

Agency	Organization	Title	Request No.	Date vacated
DEPARTMENT OF HOMELAND SECURITY.	Office of Centers for Medicare and Medicaid Services.	Senior Advisor to the Principal Deputy Administrator.	DH170108	02/18/2018
	Office of Federal Emergency Management Agency.	Press Secretary .....	DM170275	02/12/2018
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Office of Congressional and Intergovernmental Relations.	Advisor to Intergovernmental Relations.	DU170087	02/03/2018
DEPARTMENT OF JUSTICE .....	Office of the Administration .....	Briefing and Book Coordinator .....	DU170114	02/03/2018
	Office of the Attorney General .....	Counselor .....	DJ170094	02/04/2018
	Office of Public Affairs .....	Press Assistant .....	DJ170051	02/09/2018
DEPARTMENT OF LABOR .....	Office of the Secretary .....	Deputy Director of Scheduling .....	DL170060	02/03/2018
DEPARTMENT OF STATE .....	Office of the Secretary .....	Special Assistant (Scheduler) .....	DS170164	02/03/2018
DEPARTMENT OF TRANSPORTATION.	Office of Assistant Secretary for Governmental Affairs.	Governmental Affairs Officer .....	DT170092	02/03/2018
ENVIRONMENTAL PROTECTION AGENCY.	Office of the Administrator .....	Special Assistant for Scheduling and Advance.	EP170075	02/17/2018
EXPORT-IMPORT BANK .....	Office of Communications .....	Senior Vice President For Communications.	EB180003	02/03/2018
OFFICE OF PERSONNEL MANAGEMENT.	Office of Congressional, Legislative, and Intergovernmental Affairs.	Deputy Director .....	PM170050	02/03/2018
	Office of the Director .....	Deputy Chief of Staff .....	PM170037	02/17/2018

Authority: 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954-1958 Comp., p. 218.

Office of Personnel Management.

Alexys Stanley,  
Regulatory Affairs.

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**OFFICE OF PERSONNEL MANAGEMENT**

**Excepted Service**

**AGENCY:** Office of Personnel Management (OPM).

**ACTION:** Notice.

**SUMMARY:** This notice identifies Schedule A, B, and C appointing authorities applicable to a single agency that were established or revoked from April 1, 2018 to April 30, 2018.

**FOR FURTHER INFORMATION CONTACT:** Senior Executive Resources Services, Senior Executive Services and Performance Management, Employee Services, 202-606-2246.

**SUPPLEMENTARY INFORMATION:** In accordance with 5 CFR 213.103, Schedule A, B, and C appointing authorities available for use by all agencies are codified in the Code of Federal Regulations (CFR). Schedule A, B, and C appointing authorities applicable to a single agency are not codified in the CFR, but the Office of Personnel Management (OPM) publishes a notice of agency-specific authorities established or revoked each month in the **Federal Register** at [www.gpo.gov/fdsys/](http://www.gpo.gov/fdsys/). OPM also publishes an annual notice of the consolidated listing of all Schedule A, B, and C appointing authorities, current as of June 30, in the **Federal Register**.

**Schedule A**

No Schedule A Authorities to report during April 2018.

**Schedule B**

91. The Office of Personnel Management (Sch. B, 213.3291)

(b) Center for Leadership Development—No more than 72 positions of faculty members at grades GS-13 through GS-15. Initial appointments under this authority may be made for any period up to 3 years and may be extended in 1, 2, or 3 year increments.

**Schedule C**

The following Schedule C appointing authorities were approved during April 2018.

