

that the Trustee would perform the duties that rule 17f-5 requires to be performed by a "foreign custody manager." Rule 17f-5 defines "Foreign Custody Manager" as the board of directors of a management investment company or a person serving as the board's delegate.

5. Under the proposed arrangements, the Sponsor, in determining the composition of the Trust Series' portfolio, will evaluate the risks of a Trust Series' investing in a particular country. In making the foreign investment decisions, the Sponsor may seek and rely on the information and opinion of the Trustee who may have information and experience concerning the financial systems and practices of the particular foreign market. The risks associated with the investment, if material, will be disclosed in the Trust Series' prospectus.

6. Consistent with the requirements of rule 17f-5, the Trustee, as Foreign Custody Manager, will select an Eligible Foreign Custodian after determining that the Series's assets will be subject to reasonable care; that the foreign custody contract will provide reasonable care for the Series' assets; and after establishing a system to monitor the appropriateness of maintaining the Series' assets with the custodian. The Trustee will make these determinations according to the requirements of the rule. The Indenture will contain provisions under which the Trustee agrees to indemnify the Trust Series against the risk of loss of Trust Series assets held in accordance with the foreign custody contract. In addition, the Indenture will contain provisions under which the Trustee agrees to exercise reasonable care, prudence and diligence such as a person having responsibility for the safekeeping of Trust Series assets would exercise, and to be liable to the Trust Series for any loss occurring as a result of the Trustee's failure to do so.

7. Applicants believe the Trustee can fulfill the duties of a Foreign Custody Manager under rule 17f-5 to select a foreign custodian and monitor the foreign custody arrangements. Applicants also assert that the Trustee will have the necessary expertise and generally be in the best position to make the determinations required by the rule. Applicants believe that permitting the use of Eligible Foreign Custodians by Trust Series would result in efficiencies, cost savings and enhanced liquidity of the Series' Foreign Investments.

#### Applicants' Conditions

Applicants agree that the order granting the requested relief shall be subject to the following conditions:

1. The Indenture will contain provisions under which the Trustee agrees to indemnify the Trust Series against the risk of loss of Trust Series assets held in accordance with the foreign custody contract.

2. The Indenture will contain provisions under which the Trustee agrees to exercise reasonable care, prudence and diligence such as a person having responsibility for the safekeeping of Trust Series assets would exercise, and to be liable to the Trust Series for any loss occurring as a result of the Trustee's failure to do so.

3. The Indenture will contain provisions under which the Trustee agrees to perform all of the duties assigned by rule 17f-5, as now in effect or as it may be amended in the future, to the Foreign Custody Manager. A Trustee's duties under this condition will not be delegated.

4. The Trust Series' prospectus will contain such disclosure regarding foreign securities and foreign custody as is required for management investment companies by Forms N-1A and N-2.

5. The Trustee will maintain and keep current written records regarding the basis for the choice or continued use of each foreign custodian. These records will be preserved for a period of not less than six years from the end of the fiscal year in which the Trust Series was terminated, the first two years in an easily accessible place. The records will be available for inspection at the Trustee's main office during the Trustee's usual business hours, by unitholders and by the SEC or its staff.

For the SEC, by the Division of Investment Management, under delegated authority.

**Margaret H. McFarland,**

*Deputy Secretary.*

[FR Doc. 98-4857 Filed 2-25-98; 8:45 am]

BILLING CODE 8010-01-M

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-39681; International Series Release No. 1120]

### List of Foreign Issuers Which Have Submitted Information Under the Exemption Relating to Certain Foreign Securities

February 19, 1998.

Foreign private issuers with total assets in excess of \$10,000,000 and a class of equity securities held of record by 500 or more persons, of which 300 or more reside in the United States, are subject to registration under Section

12(g) of the Securities Exchange Act of 1934<sup>1</sup> (the "Act").<sup>2</sup>

Rule 12g3-2(b)<sup>3</sup> provides an exemption from registration under Section 12(g) of the Act with respect to a foreign private issuer that submits to the Commission, on a current basis, the material required by the Rule. The informational requirements are designed to give investors access to certain information so they have the opportunity to inform themselves about the issuer. The Rule requires the issuer to provide the Commission with information that it has: (1) Made or is required to make public pursuant to the law of the country of its domicile or in which it is incorporated or organized; (2) filed or is required to file with a stock exchange on which its securities are traded and that was made public by such exchange; and/or, (3) distributed or is required to distribute to its securities holders.

On October 6, 1983, the Commission revised Rule 12g3-2(b) by terminating the availability of the exemptive rule for certain foreign issuers with securities quoted on an automated inter-dealer quotation system—including the Nasdaq stock market.<sup>4</sup> The Commission grandfathered indefinitely securities of non-Canadian issuers that were in compliance with the Rule as of October 6, 1983 and quoted on Nasdaq on that date.<sup>5</sup>

When the Commission adopted Rule 12g3-2(b) and other rules<sup>6</sup> relating to foreign securities, it indicated that from time to time it would publish lists showing those foreign issuers that have claimed exemptions from the registration provisions of Section 12(g) of the Act.<sup>7</sup> The purpose of this release is to call to the attention of brokers, dealers and investors, that some form of relatively current information concerning the issuers included in this list is available in the Commission's

<sup>1</sup> 15 U.S.C. 78a et seq.

<sup>2</sup> Foreign issuers may also be subject to such requirements of the Act by reason of having securities registered and listed on a national securities exchange in the United States, and may be subject to the reporting requirements of the Act by reason of having registered securities under the Securities Act of 1933, 15 U.S.C. 77a et seq.

<sup>3</sup> 17 CFR 240.12g3-2(b).

<sup>4</sup> Exchange Act Release No. 20264 (Oct. 6, 1983).

<sup>5</sup> If, however, the securities are delisted from an automated inter-dealer quotation system or if the issuer fails to meet the requirements of the Rule, the grandfather provision will cease to apply. In addition, effective April 1, 1998, the securities of foreign private issuers that claim the Rule 12g3-2(b) exemption will no longer be able to be quoted on the OTC Bulletin Board Service. See Exchange Act Release No. 38456 (March 31, 1997).

<sup>6</sup> Exchange Act Release No. 8066 (Apr. 28, 1967).

<sup>7</sup> Exchange Act Release No. 38235 (Feb. 4, 1997) was the last such list.

public files.<sup>8</sup> The Commission also wishes to bring to the attention of brokers, dealers, and investors the fact that current information concerning foreign issuers may not necessarily be available in the United States.<sup>9</sup> The Commission continues to expect that brokers and dealers will consider this fact in connection with their obligations under the federal securities laws to have

a reasonable basis for recommending those securities to their customers.<sup>10</sup>

Direct any questions regarding Rule 12g3-2 or the list of issuers in this release to Rani Doyle, Office of International Corporate Finance, Division of Corporation Finance, Securities and Exchange Commission, Washington, D.C. 20549, ((202) 942-2990). This release is available on the Commission's Web site: www.sec.gov.

Requests for copies may also be directed to the Public Reference Room, Securities and Exchange Commission, Washington, D.C. 20549 ((202) 942-8090).

For the Commission, by the Division of Corporation Finance, pursuant to delegated authority.

**Margaret H. McFarland,**  
Deputy Secretary.

Issuer	Country	File No.
A&B Geoscience Corp .....	Canada .....	82-4254
A.C.T. Industrial Corp .....	Canada .....	82-1071
AAPC Ltd .....	Australia .....	82-3688
AAXIS Ltd .....	Canada .....	82-1278
ABB AG .....	Switzerland .....	82-2871
ACOM Co. Ltd .....	Japan .....	82-4121
AGC Americas Gold Corp .....	Canada .....	82-2622
AIC International Resources Corp .....	Canada .....	82-1911
AME Resource Capital Corp .....	Canada .....	82-3435
AO Mosenergo .....	Russia .....	82-4475
AO TD GUM .....	Russia .....	82-4132
AO Tatneft .....	Russia .....	82-4226
APAC Telecommunications Corp .....	Canada .....	82-4157
ATOS .....	France .....	82-4323
AUR Resources Inc .....	Canada .....	82-4624
AVL Information Systems Inc .....	Canada .....	82-4010
Abitibi Mining Corp .....	Canada .....	82-4321
Aboitiz Equity Ventures .....	Phillipines .....	82-4650
Accor SA .....	France .....	82-4672
Adamas Resources Corp .....	Canada .....	82-4355
Adex Mining Corp .....	Canada .....	82-2796
AdvantEdge International Inc .....	Canada .....	82-4658
Agen Ltd .....	Australia .....	82-2330
Aggreko PLC .....	Scotland .....	82-4659
Ainsworth Lumber Co. Ltd .....	Canada .....	82-4608
Airgen Corporation .....	Canada .....	82-4731
Alantra Venture Corp .....	Canada .....	82-3307
Albert Fisher Group PLC .....	United Kingdom .....	82-1020
All Nippon Airways Co. Ltd .....	Japan .....	82-1569
Allied Colloids Group plc .....	United Kingdom .....	82-4038
Allied Domecq plc .....	United Kingdom .....	82-878
Alpargatas, S.A.I.C .....	Argentina .....	82-3122
Alpha Airports Group PLC .....	United Kingdom .....	82-3694
Altai Resources, Inc .....	Canada .....	82-2950
Altair International Inc .....	Canada .....	82-1770
AmSteel Corp Berhad .....	Malaysia .....	82-3318
Amalgamated Banks of South Africa Ltd .....	South Africa .....	82-4569
Amera Industries Corp .....	Canada .....	82-3263
American Comstock Exploration Ltd .....	Canada .....	82-3283
American Manor Corp .....	Canada .....	82-4158
American Mineral Fields Inc .....	Canada .....	82-1840
Amoy Properties Ltd .....	Hong Kong .....	82-3410
Anderson Exploration Ltd .....	Canada .....	82-4169
Andhra Valley Power Supply Co Ltd .....	India .....	82-3732
Angkasa Marketing Berhad .....	Malaysia .....	82-3319
Anglo American Corp. of South Africa Ltd .....	South Africa .....	82-97
Anglo American Gold Investment Co. Ltd .....	South Africa .....	82-146
Annova Business Group Inc .....	Canada .....	82-2384
Antares Mining and Exploration Corp .....	Canada .....	82-3858
Anthian Resources Corp .....	Canada .....	82-4096
Apasco SA de CV .....	Mexico .....	82-3103
Applied High Technology AHT Corp .....	Canada .....	82-4562
Applied Inventions Management Inc .....	Canada .....	82-3763
Aqua Pure Ventures Inc .....	Canada .....	82-4623

