

[FR Doc. 2018-22439 Filed 10-15-18; 8:45 am]

BILLING CODE 7536-01-C

**NATIONAL TRANSPORTATION  
SAFETY BOARD****Sunshine Act Meetings****TIME AND DATE:** 9:30 a.m., Tuesday,  
October 30, 2018.**PLACE:** NTSB Conference Center, 429  
L'Enfant Plaza SW, Washington, DC  
20594.**STATUS:** The one item is open to the  
public.**MATTERS TO BE CONSIDERED:**58536 Railroad Accident Report:  
*Derailment and Hazardous Materials  
Release of Union Pacific Railroad  
Unit Ethanol Train in Graettinger,  
Iowa, March 10, 2017**News Media Contact: Telephone:  
(202) 314-6100.* The press and public  
may enter the NTSB Conference Center  
one hour prior to the meeting for set up  
and seating.Individuals requesting specific  
accommodations should contact  
Rochelle McCallister at (202) 314-6305  
or by email at [Rochelle.McCallister@ntsb.gov](mailto:Rochelle.McCallister@ntsb.gov)  
by Wednesday, October 24,  
2018.The public may view the meeting via  
a live or archived webcast by accessing  
a link under "News & Events" on the  
NTSB home page at [www.ntsb.gov](http://www.ntsb.gov).Schedule updates, including weather-  
related cancellations, are also available  
at [www.ntsb.gov](http://www.ntsb.gov).For More Information Contact: Candi  
Bing at (202) 314-6403 or by email at  
[bingc@ntsb.gov](mailto:bingc@ntsb.gov).**FOR MEDIA INFORMATION CONTACT:** Peter  
Knudson at (202) 314-6100 or by email  
at [peter.knudson@ntsb.gov](mailto:peter.knudson@ntsb.gov).

Dated: October 12, 2018.

**LaSean McCray,***Assistant Federal Register Liaison Officer.*

[FR Doc. 2018-22572 Filed 10-12-18; 11:15 am]

BILLING CODE 7533-01-P

**POSTAL REGULATORY COMMISSION****[Docket No. R2019-1; Order No. 4851]****Market Dominant Price Adjustment****AGENCY:** Postal Regulatory Commission.**ACTION:** Notice.**SUMMARY:** The Commission is noticing a  
recently filed Postal Service notice of  
inflation-based rate adjustments  
affecting market dominant domestic and  
international products and services,  
along with temporary mailingpromotions and numerous proposed  
classification changes. The adjustments  
and other changes are scheduled to take  
effect January 27, 2019. This notice  
informs the public of the filing, invites  
public comment, and takes other  
administrative steps.**DATES:** *Comments are due:* October 30,  
2018.**ADDRESSES:** Submit comments  
electronically via the Commission's  
Filing Online system at <http://www.prc.gov>. Those who cannot submit  
comments electronically should contact  
the person identified in the **FOR FURTHER  
INFORMATION CONTACT** section by  
telephone for advice on filing  
alternatives.**FOR FURTHER INFORMATION CONTACT:**David A. Trissell, General Counsel, at  
202-789-6820.**SUPPLEMENTARY INFORMATION:****Table of Contents**

- I. Introduction and Overview
- II. Initial Administrative Actions
- III. Ordering Paragraphs

**I. Introduction and Overview**

On October 10, 2018, the Postal Service filed a notice of inflation-based price adjustments affecting market dominant domestic and international products and services, along with temporary mailing promotions and numerous proposed classification changes to the Mail Classification Schedule (MCS).<sup>1</sup> The intended effective date is January 27, 2019. Notice at 1. The Notice, which was filed pursuant to 39 U.S.C. 3622 and 39 CFR part 3010, triggers a notice-and-comment proceeding.<sup>2</sup>

*Contents of filing.* The Postal Service's filing consists of the Notice, which the Postal Service represents addresses the data and information required under 39 CFR 3010.12; four attachments (Attachments A–D) to the Notice; and eight sets of workpapers filed as library references.

Attachment A presents the proposed price and related product description changes to the MCS. Notice, Attachment A. Attachments B and C address workshare discounts and the price cap calculation, respectively. Notice, Attachments B and C. Attachment D presents the promotions schedule. Notice, Attachment D.

Several library references present supporting financial documentation for the five classes of mail. Notice at 4–5 nn. 7–9. The Postal Service filed one

<sup>1</sup> United States Postal Service Notice of Market-Dominant Price Change, October 10, 2018 (Notice).