Agency name	Organization name	Position title	Authorization No.	Effective date
DEPARTMENT OF AGRICULTURE	Office of Farm Service Agency .....	State Executive Director—Rhode Island.	DA180170	04/20/2018
	Office of Communications.	Press Assistant .....	DA180165	04/27/2018
	Office of the Assistant Secretary for Congressional Relations.	Confidential Assistant .....	DA180149	04/13/2018
	Office of Rural Business Service ...	Staff Assistant .....	DA180157	04/13/2018
		Associate Director .....	DA180159	04/20/2018
DEPARTMENT OF COMMERCE ...	Office of the General Counsel .....	Senior Advisor .....	DA180166	04/27/2018
		State Director—Puerto Rico .....	DA180168	04/16/2018
		Senior Counsel (2) .....	DC180130	04/20/2018
COMMISSION ON CIVIL RIGHTS	Office of Commission on Civil Rights.	Special Assistant .....	DC180131	04/20/2018
			CC180001	04/20/2018
DEPARTMENT OF DEFENSE .....	Office of the Under Secretary of Defense (Personnel and Readiness).	Director of Communications for Personnel and Readiness.	DD180080	04/06/2018
		Special Assistant .....	DD180083	04/20/2018
		Special Assistant .....	DD180078	04/11/2018
DEPARTMENT OF THE ARMY ....	Office Assistant Secretary Army (Civil Works).	Special Assistant (Civil Works) .....	DW180021	04/06/2018

Agency name	Organization name	Position title	Authorization No.	Effective date
DEPARTMENT OF EDUCATION ...	Office of the Secretary .....	Confidential Assistant (Protocol) ....	DB180041	04/09/2018
	Office of the General Counsel .....	Attorney Advisor .....	DB180039	04/27/2018
	Office of Communications and Outreach.	Confidential Assistant .....	DB180042	04/27/2018
DEPARTMENT OF ENERGY .....	Office of National Nuclear Security Administration.	Senior Advisor .....	DE180066	04/06/2018
	Office of the Secretary .....	Executive Support Specialist .....	DE180070	04/10/2018
		Special Assistant .....	DE180071	04/27/2018
	Office of the Chief Financial Officer	Special Assistant .....	DE180067	04/20/2018
	Office of Management .....	Senior Congressional Correspondence Advisor.	DE180068	04/20/2018
GENERAL SERVICES ADMINISTRATION.	Office of Northwest/Arctic Region ..	Senior Advisor for Technology and to the Regional Administrator.	GS180026	04/06/2018
	Office of General Counsel .....	Counsel .....	GS180025	04/16/2018
DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Office of Administration for Children and Families.	Policy Advisor .....	DH180053	04/04/2018
	Office of the Assistant Secretary for Public Affairs.	Senior Advisor and National Spokesperson.	DH180108	04/04/2018
	Office of Administration for Community Living.	Policy Advisor .....	DH180063	04/06/2018
	Office of Centers for Medicare and Medicaid Services.	Director of Strategic Communications.	DH180106	04/06/2018
	Office of Substance Abuse and Mental Health Services Administration.	Director of Communications .....	DH180112	04/06/2018
	Office of Intergovernmental and External Affairs.	Senior Advisor .....	DH180092	04/16/2018
	Office of the Secretary .....	Advisor .....	DH180104	04/17/2018
		Policy Advisor .....	DH180123	04/20/2018
		Special Assistant .....	DH180132	04/23/2018
		Deputy Director of Scheduling .....	DH180128	04/30/2018
		Deputy Chief of Staff .....	DH180114	04/27/2018
		Office of Centers for Disease Control and Prevention.	Director of Strategic Projects and Policy Initiatives.	DH180124
DEPARTMENT OF HOMELAND SECURITY.	Office of the Assistant Secretary for Financial Resources.	Special Assistant .....	DM180147	04/06/2018
	Office of Federal Emergency Management Agency.	Director, Center for Faith-Based and Neighborhood Partnerships.	DM180111	04/10/2018
	Office of the Assistant Secretary for Public Affairs.	Director of Digital Strategy .....	DM180150	04/06/2018
	Office of United States Customs and Border Protection.	Staff Assistant .....	DM180153	04/11/2018
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Office of the Chief of Staff .....	Confidential Assistant .....	DM180159	04/11/2018
	Office of Housing .....	Advisor (2) .....	DU180061	04/06/2018
			DU180050	04/16/2018
	Office of Congressional and Intergovernmental Relations.	Congressional Relations Specialist	DU180062	04/06/2018
DEPARTMENT OF THE INTERIOR	Office of the Chief Information Officer.	Senior Advisor .....	DU180065	04/24/2018
	Secretary's Immediate Office .....	Director of Scheduling and Advance.	DI180038	04/06/2018
		Senior Advance Representative .....	DI180048	04/20/2018
DEPARTMENT OF LABOR .....	Office of the Solicitor .....	Attorney Advisor .....	DI180033	04/17/2018
	Office of Assistant Secretary—Fish and Wildlife and Parks.	Senior Advisor .....	DI180050	04/17/2018
	Office of Assistant Secretary—Indian Affairs.	Senior Advisor .....	DI180058	04/24/2018
	Office of Employment and Training Administration.	Special Assistant .....	DL180062	04/06/2018
	Office of the Assistant Secretary for Policy.	Senior Counsel and Policy Advisor	DL180064	04/16/2018
DEPARTMENT OF THE INTERIOR	Office of the Deputy Secretary .....	Counselor .....	DL180070	04/16/2018
		Confidential Assistant and Director of Scheduling.	DL180077	04/20/2018
	Office of Mine Safety and Health Administration.	Chief of Staff .....	DL180061	04/27/2018
	Office of the Secretary .....	Special Assistant .....	DL180079	04/27/2018
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION.	Office of Communications .....	Senior Advisor/Press Secretary .....	NN180018	04/27/2018
NATIONAL ENDOWMENT FOR THE HUMANITIES.		Executive Assistant .....	NN180019	04/27/2018
	National Endowment for the Humanities.	Executive Assistant .....	NH180002	04/16/2018