<sup>8</sup>Inclusion of an issuer on the list in this release is not an affirmation by the Commission that the issuer has complied or is complying with all the conditions of Rule 12g3-2(b). The list does identify those issuers that have both claimed the exemption and have submitted relatively current information to the Commission as of February 11, 1997.

<sup>9</sup>Paragraph (a)(4) of Rule 15c2-11 [17 CFR 240.15c2-11] requires a broker-dealer initiating a quotation for securities of a foreign private issuer to review, maintain in its files, and make reasonably available upon request, the information furnished to the Commission pursuant to Rule 12g3-2(b) since the beginning of the issuer's last fiscal year.

<sup>10</sup>See, e.g., *Hanley v. SEC*, 415 F.2d 589 (2d Cir. 1969) (broker-dealer cannot recommend a security unless an adequate and reasonable basis exists for such recommendation).

Issuer	Country	File No.
Aquaterre Mineral Development Ltd .....	Canada .....	82-3945
Archon Minerals Ltd .....	Canada .....	82-4171
Argenta Systems Inc .....	Canada .....	82-1320
Arisawa Manufacturing Co .....	Japan .....	82-4620
Arisco Produtos Alimenticos SA .....	Brazil .....	82-4651
Arjo Wiggins Appleton PLC .....	United Kingdom .....	82-4185
Arkona Resources Inc .....	Canada .....	82-711
Armada Gold Corp .....	Canada .....	82-3965
Arvind Mills Ltd .....	India .....	82-3708
Asia Fiber PLC .....	Thailand .....	82-2842
Assurances Generales de France .....	France .....	82-4517
Astra Compania Argentina de Petroleo SA .....	Argentina .....	82-3930
Astris Energi Inc .....	Canada .....	82-4325
Athabaska Gold Resources Ltd .....	Canada .....	82-1906
Atna Resources Ltd .....	Canada .....	82-1556
Augen Capital Corp .....	Canada .....	82-4712
Augusta Resource Corp .....	Canada .....	82-3529
Auridiann Consolidated NL .....	Australia .....	82-3452
Australian National Industries Ltd .....	Australia .....	82-3351
Australian Oil & Gas Corp Ltd .....	Canada .....	82-4576
Austria Tabak AG .....	Austria .....	82-4715
Autoliv AB .....	Sweden .....	82-3810
Autumn Industries Inc .....	Canada .....	82-3219
Avalon Ventures Ltd .....	Canada .....	82-4427
Avmin Limited .....	South Africa .....	82-4519
Aztek Technologies Inc .....	Canada .....	82-1474
BAT Industries .....	United Kingdom .....	82-33
BYG Natural Resources Inc .....	Canada .....	82-2038
BASM Resources Corp .....	Canada .....	82-4667
BC Gas Inc .....	Canada .....	82-3909
BCS Technology Inc .....	Canada .....	82-4558
BHF Bank AG .....	Germany .....	82-3404
BT Industries AB .....	Sweden .....	82-4212
BTR PLC .....	United Kingdom .....	82-898
BWI Resources Ltd .....	Canada .....	82-2914
Banca Commerciale Italiana .....	Italy .....	82-3707
Banca Popolare di Brescia SA .....	Italy .....	82-4662
Banco La Previsora SA .....	Ecuador .....	82-4133
Banco Mercantil SA .....	Bolivia .....	82-4296
Banco Santander Mexicano .....	Mexico .....	82-3508
Banco Venezolano de Credito SACA .....	Venezuela .....	82-4422
Bandai Co. Ltd .....	Japan .....	82-3919
Bank Handlowy w Warszawie SA .....	Poland .....	82-4613
Bank Vozrozhdeniye .....	Russia .....	82-4257
Bank of East Asia Ltd .....	Hong Kong .....	82-3443
Bank of Nova Scotia .....	Canada .....	82-132
Bank of Scotland .....	United Kingdom .....	82-3240
BankInter SA .....	Spain .....	82-2972
Bayerische Hypotheken-und Wechsel-Bank .....	Germany .....	82-3777
Beatrix Mines Ltd .....	South Africa .....	82-1054
Bellevue Capital Corp .....	Canada .....	82-4687
Benz Energy Ltd .....	Canada .....	82-2491
Berjaya Group Berhad .....	Malaysia .....	82-2677
Berjaya Industrial Berhad .....	Malaysia .....	82-2580
Berkshire Int'l Mining Ltd .....	Canada .....	82-1914
Bespak PLC .....	United Kingdom .....	82-3349
Beta Systems Software AG .....	Germany .....	82-4631
Big Valley Resources Inc .....	Canada .....	82-1600
Billiton PLC .....	United Kingdom .....	82-4647
Biota Holdings Ltd .....	Australia .....	82-3570
Blackrock Ventures Inc .....	Canada .....	82-4555
Blackstone Resources Inc .....	Canada .....	82-4520
Blue Circle Industries PLC .....	United Kingdom .....	82-927
Blue Desert Mining Inc .....	Canada .....	82-4386
Blue Power Energy Corp .....	Canada .....	82-2213
Blue Range Resource Corp .....	Canada .....	82-3302
Body Shop International PLC .....	United Kingdom .....	82-3534
Bohler Uddeholm AG .....	Austria .....	82-4089
Boliden Limited .....	Canada .....	82-4707
Bombardier Inc .....	Canada .....	82-3123
Bombril SA .....	Brazil .....	82-3651
Bompreco SA Supermercados do Nordeste .....	Brazil .....	82-4467
Bonton AS .....	Czech Republic .....	82-4684
Borealis Exploration Ltd .....	Canada .....	82-1656

