

Federal Communications Commission

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(c) If you submit paper copies of your application with your payment, we will consider them as copies and may not retain them.

§ 1.10011 Who may sign applications?

(a) “Signed” in this section refers to electronically filed applications. An electronic application is “signed” when there is an electronic signature. An electronic signature is the typed name of the person “signing” the application, which is then electronically transmitted via IBFS.

(b) For all electronically filed applications, you (or the signor) must actually sign a paper copy of the application, and keep the signed original in your files for future reference.

(c) You only need to sign the original of applications, amendments, and related statements of fact.

(d) Sign applications, amendments, and related statements of fact as follows (either electronically or manually):

- (1) By you, if you are an individual;
 - (2) By one of the partners, if you are a partnership;
 - (3) By an officer, director, or duly authorized employee, if you are a corporation; or
 - (4) By a member who is an officer, if you are an unauthorized association.
- (e) If you file applications, amendments, and related statements of fact on behalf of eligible government entities, an elected or appointed official who may sign under the laws of the applicable jurisdiction must sign the document. Eligible government entities are:

- (1) States and territories of the United States,
- (2) Political subdivisions of these states and territories,
- (3) The District of Columbia, and
- (4) Units of local government.

(f) If you are either physically disabled or absent from the United States, your attorney may sign applications, amendments and related statements of facts on your behalf.

(1) Your attorney must explain why you are not signing the documents.

(2) If your attorney states any matter based solely on his belief (rather than knowledge), your attorney must ex-

plain his reasons for believing that such statements are true.

(g) It is unnecessary to sign applications, amendments, and related statements of fact under oath. However, willful false statements are punishable by a fine and imprisonment, 18 U.S.C. 1001, and by administrative sanctions.

§ 1.10012 When can I file on IBFS?

IBFS is available 24 hours a day, seven (7) days a week for filing.

§ 1.10013 How do I check the status of my application after I file it?

You can check the status of your application through the “Search Tools” on the IBFS homepage. The IBFS homepage is located at www.fcc.gov/ibfs.

§ 1.10014 What happens after officially filing my application?

(a) We give you an IBFS file number.

(b) We electronically route your application to an analyst who conducts an initial review of your application. If your application is incomplete, we will either dismiss the application, or contact you by telephone, letter or email to ask for additional information within a specific time. In cases where we ask for additional information, if we do not receive it within the specified time, we will dismiss your application. In either case, we will dismiss your application without prejudice, so that you may file again with a complete application.

(c) If your application is complete, and we verify receipt of your payment, it will appear on an “Accepted for Filing” Public Notice, unless public notice is not required. An “Accepted for Filing” Public Notice gives the public a certain amount of time to comment on your filing. This period varies depending upon the type of application.

(1) Certain applications do not have to go on an “Accepted for Filing” Public Notice prior to initiation of service, but instead are filed as notifications to the Commission of prior actions by the carriers as authorized by the rules. Examples include pro forma notifications of transfer of control and assignment and certain foreign carrier notifications.

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(2) Each “Accepted for Filing” Public Notice has a report number. Examples of various types of applications and

their corresponding report number (the “x” represents a sequential number) follow.

Type of application	Report No.
325-C Applications	325-xxxxx
Accounting Rate Change	ARC-xxxxx
Foreign Carrier Affiliation Notification	FCN-xxxxx
International High Frequency	IHF-xxxxx
International Public Fixed	IPF-xxxxx
Recognized Operating Agency	ROA-xxxxx
Satellite Space Station	SAT-xxxxx
Satellite Earth Station	SES-xxxxx
International Telecommunications:	
Streamlined	TEL-xxxxxS
Non-streamlined	TEL-xxxxxNS and/or DA
Submarine Cable Landing:	
Streamlined	SCL-xxxxxS
Non-streamlined	SCL-xxxxxNS and/or DA

(d) After the Public Notice, your application may undergo legal, technical and/or financial review as deemed necessary. In addition, some applications require coordination with other government agencies.