Agency name	Organization name	Position title	Authorization No.	Effective date
OFFICE OF MANAGEMENT AND BUDGET.	Office of General Government Programs.	Confidential Assistant .....	BO180013	04/13/2018
		Deputy for Legislative Affairs (Appropriations).	BO180014	04/13/2018
OFFICE OF NATIONAL DRUG CONTROL POLICY.	Office of Legislative Affairs .....	Senior Policy Advisor and White House Liaison.	QQ180005	04/24/2018
OFFICE OF PERSONNEL MANAGEMENT.	Office of the Director .....	Attorney-Advisor (General) .....	PM180022	04/06/2018
		Senior Counsel and Advisor .....	PM180020	04/20/2018
OFFICIAL RESIDENCE OF THE VICE PRESIDENT.	Office of the General Counsel .....	Deputy Residence Manager .....	RV180001	04/06/2018
PRESIDENTS COMMISSION ON WHITE HOUSE FELLOWSHIPS.	Official Residence of the Vice President.	Assistant Director for Operations and Recruitment.	WH180001	04/12/2018
SMALL BUSINESS ADMINISTRATION.	Presidents Commission on White House Fellowships.	Senior Advisor .....	SB180024	04/02/2018
		Legislative Assistant (2) .....	SB180025	04/02/2018
DEPARTMENT OF STATE .....	Office of the Administrator .....	Special Assistant .....	SB180022	04/17/2018
	Office of Congressional and Legislative Affairs.	White House Liaison .....	SB180026	04/20/2018
	Office of Administration .....	Special Advisor .....	SB180023	04/30/2018
	Office of Capital Access .....	Special Assistant .....	SB180027	04/27/2018
	Office of Bureau of Democracy, Human Rights and Labor.	Special Assistant .....	DS180042	04/06/2018
	Office of Bureau for Education and Cultural Affairs.	Special Advisor .....	DS180041	04/16/2018
	Office of Assistant Secretary for Governmental Affairs.	Senior Governmental Affairs Officer.	DT180016	04/13/2018
DEPARTMENT OF TRANSPORTATION.	Office of the Secretary .....	Special Assistant .....	DT180033	04/13/2018
		Deputy Director of Scheduling and Advance.	DT180027	04/24/2018
	Office of the Executive Secretariat	Special Assistant .....	DT180039	04/16/2018
	Office of Assistant Secretary for Governmental Affairs.	Special Assistant .....	DT180042	04/27/2018
DEPARTMENT OF THE TREASURY.	Office of Assistant Secretary for Transportation Policy.	Speechwriter .....	DT180046	04/27/2018
	Office of Public Affairs .....	Director of Public Affairs .....	DT180047	04/27/2018
	Department of the Treasury .....	Personal Aide .....	DY180057	04/05/2018
		Senior Advisor .....	DY180058	04/16/2018

