

November 16, 1998. The Depository Trust Company ("DTC") filed with the Securities and Exchange Commission ("Commission"), the proposed rule change as described in Items I, II, and III below, which items have been prepared primarily by DTC. The Commission is publishing this notice to solicit comments from interested persons on the proposed rule change.

### **I. Self-Regulatory Organization's Statement of the Terms of Substance of the Proposed Rule Change**

The proposed rule change establishes the fees charged by DTC for various services provided.

### **II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change**

In its filing with the Commission, DTC included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. DTC has prepared summaries, set forth in sections (A), (B), and (C) below, of the most significant aspects of such statements.<sup>2</sup>

#### **(A) Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change**

The purpose of the proposed rule change is to establish fees for use of DTC's withhold and cancellation feature (known as "hold and bust") of the Direct-Mail-by-the-Depository service. The fees are designed to recover DTC's estimated service costs and will be established under the heading "A. Registered Securities, II. Withdrawals-by-Transfer".

DTC is adding the following to its service fee schedule:

Service	Present fee	Proposed fee
For each assignment initially withheld at request of participant and thereafter directly mailed.	NONE .....	\$.53 per item withheld.
For each assignment canceled at request of participant where assignment was not withheld prior to direct mail.	NONE .....	\$1.49 per item canceled.
For each assignment canceled at request of participant after being withheld at request of participant.	NONE .....	\$1.82 per item canceled.

#### **B. Self-Regulatory Organization's Statement on Burden on Competition**

DTC does not believe that the proposed rule change will impose any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act.

#### **(C) Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received from Members, Participants or Others**

No comments on the proposed rule change were solicited or received.

### **III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action**

The foregoing rule change has become effective pursuant to Section 19(b)(3)(A)(ii)<sup>3</sup> of the Act and pursuant to Rule 19b-4(e)(2)<sup>4</sup> promulgated thereunder because the proposal establishes or changes a due, fee, or other charge imposed by DTC. At any time within sixty days of the filing of such proposed rule change, the Commission may summarily abrogate such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

### **IV. Solicitation of Comments**

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. § 552, will be available for inspection and copying in the Commission's Public Reference Section, 450 Fifth Street, N.W., Washington, D.C. 20549. Copies of such filing also will be available for inspection and copying at the principal office of DTC. All submissions should refer to File No. SR-DTC-98-22 and should be submitted by January 4, 1999.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.<sup>5</sup>

**Margaret H. McFarland,**  
Deputy Secretary.

[FR Doc. 98-32961 Filed 12-10-98; 8:45 am]

**BILLING CODE 8010-01-M**

### **SOCIAL SECURITY ADMINISTRATION**

#### **Information Collection Activities: Proposed Collection Requests and Comment Requests**

This notice lists information collection packages that will require submission to the Office of Management and Budget (OMB), as well as information collection packages submitted to OMB for clearance, in compliance with Pub. L. 104-13 effective October 1, 1995, The Paperwork Reduction Act of 1995.

#### **I. The Information Collection(s) Listed Below Require(s) Extension(s) of the Current OMB Approval(s) or are Proposed New Collection(s)**

1. Disability Report—0960-0573. The information collected on Form SSA-3368-F6 is needed for the determination of disability by the State Disability Determination Services. The information will be used to develop medical evidence and to assess the alleged disability. The respondents are applicants for disability benefits.

*Number of Respondents:* 85,280.

*Frequency of Response:* 1.

*Average Burden Per Response:* 45 minutes.

*Estimated Annual Burden:* 63,960 hours.

2. Vocational Report—0960-0572. The information collected on the SSA-3369-F6 is needed for the determination of disability by the State Disability Determination Services. The respondents are applicants for disability benefits. The information will be used

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> The Commission has modified the text of the summaries prepared by DTC.

<sup>3</sup> 15 U.S.C. 78s(b)(3)(A)(ii).

<sup>4</sup> 17 CFR 240.19b-4(e)(2).

<sup>5</sup> 17 CFR 200.30-3(a)(12).

to document an individual's past work history.

*Number of Respondents:* 42,640.

*Frequency of Response:* 1.

*Average Burden Per Response:* 20 minutes.

*Estimated Annual Burden:* 14,213 hours.

3. Medical History and Disability Report, Disabled Child—0960-0574. The information collected on Form SSA-3820-F4 is needed for the determination of disability by the State Disability Determination Services. The SSA-3820 will be used to obtain various types of information about a child's condition, his/her treating sources and/or other medical sources of evidence. The respondents are applicants for disability benefits.

