

# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

[Docket No. FR-4220-N-01]

## Notice of Fiscal Year 1997 Funding for the Section 8 Rental Certificate and Rental Voucher Programs

**AGENCY:** Office of the Assistant Secretary for Public and Indian Housing, HUD.

**ACTION:** Notice of Fiscal Year (FY) 1997 funding for the Section 8 Rental Certificate and Rental Voucher Programs.

**SUMMARY:** This notice provides general information about the Section 8 certificate and voucher program budget authority made available by HUD's FY 1997 Appropriations Act and additional carryover budget authority that is available for use in FY 1997. This notice also describes the application process for making these Section 8 funds available to public housing agencies (HAs), including Indian housing authorities, during FY 1997 (October 1, 1996 to September 30, 1997).

**FOR FURTHER INFORMATION CONTACT:** Gerald J. Benoit, Director, Operations Division, Office of Rental Assistance, Office of Public and Indian Housing, Room 4220, Department of Housing and Urban Development, 451 Seventh Street, S.W., Washington, D.C. 20410-8000, telephone (202) 708-0477. Persons with hearing or speech impairments may call HUD's TTY number (202) 708-4594, or the Federal Information Relay Service at (800) 877-8339. (With the exception of the "800" number, these telephone numbers are not toll-free.)

### SUPPLEMENTARY INFORMATION:

#### Promoting Comprehensive Approaches to Housing and Community Development

HUD is interested in promoting comprehensive, coordinated approaches to housing and community development. Economic development, community development, public housing revitalization, homeownership, assisted housing for special needs populations, supportive services, and welfare-to-work initiatives can work better if linked at the local level. Toward this end, HUD in recent years has developed the Consolidated Planning process designed to help

communities undertake such approaches.

In this spirit, it may be helpful for applicants under this NOFA to be aware of related HUD NOFAs, other than those specifically mentioned above, that have recently been published or are expected to be published in the near future. By reviewing these NOFAs with respect to their program purposes and the eligibility of applicants and activities, applicants may be able to relate the activities proposed for funding under this NOFA to the recent and upcoming NOFAs and to the community's Consolidated Plan.

In addition to today's notice of funding, HUD has recently published four other NOFAs regarding housing revitalization. On April 14, 1997 (62 FR 18242), HUD published in the **Federal Register** the NOFA for the Revitalization of Severely Distressed Public Housing (HOPE VI). On May 1, 1997 (62 FR 23928), HUD published the NOFA for the Comprehensive Improvement Assistance Program. On June 3, 1997, HUD published the Lead-based Paint Hazard Reduction NOFA (62 FR 30380) and the Public Housing Demolition NOFA (62 FR 30402).

To foster comprehensive, coordinated approaches by communities, HUD intends for the remainder of FY 1997 to continue to alert applicants to upcoming and recent NOFAs as each NOFA is published. In addition, a complete schedule of NOFAs to be published during the fiscal year and those already published appears under the HUD Homepage on the Internet, which can be accessed at <http://www.hud.gov/nofas.html>. HUD may consider additional steps on NOFA coordination for FY 1998.

For help in obtaining a copy of your community's Consolidated Plan, please contact the community development office of your municipal government.

#### I. FY 1997 Section 8 Certificate and Voucher Unit Funding Categories and Amounts

This notice provides general information about the Section 8 certificate and voucher program budget authority made available by the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1997 (Pub. L. 104-204, approved September 26, 1996; 110 Stat. 2874,

