

Part D (Page 2)
Initial Costs and Annual Repair,
Maintenance and Replacement Expense
Per Dwelling Unit

OMB No. 2577-0024 (Exp. 10-31-86)

1. Public Indian Housing Agency	2. Project Number						3. Date		
Facilities or Equipment	Combo. No. ____			Combo. No. ____			Combo. No. ____		
	Initial Cost Per DU	Annual RM & R Expense Per DU		Initial Cost Per DU	Annual RM & R Expense Per DU		Initial Cost Per DU	Annual RM & R Expense Per DU	
		Total Factor	Amount		Total Factor	Amount		Total Factor	Amount
Brought Forward	\$		\$	\$		\$	\$		\$
Tenant Operated Domestic Hot Water									
Auto. Storage Heaters	\$	%	\$	\$	%	\$	\$	%	\$
Side Arm Heaters									
Pot Stove (coal fired)									
Storage Tank									
Hot Water Piping									
Tenant Operated Space Heating/Cooling									
Space Heaters	\$	%	\$	\$	%	\$	\$	%	\$
Floor Furnaces									
Warm Air Furnaces									
Steam Boiler, Radiators									
Hot Water Boiler, Radiators									
Burner (oil)									
Blower									
Draft Fan									
Pump									
Electrical Work									
Conversion Burner									
Heat Pump									
Thin-Wall A/C Unit									
Other Items									
Roads	\$	%	\$	\$	%	\$	\$	%	\$
Boiler Room									
Basement or Crawl Space									
Flues									
Major Appliances									
Refrigerators	\$	%	\$	\$	%	\$	\$	%	\$
Ranges									
Washer									
Dryer									
Total Per Dwelling Unit	\$		\$	\$		\$	\$		\$

HUD-51994 (12/85)
 HB. 7418.1

[Docket No. FR-2880-N-09]

Office of the Assistant Secretary for Public and Indian Housing; Notice of Proposed Information Collection for Public Comment

AGENCY: Office of the Assistant Secretary for Public and Indian Housing, HUD.

ACTION: Notice.

SUMMARY: The proposed information collection requirement described below will be submitted to the Office of Management and Budget (OMB) for review, as required by the Paperwork Reduction Act. The Department is soliciting public comments on the subject proposal.

DATES: Comment due: [June 3, 1996.]

ADDRESSES: Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control Number and should be sent to: Mildred M. Hamman, Reports Liaison Officer, Public and Indian Housing, Department of Housing and Urban Development, 451—7th Street, SW, Room 4255, Washington, D.C. 20410-5000.

FOR FURTHER INFORMATION CONTACT: Mildred M. Hamman, (202)-708-0846, for copies of the proposed forms and other available documents. This is not a toll-free number.

SUPPLEMENTARY INFORMATION: The Department will submit the proposed information collection to OMB for review, as required by the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35, as amended).

This Notice is soliciting comments from members of the public and affected agencies concerning the proposed collection of information to: (1) Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (2) Evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information; (3) Enhance the quality, utility, and clarity of the information to be collected; and (4) Minimize the burden of the collection of information on those who are to respond; including through the use of appropriate automated collection techniques or other forms of information technology, e.g., permitting electronic submission of responses.

This Notice also lists the following information:

Title of Proposal: HOME Grants for Indian Tribes and Alaskan Native Villages.

OMB Control Number: 2577-0191.

Description of the Need for the Information and Proposed Use: The HOME Grant Program for Indian tribes

and Alaska native villages requires eligible applicants to submit information to enable HUD to select the best projects for funding during annual competitions. Additionally, the requirements are essential for HUD in monitoring grants to ensure that grantees are making proper use of Federal dollars.

Agency Form Numbers, if Applicable: HUD-4121, HUD-4122, HUD-4123, HUD-4125, HUD-4126.

Members of Affected Public: State or Local Governments (Indian Tribes and Alaska Native Villages).

Estimation of the Total Number of Hours Needed To Prepare the Information Collection Including Number of Respondents, Frequency of Response, and Hours of Response: 80 respondents, annually and on occasion, 40 average hours per response, 3,200 hours for a total reporting burden.

Status of the Proposed Information Collection: Extension.

Authority: Section 3506 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35, as amended.