Issuer	Country	File No.
Borneo Gold Corp .....	Canada .....	82-4702
Bracken Mines Ltd .....	South Africa .....	82-219
Braddick Resources Ltd .....	Canada .....	82-4414
Braiden Resources Ltd .....	Canada .....	82-2121
Brandselite International Corp .....	Canada .....	82-4042
Breckenridge Resources Ltd .....	Canada .....	82-1647
Bren Mar Resources Ltd .....	Canada .....	82-2143
Briana Bio-Tech Inc .....	Canada .....	82-3073
Bridgestone Corp .....	Japan .....	82-1264
Bright Star Ventures .....	Canada .....	82-4737
British Aerospace PLC .....	United Kingdom .....	82-3138
British Energy PLC .....	United Kingdom .....	82-4426
Broker Investments Ltd .....	Canada .....	82-4186
Bronx Minerals Inc .....	Ecuador .....	82-966
Burmah Castrol PLC .....	United Kingdom .....	82-5
Burns Philip & Company Ltd .....	Australia .....	82-1565
Burwill Holdings Ltd .....	Bermuda .....	82-4266
C.A. Venezolana de Pulpa y Papel SACA .....	Venezuela .....	82-3202
CAPEX SA .....	Argentina .....	82-3862
CDL Hotels International Ltd .....	Cayman Islands .....	82-3667
CSK Corp .....	Japan .....	82-781
CSL Ltd .....	Australia .....	82-3785
CTM Citras SA .....	Brazil .....	82-3555
CVL Resources Ltd .....	Canada .....	82-1991
Cadre Resources Ltd .....	Canada .....	82-2911
Calais Resources Inc .....	Canada .....	82-3525
Calypso Developments Ltd .....	Canada .....	82-4692
Cambridge Minerals Ltd .....	Canada .....	82-4669
Camelot Resources Ltd .....	Australia .....	82-4550
Campagnie Financiere de Paribas .....	France .....	82-4559
CanBaikal Resources Inc .....	Canada .....	82-4694
Canadian Airlines Corp .....	Canada .....	82-3203
Canadian Hydro Developers Inc .....	Canada .....	82-3347
Canadian Medical Legacy Corp .....	Canada .....	82-4729
Canadian Oil Sands Trust .....	Canada .....	82-4726
Canadian Western Bank .....	Canada .....	82-4478
Caradon PLC .....	United Kingdom .....	82-4542
Carbite Gold Inc .....	Canada .....	82-4305
Cardo AB .....	Sweden .....	82-4020
Caribbean Cement Company .....	Jamaica .....	82-3715
Caribgold Resources Inc .....	Canada .....	82-4104
Carlin Resources Corp .....	Canada .....	82-4111
Carta Resources Ltd .....	Canada .....	82-4553
Casamiro Resources Corp .....	Canada .....	82-1431
Cash Resources Ltd .....	Canada .....	82-4106
Castello Casino Corp .....	Canada .....	82-1918
Castellum AB .....	Sweden .....	82-4683
Castle Rock Exploration Corp .....	Canada .....	82-2472
Catalyst Ventures Corp .....	Canada .....	82-2930
Cathay Pacific Airlines Ltd .....	Hong Kong .....	82-1390
Cathedral Gold Corp .....	Canada .....	82-1990
Celanese Canada Inc .....	Canada .....	82-171
Cementos Lima SA .....	Peru .....	82-3911
Cemex SA de CV .....	Mexico .....	82-2744
Centaur Mining & Exploration Ltd .....	Australia .....	82-4313
Central Costanera SA .....	Argentina .....	82-3868
Central Pacific Minerals NL .....	Australia .....	82-354
Centrica PLC .....	United Kingdom .....	82-4518
Cerveceria Nacional SA .....	Panama .....	82-4704
Ceska Sportelna AS .....	United Kingdom .....	82-4384
Challenger Minerals Ltd .....	Canada .....	82-3666
Champion Gold Resources Inc .....	Canada .....	82-4485
Champion Resources Inc .....	Canada .....	82-4286
Charters Towers Gold Mines NL .....	Australia .....	82-4493
Chauvco Resources Ltd .....	Canada .....	82-3316
Chen Hsong Holding Ltd .....	Bermuda .....	82-3953
Chengdu Telecommunications Cable Co Ltd .....	China .....	82-4573
Cheung Kong (Holdings) Ltd .....	Hong Kong .....	82-4138
China Overseas Land and Investment Ltd .....	Hong Kong .....	82-3987
China Pharmaceutical Enterprise & Inv Co .....	Hong Kong .....	82-4135
China Resources Enterprise Ltd .....	Hong Kong .....	82-4177
China Strategic Holdings Ltd .....	Hong Kong .....	82-3596
Cho Hung Bank .....	Korea .....	82-4506
Christiana Bank OG Kredithasso .....	Norway .....	82-3018

Issuer	Country	File No.
Christies International PLC .....	United Kingdom .....	82-1180
Churchill Resources Ltd .....	Philippines .....	82-3927
Ciba Specialty Chemicals Holding Inc .....	Switzerland .....	82-4541
Cifra SA de CV .....	Mexico .....	82-4609
Circle Energy Inc .....	Canada .....	82-4586
Circumpacific Energy Corp .....	Canada .....	82-3102
Claude Resources Inc .....	Canada .....	82-1742
Clear Creek Resources Ltd .....	Canada .....	82-4690
Climax International Co .....	Bermuda .....	82-4062
Coca-Cola Amatil Ltd .....	Australia .....	82-2994
Colony Pacific Explorations Ltd .....	Canada .....	82-1115
Columbia Yukon Resources Ltd .....	Canada .....	82-4290
Comac Food Group Inc .....	Canada .....	82-2456
Commerzbank AG .....	Germany .....	82-2523
Compagnie Financiere Richemont AG .....	Switzerland .....	82-4102
Companhia Acos Especiais Itabira Acesita .....	Brazil .....	82-3769
Companhia Cervejaria Brahma SA .....	Brazil .....	82-4352
Companhia Energetica Minas Gerais .....	Brazil .....	82-3465
Companhia Energetica de Sao Paulo .....	Brazil .....	82-3691
Companhia Suzano De Papel e Celulose .....	Brazil .....	82-3550
Companhia de Tecidos Norte .....	Brazil .....	82-4714
Companion Building Material (Holding) Ltd .....	Hong Kong .....	82-3982
Compass Group PLC .....	United Kingdom .....	82-4445
Concept Industries PLC .....	Canada .....	82-4003
Concert Industries Ltd .....	Canada .....	82-1003
Consolidated Gold City Mining Corp .....	Canada .....	82-2753
Consolidated Magna Ventures Ltd .....	Canada .....	82-1370
Consolidated Pine Channel Gold Corp .....	Canada .....	82-2583
Consolidated Westview Resources Inc .....	Canada .....	82-2601
Consorcio Hogar SA de CV .....	Mexico .....	82-4604
Consorcio Inversionista Mercantil CIMA .....	Venezuela .....	82-4377
Continental AG .....	Germany .....	82-1357
Continental Precious Minerals Inc .....	Canada .....	82-3358
Copene Petroquimica do Nordeste SA .....	Brazil .....	82-3367
Cora Resources Ltd .....	Canada .....	82-4571
Corner Bay Minerals Inc .....	Canada .....	82-4698
Corporacion Financiera del Valle SA .....	Columbia .....	82-3437
Corriente Resources Inc .....	Canada .....	82-3775
Cream Minerals Ltd .....	Canada .....	82-4739
Credit Communai Holding/Dexia Belguim .....	Belguim .....	82-4606
Credit Lyonnais SA .....	France .....	82-3662
Credit Suisse First Boston .....	Switzerland .....	82-4705
Credit Suisse Group .....	Switzerland .....	82-3477
Crestar Energy Inc .....	Canada .....	82-3641
Cross Lake Minerals Ltd .....	Canada .....	82-2636
Crown Ltd .....	Australia .....	82-4498
Cultor Ltd .....	Finland .....	82-1643
Curion Venture Corp .....	Canada .....	82-3602
Curlew Lake Resources Inc .....	Canada .....	82-1978
DIS Deutscher Industrie .....	Germany .....	82-4716
Da Capo Resources Ltd .....	Canada .....	82-3931
Dah Sing Financial Holdings Ltd .....	Hong Kong .....	82-4272
Dai'ei Inc .....	Japan .....	82-230
Dairy Farm International Holdings Ltd .....	Hong Kong .....	82-2962
Daiwa Associate Holding Ltd .....	Bermuda .....	82-4402
David Jones Ltd .....	Australia .....	82-4230
De Beers Centenary AG .....	Switzerland .....	82-3069
De Beers Consolidated Mines Ltd .....	South Africa .....	82-91
Debenhams PLC .....	United Kingdom .....	82-4747
Debonair Holdings PLC .....	United Kingdom .....	82-4634
Deelkraal Gold Mining Co. Ltd .....	South Africa .....	82-246
Delpet Resources Ltd .....	Canada .....	82-1535
Delphi Group Public Ltd Co. ....	United Kingdom .....	82-4424
Den Danske Bank AG .....	Denmark .....	82-1263
Den Norske Bank AS .....	Norway .....	82-3967
Denstone Resources Ltd .....	Canada .....	82-4680
Deutsche Bank AG .....	Germany .....	82-334
Deutsche Lufthansa AG .....	Germany .....	82-4691
Development Bank of Singapore .....	Singapore .....	82-3172
Dixons Group PLC .....	United Kingdom .....	82-3331
Dofasco Ltd .....	Canada .....	82-3226
Dorel Industries Inc .....	Canada .....	82-2800
Dresdner Bank AG .....	Germany .....	82-229
Driefontein Consolidated Ltd .....	South Africa .....	82-124