2882) and additional carryover budget authority that is available for use in fiscal year (FY) 1997. The following are the categories for which Section 8 certificate and voucher funds will be offered during FY 1997 (October 1, 1996 to September 30, 1997): (1) Relocation units for families currently living in public housing (a) for which the HA has submitted a demolition/disposition application, or (b) subject to the distressed public housing conversion requirements of section 202 of the Omnibus Consolidated Rescissions and Appropriations Act of 1996 (Pub. L. 104-134, approved April 26, 1996), hereafter referred to as distressed public housing conversion units; (2) Replacement units in exchange for the recapture of unused public housing development funds (including major reconstruction of obsolete projects (MROP) or comprehensive improvement assistance program (CIAP) funds approved by HUD for conversion to development usage); (3) Replacement units for (a) public housing approved by HUD for demolition/disposition, or (b) distressed public housing conversion units; (4) Units for families currently living in a Section 23 leased housing project with an HA lease (or HAP contract if under the Section 23 housing assistance payments program) expiring during FY 1997; (5) Units for the family unification program; (6) Family self-sufficiency (FSS) service coordinators; (7) Units for the relocation of witnesses involved in law enforcement and criminal prosecution; (8) Units for persons with disabilities in support of designated public housing allocation plans and preferences for nonelderly disabled families in certain project-based Section 8 projects; (9) Units for the mainstream program for persons with disabilities; (10) Units for families currently living in HUD-owned, Section 8 project-based HAP contract termination, Section 8 project-based opt-out, and FHA insured prepayment projects; (11) Counseling to achieve broader housing opportunities; (12) Units for litigation; (13) Certificate and voucher renewals; and (14) Certificate cost amendments. The approximate amount of funds and Section 8 units for each category, identification of the sources for application information, and the application due dates are summarized in the chart below.

Funding category	Approx. funding amount	Approx. No. of units	Application info source	Application due date
1. Public housing relocation .....	Category 1, 2 & 3: \$147.5M (\$77.5M 2-yr carryover BA & \$70M 1-yr HOPE VI BA).	12,400 units .....	This notice .....	3 p.m. (local FO time) August 22, 1997.
2. Public housing development funds recapture exchange.	See funding category 1.	See funding category 1.	This notice .....	3 p.m. (local FO time) August 22, 1997.
3. Public housing replacement .....	See funding category 1.	See funding category 1.	This notice .....	3 p.m. (local FO time) August 22, 1997.
4. Sec. 23 conversions .....	\$2.8M .....	645 units .....	HUD FO .....	n/a.
5. Family unification .....	\$58.8M .....	6,400 units .....	4/18/97 NOFA .....	3 p.m. (local FO time) 6/17/97.
6. FSS coordinators .....	\$15M .....	n/a .....	5/1/97 NOFA .....	3 p.m. (local FO time) 6/2/97.
7. Witness relocation .....	\$2M .....	300 units .....	Notice PIH-96-83(HA) dated 10/11/96.	n/a.
8. Designated public housing alloc plans & Sec. 8 project-based disabled preferences.	\$50M .....	8,400 units .....	4/10/97 NOFA .....	None, unless later estab.
9. Mainstream program for persons with disabilities.	\$48.5M 5-yr BA .....	2,000 units .....	4/10/97 NOFA .....	3 p.m. (local FO time) 6/9/97.
10. HUD-owned, Sec. 8 project-based termin & opt-outs, & prepayment relocation.	\$176M .....	26,800 units .....	HUD FO .....	n/a.
11. Counseling .....	\$12.4M carry-over 2-yr BA.	n/a .....	HUD HQ .....	n/a.
12. Litigation .....	\$30M carryover 2-yr BA.	1,700 units .....	HUD HQ .....	n/a.
13. Renewals .....	\$2.5B .....	n/a .....	HUD FO .....	n/a.
14. Cost amendments .....	\$152.2M .....	n/a .....	HUD FO .....	n/a.

## II. Applications for Funding Category 1 (Public Housing Relocation), Funding Category 2 (Public Housing Development Funds Recapture Exchange), and Funding Category 3 (Public Housing Replacement)

### A. Eligibility for Funding Category 1 (Public Housing Relocation) and Funding Category 3 (Public Housing Replacement)—Prohibition Against Receiving Section 8 Relocation or Replacement Assistance if HA Has Received Other Relocation or Replacement Funds for the Same Unit

To be eligible for funding categories 1 and 3 relocation/replacement assistance, the HA must not have received Section 8 funds, public housing development funds, MROP, vacancy consolidation funds, Revitalization of Severely Distressed Public Housing Grant Program (hereafter referred to as the HOPE VI program) funds, condemnation proceeds, or replacement insurance funds for relocation or replacement housing for the public housing units that are the subject of the application. For example, if an HA received Section 8 funding for relocation of a public housing resident living in a public housing unit to be demolished, the HA is not eligible to receive Section 8 replacement funding for the same unit. Similarly, if an HA received public housing development funds to replace a demolished public housing unit, the HA

is not eligible to receive either Section 8 relocation or replacement funding for the same unit.

