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There may be delays in mail delivery and difficulty in reaching the CDC Atlanta offices during the 1996 Summer Olympics. Therefore, CDC suggests applicants use Internet, follow all instructions in this announcement, and leave messages on the contact person's voice mail for more timely responses to any questions.

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Acting Associate Director for Management and Operations, Centers for Disease Control and Prevention (CDC).

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[Announcement 641]

Health Promotion Disease Prevention Research Center for Teen Pregnancy Prevention

Introduction

The Centers for Disease Control and Prevention (CDC) announces the availability of fiscal year (FY) 1996 funds for a cooperative agreement program for a Health Promotion Disease Prevention Research Center (PRC) to address teenage pregnancy prevention. Teen pregnancy is a nationally recognized social problem requiring multifactorial approaches, including behavioral interventions that focus on prevention. The central theme for the PRC will be teenage pregnancy prevention.

CDC is committed to achieving the health promotion and disease prevention objectives of "Healthy People 2000," a national activity to reduce morbidity and mortality and improve the quality of life. This announcement is related to the priority area of Family Planning. (For ordering a copy of "Healthy People 2000," see the section "Where To Obtain Additional Information.")

Authority

This program is authorized under Section 1706 (42 U.S.C. 300u-5), of the Public Health Service Act, as amended.

Smoke-Free Workplace

CDC strongly encourages all grant recipients to provide a smoke-free workplace and promote the nonuse of

all tobacco products, and Public Law 103-227, the Pro-Children Act of 1994, prohibits smoking in certain facilities that receive Federal funds in which education, library, day care, health care, and early childhood development services are provided to children.

Eligible Applicants

Assistance will be provided to an academic health center defined as a school of public health, medicine, or osteopathy that has:

A. Multidisciplinary faculty with expertise in public health and which has working relationships with relevant groups in such fields as medicine, psychology, nursing, social work, education, and business.

B. Graduate training programs relevant to disease prevention.

C. Core faculty in epidemiology, biostatistics, social sciences, behavioral and environmental health sciences, and health administration.

D. Demonstrated curriculum in disease prevention.

E. Capability for residency training in public health or preventive medicine.

Eligible applicants may enter into contracts, including consortia agreements (as described in the PHS Grants Policy Statement), as necessary to meet the essential requirements of this program and to strengthen the overall application.

In Senate Report 50-52, Congress directed CDC to initiate one new prevention center that would "focus on research, demonstration, evaluation, and training, for health and other public sector professionals, and community-based organizations to prevent teen pregnancy." This report further stated that the development and evaluation of successful programs which prevent teen pregnancies is one of the nation's most pressing needs.

Excluded are the University of Washington, Columbia University School, Johns Hopkins University, University of North Carolina at Chapel Hill, University of South Carolina, University of Alabama at Birmingham, the University of Illinois at Chicago, University of Texas Health Science Center at Houston, and the University of California at Berkeley, which were funded under Program Announcement 328: "Health Promotion and Disease Prevention Research Centers Cooperative Agreements"; the University of Oklahoma, the University of New Mexico, and Saint Louis University, which were funded under Program Announcement 432: "Health Promotion and Disease Prevention Research Centers Cooperative Agreements"; and the West Virginia

Health Promotion and Disease Prevention Research Center funded under Program Announcement 461: "West Virginia Health Promotion and Disease Prevention Research Center Cooperative Agreement."

Availability of Funds

Approximately \$375,000 is available in FY 1996 to fund 1 Health Promotion and Disease Prevention Research Center dedicated to teenage pregnancy prevention. It is expected that the award will be made on or about September 30, 1996. The award will be funded for a 12-month budget period within a project period of up to 2 years.

Continuation awards within the project period are made on the basis of satisfactory progress and the availability of funds.

If requested, Federal personnel may be assigned to a project in lieu of a portion of the financial assistance.

The amount of this award may not be adequate to support the PRC activities and other sources of funding may be necessary.

Purpose

The purpose of this award is to support health promotion and disease prevention research that focuses on teen pregnancy prevention.

Program Requirements

The primary goal of the Health Promotion Disease Prevention Research Center Program will be to advance the scientific knowledge base and work with CDC-funded demonstration programs, such as Special Interest Projects (SIPs), to identify and disseminate strategies for teen pregnancy prevention. Lessons learned from these programs will be translated into models for teen pregnancy prevention, advance professional and community education and training so that effective interventions for teenage pregnancy prevention can be more fully integrated into communities.

The Health Promotion Disease Prevention Research Center Program must be interdisciplinary in approach, provide a behavioral science and evaluation focus, educate professionals, and work directly with teen populations through community partnerships.

In conducting activities to achieve the purpose of this program, the recipient will be responsible for the activities under A. (Recipient Activities), and CDC will be responsible for the activities listed under B. (CDC Activities).

A. Recipient Activities

1. Implement and evaluate one or more existing strategies that demonstrate teen pregnancy prevention in a defined community or targeted population.

2. Implement and evaluate a "demonstration project" in teen pregnancy prevention with a State/local health/education department, or community based organization.

3. Establish collaborative activities with appropriate community organizations, national and professional organizations, health and education agencies at the State and local level.

4. Establish an advisory committee to provide input on major program activities. The committee should include a multidisciplinary team comprised of behavioral scientists, a variety of health-care providers, health and education agency officials, voluntary health organizations and consumers including teens.

5. Coordinate and collaborate with other health and human services supported research programs to prevent duplication and enhance overall efforts.

B. CDC Activities

1. Collaborate as appropriate with recipient in all stages of the project.

2. Provide programmatic and technical assistance.

3. Participate in improving program performance through consultation based on information and activities of other projects.

4. Provide scientific collaboration.

5. At the request of the applicant, assign Federal personnel in lieu of a portion of the financial assistance to assist with developing the curriculum, training, or conducting other specific necessary activities.

6. Facilitate the coordination and collaboration of prevention center research with other health and human services supported research programs that address teen pregnancy prevention so that duplication is avoided and overall research efforts and findings are maximized.

Evaluation Criteria

Applications will be reviewed and evaluated through a dual review process. The first review will be a peer evaluation of the scientific and technical merit of the application conducted by the Prevention Centers Grant Review Committee. The second review will be conducted by senior Federal staff, who will consider the results of the first review, national program needs, and relevance to the mission of CDC. Awards will be made

on the basis of priority score rankings by the peer review, recommendations based on program review by senior Federal staff, and the availability of funds.

The Prevention Center Grants Program Objective Review Committee may recommend approval or disapproval based on the intent of the application and the following criteria:

A. Background Section (25 points)

1. The extent to which the applicant understands and identifies the problems related to teenage pregnancy and prevention, assesses the current state of the art in teen pregnancy prevention, identifies gaps in current evaluation and intervention, and professional training needs.

2. The extent to which community(ies) needs that will be served by the PRC are identified and provides supporting documentation comparing these needs with 1 above.

3. The extent to which the applicant demonstrates their capacity and unique resource to decrease the number of teen pregnancies in the community(ies) served by the PRC or to build the capacity of agencies or professionals that serve teenagers.

B. Goals and Objectives (5 points)

The extent to which the overall program plan has clear objectives that are specific, measurable, and realistic, and makes effective use of Prevention Center resources to advance the theme of teenage pregnancy prevention.

C. Specific Project Plans (45 points)

The technical and scientific merits of the proposed projects, the potential to achieve the stated objectives and the extent to which the applicant's plans are consistent with the purpose of the program.

1. Core activities.

2. Demonstration and evaluation projects.

3. Collaborative project with State and local health or education department, or community organizations.

4. Prevention Research Training and training on teen pregnancy prevention.

5. The extent to which findings and results from the PRC's research will be communicated and shared with professional and lay communities.

6. The degree to which the applicant has met the CDC Policy requirements regarding the inclusion of women, ethnic, and racial groups in the proposed research. This includes:

a. The proposed plan for the inclusion of both sexes and racial and ethnic minority populations for appropriate representation.

b. The proposed justification when representation is limited or absent.

c. A statement as to whether the design of the study is adequate to measure differences when warranted.

d. A statement as to whether the plans for recruitment and outreach for study participants include the process of establishing partnerships with community(ies) and recognition of mutual benefits will be documented.

D. Other Activities (5 points)

The extent to which prevention research, developmental and evaluation research, and behavioral science research are integrated into the proposal.

E. Management and Staffing Plan (15 points)

The extent to which the applicant demonstrates the institution's ability and capacity to carry out the overall theme, objectives, and specific project plans.

F. Evaluation Plan (5 points)

The extent to which the overall Prevention Center theme and objectives will be evaluated in regard to progress, efficacy, and cost benefit.

G. Budget (Not Scored)

The extent to which the budget and justification are consistent with the program objectives and purpose. Applicants are strongly urged to include a plan for obtaining additional resources that lead to institutionalization of the Center.

H. Human Subjects (Not Scored)

Whether or not exempt from the Department of Health and Human Services (DHHS) regulations, are procedures adequate for the protection of human subjects? Recommendations on the adequacy of protections include: (1) protections appear adequate and there are no comments to make or concerns to raise, or (2) protections appear adequate, but there are comments regarding the protocol, or (3) protections appear inadequate and the ORG has concerns related to human subjects; or (4) disapproval of the application is recommended because the research risks are sufficiently serious and protection against the risks are inadequate as to make the entire application unacceptable.