Issuer	Country	File No.
Dynamic Ventures Ltd .....	Canada .....	82-4080
ED& F Man Group PLC .....	United Kingdom .....	82-4214
ERG Australia Ltd .....	Australia .....	82-2372
EI Environmental Engineering Concepts Ltd .....	Canada .....	82-1598
EMI Group PLC .....	United Kingdom .....	82-373
ERG SPA .....	Italy .....	82-4745
ERI Ventures Ltd .....	Canada .....	82-4430
East Daggafontein Mines Ltd .....	South Africa .....	82-42
East India Hotels Ltd .....	India .....	82-3921
East Rand Gold & Uranium Co. ....	South Africa .....	82-289
East Rand Proprietary Mines Ltd .....	United Kingdom .....	82-239
East West Resources Corp .....	Canada .....	82-787
Eastman Resources Inc .....	Canada .....	82-4421
Egana International Holdings Ltd .....	Cayman Islands .....	82-4268
Eisai Co Ltd .....	Japan .....	82-4015
Elandsrand Gold Mining Co .....	South Africa .....	82-266
Eldorado Gold Corp .....	Bermuda .....	82-3578
Electrolux do Brasil SA .....	Brazil .....	82-3794
Elektrim SA .....	Poland .....	82-4665
Elevadores Atlas SA .....	Brazil .....	82-4409
Elite Industries Ltd .....	Israel .....	82-2958
Email Limited .....	Australia .....	82-2951
Emperor (China Concepts) Inv. Ltd .....	Bermuda .....	82-3886
Emperor Mines Ltd .....	Australia .....	82-969
Empire Alliance Properties Inc .....	Canada .....	82-2215
Energy Africa Limited .....	South Africa .....	82-4306
Engil Sociedade Gestora de Participacoes .....	Portugal .....	82-4246
Enterra Holdings Ltd .....	Canada .....	82-4335
Essex Resource Corp .....	Canada .....	82-4410
Evander Gold Mines Ltd .....	South Africa .....	82-220
Evergreen Marine Corp Taiwan Ltd .....	China .....	82-4420
Exall Resources Ltd .....	Canada .....	82-3535
Expatriate Resources Ltd .....	Canada .....	82-4603
Exploration Brex Inc .....	Canada .....	82-4269
FH Faulding & Company Ltd .....	Australia .....	82-2882
FCA International Ltd .....	Canada .....	82-1310
FVI Fondo de Valores .....	Venezuela .....	82-4695
Fairfield Minerals Ltd .....	Canada .....	82-1784
Fairyoungh Holdings Ltd .....	Hong Kong .....	82-4236
Falcon Point Resources Ltd .....	Canada .....	82-1713
Falcon Ventures International Corp .....	Canada .....	82-1748
Fancamp Resources Ltd .....	Canada .....	82-3929
Far-Ben SA de CV .....	Mexico .....	82-3600
Fastighets AB Tornet .....	Sweden .....	82-4322
Fedsure Holdings Ltd .....	South Africa .....	82-3839
Fenway Resources Ltd .....	Canada .....	82-2303
Ferreyos SA .....	Peru .....	82-4567
Finance One Public Co Ltd .....	Thailand .....	82-3536
Findore Minerals Inc .....	Canada .....	82-4163
First Australian Resources NL .....	Australia .....	82-3494
First Pacific Co Ltd .....	Hong Kong .....	82-836
First Quantum Minerals .....	Canada .....	82-4461
First Silver Reserve Inc .....	Canada .....	82-3449
Flextech Holdings Ltd .....	Singapore .....	82-4539
Flying Disc Entertainment Inc .....	Canada .....	82-1931
Footmaxx Holdings Inc .....	Canada .....	82-4079
Forbes Medi Tech Inc .....	Canada .....	82-3139
Foreningssparbanken AB .....	Sweden .....	82-4092
Foschini Ltd .....	South Africa .....	82-4044
Founder Resources Inc .....	Canada .....	82-3264
Frankie Dominion International Ltd .....	Bermuda .....	82-3649
Free State Consolidated Gold Mines Ltd .....	South Africa .....	82-44
Frutaron Industries Ltd .....	Israel .....	82-4357
Fuji Bank Ltd .....	Japan .....	82-4492
Fuji Photo Film Co. Ltd .....	Japan .....	82-78
Future Media Technologies Corp .....	Canada .....	82-2406
G. Accion SA de CV .....	Mexico .....	82-4590
GAR Ltd .....	Canada .....	82-3489
GGT Group .....	United Kingdom .....	82-2884
GHP Exploration Corp .....	Canada .....	82-4600
GKN PLC .....	United Kingdom .....	82-1042
GMD Resources Corp .....	Canada .....	82-4071
Gala-Bari International Inc .....	Canada .....	82-2511
GalaVu Entertainment Inc .....	Canada .....	82-4587

Issuer	Country	File No.
Gallery Resources Ltd .....	Canada .....	82-2877
Genbel South Africa Ltd .....	South Africa .....	82-235
Gencor Ltd .....	South Africa .....	82-311
Genetronics Biomedical Ltd .....	Canada .....	82-4060
Geo2 Ltd .....	Australia .....	82-4499
Gerdav SA .....	Brazil .....	82-4663
Gerle Gold Ltd .....	Canada .....	82-1209
Gesham Resources Inc .....	Canada .....	82-3625
Ghana Gold Mines Ltd .....	Australia .....	82-4547
Giordano Holdings Ltd .....	Hong Kong .....	82-3780
Gitenne Exploration Inc .....	Canada .....	82-4170
Glencar Explorations PLC .....	Ireland .....	82-1421
Global Cogenix Industrial Corp .....	Canada .....	82-2990
Global Metals Ltd .....	Canada .....	82-4676
Globex Mining Enterprises Inc .....	Canada .....	82-4025
Glorius Sun Enterprises Ltd .....	Bermuda .....	82-4581
Gold Fields of South Africa Ltd .....	South Africa .....	82-204
Gold Peak Industries (Holdings) Ltd .....	Canada .....	82-3604
Gold Ridge Resources Inc .....	Canada .....	82-1903
Goldcliff Resources Corp .....	Canada .....	82-2748
Golden Hill Mining Corp .....	Canada .....	82-4261
Golden Kootenay Resources Inc .....	Canada .....	82-2546
Golden Peaks Resources Ltd .....	Canada .....	82-3343
Golden Resources Development Int'l Ltd .....	Bermuda .....	82-4026
Golden Thunder Resources Ltd .....	Canada .....	82-1052
Goldhill Industries Inc .....	Canada .....	82-4162
Goldnev Resources Inc .....	Canada .....	82-1080
Goldtex Resources Ltd .....	Canada .....	82-4526
Goodman Fielder Ltd .....	Australia .....	82-2009
Govett Strategic Investment Trust PLC .....	United Kingdom .....	82-287
Gran Cadena de Almacenes Colombianos SA .....	Colombia .....	82-3974
Grand Hotel Holdings Ltd .....	Hong Kong .....	82-3408
GrandVision SA .....	France .....	82-4710
Grande Portage Resources Ltd .....	Canada .....	82-1767
Granges AB .....	Sweden .....	82-4589
Grasim Industries Ltd .....	India .....	82-3322
Great Eagle Holdings Ltd .....	Bermuda .....	82-3940
Greenfields Coal Co. Ltd .....	Australia .....	82-4227
Grupo Financiero GBM Atlantico SA de CV .....	Mexico .....	82-3742
Grupo Continental SA .....	Mexico .....	82-4211
Grupo Financiero Banamex Accival SA de CV .....	Mexico .....	82-3325
Grupo Financiero Bancomer SA de CV .....	Mexico .....	82-3273
Grupo Financiero Bital .....	Mexico .....	82-3548
Grupo Financiero Invermexico SA de CV .....	Mexico .....	82-3447
Grupo Gigante SA de CV .....	Mexico .....	82-3142
Grupo Herdez SA de CV .....	Mexico .....	82-3818
Grupo Mexico SA de CV .....	Mexico .....	82-4582
Grupo Posadas SA de CV .....	Mexico .....	82-3274
Guandong Kelon Electrical Holdings Co .....	China .....	82-4374
Guangdon (Holdings) Limited .....	Hong Kong .....	82-4725
Guangdong Provincial Expressway Dev .....	China .....	82-4570
Guangzhou Investment Co. Ltd .....	Hong Kong .....	82-4247
Guangzhou Shipyard Int'l Co. Ltd .....	China .....	82-4036
Guardian Enterprises Ltd .....	Canada .....	82-857
Guangdong Investments Ltd .....	Hong Kong .....	82-3772
Guyana Gold Fields Inc .....	Canada .....	82-4532
Gwalia Consolidated Ltd .....	Australia .....	82-2126
H.J. Forest Products Inc .....	Canada .....	82-4141
H2O Entertainment Corp .....	Canada .....	82-4607
HB International Holdings Ltd .....	Bermuda .....	82-3949
HSBC Holdings PLC .....	United Kingdom .....	82-683
Hai Sun Hup Group Ltd .....	Singapore .....	82-3575
Hang Lung Development Co. Ltd .....	Hong Kong .....	82-1439
Hang Seng Bank Ltd .....	Hong Kong .....	82-1747
Hannover Ruckversicherunge AG .....	Germany .....	82-4627
Hanny Holdings Ltd .....	Bermuda .....	82-3638
Hansabank Ltd .....	Estonia .....	82-4643
Harbour Petroleum Company Ltd .....	Canada .....	82-3427
Hardman Resources NL .....	Australia .....	82-3472
Harmac Pacific Inc .....	Canada .....	82-4122
Hartstone Group PLC .....	United Kingdom .....	82-3022
Havas SA .....	France .....	82-2879
Henderson Investment Ltd .....	Hong Kong .....	82-3964
Henderson Land Development Co. Ltd .....	Hong Kong .....	82-1561