Receipt of Section 8 relocation assistance does not affect the eligibility of the HA to receive subsequent HOPE VI replacement construction funding for the same units.

### B. Eligibility for Funding Category 1 (Public Housing Relocation), Funding Category 2 (Public Housing Development Funds Recapture Exchange), and Funding Category 3 (Public Housing Replacement)—Requirement to Submit a Demolition/Disposition Application and Use Section 8 Funding by September 30, 1998

To be eligible for funding categories 1 and 3 relocation/replacement assistance other than the conversion of distressed public housing units: if a demolition/disposition application has not been previously submitted or the application previously submitted was incomplete, the HA must simultaneously submit copies of the initial or amended demolition/disposition application to the HUD field office and the appropriate HUD processing center. The demolition application must be complete and in accordance with 24 CFR part 970. (A demolition/disposition application is not required for public housing developments that meet the statutory requirements for the mandatory

conversion of distressed public housing units, as certified by the HA under the terms of this notice.) To be eligible for funding categories 1, 2, and 3 relocation/replacement assistance, the HA must demonstrate that the Section 8 certificates or vouchers will be issued by September 30, 1998 or provide good cause justification for an exception to this deadline.

### C. Eligibility for Funding Category 1 (Public Housing Relocation), Funding Category 2 (Public Housing Development Funds Recapture Exchange), and Funding Category 3 (Public Housing Replacement)—Ineligibility Due to Civil Rights, Litigation, Inspector General, and Management Review Findings or Actions

HUD will not process applications that fall into any of the following categories:

1. There is a pending civil rights suit against the HA instituted by the Department of Justice, or there is a pending administrative action for civil rights violations instituted by HUD.

2. There has been an adjudication of a civil rights violation in a civil action brought against the HA by a private individual, unless the HA is operating in compliance with a court order or implementing a HUD-approved resident selection and assignment plan or

compliance agreement designed to correct the areas of noncompliance.

3. There are outstanding findings of noncompliance with civil rights statutes, Executive Orders, or regulations, as a result of formal administrative proceedings, or the Secretary has issued a charge against the applicant under the Fair Housing Act, unless the applicant is operating under a conciliation or compliance agreement designed to correct the areas of noncompliance.

4. HUD has denied application processing under Title VI of the Civil Rights Act of 1964 and HUD regulations (24 CFR 1.8) or procedures (HUD Handbook 8040.1), the Attorney General's Guidelines (28 CFR 50.3), or under section 504 of the Rehabilitation Act of 1973 and HUD regulations (24 CFR 8.57).

5. The HA has: (a) Serious unaddressed, outstanding Inspector General audit, Fair Housing and Equal Opportunity monitoring and compliance review, or HUD management review findings for its certificate or voucher programs; (b) serious underutilization of certificates or vouchers or certificates not attributable to the 3-month statutory delay for the reissuance of rental vouchers and certificates; or (c) significant program compliance problems, unless the HA application designates a subcontractor acceptable to HUD to administer the new funding increment on behalf of the HA. The HA's application must include: (i) An agreement by the subcontractor to administer the new funding increment and a statement that outlines the steps the HA is taking to resolve the program findings, or (ii) a proposal for management improvements that the HA will implement to remedy the program findings. Immediately after the publication of this Notice, the HUD field office will notify, in writing, those HAs that are not eligible to apply without a subcontractor acceptable to HUD or a proposal for management improvements acceptable to HUD.

6. HUD determines that any pending litigation described in this section II.C. of this notice may seriously impede the ability of the HA to administer the certificates or vouchers.

**HA Responsibilities:** HAs that receive certificate or voucher funds must affirmatively further fair housing by conducting activities such as explaining the advantages of moving to an area that does not have a high concentration of poor families if the family is currently living in a high poverty census tract in the HA's jurisdiction.

*D. Application Deadline for Funding Category 1 (Public Housing Relocation), Funding Category 2 (Public Housing Development Funds Recapture Exchange), and Funding Category 3 (Public Housing Replacement)*

Section 8 applications for public housing relocation and replacement funding categories 1 through 3 must be submitted to the HUD field office on or before 3 p.m. (local field office time) on August 22, 1997.

*E. Application Content for Funding Category 1 (Public Housing Relocation), Funding Category 2 (Public Housing Development Funds Recapture Exchange), and Funding Category 3 (Public Housing Replacement)*

**1. Application Requirements for all Funding Category 1 (Relocation) and Funding Category 3 (Replacement) Applications**

A Section 8 application for funding categories 1 and 3 must include: (a) Form HUD-52515, Funding Application for Section 8 Tenant-Based Assistance dated 9/95; (b) identification of each public housing development by name, project number, and the number of units by development being demolished, disposed, or subject to the distressed public housing conversion requirements; (c) the time schedule for the issuance of certificates/vouchers and relocation of the public housing tenants, or the public housing demolition or disposition and the issuance of certificates/vouchers; (d) except for distressed public housing conversion applications, the submittal date of the HA's demolition/disposition application and the actual or anticipated date of approval of the HA demolition/disposition request; (e) a statement indicating whether Section 8 funding for relocation or replacement was previously provided for the public housing units for which the HA is submitting an application; (f) identification of any funds committed to the development that could be used for hard replacement of the units; and (g) a statement indicating whether there is any, and the amount of, Section 8 funding previously provided by HUD to the HA for relocation or replacement of any public housing development that is no longer needed.

**2. Requirements for Funding Category 2 Public Housing Development Funds Recapture Exchange**

A Section 8 application for funding category 2 must include: (1) Form HUD-52515, Funding Application for Section 8 Tenant-Based Assistance dated 9/95; (2) identification of each public housing

development from which development funds will be recaptured by name, project number, the number of units and the date that HUD approved the funds (the HUD field office can assist the HA in determining this date); (3) the status of development fund usage; (4) an HA Executive Director agreement that the remaining development funds may be recaptured by HUD if Section 8 replacement units are provided; and (5) the time schedule for the issuance of certificates/vouchers.

**3. Additional Application Requirements for Funding Category 1 (Relocation) Applications**

For relocation applications, the following information is also required: an assessment of the availability of vacant public housing units which could be used for relocation, and the extent to which the HA will use public housing vacancies and Section 8 certificates and vouchers for relocation.

**4. Additional Application Requirements for Funding Category 3 (Replacement) Applications**

For replacement applications, the following information is also required: a statement indicating whether the public housing units were occupied or vacant at the time of the demolition/disposition approval or the date of the certification that the development meets the statutory requirement for distressed public housing conversion (see discussion on conversion applications below).

**5. Additional Application Requirements for Funding Category 1 and Funding Category 3 Distressed Public Housing Conversion Applications**

For conversion-related applications only, the following information is also required: (a) A dated HA Executive Director certification that the Section 8 units are being requested for distressed public housing units that the HA has determined are subject to the conversion requirements of section 202 of the Omnibus Consolidated Rescissions and Appropriations Act of 1996; and (b) an HA Executive Director certification that the distressed public housing units that are the subject of the replacement housing application will be replaced with Section 8 certificate or voucher assistance and will not be rehabilitated and reoccupied as public housing or replaced with new or acquired public housing units.

#### 6. Additional Application Requirements for Funding Category 1 and Funding Category 2 Applications When the HA Has a Pending HOPE VI Proposal

For applications related to pending (submitted but not yet funded) HOPE VI proposals only, the following information is also required: A statement indicating whether the demolition, disposition, or conversion plans are contingent upon receipt of HOPE VI funds (i.e., whether these plans will proceed if the HOPE VI program application is not funded).