The following Schedule C appointing authorities were revoked during April 2018.

Agency name	Organization name	Position title	Request No.	Date vacated
COMMODITY FUTURES TRADING COMMISSION.	Office of the Chairperson .....	Senior Advisor .....	CT170012	04/28/2018
DEPARTMENT OF COMMERCE ...	Office of Public Affairs .....	Senior Speechwriter and Press Assistant.	DC170071	04/18/2018
	Office of Assistant Secretary Legislative and Intergovernmental Affairs.	Associate Director for Intergovernmental Affairs.	DC170073	04/28/2018
DEPARTMENT OF HEALTH AND HUMAN SERVICES.	Office for Civil Rights .....	Chief of Staff .....	DH170211	04/05/2018
	Office of Administration for Children and Families.	Policy Advisor .....	DH170138	04/03/2018
	Office of Centers for Medicare and Medicaid Services.	Special Assistant .....	DH170127	04/06/2018
	Office of Intergovernmental and External Affairs.	Senior Advisor to the Administrator	DH170309	04/15/2018
	Office of the Assistant Secretary for Public Affairs.	Director of External Affairs .....	DH170136	04/05/2018
	Office of the Secretary .....	Communications Advisor .....	DH170087	04/03/2018
			Director of Boards and Commissions.	DH170177
DEPARTMENT OF HOMELAND SECURITY.	Office of the Chief of Staff .....	Special Assistant to the Secretary for Advance.	DH180034	04/20/2018
		Deputy White House Liaison .....	DM170183	04/13/2018
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT.	Office of Government National Mortgage Association.	Special Assistant .....	DU180036	04/14/2018
DEPARTMENT OF JUSTICE .....	Office of Legal Policy .....	Counsel .....	DJ170090	04/07/2018
DEPARTMENT OF LABOR .....	Office of the Attorney General .....	White House Liaison .....	DJ170172	04/30/2018
		Speech Writer .....	DL170063	04/17/2018
DEPARTMENT OF STATE .....	Office of Public Affairs .....	Special Advisor .....	DS170165	04/13/2018

Agency name	Organization name	Position title	Request No.	Date vacated
DEPARTMENT OF THE TREASURY.	Office of the Chief of Staff .....	Personal Aide to the Secretary .....	DY170073	04/07/2018
DEPARTMENT OF TRANSPORTATION.	Office of the Secretary .....	Special Assistant .....	DT170052	04/14/2018
GENERAL SERVICES ADMINISTRATION.	Office of Federal Acquisition Service. Office of Strategic Communications	Special Assistant for Scheduling and Advance. Executive Director .....	DT170106	04/28/2018
		Associate Administrator for Strategic Communications. Senior Advisor for Technology .....	GS170045	04/14/2018
OFFICE OF THE SECRETARY OF DEFENSE.	Office of the Administrator .....	Defense Fellow .....	GS170021	04/21/2018
PRESIDENTS COMMISSION ON WHITE HOUSE FELLOWSHIPS.	Office of Washington Headquarters Services. Presidents Commission on White House Fellowships.	Confidential Assistant .....	DD170125	04/14/2018
		Confidential Assistant .....	WH170007	04/14/2018
SECURITIES AND EXCHANGE COMMISSION.	Office of the Chairman .....	Confidential Assistant .....	SE140003	04/05/2018
SMALL BUSINESS ADMINISTRATION.	Office of the Administrator .....	Assistant Administrator for Intergovernmental Affairs. Legislative Assistant .....	SB170012	04/12/2018
		Legislative Assistant .....	SB170027	04/14/2018

**Authority:** 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR, 1954–1958 Comp., p. 218.

Office of Personnel Management.