Dated: March 27, 1996.

Michael B. Janis,

General Deputy Assistant Secretary for Public and Indian Housing.

BILLING CODE 4210-33-M

Needs Description Comprehensive Approach

U.S. Department of Housing
and Urban Development
Office of Public and Indian Housing

OMB Approval No. 2506-0043 (exp. 5/31/95)
OMB Approval No. 2577-0191 (exp. 4/30/96)

See Instructions on back.

Check one:

- Indian Community Development Block Grant (ICDBG)
 Indian HOME Program Grant (IHPG)

1. Name of Applicant :	2. Application/Grant Number: (to be assigned by HUD upon submission)	
3. Name of Project :	4. <input type="checkbox"/> Original (check here if this is the first submission to HUD)	Date:
	<input type="checkbox"/> Amendment (check here if submitted after grant award)	Date:
5. ICDBG Program only: (See Instructions on back.)		

6. IHPG Program only: (See Instructions on back.)

Public reporting burden for this collection of information is estimated to average 17 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600 and to the Office of Management and Budget, Paperwork Reduction Project (2506-0043 for the Indian CDBG Program or 2577-0191 for the Indian HOME Program), Washington, D.C. 20503. Do not send this completed form to either of the above addressees.

General Instructions: All applicants for funds from the Indian HOME Program must submit this form accompanied by Standard Form 424, form HUD-4122 (Project Summary), form HUD-4123 (Cost Summary), form HUD-4125 (Implementation Schedule), form HUD-4126 (Certifications), maps and components that address the relevant selection criteria. For ICDBG, forms HUD-4121 and HUD-4122 are optional. Forms SF-424, HUD-4123, HUD-4125, and HUD-4126 must be included. For instructions in filling out form SF-424, refer to the form itself.

5. ICDBG Program only: Community Development Needs. Briefly describe the need which this project addresses. Be specific and quantify need if possible. Since extent of need will be considered when project is rated, address specific points in the Notice of Fund Availability which consider need. If need involves serious threat to health and safety, provide evidence to substantiate threat. The type of information that is needed includes the specific problem the project will address, how long problem has existed, what other solutions have been considered, what negative effects will result if problem is not resolved in near future, how proposed project fits overall plan of village/tribe, and priority of this project over other projects for which funding is requested. Additional pages may be attached.

6. IHPG Program only: Comprehensive Approach. This component addresses the Comprehensive Approach for Expanding the Supply of Affordable Housing threshold. Indian tribes are not required to submit a Comprehensive Housing Affordability Strategy (CHAS), a Tribal Housing Plan, or a housing strategy to receive IHPG funds. However, the application must demonstrate how the proposed project will contribute to a comprehensive approach for expanding the supply of affordable housing for members of the Indian tribe.

Project Summary

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2506-0043 (exp. 5/31/95)
OMB Approval No. 2577-0191 (exp. 4/30/96)

See ICDBG Instructions on back.

Check one:

Indian Community Development Block Grant (ICDBG)

Indian HOME Program Grant (IHPG)

Note: Items 1 through 4 on this form should match those items on form HUD-4121.

1. Name of Applicant :	2. Applicator/Grant Number: (to be assigned by HUD upon submission)	
3. Name of Project :	4. <input type="checkbox"/> Original (check here if this is the first submission to HUD)	Date:
	<input type="checkbox"/> Amendment (check here if submitted after grant award)	Date:

IHPG Program only:
5. Summary Description of Project.

ICDBG Program only:
6. Description of Project. (See Instructions on back.)

Check here if additional page(s) are attached.

ICDBG Program only:
7. **Low/Moderate Benefit.** Low and moderate income beneficiary as defined in 24 CFR 953.4.

Number of Persons Served by the Project:	Number & Percentage of Low/Moderate Income Persons who Benefit:	
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Public reporting burden for this collection of information is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600 and to the Office of Management and Budget, Paperwork Reduction Project (2506-0043 for the Indian CDBG Program or 2577-0191 for the Indian HOME Program), Washington, D.C. 20503. Do not send this completed form to either of the above addressees.