#### *F. HUD Approval Process for Funding Category 1 (Public Housing Relocation), Funding Category 2 (Public Housing Development Funds Recapture Exchange), and Funding Category 3 (Public Housing Replacement)*

##### 1. HUD Field Office Review Actions

Immediately after publication of this notice, the HUD field office must notify any PHA that is ineligible to apply for certificates or vouchers unless there is an agreement by a subcontractor acceptable to HUD to administer the funding increment or a proposal for management improvements acceptable to HUD. The HUD field office must complete a Section 8 fund reservation worksheet for each Section 8 application received, and forward each worksheet to the Operations Division in the Office of Rental Assistance in Headquarters with a cover memorandum indicating the status of the HA demolition/disposition request or distressed public housing conversion; a target date for completion of the HUD processing center review of any demolition/disposition request and whether the application is complete, both as confirmed by contacting Ainars Rodins at (212) 264-1945; an assessment of the reasonableness of the HA time schedule for issuing certificates/vouchers and whether it is likely that certificates or vouchers will be issued by September 30, 1998; an assessment of the reasonableness of the HA justification of the need for Section 8 relocation resources and whether the description of public housing vacancies which could be used as relocation resources is accurate; and an assessment of the accuracy or reasonableness of any

other information submitted by the HA. No further HUD field office review of the Section 8 application is necessary except for initiating the Section 213 local government comment process for funding categories 2 and 3 public housing replacement applications. (Section 213 reviews are not required for funding category 1 public housing relocation applications.)

##### 2. HUD Headquarters Funding Actions

HUD headquarters will award the Section 8 funds to HAs for category 1 applications until all category 1 applications are funded, or all the funds are awarded. If there are insufficient Section 8 funds to approve all category 1 applications, funding preference will first be given to relocation applications with the earliest certificate/voucher issuance date that HUD determines the HA is likely to achieve.

If any funds are remaining after the category 1 fund awards are decided, HUD will award funds for category 2 applications until all category 2 applications are funded or all the funds are awarded. If there are insufficient Section 8 funds to approve all category 2 applications, funding preference will first be given to applications with the earliest date of public housing development fund approval and, second, to applications with the earliest certificate/voucher issuance date that HUD determines the HA is likely to achieve.

If any funds are remaining after the category 2 fund awards are decided, HUD will award funds for category 3 applications until all category 3 applications are funded, or all the funds are awarded. If there are insufficient Section 8 funds to approve all category 3 applications, funding preference will be given first to applications for replacement units for public housing occupied at the time of the demolition/disposition approval or the date of the certification that the public housing development in question meets the statutory distressed public housing conversion requirements, and second to applications with the earliest certificate/voucher issuance date that HUD determines the HA is likely to achieve and HA need as determined by HUD.

If any funds targeted for funding categories 1, 2, and 3 are remaining after

the category 3 fund awards are decided, HUD will determine whether to invite additional Section 8 applications (e.g., for HOPE VI or distressed public housing conversion relocation/replacement needs) or award the excess funds for other HOPE VI program purposes. HUD does not intend to award any remaining category 1, 2, or 3 funds for funding categories 4 through 14 purposes.

##### 3. Rejection or Partial Funding of Applications

HUD may reject an HA Section 8 application if: (a) The application is incomplete; (b) the application does not meet the category eligibility criteria specified in this Notice or is otherwise deficient; or (c) HOPE VI funds were not approved and the HA has indicated that the demolition, disposition, or conversion plans are contingent upon receipt of HOPE VI funds. HUD may reject or partially fund an HA Section 8 application if HUD determines that the HA will not be able to provide the Section 8 rental assistance to the affected public housing residents in a timely manner, funds were committed for hard replacement of the units, the HA has Section 8 funding previously provided to the HA for public housing relocation/replacement that is no longer needed, or the HA otherwise does not need the additional funds (e.g., the HA has vacant public housing units suitable for relocation). If Section 8 funding previously reserved for relocation or replacement is no longer needed or will not be used in a timely manner, the FY 1997 Section 8 funding requests may be offset by such amount.

##### 4. Early Approval of Funding Category 1 Relocation Applications

HUD may approve a funding category 1 relocation application prior to making all funding categories 1, 2, and 3 funding awards when there is a compelling reason to provide the relocation units quickly.

#### *Summary of Funding Categories 1, 2, and 3 Application Requirements*

The funding categories 1, 2, and 3 application requirements are summarized in the chart below.

Funding category	Eligibility threshold summary	Application content checklist
1. Public housing relocation	HA cannot have previously received relocation or replacement \$ for same units; except for distressed conversions, must have submitted a complete demo/dispo applic; must demonstrate that C/V will be issued by 9/30/98; unless meet any exception criteria, must not have civil rights, litigation, IG, & mgt review findings described in this Notice.	Form HUD-52515; ID proj name, #, & # units; C/V issuance, demo/dispo, & relocation schedule; demo/dispo applic dates & approval letter; statement re: prior Sec. 8 funding for same units; ID prior replacement \$; ID prior unneeded Sec. 8 \$; statement re: occupancy status when demo/dispo approved or distressed conversion certif submitted;

Funding category	Eligibility threshold summary	Application content checklist
2. Public housing development funds recapture exchange.	Must demonstrate that C/V will be issued by 9/30/98; unless meet any exception criteria, must not have civil rights, litigation, IG, & mgt review findings described in this Notice.	ID PH relocation resources; certif that units meet statutory distressed conversion requirements & certif that units will not be reoccupied or replaced with new public housing; HOPE VI statement. Form HUD-52515; ID proj name, proj #, # units & date \$ approved; PH dev \$ usage status; recapture agreement; C/V issuance schedule.
3. Public housing replacement.	HA cannot have previously received reloc or replacement \$ for same units; except for distressed conver, must have submitted a complete demo/dispo applic; must demonstrate that C/V will be issued by 9/30/98; unless meet any exception criteria, must not have civil rights, litigation, IG, & mgt review findings described in this Notice.	Form HUD-52515; ID proj name, #, & # units; C/V issuance, demo/dispo, & relocation schedule; demo/dispo applic dates & approval letter; statement re: prior Sec. 8 funding for same units; ID prior replacement \$; ID prior unneeded Sec. 8 \$; statement re occupancy status when demo/dispo approved or distressed conversion certif submitted; Certif that units meet statutory distressed conversion requirements & certif that units will not be reoccupied or replaced with new public housing; HOPE VI statement.

### III. Applications for Funding Category 4, Section 23 Conversions

Headquarters will allocate certificate funds directly to the HUD field offices to assist tenants of Section 23 leased housing for which leases (or HAP contracts, if under the Section 23 housing assistance payments program) are expiring during FY 1997. An HA that has a Section 23 leased housing project with a lease or HAP contract expiring during FY 1997 should submit a Section 8 application to the HUD field office. Funds under this category will be provided on a first-come, first-served basis.

### IV. Applications for Funding Category 5, Family Unification Program

On April 18, 1997 (62 FR 19208), HUD published a NOFA detailing the application procedures for requesting Section 8 funding for the family unification program. The FY 1997 competition has been extended nationwide.

### V. Applications for Funding Category 6, FSS Service Coordinators

On May 1, 1997 (62 FR 23912), HUD published a NOFA detailing the application procedures for requesting funding for Section 8 Family Self-Sufficiency program coordinators.

### VI. Applications for Funding Category 7, Relocation of Witnesses Involved in Law Enforcement and Criminal Prosecution

HUD will provide funding for vouchers to accommodate requests from law enforcement agencies for relocation assistance to families that have cooperated in efforts to combat crime in public, Indian, and other assisted housing. Notice PIH-96-83(HA), issued October 11, 1996, specifies the process

for obtaining Section 8 funding for witness relocation purposes.

### VII. Applications for Funding Category 8, Designated Public Housing Allocation Plans and Section 8 Project-Based Disabled Preferences

HUD will provide certificates and vouchers to HAs in connection with allocation plans for designated public housing developments, and in connection with certain Section 8 project-based projects. On April 10, 1997 (62 FR 17672), HUD published a NOFA detailing the procedures for requesting Section 8 funding for these purposes.

### VIII. Applications for Funding Category 9, Mainstream Program for Persons With Disabilities

On April 10, 1997 (62 FR 17666), HUD published a NOFA detailing the procedures for requesting funding for general mainstream tenant-based rental assistance for persons with disabilities.

### IX. Applications for Funding Category 10, Relocation of Families Living in HUD-Owned, Section 8 Project-Based Termination, Section 8 Opt-Out, and FHA Insured Prepayment Projects

HUD Headquarters will assign funds for Section 8 tenant-based assistance directly to the HUD field offices for eligible families living in these projects. HUD field office requests to Headquarters for funding under this category will be approved on a first-come, first-served basis.

### X. Funding Category 11, Section 8 Counseling to Achieve Broader Housing Opportunities

HUD Headquarters may provide Section 8 counseling funding to provide special counseling or housing search

assistance to certificate and voucher holders. In addition, HUD may provide a portion of the funding available for Section 8 counseling activities to achieve broader housing opportunities. Housing agencies that are eligible for the special administrative fees for counseling activities will be notified by HUD.

### XI. Applications for Funding Category 12, Litigation

HUD will continue to provide funds for settlement of litigation. When negotiations of the litigation settlement are complete, HUD Headquarters will notify the HUD field offices of the number of vouchers/certificates to be provided to the HA. The HUD field office will invite Section 8 applications from the HAs eligible for these funds.

### XII. Funding Category 13, Section 8 Certificate and Voucher Renewals

HUD Headquarters will allocate funds directly to the HUD field offices for the renewal of certificate and voucher funding increments expiring in FY 1997. Renewal funding will be provided on an "as-needed" basis.

### XIII. Funding Category 14, Section 8 Certificate Cost Amendments

HUD Headquarters will allocate certificate cost amendments within the original 15-year term of the ACCs to provide budget authority increases to HA certificate programs. HUD Headquarters will allocate the funds on an "as needed" basis.

### Paperwork Reduction Act Statement

The information collection requirements contained in this notice have been approved by the Office of Management and Budget (OMB) in accordance with the Paperwork

Reduction Act of 1995 (44 U.S.C. 3501–3520), and assigned OMB control number 2577–0169. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless the collection displays a valid control number.

#### **Environmental Impact**

This Notice provides funding under, and does not alter environmental requirements of, regulations in 24 CFR part 882 subparts A, B, C and F; part 887; and part 982; which have been previously published in the **Federal Register**. This Notice provides funding only for tenant-based assistance, which is a categorical exclusion not subject to the individual compliance requirements of the Federal laws and authorities cited

in § 50.4, and therefore those regulations do not contain environmental review requirements. Accordingly, under 24 CFR § 50.19(c)(5), this notice is categorically excluded from environmental review under the National Environmental Policy Act of 1969 (42 U.S.C. 4321).

#### **Federalism Impact**

The General Counsel, as the Designated Official under section 6(a) of Executive Order 12612, Federalism, has determined that the policies contained in this notice will not have substantial direct effects on States or their political subdivisions, or the relationship between the Federal Government and the States, or on the distribution of power and responsibilities among the

various levels of government. As a result, the notice is not subject to review under the Order. This notice is a funding notice and does not substantially alter the established roles of HUD, the States, and local governments, including HAs.

#### **Catalog of Federal Domestic Assistance**

The Catalog of Federal Domestic Assistance numbers for the certificate and voucher programs are 14.855 and 14.857.

Dated: June 16, 1997.

**Kevin Emanuel Marchman,**

*Acting Assistant Secretary for Public and Indian Housing.*

[FR Doc. 97–16249 Filed 6–20–97; 8:45 am]

BILLING CODE 4210–33–P