(e) After review, we decide whether to grant or deny applications or whether to take other necessary action. Grants, denials and any other necessary actions are noted in the IBFS database. Some filings may not require any affirmative action, such as some Foreign Carrier Affiliation Notification Filings. Other filings, such as

some International Section 214 Applications, International Accounting Rate Change Filings and Requests for assignment of Data Network Identification Codes, may be granted automatically on a specific date unless the applicant is notified otherwise prior to that date, as specified in the rules.

(f) We list most actions taken on public notices. Each “Action Taken” Public Notice has a report number. Examples of various types of applications and their corresponding report number (the “x” represents a sequential number) follow.

Type of application	Report No.
325-C Applications	325-xxxxx
Accounting Rate Change	No action taken PN released
Foreign Carrier Affiliation Notification	No action taken PN released
International High Frequency	IHF-xxxxx
International Public Fixed	IPF-xxxxx
Recognized Operating Agency	No action taken PN released
Satellite Space Station	SAT-xxxxx (occasionally)
Satellite Earth Station	SES-xxxxx
International Telecommunications	TEL-xxxxx and DA
Submarine Cable Landing	TEL-xxxxx and DA

(g) Other actions are taken by formal written Order, oral actions that are followed up with a written document, or grant stamp of the application. In all cases, the action dates are available online through the IBFS system.

(h) *Issuing and Mailing Licenses for Granted Applications.* Not all applica-

tions handled through IBFS and granted by the Commission result in the issuance of a paper license or authorization. A list of application types and their corresponding authorizations follows.

Type of application	Type of license/authorization issued
325–C Application	FCC permit mailed to permittee or contact, as specified in the application.

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Type of application	Type of license/authorization issued
Accounting Rate Change	No authorizing document is issued by the Commission. In some cases, a Commission order may be issued related to an Accounting Rate Change filing.
Data Network Identification Code Filing	Letter confirming the grant of a new DNIC or the reassignment of an existing DNIC is mailed to the applicant or its designated representative.
Foreign Carrier Affiliation Notification	No authorizing document is issued by the Commission. In some cases, a Commission order may be issued related to a Foreign Carrier Affiliation Notification.
International High Frequency: Construction Permits,	For all applications, an original, stamped authorization is issued to the applicant and a copy of the authorization is sent to the specified contact.
Licenses, Modifications, Renewals, and Transfers of Control/Assignment of License	
International Public Fixed: 1. Construction Permits	1. Once the operating license is granted, the construction period therein is specified as a condition on the license.
2. Request for Special Temporary Authority	2. Letter, grant-stamped request, or short order.
3. New Authorization	3. License issued and mailed to applicant (original) and specified contact (copy).
4. Amendment	4. If granted, the action is incorporated into the license for the underlying application.
5. Modification	5. License issued and mailed to applicant (original) and specified contact (copy).
6. Renewal	6. License issued and mailed to applicant (original) and specified contact (copy).
7. Transfer of Control/Assignment of License	7. If granted, Form A-732 authorization issued and mailed to applicant (original), parties to the transaction, and the applicant's specified contact (copy).
Recognized Operating Agency	The FCC sends a letter to the Department of State requesting grant or denial of recognized operating agency status. (The applicant is mailed a courtesy copy.) The Department of State issues a letter to both the Commission and the Applicant advising of their decision.
Satellite Space Station: 1. Request for Special Temporary Authority	1. Letter, grant-stamped request, or short order.
2. New Authorization	2. Generally issued by Commission Order.
3. Amendment	3. Generally issued as part of a Commission Order acting upon the underlying application.
4. Modification	4. Generally issued by Commission Order.
5. Transfer of Control/Assignment of License	5. Generally issued by Commission Order or Public Notice. Also, Form A-732 authorization issued and mailed to applicant (original), parties to the transaction, and the applicant's specified contact (copy).
Satellite Earth Station: 1. Request for Special Temporary Authority	1. Letter, grant-stamped request, or short order.
2. New Authorization	2. License issued and mailed to applicant (original) and specified contact (copy).
3. Amendment	3. If granted, the action is incorporated into the license for the underlying application.
4. Modification	4. License issued and mailed to applicant (original) and specified contact (copy).
5. Renewal	5. License issued and mailed to applicant (original) and specified contact (copy).
6. Transfer of Control/Assignment of License	6. If granted, Form A-732 authorization issued and mailed to applicant (original), parties to the transaction, and the applicant's specified contact (copy).
International Telecommunications—Section 214: 1. Streamlined (New, Transfer of Control, Assignment)	1. Action Taken Public Notice serves as the authorization document. This notice is issued weekly and is available online both at IBFS (http://www.fcc.gov/ibfs) and the Electronic Document Management System (EDOCS) (http://www.fcc.gov/e-file/).
2. Non-streamlined (New, Transfer of Control, Assignment)	2. Decisions are generally issued by PN; some are done by Commission Order.
3. Request for Special Temporary Authority	3. Letter, grant-stamped request issued to applicant.
International Signaling Point Code Filing	Letter issued to applicant.
Submarine Cable Landing License Application: 1. Streamlined (New, Transfer of Control, Assignment)	1. Action Taken Public Notice serves as the authorization document. This notice is issued weekly and is available online both at IBFS, which can be found at http://www.fcc.gov/ibfs , and the Electronic Document Management System (EDOCS), which can be found at http://www.fcc.gov/e-file/ .