6. ICDBG Program only: Description of Project. The information provided in this application component should describe the scope, magnitude, and method of implementing the project proposed to meet the need(s) described. It should also clearly establish that the two sub-thresholds set forth in Section 953.301(a)(A)(1) and (2) are met by the project, specifically that the project costs are reasonable and that the project is appropriate for the intended use. The unit or component costs of the project should be provided as well as on what basis and by whom they were estimated. The inflation rate, other relevant cost indices and the number of months it is anticipated that it will take to go out to bid should be taken into consideration. The following type of information is suggestive of what is needed but may not be applicable to all projects. Indicate the specific project, its size (square feet, linear feet, volume, number, etc.), to what degree it solves the problem identified in the description of need(s), length of time needed for completion, location of facility in reference to population served (map should be provided) if appropriate. Indicate whether the project will aid more than one Tribe/municipal government, how project will be carried out (tribal force account, third party contract, tribal based non-profit corporation, local development corporation, etc.), what funds will be required from other sources and current status of funds. Indicate other elements which enhance the quality of the proposed project. If funds are requested for planning and/or technical assistance, a separate project summary must be completed describing the proposed use of these funds. It is in this component that data should be provided on the service area population of the project including the number and percent of these persons who are of low or moderate income status. The income levels to be used by applicants to evaluate the income characteristics of the service area population of a proposed project will be provided by the ONAP office that serves your community. Additional pages may be attached.

Public reporting burden for this collection of information is estimated to average 15 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600 and to the Office of Management and Budget, Paperwork Reduction Project (2506-0043 for the Indian CDBG Program or 2577-0191 for the Indian HOME Program), Washington, D.C. 20503. Do not send this completed form to either of the above addressees.

Instructions for Item 4.

Project Name and Project Type

For IHPG participants enter project name and the name of one of the following three Indian HOME Program project types:

- Acquisition;
- Rehabilitation;
- New Construction.

For ICDBG participants enter the project name and the name of one of the following three categories of activities:

- Housing;
- Community Facilities;
- Economic Development.

Also enter the component name if applicable. Use a separate Cost Summary sheet (form HUD-4123) for each project included in the application.

Examples of categories and/or components including examples of eligible activities are listed below.

Housing

Rehabilitation Component:

- Rehabilitation
- Demolition

Land to Support New Housing Component

New Housing Construction / Direct Homeownership Assistance Component: Direct homeownership assistance activities consist of the following:

- Finance acquisition of housing occupied by low- and moderate-income homebuyers
- Payment of up to 50% of the downpayment for low- and moderate-income homebuyers
- Payment of closing costs for low- and moderate-income homebuyers

Community Facilities

Infrastructure Component:

- Water
- Sewer
- Roads and Streets
- Storm Sewers

Buildings Component:

- Health Clinic
- Daycare Center
- Community Center
- Multi-purpose Center

Economic Development

- Commercial (wholesale, retail)
- Industrial
- Motel/Hotel
- Restaurant
- Agricultural Development

Public reporting burden for this collection of information is estimated to average 18 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600 and to the Office of Management and Budget, Paperwork Reduction Project (2506-0043) for the Indian CDBG Program or 2577-0191 for the Indian HOME Program), Washington, D.C. 20503. Do not send this completed form to either of the above addressees.

Instructions for Item 9 Schedule: Use Calendar Year (CY) quarters. Fill-in the CY below. If the project begins in May, for example, enter under "1st Ctr." A (April), M (May), J (June). Indicate time period required to complete each activity, e.g., acquisition, by entering "X" under the months it will begin and end. Draw a horizontal line from the first to the second "X". If the completion date will extend beyond the 8th quarter, enter date in the far right column and attach an explanation.

Previous editions are obsolete

form HUD-4125 (1/95)

Certifications

**U.S. Department of Housing
and Urban Development**
Office of Public and Indian Housing

OMB Approval No. 2506-0043 (exp. 5/31/95)
OMB Approval No. 2577-0191 (exp. 4/30/96)

See Instructions on back.