I. Review by Senior Federal Staff

Further review will be conducted by senior Federal staff. Factors to be considered will be:

1. Results of the peer review.

2. Program needs and relevance to national goals.

3. Budgetary considerations.

Executive Order 12372 Review

Applications are subject to Intergovernmental Review of Federal Programs as governed by Executive Order (E.O.) 12372. E.O. 12372 sets up a system for State and local government review of proposed Federal assistance applications. Applicants should contact their state Single Point of Contact (SPOC) as early as possible to alert them to the prospective applications and receive any necessary instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC for each affected State. A current list of SPOCs is included in the application kit. If SPOCs have any State process recommendations on applications submitted to CDC, they should send them to Sharron P. Orum, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), 255 East Paces Ferry Road, NE., Atlanta, GA 30305, no later than 45 days after the application deadline date (the appropriation for this financial assistance program was received late in the fiscal year and would not allow for an application receipt date which would accommodate the 60-day State recommendation process period). The Program Announcement Number and Program Title should be referenced on the document. The granting agency does not guarantee to "accommodate or explain" State process recommendations it receives after that date.

Public Health System Reporting Requirements

This program is not subject to the Public Health System Reporting Requirements.

Catalog of Federal Domestic Assistance Number

The Catalog of Federal Domestic Assistance number is 93.135.

Other Requirements

Human Subjects

If the proposed project involves research on human subjects, the applicant must comply with the Department of Health and Human Services Regulations, 45 CFR Part 46, regarding the protection of human subjects. Assurance must be provided to demonstrate that the project will be subject to initial and continuing review by an appropriate institutional review committee. The applicant will be responsible for providing assurance in

accordance with the appropriate guidelines and form provided in the application kit.

Women, Racial, and Ethnic Minorities

It is the policy of the CDC and the Agency for Toxic Substances and Disease Registry (ATSDR) to ensure that individuals of both sexes and the various racial and ethnic groups will be included in CDC/ATSDR-supported research projects involving human subjects, whenever feasible and appropriate. Racial and ethnic groups are those defined in OMB Directive No. 15 and include American Indian, Alaskan Native, Asian, Pacific Islander, Black and Hispanic. Applicants shall ensure that women, racial and ethnic minority populations are appropriately represented in applications for research involving human subjects. Where clear and compelling rationale exist that inclusion is inappropriate or not feasible, this situation must be explained as part of the application. In conducting review for scientific merit, review groups will evaluate proposed plans for inclusion of minorities and both sexes as part of the scientific assessment of scoring.

This policy does not apply to research studies when the investigator cannot control the race, ethnicity and/or sex of subjects. Further guidance to this policy is contained in the Federal Register, Vol. 60, No. 179, pages 47947-47951, dated Friday, September 15, 1995.

Application Submission and Deadlines

The original and five copies of the application PHS 398 form (Revised 5/95, OMB No. 0925-0001) must be submitted to Sharron P. Orum, Grants Management Officer, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), 255 East Paces Ferry Road, NE., Room 314, Mailstop E-18, Atlanta, GA 30305, on or before July 15, 1996.

1. Deadline: Applications shall be considered as meeting the deadline if they are either:

(a) Received on or before the deadline date; or

(b) Sent on or before the deadline date and received in time for submission to the objective review group. (Applicants must request a legibly dated U.S. Postal Service postmark or obtain a legibly dated receipt from a commercial carrier or U.S. Postal Service. Private metered postmarks shall not be acceptable as proof of timely mailing.)

2. Late Applications: Applications which do not meet the criteria in 1.(a) or 1.(b) above are considered late applications. Late applications will not

be considered in the current competition and will be returned to the applicant.

Where To Obtain Additional Information

A complete program description, information on application procedures, an application package, and business management technical assistance may be obtained from Glynnis D. Taylor, Grants Management Specialist, Grants Management Branch, Procurement and Grants Office, Centers for Disease Control and Prevention (CDC), 255 East Paces Ferry Road, NE., Room 314, Mailstop E-18, Atlanta, GA 30305, telephone (404) 842-6508, by fax (404) 842-6513, or by Internet or CDC WONDER electronic mail at <gld1@opspgo1.em.cdc.gov>. Programmatic technical assistance may be obtained from Patricia L. Riley, C.N.M., M.P.H., Director, Health Promotion Disease Prevention Research Center Program, or Donald E. Benken, M.P.H., Health Education Specialist, National Center for Chronic Disease Prevention and Health Promotion, Centers for Disease Control and Prevention (CDC), 4770 Buford Highway, NE., Mailstop K-30, Atlanta, GA 30341-3724, telephone (404) 488-5395 or by Internet or CDC WONDER electronic mail at <pyr0@ccdod1.em.cdc.gov> or <dxb0@ccdash1.em.cdc.gov>.

Please refer to Program Announcement Number 641 when requesting information and submitting an application.

Potential applicants may obtain a copy of "Healthy People 2000" (Full Report, Stock No. 017-001-00474-0) or "Healthy People 2000" (Summary Report, Stock No. 017-001-00473-1) referenced in the "Introduction" through the Superintendent of Documents, Government Printing Office, Washington, DC 20402-9325, telephone (202) 512-1800.

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Dated: June 3, 1996.

Joseph R. Carter,
Acting Associate Director for Management and Operations, Centers for Disease Control and Prevention (CDC).

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