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Henkel KGAA .....	Germany .....	82-4437
Hera Resources Inc .....	Canada .....	82-3656
Herald Resources Ltd .....	Australia .....	82-4295
Highgrade Ventures Ltd .....	Canada .....	82-2257
Highveld Steel & Vanadium Corp. Ltd .....	South Africa .....	82-596
Hilasal Mexicana SA de CV .....	Mexico .....	82-4743
Hillsdown Holdings PLC .....	United Kingdom .....	82-1407
Hilton Petroleum Ltd .....	Canada .....	82-4709
Hindalco Industries Ltd .....	India .....	82-3428
Hino Motors Ltd .....	Japan .....	82-1388
Hoganas AB .....	Sweden .....	82-3754
Hokuriku Bank Ltd .....	Japan .....	82-1045
Holderbank Financiere Glaris Ltd .....	Switzerland .....	82-4093
Hong Kong & China Gas Co. Ltd .....	Hong Kong .....	82-1543
Hong Kong Daily News Holding Ltd .....	Bermuda .....	82-3887
Hopewell Holdings Ltd .....	Hong Kong .....	82-1547
Hornbach-Baumarkt AG .....	Germany .....	82-3729
Howard Smith Ltd .....	Australia .....	82-4538
Hualing Holdings Ltd .....	Hong Kong .....	82-4195
Hunter Douglas NV .....	Netherlands .....	82-3741
Hyatt Financial Corp. Ltd .....	Canada .....	82-4656
Hysan Development Co .....	Hong Kong .....	82-1617
Hyundai Motor Company .....	Korea .....	82-3423
I.T.C. Ltd .....	India .....	82-3470
I.T.C. Microcomponents Inc .....	Canada .....	82-4508
ICI Australia Ltd .....	Australia .....	82-4625
IDT Holdings (Singapore) Ltd .....	Singapore .....	82-4722
IDT International Limited .....	Bermuda .....	82-4727
IGT Pharmaceutical, Inc .....	Canada .....	82-4098
Image Power Inc .....	Canada .....	82-4641
Image Processing Systems Inc .....	Canada .....	82-4244
Imasco Ltd .....	United Kingdom .....	82-118
Impala Platinum Holdings Ltd .....	South Africa .....	82-359
Imperial Holdings Ltd .....	South Africa .....	82-4087
Imperial Metals Corp .....	Canada .....	82-1032
Imperial Mining NL .....	Australia .....	82-1257
Imperial Tobacco Group .....	United Kingdom .....	82-4440
Inca Pacific Resources Inc .....	Canada .....	82-1665
Insular Explorations Ltd .....	Canada .....	82-1827
Insulpro Industries Inc .....	Canada .....	82-3281
Integrated Media Communications Inc .....	Canada .....	82-2263
Inter West Energy Corp .....	Canada .....	82-4510
Intercontinental Mining Corp .....	Canada .....	82-3058
International Ballatar Resources Inc .....	Canada .....	82-2237
International Bioremediation Services Inc .....	Canada .....	82-3828
International Chargold Resources Ltd .....	Canada .....	82-4385
International Curator Resources Ltd .....	Canada .....	82-1540
International PBX Ventures Ltd .....	Canada .....	82-2635
International Panorama Resource Corp .....	Canada .....	82-1965
International Parkside Products Inc .....	Canada .....	82-2794
International Pipe Ltd .....	Hong Kong .....	82-3850
International Road Dynamics Inc .....	Canada .....	82-3899
International Roraima Gold Corp .....	Canada .....	82-3988
International Telepresence Canada Inc .....	Canada .....	82-4686
International Tower Hill Mines Ltd .....	Canada .....	82-3248
International Training Rinks Corp .....	Canada .....	82-4626
International Wayside Gold Mines Ltd .....	Canada .....	82-1606
International Wex Technologies Inc .....	Canada .....	82-3304
Interpump Group SPA .....	Italy .....	82-4511
Interstar Mining Group Inc .....	Canada .....	82-3759
Iron Carbide Australia Ltd .....	Australia .....	82-1386
Iscor Ltd .....	South Africa .....	82-3826
Istituto Bancario San Paolo .....	Italy .....	82-3265
Ittierre Holding SPA .....	Italy .....	82-4728
J Sainsbury PLC .....	United Kingdom .....	82-913
JD Group Ltd .....	South Africa .....	82-4401
JD Wetherspoon PLC .....	England .....	82-4416
JG Summit Holdings Inc .....	Philippines .....	82-3572
JNR Resources Inc .....	Canada .....	82-4720
JSC Kubanelectrosvyaz .....	Russia .....	82-4721
JSC Nizhegorodsvyasinform .....	Russia .....	82-4642
JSC Petersburg Telephone Network .....	Russia .....	82-4649
JSC Primorsk Shipping Corp .....	Russia .....	82-4717
JSC Samaraenergo .....	Russia .....	82-4708

Issuer	Country	File No.
JSC Silvinit .....	Russia .....	82-4706
JSC Vralsvyasinform .....	Russia .....	82-4545
Jamaica Broilers Group Ltd .....	Jamaica .....	82-3720
Japan Airlines Company Ltd .....	Japan .....	82-122
Japan Telecom Co. .....	Japan .....	82-3943
Japan Tobacco Inc .....	Japan .....	82-4362
Jardine Matheson Holdings Ltd .....	Hong Kong .....	82-2963
Jardine Strategic Holdings Ltd .....	Bermuda .....	82-3085
Jilbey Exploration Ltd .....	Canada .....	82-1629
Jinhui Holdings Co. .....	Hong Kong .....	82-3765
Jinhui Shipping and Transportation Ltd .....	Bermuda .....	82-4054
John Keells Holdings Ltd .....	Sri Lanka .....	82-3854
Johnson Electric Holdings Ltd .....	Canada .....	82-2416
Johnson Matthey Plc .....	United Kingdom .....	82-2272
Joint Stock Co. Buryatzoloto .....	Russia .....	82-4619
Joint Stock Co. Rosneftegazstroy .....	Russia .....	82-4597
Joint Stock Co. Trading House .....	Russia .....	82-4563
Joint Stock Co. Aeroflot Russia Int'l Airlines .....	Russia .....	82-4592
Jordex Resources Inc .....	Canada .....	82-3200
Jot-It Software Corp .....	Canada .....	82-4525
Joutel Resources Ltd .....	Canada .....	82-502
Julius Meinl International AG .....	Austria .....	82-4554
K&M Moebel AG .....	Germany .....	82-4572
K. Wah International Holdings Ltd .....	Bermuda .....	82-3853
KGHM Polska Miedz SA .....	Poland .....	82-4639
Kap Resources Ltd .....	Canada .....	82-2319
Karlshamns AB .....	Sweden .....	82-4601
Kawasaki Heavy Industries Ltd .....	Japan .....	82-4389
Kawasaki Steel Corp .....	Japan .....	82-3389
Kelso Technologies Inc .....	Canada .....	82-2441
Kettle River Resources Ltd .....	Canada .....	82-666
Kidston Gold Mines Ltd .....	Australia .....	82-2351
Kik Tire Technologies Inc .....	Canada .....	82-2367
King George Development Corp .....	Canada .....	82-1446
Kingboard Chemical Holdings Ltd .....	Caymen Islands .....	82-4082
Kingfisher PLC .....	United Kingdom .....	82-968
Kirin Brewery Co. ....	Japan .....	82-188
Klondike Gold Corp .....	Canada .....	82-3017
Kloof Gold Mining Co Ltd .....	South Africa .....	82-205
Kobe Steel Ltd .....	Japan .....	82-3371
Komercki Banka AS .....	Czech Republic .....	82-4154
Koninklijke Wessanen NV .....	Netherlands .....	82-1306
Kookaburra Resources Ltd .....	Canada .....	82-2740
Kookmin Bank .....	Korea .....	82-4447
Krones AG .....	Germany .....	82-3871
Kumagai Gumi (H.K.) Ltd .....	Hong Kong .....	82-4029
LG Electronics Inc .....	Korea .....	82-3857
Ladbroke Group PLC .....	United Kingdom .....	82-1571
Lafarge Coppee .....	France .....	82-3369
Lai Sun Development Ltd .....	Hong Kong .....	82-3878
Lasermedia Communications Corp .....	Canada .....	82-4646
Latas de Aluminos SA .....	Brazil .....	82-4598
Laura Ashley Holdings PLC .....	United Kingdom .....	82-1356
Leader Mining Corp .....	Canada .....	82-2467
Leeward Capital Corp .....	Canada .....	82-3640
Legend Holding Ltd .....	Hong Kong .....	82-3950
Lenzing AG .....	Austria .....	82-3207
Leslie Gold Mines Ltd .....	South Africa .....	82-223
Levlland Energy and Resources Ltd .....	Canada .....	82-3590
Lion Land Berhad .....	Malaysia .....	82-3342
Lloyds Group PLC .....	United Kingdom .....	82-4235
London Electricity PLC .....	United Kingdom .....	82-3037
Lonrho PLC .....	United Kingdom .....	82-191
Louis Dreyfus Citrus SA .....	France .....	82-4505
Lucero Resource Corp. ....	Canada .....	82-1756
Lukoil Co .....	Russia .....	82-4006
MCB Investments Corp .....	Canada .....	82-3512
MCK Mining Corp .....	Canada .....	82-3938
MGI Software Corp .....	Canada .....	82-4666
MIM Holdings Ltd .....	Australia .....	82-173
Madison Enterprises Corp .....	Canada .....	82-4533
Magician Industries Holdings Inc .....	Bermuda .....	82-4358
Mahindra & Mahindra .....	India .....	82-4479
Malbak Ltd .....	South Africa .....	82-3751

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Mandarin Oriental International Ltd .....	Hong Kong .....	82-2955
Mannesmann AG .....	Germany .....	82-4232
Manufacturas De Papel CA .....	Venezuela .....	82-4240
Maple Minerals Inc .....	Canada .....	82-3650
Mar-West Resources Ltd .....	Canada .....	82-4546
Marcopolo SA .....	Brazil .....	82-4310
Marks and Spencer PLC .....	United Kingdom .....	82-1961
Marubeni Corp .....	Japan .....	82-616
Mediaset SPA .....	Italy .....	82-4515
Menatep Bank .....	Russia .....	82-4155
Menora Resources Inc .....	Canada .....	82-4289
Menzies Gold NL .....	Australia .....	82-4536
Meranto Technology Ltd .....	Canada .....	82-4452
Mercury Scheduling Systems Inc .....	Canada .....	82-4531
Merita Ltd .....	Finland .....	82-4365
Metrowerks Inc .....	Canada .....	82-4049
Metsa Serla OY .....	Finland .....	82-3696
Micromedical Industries Ltd .....	Australia .....	82-4630
Mill City Gold Mining Corp .....	Canada .....	82-3076
Minco Mining & Metals Corp .....	Canada .....	82-4160
Minebea Co Ltd .....	Japan .....	82-4551
Minera Rayrock Inc .....	Canada .....	82-3471
Minorco SA .....	Luxembourg .....	82-206
Minto Explorations Ltd .....	Canada .....	82-4119
Mitsubishi Gold Corp .....	Canada .....	82-2682
Mispec Resources Inc .....	Egypt .....	82-4661
Misr International Bank SAF .....	Japan .....	82-4629
Mitsubishi Chemical Corp .....	Japan .....	82-1191
Mitsubishi Corp .....	Japan .....	82-3784
Mitsui Trust & Banking Co Ltd .....	Japan .....	82-4677
Mol Rt .....	Hungary .....	82-4224
Molson Companies Ltd .....	Canada .....	82-2954
Moulin International Holding Ltd .....	Bermuda .....	82-3970
Mount Burgess Gold Mining Co NL .....	Australia .....	82-1235
Mount Real Corp .....	Canada .....	82-4689
Mt. Leyshon Gold Mines Ltd .....	Canada .....	82-1753
Multivision Communications Corp .....	Canada .....	82-2260
Mustang Gold Corp .....	Canada .....	82-4724
NCC Mining Corp .....	Canada .....	82-3580
NDU Resources Ltd .....	Canada .....	82-2292
NTS Computer Systems Ltd .....	Canada .....	82-4354
NTT Resources Ltd .....	Canada .....	82-3786
NV Verenigd Bezit VNU .....	Netherlands .....	82-2876
Nadro SA de CV .....	Mexico .....	82-4611
Nampak Ltd .....	South Africa .....	82-3714
Naneco Minerals Ltd .....	Canada .....	82-2618
National Bank of Canada .....	Canada .....	82-3764
National Challenge Systems Inc .....	Canada .....	82-4222
National Grid Holding PLC .....	United Kingdom .....	82-4207
National Mutual Holdings Ltd .....	Australia .....	82-4438
Natsteel Ltd .....	Singapore .....	82-4652
Nedcor Ltd .....	South Africa .....	82-3893
Nestle SA .....	Switzerland .....	82-1252
Net Nanny Software Int'l Inc .....	Canada .....	82-2476
New Oji Paper Co Ltd .....	Japan .....	82-4112
New World Developments Co .....	Hong Kong .....	82-2971
New World Infrastructure Ltd .....	Hong Kong .....	82-4218
NewCoast Silver Mines Ltd .....	Canada .....	82-4123
Newport Petroleum Corp .....	Canada .....	82-4557
Newsquest PLC .....	United Kingdom .....	82-4735
Newstar Resources Inc .....	Canada .....	82-4400
Nichiei Go Ltd .....	Japan .....	82-4664
Nissan Motor Co .....	Japan .....	82-207
Nomura Securities Co Ltd .....	Japan .....	82-3872
Nora Exploration Inc .....	Canada .....	82-3329
Norcan Resources Ltd .....	Canada .....	82-3934
Nordbanken AB .....	Sweden .....	82-4184
Normandy Mining Ltd .....	Australia .....	82-1975
Noront Resources Ltd .....	Canada .....	82-2304
North Ltd .....	Australia .....	82-2531
Northern Electric PLC .....	United Kingdom .....	82-3039
Northpoint Resources Ltd .....	Canada .....	82-4645
Northstar Energy Corp .....	Canada .....	82-4577
Novartis AG .....	Switzerland .....	82-4412

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Novawest Resources, Inc .....	Canada .....	82-3822
Novogen Ltd .....	Australia .....	82-4730
Nu-Apex Energy Corp .....	British Columbia .....	82-4425
Nuigini Mining .....	New Guinea .....	82-1230
Nuinsco Resources Ltd .....	Canada .....	82-1846
OJS Kuzbassenergo .....	Russia .....	82-4633
OJSC Tyumentelecom .....	Russia .....	82-4535
OTP Nat'l Savings & Commercial Bank Ltd .....	Hungary .....	82-4685
Ocean Diamond Mining Holdings Ltd .....	South Africa .....	82-4046
Odessa Petroleum Corp .....	Canada .....	82-2353
Okak Bay Resources Ltd .....	Canada .....	82-4584
Oliver Gold Corp .....	Canada .....	82-4537
Olympus Optical Co Ltd .....	Japan .....	82-3326
Omni Resources Inc .....	Canada .....	82-385
Onfem Holdings Ltd .....	Bermuda .....	82-3735
Opawica Explorations .....	Canada .....	82-4509
Orbit Oil and Gas Ltd .....	Canada .....	82-3107
Orient Telecom and Technology Holdings Ltd .....	Bermuda .....	82-3946
Orkla AS .....	Norway .....	82-3998
Osterreichische Elcktrizitatzwirtschafts .....	Austria .....	82-4381
Outokumpu OY .....	Finland .....	82-3680
Oxiteno SA .....	Brazil .....	82-4148
PT Jakarta Int'l Hotels & Dev .....	Indonesia .....	82-4397
PT Hero Supermarket .....	Indonesia .....	82-4566
Pacific Andes Int'l Holdings Ltd .....	Bermuda .....	82-4031
Pacific Falkon Resources Corp .....	Canada .....	82-2204
Pacific Galleon Mining Ltd .....	Canada .....	82-3258
Pacific Rim Mining Corp .....	Canada .....	82-3611
Pacific Vista Industries Inc .....	Canada .....	82-2829
Palmer Resources Ltd .....	Canada .....	82-1882
Pangea Goldfields Inc .....	Canada .....	82-3917
Pannonplast RT .....	Hungary .....	82-4548
Panterra Minerals Inc .....	Canada .....	82-3597
Paramount Ventures & Finance Inc .....	Canada .....	82-2207
Parkcrest Explorations Ltd .....	Canada .....	82-4090
Parkland Industries Ltd .....	Canada .....	82-4644
Paul Y ITC Construction Holdings Ltd .....	Hong Kong .....	82-4217
Paxton Pacific Resource Products Inc .....	Canada .....	82-4614
Pearl Oriental Holdings Ltd .....	Bermuda .....	82-4350
Pearson PLC .....	United Kingdom .....	82-4019
Peartree Software Inc .....	Canada .....	82-4675
Pelorus Navigation Systems Inc .....	Canada .....	82-4393
Pender Capital Corp .....	Canada .....	82-4405
Pentland Industries PLC .....	United Kingdom .....	82-1219
Pepkor Ltd .....	South Africa .....	82-3925
Perdigao SA .....	Brazil .....	82-4628
Perdigao SA Comercio e Industria .....	Brazil .....	82-4431
Peregrine Investments Holdings .....	Hong Kong .....	82-3466
Perez Companc SA .....	Argentina .....	82-3295
Perfect Fry Corp .....	Canada .....	82-1609
Petroleum Brasilerio SA Petrobras .....	Brazil .....	82-4448
Phoenix Canada Oil Co. Ltd .....	Canada .....	82-3936
Pilat Technologies International .....	Israel .....	82-4535
Pioneer International Ltd .....	Australia .....	82-2701
Pittencrief Resources PLC .....	United Kingdom .....	82-3985
Placer Pacific Ltd .....	Australia .....	82-1952
Platexco Inc .....	Canada .....	82-4679
Pokphand C.P. Co. Ltd .....	Bermuda .....	82-3260
Poly-Pacific International .....	Canada .....	82-4596
Polyphalt Inc .....	Canada .....	82-4585
Position Inc .....	Canada .....	82-4536
Power Corp. of Canada .....	Canada .....	82-137
Power Financial Corp .....	Canada .....	82-1716
Premier Group Ltd .....	South Africa .....	82-3892
Premier Oil PLC .....	United Kingdom .....	82-2617
President Enterprises Co .....	Taiwan .....	82-3424
Pricer AB .....	Sweden .....	82-4723
Prime Resources Group Inc .....	Canada .....	82-1503
Princeton Mining Corp .....	Canada .....	82-1243
Prokom Software SA .....	Poland .....	82-4700
Promatek Industries Ltd .....	Canada .....	82-1351
Prosieben Media AG .....	Germany .....	82-4621
Prudential Corporation PLC .....	United Kingdom .....	82-1477
Puma AG Rudolf Dassler Sport .....	Germany .....	82-4369

