

Commission believes that approval of the proposed rule change is consistent with Section 6(b)(5)⁸ of the Act. Pursuant to Section 6(b)(5), the proposed rule change benefits the public,⁹ because it not only allows customers easy and efficient access to account documentation, but also requires an evaluation of systems and procedures to ensure that the privacy of personal information is maintained. In using the Commission's releases as a guide,¹⁰ the Exchange has established a uniform policy concerning electronic delivery of information which should allow members and member organizations to satisfy their delivery obligations under federal securities laws and the Exchange's rules. This uniform policy should simplify compliance by members and member organizations and aid the Exchange in monitoring the same.

For the above reasons, the Commission believes that the proposed rule change is consistent with the provisions of the Act, and in particular with Section 6(b)(5).

It is therefore ordered, pursuant to Section 19(b)(2) of the Act,¹¹ that the proposed rule change (SR-NYSE-97-08) be, and hereby is approved.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.¹²

Margaret H. McFarland,

Deputy Secretary.

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SOCIAL SECURITY ADMINISTRATION

Information Collection Activities: Proposed Collection Requests and Comment Requests

This notice lists information collection packages that will require submission to the Office of Management and Budget (OMB), as well as information collection packages submitted to OMB for clearance, in compliance with Pub.L. 104-13 effective October 1, 1995, The Paperwork Reduction Act of 1995.

⁸ Section 6(b)(5) requires the Commission to determine that a registered national securities exchange's rules are designed to prevent fraudulent acts and practices, to promote just and equitable principles of trade, and, in general, to protect investors and the public interest.

⁹ Pursuant to Section 3(f) of the Act, the Commission has considered the proposed rule's impact on efficiency, competition, and capital formation. 15 U.S.C. § 78c(f) (1996).

¹⁰ See *supra* notes 5 and 6.

¹¹ 15 U.S.C. 78s(b)(2) (1988).

¹² 17 CFR 200.30-3(a)(12).

I. The information collection(s) listed below require(s) extension(s) of the current OMB approval(s) or are proposed new collection(s):

1. *Application for Lump-Sum Death Payment—0960-0013.* The information collected on Form SSA-8-F4 is required to authorize payment of the lump-sum death benefit to a widow, widower, or children as defined in Section 202(i) of the Social Security Act. The respondents are widows, widowers or children who receive lump-sum death benefits.

Number of Respondents: 735,000.

Frequency of Response: 1.

Average Burden Per Response: 10 minutes.

Estimated Annual Burden: 122,500.

2. *Statement Regarding Contributions—0960-0020.* Form SSA-783 collects the information necessary to make a determination of one-half support, or contributions to support, in order to entitle certain child applicants to Social Security benefits. The respondents are children who apply for Social Security benefits.

Number of Respondents: 30,000.

Frequency of Response: 1.

Average Burden Per Response: 15 minutes.

Estimated Annual Burden: 7,500.

3. *Application for Search of Census Record for Proof of Age—0960-0097.* The information collected on Form SSA-1535-U3 is required to provide the Census Bureau with sufficient identifying information, which will allow an accurate search of census records to establish proof of age for an individual applying for Social Security benefits. It is used for individuals who must establish age as a factor of entitlement. The respondents are individuals applying for Social Security Benefits.

Number of Respondents: 18,000.

Frequency of Response: 1.

Average Burden Per Response: 12 minutes.

Estimated Annual Burden: 3,600.

4. *Claimant's Statement About Loan of Food or Shelter, and Statement About Food or Shelter Provided to Another—0960-0529.* The information on Forms SSA-5062 and SSA-L5063 will be used by the Social Security Administration to determine whether food or shelter provided to a recipient of supplemental security income (SSI) payments should be counted as income. The respondents are SSI recipients who receive food or shelter and individuals who provide it to them.

Number of Respondents: 131,080.

Frequency of Response: 1.

Average Burden Per Response: 10 minutes.

Estimated Annual Burden: 21,847.

Written comments and recommendations regarding the information collection(s) should be sent within 60 days from the date of this publication, directly to the SSA Reports Clearance Officer at the following address: Social Security Administration, DCFAM, Attn: Nicholas E. Tagliareni, 6401 Security Blvd., 1-A-21 Operations Bldg., Baltimore, MD 21235.

In addition to your comments on the accuracy of the agency's burden estimate, we are soliciting comments on the need for the information; its practical utility; ways to enhance its quality, utility and clarity; and on ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology.

II. The information collection(s) listed below have been submitted to OMB:

1. *Certification by Religious Group—0960-0093.* The information collected by the Social Security Administration on form SSA-1458 is used to determine if the religious group of which an individual is a member qualifies for a self-employment tax exemption under Section 1402(g) of the Internal Revenue Code. The respondents are spokespersons for religious groups.

Number of Respondents: 180.

Frequency of Response: 1.

Average Burden Per Response: 15 minutes.

Estimated Annual Burden: 45 hours.

2. *RSI/DI Quality Review Case Analysis Questionnaires and Annual Earnings Test Questionnaire—0960-0189.* The information collected on forms SSA-2930, SSA-2931 and SSA-2932 is used by the Social Security Administration to establish a national payment accuracy rate for all cases in payment status and to serve as a source of information regarding problem areas in the Retirement and Survivors Insurance (RSI) program and Disability Insurance programs. The information is also used to measure the accuracy rate for newly adjudicated RSI/DI cases. The information collected on form SSA-4659 is used to evaluate the annual earnings test (AET) process to determine the effectiveness of the AET process. The results will be used to develop ongoing improvements in the process. The respondents are RSI and DI beneficiaries.

	SSA-2930	SSA-2931	SSA-2932	SSA-4659
Number of Respondents	5,500	2,750	1,375	740
Frequency of Response	1	1	1	1
Average Burden Per Response (minutes)	20	30	30	20
Estimated Annual Burden (Hours)	1,833	1,375	688	247

3. *Questionnaire for Children Claiming SSI Benefits—0960-0499.* The information collected on form SSA-3881 is used by the Social Security Administration to evaluate disability in children who apply for supplement security income payments. The respondents are individuals who apply for supplement security income benefits for a disable child.

Number of Respondents: 455,000.

Frequency of Response: 1.

Average Burden Per Response: 20 minutes.

Estimated Annual Burden: 151,667 hours.

4. *Consent for Release of Information—0960-0567.* The information collected on form SSA-3288 is used by the Social Security Administration (SSA) to ensure that an individual consents to the release of his/her personal information to another individual. The respondents are individuals assenting to the disclosure of information from their social security records to someone else.

Number of Respondents: 200,000.

Frequency of response: 1.

Average Burden Per Response: 3 minutes.

Estimated Annual Burden: 10,000 hours.

5. *Request for Self-Employment Information (SSA-2765), Request for Employment Information (SSA-3365), Request for Employer Information (SSA-4002)—0960-0508.* The information is needed by SSA when earnings information reported to the agency is incomplete or incorrect. The information is used to post the reported earnings to the appropriate earnings record. The respondents are employers of the wage earners or employees and self-employed individuals for whom the earnings were reported.

Number of Respondents: 3,000,000.

Frequency of Response: 1.

Average Burden Per Response: 10 minutes.

Estimated Annual Burden: 500,000 hours.

6. *State Vocational Rehabilitation Agency Claim (SSA-199) and Subpart V—Payments for Vocational Rehabilitation Services, 20 CFR Sections 404.2104, 404.2108, 404.2113, 404.2117 404.2121, 416.2204, 416.2208, 416.2213 and 416.2217—0960-0310.* The information collected on form SSA-199 and through these current rules is used by the Social Security Administration to determine if State vocational rehabilitation agencies are providing appropriate services, including referrals when necessary, and whether those claims for services should be paid.

Number of Respondents: 80-100.

Frequency of Response: On occasion.

Average Burden Per Response: Varies from 23 minutes to 4 hours.

Estimated Annual Burden: 8,465 hours.

Written comments and recommendations regarding the information collection(s) should be directed within 30 days to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses:

(OMB)

Office of Management and Budget,
OIRA, Attn: Laura Oliven, New
Executive Office Building, Room
10230, 725 17th St., NW.,
Washington, DC 20503

(SSA)

Social Security Administration,
DCFAM, Attn: Nicholas E.
Tagliareni, 1-A-21 Operations
Bldg., 6401 Security Blvd.,
Baltimore, MD 21235

To receive a copy of any of the forms or clearance packages, call the SSA

Reports Clearance Officer on (410) 965-4125 or write to him at the address listed above.

Dated: June 10, 1997.

Nicholas E. Tagliareni,

Reports Clearance Officer, Social Security Administration.

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BILLING CODE 4190-29-P

SOCIAL SECURITY ADMINISTRATION

Agency Information Collection Activities; Request for Emergency Review by the Office of Management and Budget

The Social Security Administration publishes a list of information collection packages that will require clearance by OMB in compliance with P.L. 104-13 effective October 1, 1995. The Paperwork Reduction Act of 1995. The information collection listed below has been submitted to OMB for emergency clearance. OMB approval has been requested by June 12, 1997:

0960-NEW. The information collected on forms SSA-3368, SSA-3369 and SSA-3820 will be used in the determination of disability by the State Disability Determination Services. The SSA-3368 will be used to develop medical evidence and to assess the alleged disability. The SSA-3369 will be used to collect information about an individual's past work history. The SSA-3820 will be used to obtain various types of information about a child's condition, his/her treating sources and/or other medical sources of evidence. The respondents are applicants for disability benefits.

	SSA-3368	SSA-3369	SSA-3820
Number of Respondents	2,438,496	1,000,000	523,000
Frequency of Response	1	1	1
Average Burden Per Response (minutes)	45	30	20
Estimated Annual Burden (hours)	1,828,872	500,000	174,333

To receive a copy of the form or clearance packages, call the SSA Reports Clearance Officer on (410) 965-4125 or write to him at the address listed below. Written comments and

recommendations regarding the information collection(s) should be directed to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses:

(OMB)

Office of Management and Budget,
OIRA, Attn: Laura Oliven, New
Executive Office Building, Room