Type of application	Type of license/authorization issued
2. Non-Streamlined (New, Transfer of Control, Assignment).	2. Decisions are generally issued by PN; some are done by Commission Order.

§ 1.10015 Are there exceptions for emergency filings?

(a) Sometimes we grant licenses, modifications or renewals even if no one files an application. Instances where this may occur include:

(1) If we find there is an emergency involving danger to life or property, or because equipment is damaged;

(2) If the President proclaims, or if Congress declares, a national emergency;

(3) During any war in which the United States is engaged and when grants, modifications or renewals are necessary for national defense, security or in furtherance of the war effort; or

(4) If there is an emergency where we find that it is not feasible to secure renewal applications from existing licensees or to follow normal licensing procedures.

(b) Emergency authorizations stop at the end of emergency periods or wars. After the emergency period or war, you must submit your request by filing the appropriate form either manually or electronically.

(c) The procedures for emergency requests, as described in this section, are as specified in §§ 25.120 and 63.25 of this chapter.

§ 1.10016 How do I apply for special temporary authority?

(a) Requests for Special Temporary Authority (STA) may be filed via IBFS for most services. We encourage you to file STA applications through IBFS as it will ensure faster receipt of your request.

(b) For specific information on the content of your request, refer to §§ 25.120 and 63.25 of this chapter.

§ 1.10017 How can I submit additional information?

In response to an official request for information from the International Bureau, you can submit additional information electronically directly to the requestor, or by mail to the Office of

the Secretary, Attention: International Bureau.

§ 1.10018 May I amend my application?

(a) If the service rules allow, you may amend pending applications.

(b) If an electronic version of an amendment application is available in IBFS, you may file your amendment electronically through IBFS.

Subpart Z—Communications Assistance for Law Enforcement Act

SOURCE: 71 FR 38108, July 5, 2006, unless otherwise noted.

§ 1.20000 Purpose.

Pursuant to the Communications Assistance for Law Enforcement Act (CALEA), Public Law 103–414, 108 Stat. 4279 (1994) (codified as amended in sections of 18 U.S.C. and 47 U.S.C.), this subpart contains rules that require a telecommunications carrier to:

(a) Ensure that any interception of communications or access to call-identifying information effected within its switching premises can be activated only in accordance with appropriate legal authorization, appropriate carrier authorization, and with the affirmative intervention of an individual officer or employee of the carrier acting in accordance with regulations prescribed by the Commission; and

(b) Implement the assistance capability requirements of CALEA section 103, 47 U.S.C. 1002, to ensure law enforcement access to authorized wire and electronic communications or call-identifying information.

§ 1.20001 Scope.

The definitions included in 47 CFR 1.20002 shall be used solely for the purpose of implementing CALEA requirements.

§ 1.20002 Definitions.

For purposes of this subpart: