### §§61.11-61.12

(uu) *Text change*. A change in the text of a tariff which does not result in a change in any rate or regulation.

(vv) *United States*. The several States and Territories, the District of Columbia, and the possessions of the United States.

(ww) Corridor service. "Corridor service" refers to interLATA services offered in the "limited corridors" established by the District Court in United States v. Western Electric Co., Inc., 569 F. Supp. 1057, 1107 (D.D.C. 1983).

(xx) *Toll dialing parity*. "Toll dialing parity" exists when there is dialing parity, as defined in §51.5 of this chapter, for toll services.

(yy) *Loop-based services*. Loop-based services are services that employ Subcategory 1.3 facilities, as defined in §36.154 of this chapter.

(zz) Zone Average Revenue per Line. The amount calculated as follows:

Zone Average Revenue per Line = (25% \* (Loop + Port)) + U (Uniform revenue per line adjustment)

### Where:

Loop = the price for unbundled loops in a UNE zone.

Port = the price for switch ports in that UNE zone.

U = [(Average Price Cap CMT Revenue per Line month in a study area \* price cap local exchange carrier Base Period Lines) – (25% \* Σ (price cap local exchange carrier Base Period Lines in a UNE Zone \* ((Loop + Port ) for all zones)))] + price cap local exchange carrier Base Period Lines in a study area.

### [54 FR 19840, May 8, 1989]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting §61.3, see the List of CFR Sections Affected, which appears in the Finding Aids section of the printed volume and on GPO Access.

### §§ 61.11-61.12 [Reserved]

# Subpart B—Rules for Electronic Filing

SOURCE: 63 FR 35540, June 30, 1998, unless otherwise noted.

## § 61.13 Scope.

(a) This applies to all tariff publications of carriers required to file tariff publications electronically, and any tariff publication that a carrier chooses to file electronically.

- (b) All incumbent local exchange carriers are required to file tariff publications electronically.
- (c) All tariff publications shall be filed in a manner that is compatible and consistent with the technical requirements of the Electronic Tariff Filing System.

#### §61.14 Method of filing publications.

(a) Publications filed electronically must be addressed to "Secretary, Fed-Communications Commission, era.l Washington, DC 20554." The Electronic Tariff Filing System will accept filings 24 hours a day, seven days a week. The official filing date of a publication received by the Electronic Tariff Filing System will be determined by the date and time the transmission ends. If the transmission ends after the close of a business day, as that term is defined in  $\S1.4(e)(2)$  of this Chapter, the filing will be date and time stamped as of the opening of the next business day.

(b)(1) In addition, except for issuing carriers filing tariffing fees electronically, for all tariff publications requiring fees as set forth in part 1, subpart G of this chapter, issuing carriers must submit the original of the cover letter (without attachments), FCC Form 159, and the appropriate fee to the U.S. Bank, St. Louis, Missouri at the address set forth in §1.1105 of this chapter.

- (2) Issuing carriers filing tariffing fees electronically must submit the Form 159. The issuing carrier may submit the Form 159 in either of the methods set forth in paragraphs (b)(2)(i) or (b)(2)(ii) of this section:
- (i) Issuing carriers submitting tariffing fees electronically may submit a paper copy of the Form 159, and the original transmittal letter to the Secretary of the Commission in lieu of the U.S. Bank, or;
- (ii) Issuing carriers submitting tariffing fees electronically may submit a copy of the Form 159 electronically as an associated document with their tariff filing publication. In this instance issuing carriers must provide an electronic signature on their letter of transmittal in accordance with section 1.52 of this chapter.

- (iii) Regardless of whether the Form 159 is submitted pursuant to paragraph (b)(2)(i) or (b)(2)(ii) of this section, the Form 159 should display the Electronic Audit Code in the box in the upper left hand corner marked "reserved." Issuing carriers should submit these fee materials on the same date as the submission in paragraph (a) of this section
- (c) Carriers that are required to file publications electronically may not file those publications on paper or other media unless specifically required to do so by the Commission.
- (d) Carriers that are required to file publications electronically need only transmit one set of files to the Commission. No other copies to any other party are required.
- (e) Carriers that are required to file publications electronically must continue to comply with the format requirements set forth in part 61.

[63 FR 35540, June 30, 1998, as amended at 64 FR 46586, Aug. 26, 1999; 73 FR 9030, Feb. 19, 2008]

# § 61.15 Letters of transmittal and cover letters.

- (a) All tariff publications filed with the Commission electronically must be accompanied by a letter of transmittal. All letters of transmittal must:
- (1) Concisely explain the nature and purpose of the filing;
- (2) Specify whether supporting information is required for the new tariff or tariff revision, and specify the Commission rule or rules governing the supporting information requirements for that filing;
- (3) Contain a statement indicating the date and method of filing of the original of the transmittal as required by §61.14(b);
- (4) Include the FCC Registration Number (FRN) of the carrier(s) on whose behalf the cover letter is submitted. See subpart W of part 1 of this title.
- (b) Carriers filing tariffs electronically pursuant to the notice requirements of section 204(a)(3) of the Communications Act shall display prominently, in the upper right hand corner of the letter of transmittal, a statement that the filing is made pursuant

to that section and whether the tariff is filed on 7 or 15 days notice.

- (c) Any carrier filing a new or revised tariff made on 15 days' notice or less shall include in the letter of transmittal the name, room number, street address, telephone number, and facsimile number of the individual designated by the filing carrier to receive personal or facsimile service of petitions against the filing as required under §1.773(a)(4) of this chapter.
- (d) The letter of transmittal must specifically reference by number any special permission necessary to implement the tariff publication. Special permission must be granted prior to the filing of the tariff publication and may not be requested in the transmittal letter.
- (e) The letter of transmittal must be substantially in the format established in §§ 61.33(g) and 61.33(h)(1).
- (f) All submissions of documents other than a new tariff or revisions to an existing tariff, such as Base Documents or Tariff Review Plans, must be accompanied by a cover letter that concisely explains the nature and purpose of the filing. Publications submitted under this paragraph are not required to submit a tariffing fee.

[63 FR 35540, June 30, 1998, as amended at 66 FR 47896, Sept. 14, 2001]

### §61.16 Base documents.

- (a) The Base Document is a complete tariff which incorporates all effective revisions, as of the last day of the preceding month. The Base Document should be submitted with a cover letter as specified in §61.15(f) of this part and identified as the Monthly Updated Base Document.
- (b) Initially, carriers that currently have tariffs on file with the commission must file a Base Document within five days of the initiation of mandatory electronic filing.
- (c) Subsequently, if there have been revisions that became effective up to and including the last day of the preceding month, a new Base Document must be submitted within the first five business days of the current month that will incorporate those revisions.