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Python Oil & Gas .....	Canada .....	82-4703
QNI Limited .....	Australia .....	82-3834
RAO Gazprom .....	Russia .....	82-4670
RAO Unified Energy Systems .....	Russia .....	82-4077
RBS Participacoes SA .....	Brazil .....	82-4338
RBS RV de Florianopolis SA .....	Brazil .....	82-4340
RJK Explorations Ltd .....	Canada .....	82-2629
RWE AG .....	Germany .....	82-4018
Radio Gaucha SA .....	Brazil .....	82-4341
Railtrack Group PLC .....	United Kingdom .....	82-4282
Raindrop Resources Ltd .....	Canada .....	82-4565
Rampton Resource Corp .....	Canada .....	82-4579
Rand Mines Ltd .....	South Africa .....	82-304
Randfontein Estates Gold Mining .....	South Africa .....	82-267
Raptor Capital Corporation .....	Canada .....	82-4599
Rautaruukki Oy .....	Finland .....	82-3981
Rayrock Yellowknife Resources Inc .....	Canada .....	82-378
Raytec Capital Corp .....	Canada .....	82-3553
Redex Gold Inc .....	Canada .....	82-4529
Redwood Energy Ltd .....	Canada .....	82-4349
Regeena Resources Inc .....	Canada .....	82-3560
Rembrandt Group Ltd .....	South Africa .....	82-3760
Renong Berhad .....	Malaysia .....	82-4166
Rentokil Group PLC .....	United Kingdom .....	82-3806
Resorts World Berhad .....	Malaysia .....	82-3229
Rex Diamond Mining Corp .....	Canada .....	82-4719
Rhodia-Ster SA .....	Argentina .....	82-3942
Rich Minerals Corp .....	Canada .....	82-2832
Richland Mines Inc .....	Canada .....	82-3192
Rivera Explorations Inc .....	Canada .....	82-2945
Rock Resources Inc .....	Canada .....	82-4504
Rolls-Royce PLC .....	United Kingdom .....	82-2821
Roly International Holdings Ltd .....	Bermuda .....	82-4364
Root Industries Inc .....	Canada .....	82-4457
Rossi Residencial SA .....	Brazil .....	82-4638
Royal Nedlloyd Group NV .....	Netherlands .....	82-1056
Royaledge Resources Inc .....	Canada .....	82-4388
Roycefield Resources Ltd .....	Canada .....	82-4149
Rustenburg Platinum Holdings Ltd .....	South Africa .....	82-241
SAP AG .....	Germany .....	82-4045
SEAT SPA .....	Italy .....	82-4561
SNG Telecom Inc .....	Canada .....	82-4580
Sadia Concordia SA Industria .....	Brazil .....	82-4678
Sage Group Ltd .....	South Africa .....	82-4241
Sakura Bank Ltd .....	Japan .....	82-3055
Salhus Brandon Gold Corp .....	Canada .....	82-842
Samsung Heavy Industries Co. Ltd .....	Korea .....	82-4091
San Miguel Corp .....	Philippines .....	82-306
Sancor Cooperatives Unidas Ltd .....	Argentina .....	82-4476
Sandvik AB .....	Sweden .....	82-1463
Santos Ltd .....	Australia .....	82-34
Sanwa Bank Ltd .....	Japan .....	82-4711
Sanyo Electric Co. Ltd .....	Japan .....	82-264
Sanyo Securities Co. Ltd .....	Japan .....	82-1857
Sao Paulo Alpargatas SA .....	Brazil .....	82-3692
Sasol Ltd .....	South Africa .....	82-631
Scandinavian Mobility International AS .....	Sweden .....	82-4231
Scottish Hydro-Electric PLC .....	United Kingdom .....	82-3099
Scottish Power PLC .....	United Kingdom .....	82-3100
Sedex Mining Corp .....	Canada .....	82-3587
Sega Enterprises Ltd .....	Japan .....	82-3439
Seine River Resources Inc .....	Canada .....	82-2942
Selecta Group .....	Switzerland .....	82-4594
Senco Sensors Inc .....	Canada .....	82-3711
Senetek PLC .....	United Kingdom .....	82-875
Sennen Resources .....	Canada .....	82-2238
Sentrachem Ltd .....	South Africa .....	82-3914
Servgro International Ltd .....	South Africa .....	82-3898
Sharp Corp .....	Japan .....	82-1116
Shenzen Special Economic Zone Real Estate Group .....	China .....	82-3783
Shinawatra Satellite PLC .....	Thailand .....	82-4527
Shiseido Company Ltd .....	Japan .....	82-3311
Shun Tak Holdings Ltd .....	Hong Kong .....	82-3357
Siam Commercial Bank PLC .....	Thailand .....	82-4345

Issuer	Country	File No.
Sidel .....	France .....	82-4396
Siderar SAIC .....	Argentina .....	82-4328
Siebe PLC .....	United Kingdom .....	82-2142
Sikaman Gold Resources Ltd .....	Canada .....	82-1651
Simsmetal Ltd .....	Australia .....	82-3838
Singapore Telecommunications Ltd .....	Singapore .....	82-3622
Sino Pacific Development Ltd .....	Canada .....	82-1979
Slovenia AS .....	Russia .....	82-3721
Smedvig AS .....	Norway .....	82-3551
Societe Generale .....	France .....	82-3501
Solomon Resources Ltd .....	Canada .....	82-2893
Sons of Gwalia NL .....	Australia .....	82-1039
Soranzo International Spirits Inc .....	Canada .....	82-4408
South African Breweries Ltd .....	South Africa .....	82-303
South African Land & Exploration Co .....	South Africa .....	82-59
South China Morning Post Holdings Ltd .....	Hong Kong .....	82-3327
Southcorp Holdings Ltd .....	Australia .....	82-2692
Southern Pacific Petroleum NL .....	Australia .....	82-353
Southern Water PLC .....	United Kingdom .....	82-2797
Southvaal Holdings Ltd .....	South Africa .....	82-197
Spokane Resources Ltd .....	Canada .....	82-4391
Sportsmate International Inc .....	Canada .....	82-4356
St. Barbara Mines Ltd .....	Australia .....	82-3747
St. Dupont SA .....	France .....	82-4552
St. George Bank Ltd .....	Australia .....	82-3809
St. Jude Resources Ltd .....	Canada .....	82-4014
St. Laurent Paperboard Inc .....	Canada .....	82-3896
Stackpal International Corp .....	Canada .....	82-4264
Stampede Oils Inc .....	Canada .....	82-3605
Star Choice Communications Inc .....	Canada .....	82-4136
Star Telecom International Holding Ltd .....	Bermuda .....	82-3654
Starlight International Holdings Ltd .....	Bermuda .....	82-3594
Starrex Mining Corp .....	Canada .....	82-3755
State Bank of India .....	India .....	82-4524
Stef International Corp .....	Canada .....	82-4070
Stilfontein Gold Mining Co .....	South Africa .....	82-301
Stina Resources Ltd .....	Canada .....	82-2062
Stirrup Creek Gold Ltd .....	Canada .....	82-4464
Stratabound Minerals Corp .....	Canada .....	82-3284
Strathmore Resources Ltd .....	Canada .....	82-2723
Stryker Resources Ltd .....	Canada .....	82-883
Sudamtex de Venezuela SA de CV .....	Venezuela .....	82-3653
Sultan Minerals Inc .....	Canada .....	82-4741
Sumitomo Bank Ltd .....	Japan .....	82-4395
Sumitomo Metal Industries Ltd .....	Japan .....	82-3507
Sumitomo Trust & Banking .....	Japan .....	82-4617
Summit Resources Ltd .....	Canada .....	82-2922
Sun Hung Kai Properties Ltd .....	Hong Kong .....	82-1755
Svedala Industri AB .....	Sweden .....	82-3593
Swire Pacific Ltd .....	Hong Kong .....	82-2184
Synex International Inc .....	Canada .....	82-862
Tabcorp Holdings Ltd .....	Australia .....	82-3841
Tai Cheung Holdings Ltd .....	Bermuda .....	82-3528
Takefuji Corporation .....	Japan .....	82-4622
Tapajos Gold Inc .....	Canada .....	82-4496
Tappit Resources Ltd .....	Canada .....	82-3813
Tata Hydro-Electric Power Supply Co .....	India .....	82-3704
Tata Power Company Ltd .....	India .....	82-3733
Taylor Nelson AGB PLC .....	England .....	82-4668
Technip .....	France .....	82-3959
Techtronic Industries Co. Ltd .....	Hong Kong .....	82-3648
Telebackup Systems Inc .....	Canada .....	82-4701
Telecel Comunicacoes Pessoais .....	Portugal .....	82-4528
Televisao Gaucha SA .....	Brazil .....	82-4339
Television Broadcasts Ltd .....	Hong Kong .....	82-1072
Tenaga Nasional Berhad .....	Malaysia .....	82-3677
Tenke Mining Corp .....	Canada .....	82-2948
Teollisuuden Voima Oy .....	Finland .....	82-2973
Teuton Resources Corp .....	Canada .....	82-1394
Thai Telephone and Telecommunications PLC .....	Thailand .....	82-3744
Theme International Holdings Ltd .....	Bermuda .....	82-4441
Thermal Control Technologies Corp .....	Canada .....	82-4280
Thyssen Avtengesellschaft .....	Germany .....	82-4681
Tiberon Minerals Ltd .....	Canada .....	82-4488