**Alexys Stanley,**  
*Regulatory Affairs.*

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–84020; File No. SR–FINRA–2018–033]

### Self-Regulatory Organizations; Financial Industry Regulatory Authority, Inc.; Notice of Filing and Immediate Effectiveness of a Proposed Rule Change To Amend Section 4(c) of Schedule A to the FINRA By-Laws Relating to Qualification Examination Fees

September 4, 2018.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”)<sup>1</sup> and Rule 19b–4 thereunder,<sup>2</sup> notice is hereby given that on August 20, 2018, Financial Industry Regulatory Authority, Inc. (“FINRA”) filed with the Securities and Exchange Commission (“SEC” or “Commission”) the proposed rule change as described in Items I, II, and III below, which Items have been prepared by FINRA. FINRA has designated the proposed rule change as “establishing or changing a due, fee or other charge” under Section 19(b)(3)(A)(ii) of the Act<sup>3</sup> and Rule 19b–4(f)(2) thereunder,<sup>4</sup> which renders the

proposal effective upon receipt of this filing by the Commission. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

FINRA is proposing to amend Section 4(c) of Schedule A to the FINRA By-Laws to: (1) Establish the fee for the Securities Industry Essentials™ (“SIE™”) examination; (2) revise the fees for the Investment Company and Variable Contracts Products Representative (Series 6), General Securities Representative (Series 7), Direct Participation Programs Representative (Series 22), Securities Trader (Series 57), Investment Banking Representative (Series 79), Private Securities Offerings Representative (Series 82) and Operations Professional (Series 99) examinations; (3) revise the administration and delivery fee for the Municipal Securities Representative (Series 52) examination; and (4) remove the Order Processing Assistant Representative (Series 11), United Kingdom Securities Representative (Series 17), Canada Securities Representative (Series 37 and Series 38), Options Representative (Series 42), Corporate Securities Representative (Series 62) and Government Securities Representative (Series 72) examinations and the associated fees. The proposed rule change relates to the restructuring of the FINRA representative-level qualification examination program. The proposed rule change also makes certain non-substantive technical changes to the table of fees under Section 4(c).

The text of the proposed rule change is available on FINRA’s website at <http://www.finra.org>, at the principal office of FINRA and at the Commission’s Public Reference Room.

#### II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, FINRA included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. FINRA has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

##### A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

###### 1. Purpose

In July 2017, the SEC approved a proposed rule change to restructure the FINRA representative-level qualification examination program.<sup>5</sup> The rule change, which will become effective on October 1, 2018,<sup>6</sup> restructures the examination

<sup>5</sup> See Securities Exchange Act Release No. 81098 (July 7, 2017), 82 FR 32419 (July 13, 2017) (Order Approving File No. SR–FINRA–2017–007). For ease of reference, FINRA revised the titles of some of the registration categories as part of SR–FINRA–2017–007. For instance, FINRA changed “Limited Representative—Investment Company and Variable Contracts Products” to “Investment Company and Variable Contracts Products Representative.” This proposed rule change refers to the titles that were approved as part of SR–FINRA–2017–007, though the changes are not yet effective.

<sup>6</sup> See *Regulatory Notice* 17–30 (October 2017).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 17 CFR 240.19b–4.

<sup>3</sup> 15 U.S.C. 78s(b)(3)(A)(ii).

<sup>4</sup> 17 CFR 240.19b–4(f)(2).