Check one:

- Indian Community Development Block Grant (ICDBG)
- Indian HOME Program Grant (IHPG)

The grantee hereby certifies and assures that it will comply with the regulations, guidelines, and requirements with respect to the acceptance and use of Federal funds for this Federally-assisted program. Also, the grantee gives assurances and certifies with respect to the grant that:

- A. It possesses the legal authority to apply for the grant and execute the proposed program.
- B. The governing body has duly authorized the filing of the application, including all understandings and assurances contained in the application and has directed and authorized the person identified as the official representative of the applicant to act in connection with the application and to provide such additional information as may be required.
- C. It will comply with the HUD general administration requirements in 24 CFR Part 85.
- D. It will comply with the requirements of Title II of Public Law 90-284 (25 USC 1301) (the Indian Civil Rights Act).
- E. It will comply with the Indian preference provisions required in 24 CFR 953.503 for ICDBG and 24 CFR 92.631 for HOME.
- F. It will establish written safeguards to prevent employees from using positions funded under the ICDBG/IHPG programs for a purpose that is, or gives the appearance of being, motivated by private gain for themselves, their close family or business associates. Nothing in this certification should be construed as to limit employees from benefiting from program activities for which they would otherwise be eligible.
- G. It will give HUD and the Comptroller General access and right to examine all books, records, papers, or documents related to the grant for a period of not less than three years after program completion or until resolution of any final audit findings.
- H. Neither the applicant nor its principals are presently excluded from participation in any HUD programs, as required by 24 CFR part 24, appendix A.
- I. It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, implementing regulations at 49 CFR part 24 and the requirements of Section 92.634 for IHPG and the provisions of 24 CFR 953.602 for ICDBG.
- J. The chief executive officer or other official of the applicant approved by HUD:
 - 1. Consents to assume the status of a responsible Federal official under the National Environmental Policy Act of 1969 insofar as the provisions of the Act apply to the applicant's proposed program pursuant to 24 CFR 953.605 for ICDBG and 24 CFR 92.633 for HOME.
 - 2. Is authorized and consents on behalf of the applicant and him/herself to accept the jurisdiction of the Federal courts for the purpose of enforcement of his/her responsibilities as such an official.

Note: Applicants for whom HUD has approved a claim of incapacity to accept the responsibilities of the Federal government for purposes of complying with the environmental review requirements of 24 CFR part 58 pursuant to 24 CFR 953.605 for ICDBG and Section 92.633 for IHPG need not include the provision of paragraph J in their assurance.

- K. It will comply with the requirements of Section 3 of the Housing and Urban Development Act of 1968 and the regulations in 24 CFR part 135 (Economic Opportunities for Low and Very Low Income Persons) to the maximum extent consistent with, but not in derogation of, compliance with Section 7(b) of the Indian Self-Determination and Education Assistance Act (25 USC. 450e(b)).
- L. It will comply with the requirements of the Fire Authorization Administration Act of 1992 (Pub.L. 102-522).
- M. It will provide the drug-free workplace required by 24 CFR part 24, subpart F and appendix C.
- N. It will comply with 24 CFR, part 12, subpart C, showing full disclosure of all benefits of the project as collected by Form HUD-2880, Applicant/Recipient Disclosure Report.

Certifications applicable to ICDBG only:

- A. Prior to submission of its application to HUD, the grantee has met the citizen participation requirements which includes following traditional means of member involvement, as required by the regulations.
- B. It will administer and enforce the labor standard requirements prescribed by these regulations.
- C. The Program has been developed so that not less than 70 percent of the funds received under this grant will be used for activities that benefit low- and moderate-income persons.

Note: Applicants receiving Imminent Threat Grants need not include the provision of this paragraph in their assurance

Certifications applicable to IHPG only:

- A. It will use HOME funds in compliance with all the requirements of 24 CFR part 92, the HOME investment partnerships program interim rule.
- B. It does not have an outstanding Indian HOME or ICDBG obligation to HUD that is in arrears, or it has agreed to a repayment schedule. It does not have an overdue or unsatisfactory response to an audit finding(s).

Name & Title: (type or print)

Signature & Date:

X

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Office of Information Policies and Systems, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600 and to the Office of Management and Budget, Paperwork Reduction Project (2506-0043 for the Indian CDBG Program or 2577-0191 for the Indian HOME Program), Washington, D.C. 20503. Do not send this completed form to either of the above addressees.

Instructions: For ICDBG and IHPG, all applicants shall submit this form as part of the application.
