to section 36(c) and in compliance with section 36(e) of the Arms Export Control Act (22 U.S.C. 2776).

**EFFECTIVE DATE:** As shown on each of the three (3) letters.

**FOR FURTHER INFORMATION CONTACT:** Mr. William J. Lowell, Director, Office of Defense Trade Controls, Bureau of Political-Military Affairs, Department of State ((703) 875-6644).

**SUPPLEMENTARY INFORMATION:** Section 38(e) of the Arms Export Control Act mandates that notifications to the Congress pursuant to section 36(c) must be published in the **Federal Register** when they are transmitted to Congress or as soon thereafter as practicable.

Dated: June 30, 1999.

**William J. Lowell,**

*Director, Office of Defense Trade Controls.*

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