Issuer	Country	File No.
Tofas Turk Otomobil Fabrikasi A.S .....	Turkey .....	82-3699
Tomorrow International Holdings Ltd .....	Bermuda .....	82-4256
Tomra Systems A/S .....	Norway .....	82-3334
Topper Gold Corp .....	Canada .....	82-2694
Toscana Resources Ltd .....	Canada .....	82-4434
Totally Hip Software Inc .....	Canada .....	82-4556
Totem Sciences Inc .....	Canada .....	82-4417
Toyobo Co .....	Japan .....	82-1172
Toyota Motor Co., Ltd .....	Japan .....	82-208
Trans Hex Group Ltd .....	South Africa .....	82-4011
Transportadora de Gas del Norte S.A .....	Argentina .....	82-3845
Tremenco Resources Ltd .....	Canada .....	82-1384
Tri-Vision International Ltd .....	Canada .....	82-4501
Triband Resources Corp .....	Canada .....	82-4574
Trikem S.A .....	Brazil .....	82-4612
Trimin Resources Inc .....	Canada .....	82-1833
Trinity International Holdings PLC .....	United Kingdom .....	82-3043
Trio Gold Corp .....	Canada .....	82-2127
Trivalence Mining Corp .....	Canada .....	82-4688
Troymin Resources Ltd .....	Canada .....	82-3503
Truly International Holdings Ltd .....	Cayman Islands .....	82-3700
Trust Company of Australia Ltd .....	Australia .....	82-1443
Tsingtao Brewery Company Ltd .....	China .....	82-4021
Tung Fong Hung Holdings Ltd .....	Cayman Islands .....	82-4152
Tusk Energy Inc .....	Canada .....	82-3297
Twin Star Minerals Ltd .....	Canada .....	82-4733
Tyler Resources Inc .....	Canada .....	82-3881
UDL Holdings Ltd .....	Hong Kong .....	82-4260
UPM Kymmene Corporation .....	Finland .....	82-4333
USA Video Interactive Corp .....	Canada .....	82-1601
Ungava Minerals Corp .....	Canada .....	82-4436
Union Bank of Switzerland .....	Switzerland .....	82-3804
United Biscuits PLC .....	United Kingdom .....	82-3079
United Film & Video Holdings Ltd .....	Canada .....	82-3859
United Reef Ltd .....	Canada .....	82-4331
Univa Inc .....	Canada .....	82-2570
Universal Gun Loc Industries Ltd .....	Canada .....	82-828
Universal SA .....	Poland .....	82-4502
Unuk Gold Corp .....	Canada .....	82-4653
Upland Global Corp .....	Canada .....	82-4346
Upton Resources Inc .....	Canada .....	82-3290
Usinas Siderurgicas de Minas Gerais SA .....	Brazil .....	82-3902
Vaal Reefs Exploration & Mining Co. Ltd .....	South Africa .....	82-56
Vanguard Petroleum Ltd .....	Australia .....	82-3478
Vannessa Ventures Ltd .....	Canada .....	82-4473
Vantex Oil, Gas & Minerals .....	Canada .....	82-4530
Vasogen Inc .....	Canada .....	82-4372
Vedior NV .....	Netherlands .....	82-4654
Velcro Industries NV .....	Neth. Ant .....	82-145
Ventures Resource Corp .....	Canada .....	82-4575
Vescan Equities Inc .....	Canada .....	82-4516
Vestel Elektronik Sanayi .....	Turkey .....	82-4718
Vetta Ventures Ltd .....	Canada .....	82-4673
Viag Ag .....	Germany .....	82-4343
Viceroy Resource Corp .....	Canada .....	82-1193
Vickers PLC .....	United Kingdom .....	82-1359
Viking Gold Corporation .....	Canada .....	82-4560
Village Roadshow Limited .....	Australia .....	82-4513
Voice-It Solutions Inc .....	Canada .....	82-1743
Volkswagen AG .....	Germany .....	82-2188
Vortex Energy & Minerals Ltd .....	Canada .....	82-3462
Vtech Holdings Ltd .....	Bermuda .....	82-3565
Wace Group PLC .....	United Kingdom .....	82-2369
Waite Dufault Mines Ltd .....	Canada .....	82-4324
War Eagle Mining Co Inc .....	Canada .....	82-2008
Wattachak Public Co Ltd .....	Thailand .....	82-4549
Wayburn Resources Inc .....	Canada .....	82-3740
Wedderburn Securities PLC .....	United Kingdom .....	82-4696
West Rand Consolidated Mines Ltd .....	South Africa .....	82-314
Western Deep Levels Ltd .....	South Africa .....	82-58
Western Pacific Gold Inc .....	Canada .....	82-4521
Westgold Resources NL .....	Australia .....	82-4540
Westley Mines International Inc .....	Canada .....	82-1088
Westpine Metals Ltd .....	Canada .....	82-3116

Issuer	Country	File No.
Wienerberger Baustoffindustrie AG .....	Austria .....	82-4316
Williams Creek Explorations Ltd .....	Canada .....	82-3146
Willow Resources Ltd .....	Canada .....	82-3843
Windarra Minerals Ltd .....	Canada .....	82-561
Wing Tai Holdings Ltd .....	Singapore .....	82-4632
Winkelhaak Mines Ltd .....	South Africa .....	82-221
Wolford AG .....	Austria .....	82-4403
Woolworths Ltd .....	Australia .....	82-3544
Wrightson Ltd .....	New Zealand .....	82-3646
X-Cal Resources Ltd .....	Canada .....	82-1655
Yaletown Entertainment Corp .....	Canada .....	82-4336
Yamaichi Securities Co Ltd .....	Japan .....	82-4697
Yasuda Trust & Banking Co .....	Japan .....	82-4583
Yeebo International Holdings Ltd .....	Bermuda .....	82-3869
Yiu Wing International Holdings Ltd .....	Bermuda .....	82-3655
Yorkshire Electricity Group PLC .....	United Kingdom .....	82-3034
Yorkshire Food Group PLC .....	United Kingdom .....	82-4242
Yukong Ltd .....	Korea .....	82-3901
Yukos .....	Russia .....	82-4209
Zanex N.L. ....	Australia .....	82-932
Zero Hora-Editora Jornalistica SA .....	Brazil .....	82-4337
Zodiac Hurricane Technologies Inc .....	Canada .....	82-1281
Ztest Electronics Inc .....	Canada .....	82-4637
Zurich Insurance Company .....	Switzerland .....	82-4319

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-39671; File No. SR-NASD-98-13]

### Self-Regulatory Organizations; Notice of Filing and Order Granting Accelerated Approval of Proposed Rule Change by the National Association of Securities Dealers, Inc. Relating to the Appointment of Members of the National Adjudicatory Council as Panelists in Appeals of Disciplinary Proceedings

February 17, 1998.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> notice is hereby given that on February 13, 1998, the National Association of Securities Dealers, Inc., through its wholly-owned subsidiary, NASD Regulation, Inc. ("NASD Regulation") filed with the Securities and Exchange Commission ("SEC" or "Commission") the proposed rule change as described in Item I below, which Item has been prepared by NASD Regulation.<sup>2</sup> The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons. For the reasons

discussed below, the Commission is granting accelerated approval of the proposed rule change.

#### I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change

NASD Regulation is filing a proposed rule change to Rule 9120(v) and Rule 9331 of the Rules of the National Association of Securities Dealers, Inc. ("NASD" or "Association") to clarify that current and former members of the National Adjudicatory Council may serve as Panelists on a Subcommittee or an Extended Proceeding Committee in the appeal or review of disciplinary proceedings undertaken pursuant to the Rule 9300 Series of the newly revised Code of Procedure.<sup>3</sup> During the most recent revisions undertaken to conform the Code of Procedure and related rules to the corporate restructuring of the Association, the Association did not specifically permit former members of the National Adjudicatory Council to serve on National Adjudicatory Council subcommittees. To remedy this omission, the Association is proposing to add in Rule 9331 an explicit reference to the members of the National Adjudicatory Council. A conforming change is required in Rule 9120(v). Below is the text of the proposed rule

change; proposed new language is italicized.

\* \* \* \* \*

## 9000. CODE OF PROCEDURE

### 9120. Definitions

(a) through (u)

No change.

(v) "Panelist"

The term "Panelist," as used in the Rule 9200 Series, means a member of a Hearing Panel or Extended Hearing Panel who is not a Hearing Officer. As used in the Rule 9300 Series, the term means a current or former member of the National Adjudicatory Council or a former Director or a former Governor who is appointed to serve on a Subcommittee or an Extended Proceeding Committee.

(w) through (bb)

No change.

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### 9331. Appointment of Subcommittee or Extended Proceeding Committee

#### (a) Appointment by National Adjudicatory Council

No change.

(1) Subcommittee

Except as provided in subparagraph (2), for each disciplinary proceeding appealed or called for review, the National Adjudicatory Council or the Review Subcommittee shall appoint a Subcommittee to participate, subject to Rule 9345, in the appeal or review. A Subcommittee shall be composed of two or more persons who shall be current or former members of the National Adjudicatory Council or former Directors or Governors.

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> Several additional technical amendments are also included in this Notice. Telephone call between Sharon Zackula, Office of General Counsel, NASD Regulation and Mandy S. Cohen, Office of Market Supervision, Commission dated February 13, 1998.

<sup>3</sup> The most recent changes to the Code of Procedure were approved in Securities Exchange Act Release No. 39470 (December 19, 1997), 62 FR 67927 (Dec. 30, 1997) (approving File No. SR-NASD-97-81). See also Securities Exchange Act Release No. 38908 (August 7, 1997), 62 FR 43385 (August 13, 1997) (approving File No. SR-NASD-97-28).