*Number of Respondents:* 18,720.

*Frequency of Response:* 1.

*Average Burden Per Response:* 20 minutes.

*Estimated Annual Burden:* 6,240 hours.

Written comments and recommendations regarding the information collection(s) should be sent within 60 days from the date of this publication, directly to the SSA Reports Clearance Officer at the following address: Social Security Administration, DCFAM, Attn: Frederick W. Brickenkamp, 6401 Security Blvd., 1-A-21 Operations Bldg., Baltimore, MD 21235.

In addition to your comments on the accuracy of the agency's burden estimate, we are soliciting comments on the need for the information; its practical utility; ways to enhance its quality, utility and clarity; and on ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology.

## II. The Information Collection(s) Listed Below Have Been Submitted to OMB

1. Employer Report of Special Wage Payments—0960-0565. The Social Security Administration (SSA) gathers the information on Form SSA-131 to prevent earnings related overpayments to employees and to avoid erroneous withholding. The respondents are employers who provide special wage payment verification.

*Number of Respondents:* 100,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 20-22 minutes.

*Estimated Annual Burden:* 33,367 hours.

2. Railroad Employment Questionnaire—0960-0078. Form SSA-671 is used by SSA to secure sufficient information to coordinate Social

Security claims processing with the Railroad Retirement Board. The form is completed whenever claimants indicate having been employed in the Railroad Industry. The respondents are retired employees of the Railroad Industry or their dependents.

*Number of Respondents:* 125,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 5 minutes.

*Estimated Annual Burden:* 10,417 hours.

3. Concise Notice Survey—0960-NEW. SSA will conduct a survey of Supplemental Security Income (SSI) awardees to determine customer opinions and preferences on the format and clarity of existing and proposed SSI award notices. The information will be used to determine whether a new concise notice format would improve comprehension of the information thus improving service to SSI customers. The respondents will be a random sample of new SSI awardees.

*Number of respondents:* 1,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 15 minutes.

*Estimated Annual Burden:* 250 hours.

Written comments and recommendations regarding the information collection(s) should be directed within 30 days to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses:

### (OMB)

Office of Management and Budget, OIRA, Attn: Lori Schack, New Executive Office Building, Room 10230, 725 17th St., NW, Washington, DC 20503.

### (SSA)

Social Security Administration, DCFAM, Attn: Frederick W. Brickenkamp, 1-A-21 Operations Bldg., 6401 Security Blvd., Baltimore, MD 21235.

To receive a copy of any of the forms or clearance packages, call the SSA Reports Clearance Officer on (410) 965-4145 or write to him at the address listed above.

Dated: December 3, 1998.

**Frederick W. Brickenkamp,**

*Reports Clearance Officer, Social Security Administration.*

[FR Doc. 98-32804 Filed 12-10-98; 8:45 am]

BILLING CODE 4190-01-P

## DEPARTMENT OF STATE

### Office of the Secretary

[Public Notice 2948]

### Countries Providing Sanctuary to Indicted War Criminals; Determinations: Serbia, et al.

*Subject:* Determination with respect to Countries Providing Sanctuary to Indicted War Criminals.

Pursuant to the authority vested in me by Section 570 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1999, as enacted in Pub. L. 105-277, I hereby determine that Serbia and the Republika Srpska Entity of Bosnia and Herzegovina have failed to take necessary and significant steps to apprehend and transfer to the International Criminal Tribunal for the former Yugoslavia (the "Tribunal") all persons who have been publicly indicted by the Tribunal.

This determination shall be provided to the Congress and published in the **Federal Register**.

Dated: November 30, 1998.

**Madeleine Albright,**  
*Secretary of State.*

[FR Doc. 98-32916 Filed 12-10-98; 8:45 am]

BILLING CODE 4710-10-M

## DEPARTMENT OF STATE

[Public Notice 2942]

### International Joint Commission, Boundary Waters Treaty of 1909

The United States and Canadian federal governments have asked the International Joint Commission (IJC) to further examine the international watershed approach as a mechanism to anticipate and respond to the range of water-related and other environmental challenges that are expected to occur as we enter the 21st century.

In its report to Governments, The IJC and the 21st century, the IJC proposed the international watershed board concept to build on cooperative efforts and successes achieved in the Great Lakes by offering to provide similar opportunities to other major transboundary basins through the establishment of permanent IJC international watershed boards. These boards would provide a much improved mechanism for avoiding and resolving transboundary disputes by building a capacity at the watershed level to anticipate and respond to the full range of water-related and other environmental challenges that may be foreseen for the 21st century.