<sup>2</sup> This is a Type 1-B proceeding. See 39 CFR part 3010, subparts A–C for additional information.

library reference pertaining to the two international mail products within First-Class Mail (Outbound Single-Piece First-Class Mail International and Inbound Letter Post) under seal and applied for non-public treatment of those materials.<sup>3</sup>

*Planned price adjustments.* The Postal Service's planned percentage changes by class are, on average, as follows:

Market dominant class	Planned price adjustment (%)
First-Class Mail .....	2.486
USPS Marketing Mail .....	2.479
Periodicals .....	2.52
Package Services .....	2.522
Special Services .....	2.512

Notice at 4.

Price adjustments for products within classes vary from the average. See, e.g., *id.* at 6, 15 (Table 5 showing range for First-Class Mail products and Table 7 showing range for USPS Marketing Mail products). Most of the planned adjustments entail increases to market dominant rates and fees; however, in a few instances, the Postal Service proposes either no adjustment or a decrease. See *id.* at 6.

*Proposed classification changes.* The Postal Service proposes numerous classification changes in its Notice and identifies the impact on the MCS in Attachment A. *Id.* at 32–34; *id.* Attachment A.

*Calendar Year 2019 promotions.* The Postal Service seeks approval for the following six promotions for the indicated periods:

- Emerging and Advanced Technology Promotion (Mar. 1–Aug. 31, 2019);
  - Mobile Shopping Promotion (Aug. 1–Dec. 31, 2019);
  - Tactile, Sensory and Interactive Mailpiece Engagement Promotion (Feb. 1–July 31, 2019);
  - Personalized Color Transpromo Promotion (July 1–Dec. 31, 2019);
  - Informed Delivery Promotion (Sept. 1–Nov. 30, 2019); and
  - Earned Value Reply Mail Promotion (Apr. 1–June 30, 2019).
- Notice, Attachment D.

**II. Initial Administrative Actions**

Pursuant to 39 CFR 3010.11(a), the Commission establishes Docket No. R2019-1 to consider the planned price adjustments for market dominant postal products and services, as well as the related classification changes, identified in the Notice. The Commission invites

<sup>3</sup> See USPS Notice of Filing USPS–LR–R2019-1/NP1, October 10, 2018, Attachment 1.

comments from interested persons on whether the Postal Service's filing is consistent with the applicable statutory and regulatory requirements, including 39 U.S.C. 3622 and 39 CFR part 3010. Comments are due no later than October 30, 2018.

The public portions of the Postal Service's filing are available for review on the Commission's website (<http://www.prc.gov>). Comments and other material filed in this proceeding will be available for review on the Commission's website, unless the information contained therein is subject to an application for non-public treatment. The Commission's rules on non-public materials (including access to documents filed under seal) appear in 39 CFR part 3007.

Pursuant to 39 U.S.C. 505, the Commission appoints Kenneth R. Moeller to represent the interests of the general public (Public Representative) in this proceeding.

### III. Ordering Paragraphs

*It is ordered:*

1. The Commission establishes Docket No. R2019-1 to consider the planned price adjustments for market dominant postal products and services, as well as the related classification changes, identified in the Postal Service's October 10, 2018 Notice.

2. Comments on the planned price adjustments and related classification changes are due no later than October 30, 2018.

3. Pursuant to 39 U.S.C. 505, Kenneth R. Moeller is appointed to serve as an officer of the Commission (Public Representative) to represent the interests of the general public in this proceeding.

4. The Commission directs the Secretary of the Commission to arrange for prompt publication of this notice in the **Federal Register**.

**Stacy L. Ruble,**  
Secretary.

[FR Doc. 2018-22492 Filed 10-15-18; 8:45 am]

**BILLING CODE 7710-FW-P**

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-84392; File No. 4-566]

### Program for Allocation of Regulatory Responsibilities Pursuant to Rule 17d-2; Notice of Filing and Order Approving and Declaring Effective an Amendment to the Plan for the Allocation of Regulatory Responsibilities Among Cboe BZX Exchange, Inc., Cboe BYX Exchange, Inc., Chicago Stock Exchange, Inc., Cboe EDGA Exchange, Inc., Cboe EDGX Exchange, Inc., Financial Industry Regulatory Authority, Inc., Nasdaq BX, Inc., Nasdaq PHLX LLC, The Nasdaq Stock Market LLC, NYSE National, Inc., New York Stock Exchange LLC, NYSE American LLC, NYSE Arca, Inc., and Investors Exchange LLC Relating to the Surveillance, Investigation, and Enforcement of Insider Trading Rules

October 10, 2018.

Notice is hereby given that the Securities and Exchange Commission ("Commission") has issued an Order, pursuant to Section 17(d) of the Securities Exchange Act of 1934 ("Act"),<sup>1</sup> approving and declaring effective an amendment to the plan for allocating regulatory responsibility ("Plan") filed on September 21, 2018, pursuant to Rule 17d-2 of the Act,<sup>2</sup> by Cboe BZX Exchange, Inc. ("BZX"), Cboe BYX Exchange, Inc. ("BYX"), Chicago Stock Exchange, Inc. ("CHX"), Cboe EDGA Exchange, Inc. ("EDGA"), Cboe EDGX Exchange, Inc. ("EDGX"), Financial Industry Regulatory Authority, Inc. ("FINRA"), Nasdaq BX, Inc. ("BX"), Nasdaq PHLX LLC ("PHLX"), The Nasdaq Stock Market LLC ("Nasdaq"), NYSE National, Inc. ("National"), New York Stock Exchange LLC ("NYSE"), NYSE American LLC ("American"), NYSE Arca, Inc. ("NYSE Arca"), and Investors Exchange LLC ("IEX") (collectively, "Participating Organizations" or "Parties").

#### I. Introduction

Section 19(g)(1) of the Act,<sup>3</sup> among other things, requires every self-regulatory organization ("SRO") registered as either a national securities exchange or national securities association to examine for, and enforce compliance by, its members and persons associated with its members with the Act, the rules and regulations thereunder, and the SRO's own rules, unless the SRO is relieved of this

responsibility pursuant to Section 17(d)<sup>4</sup> or Section 19(g)(2)<sup>5</sup> of the Act. Without this relief, the statutory obligation of each individual SRO could result in a pattern of multiple examinations of broker-dealers that maintain memberships in more than one SRO ("common members"). Such regulatory duplication would add unnecessary expenses for common members and their SROs.

Section 17(d)(1) of the Act<sup>6</sup> was intended, in part, to eliminate unnecessary multiple examinations and regulatory duplication.<sup>7</sup> With respect to a common member, Section 17(d)(1) authorizes the Commission, by rule or order, to relieve an SRO of the responsibility to receive regulatory reports, to examine for and enforce compliance with applicable statutes, rules, and regulations, or to perform other specified regulatory functions.

To implement Section 17(d)(1), the Commission adopted two rules: Rule 17d-1 and Rule 17d-2 under the Act.<sup>8</sup> Rule 17d-1 authorizes the Commission to name a single SRO as the designated examining authority ("DEA") to examine common members for compliance with the financial responsibility requirements imposed by the Act, or by Commission or SRO rules.<sup>9</sup> When an SRO has been named as a common member's DEA, all other SROs to which the common member belongs are relieved of the responsibility to examine the firm for compliance with the applicable financial responsibility rules. On its face, Rule 17d-1 deals only with an SRO's obligations to enforce member compliance with financial responsibility requirements. Rule 17d-1 does not relieve an SRO from its obligation to examine a common member for compliance with its own rules and provisions of the federal securities laws governing matters other than financial responsibility, including sales practices and trading activities and practices.

To address regulatory duplication in these and other areas, the Commission adopted Rule 17d-2 under the Act.<sup>10</sup> Rule 17d-2 permits SROs to propose joint plans for the allocation of

<sup>4</sup> 15 U.S.C. 78q(d).

<sup>5</sup> 15 U.S.C. 78s(g)(2).

<sup>6</sup> 15 U.S.C. 78q(d)(1).

<sup>7</sup> See Securities Act Amendments of 1975, Report of the Senate Committee on Banking, Housing, and Urban Affairs to Accompany S. 249, S. Rep. No. 94-75, 94th Cong., 1st Session 32 (1975).

<sup>8</sup> 17 CFR 240.17d-1 and 17 CFR 240.17d-2, respectively.

<sup>9</sup> See Securities Exchange Act Release No. 12352 (April 20, 1976), 41 FR 18808 (May 7, 1976).

<sup>10</sup> See Securities Exchange Act Release No. 12935 (October 28, 1976), 41 FR 49091 (November 8, 1976).

<sup>1</sup> 15 U.S.C. 78q(d).

<sup>2</sup> 17 CFR 240.17d-2.

<sup>3</sup> 15 U.S.C. 78s(g)(1).