

United States of America  
Federal Communications Commission

APPLICATION FOR CONSENT TO TRANSFER OF CONTROL OF  
CORPORATION HOLDING RADIO BROADCAST STATION  
CONSTRUCTION PERMIT OR LICENSE

File No.

Name and post office address of transferor (See Instruction A for Part I)

GENERAL INSTRUCTIONS

A. This form is to be used in all cases when applying for Consent to Transfer of Control of Corporation Holding Radio Broadcast Station Construction Permit or License. It consists of three parts which are to be completed by the Transferor, the Licensee, and the Transferee, respectively.

B. The transferor's part consists of pages 1 and 2 of Section I.

C. The licensee's part consists of pages 3 and 4 of Section I.

D. The transferee's part consists of pages 5 and 6 of Section I and the following other sections:

- Section II, Legal Qualifications of Broadcast Applicant
- Section III, Financial Qualifications of Broadcast Applicant
- Section IV, Statement of Program Service of Broadcast Applicant

Information requested of the transferee in Paragraphs 1 and 3 of Section III, of this application is not required of a transferee of a licensed station but must be furnished by a transferee of a permittee only.

E. Prepare and file three copies of this form and all exhibits and swear to one copy. File with Federal Communications Commission, Washington 25, D. C.

F. Number exhibits serially in the spaces provided in the body of the form. List exhibits furnished by the transferor on page two of this part; list the licensee's exhibits on page four of Part II; list the transferee's exhibits on page six of Part III. Date each exhibit.

G. Information called for by this application which is already on file with the Commission need not be refiled in this application provided (1) the information is now on file in another application or FCC form filed by or on behalf of these applicants; (2) the information is identified fully by reference to the file number (if any), the FCC form number, and the filing date of the application or other form containing the information and the page or paragraph referred to, and (3) after making the reference, the applicants state: "No change since date of filing." Any such reference will be considered to incorporate into this application all information, confidential or otherwise, contained in the application or other form referred to. The incorporated application or other form will thereafter, in its entirety, be open to the public.

H. BE SURE ALL NECESSARY INFORMATION IS FURNISHED AND ALL PARAGRAPHS ARE FULLY ANSWERED. IF ANY PORTIONS OF THE APPLICATION ARE NOT APPLICABLE, SPECIFICALLY SO STATE. DEFECTIVE OR INCOMPLETE APPLICATIONS MAY BE RETURNED WITHOUT CONSIDERATION.

Send notices and communications to the following-named person at the post office address indicated:

Name of licensee

Address of licensee (number, street, city, state)

Name of transferee

Address of transferee (number, street, city, state)

1. Authorization held by corporation whose control is to be transferred

Call letters

Location

File number

Date of grant

If license, give expiration date

If construction permit, give date of required completion

Authorizations of any Remote Pickup, STL or other stations held by corporation control over which is to be transferred

Call letters

File numbers

2. Transferor's control over licensee and method of transfer

a. Give full statement as to the means by which transferor has control over the licensee, i.e., stock ownership, contract, etc.

INSTRUCTIONS FOR PART I (Transferor)

B. This part of this application must be executed by transferor if an individual; by one of the partners of the transferor if a partnership; by an officer of transferor if a corporation or association; or by attorney of transferor only under conditions shown in Section 1.303, Rules Relating to Practice and Procedure, in which event satisfactory evidence of disability of transferor or his absence from the Continental United States and authority of attorney to act must be submitted with application.

b. If the transfer of control proposed in the application arises out of a contract with transferee, attach the contract hereto as Exhibit No.

c. Is this the only contract or agreement? Yes  No

If the answer is "No", reduce to writing if not already in the written form and submit as Exhibit No. such other agreements or understandings as there may be.

2. (Continued)

d. Are voting rights only in stock proposed to be transferred? Yes  No   
 If so, give below a narrative statement showing the class and par value of stock involved, and the conditions under which such rights are to be transferred to and exercised by transferee.

e. If control is to be transferred in any other manner, give below a complete description

3. Stock holdings of transferor in licensee

Maximum holdings in licensee	Present holdings in licensee	Holdings in licensee to be transferred	Holdings in licensee after transfer
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4. Is transferor, or any person directly or indirectly controlling transferor, party to a suit in any Federal court involving the monopolizing, or an attempt to monopolize radio communication directly or indirectly through control of the manufacture or sale of radio apparatus, by exclusive traffic arrangements or by any other means, or of using unfair methods of competition? Yes  No

6. Has transferor, or any partner, officer, member of the governing board, director, or principal stockholder any interest of any character whatever in, or any connection with the transferee? If the answer is "Yes", give a full and complete disclosure of the nature and extent of the interest in or connection with each. Yes  No

5. Is transferor party to any other suit or proceeding which may be affected by the proposed transfer of control? If so, describe proceeding below. Yes  No

7. Will control over licensee corporation be transferred to or exercised by transferee before the written consent of the Commission is obtained? Yes  No

8. Give transferor's reasons or purposes for requesting this transfer of control.

The transferor represents that this application is not filed for the purpose of impeding, obstructing, or delaying determination on any other application with which it may be in conflict.

All the statements made in this part of this application and attached exhibits called for by this part are considered material representations, and all the exhibits are a material part hereof and are incorporated herein as if set out in full in this application.

The transferor, or the undersigned on the transferor's behalf states that he has endeavored to supply full and correct information as to all matters which are relevant to this application and that he has done so as to all matters within his own knowledge.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_

(Name of transferor)

By \_\_\_\_\_

Subscribed and sworn to before

me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_

Title

Notary Public

SEAL

(Notary public's seal must be affixed where the law of jurisdiction requires, otherwise state that law does not require seal.)

My commission expires \_\_\_\_\_

EXHIBITS furnished as required by this form:

Exhibit No.	Section and Para. No. of Form	Name of transferor's officer or employee (1) by whom or (2) under whose direction exhibit was prepared (show which)	Official Title

INSTRUCTIONS FOR PART II (Licensee)

A. The name of the licensee must be stated exactly as it appears in the construction permit or license held by the corporation control of which is to be transferred.

B. This part of this application must be executed by licensee if an individual; by one of the partners of the licensee if a partnership; by an officer of licensee if a corporation or association; or by attorney of licensee only under conditions shown in Section 1.303, Rules Relating to Practice and Procedure; in which event satisfactory evidence of disability of licensee or his absence from the Continental United States and authority of attorney to act must be submitted with application.

Name and post office address of licensee (See Instruction A for Part II)

Send notices and communications to the following-named person at the post office address indicated:

1. Action which will bring about transfer of control

Change in the classification or voting rights in stock? Yes  No

If the answer is "Yes", attach as Exhibit No. \_\_\_\_\_ the Amendment to the Articles of Incorporation (properly certified) which authorizes this change.

Issue of stock or sale of Treasury stock? Yes  No

Reduction in the outstanding stock? Yes  No

Any other action? If the answer is "Yes", explain Yes  No

Attach as Exhibit No. \_\_\_\_\_ certified copies of the resolution, etc. which authorizes the action described in this paragraph. This document must state the action in detail and show how control is effected.

2. Is the information shown in licensee's Ownership Reports, now on file with the Commission true and correct as of this date? Yes  No

If the answer is "No", attach as Exhibit No. \_\_\_\_\_ an Ownership Report supplying full information to bring such data up-to-date.

7. Does licensee contemplate sale of any stock or other security? Yes  No

If the answer is "Yes", give details as required below:

Class	No. Shares	Par Value

The full purpose of sale of stock

Character and amount of other securities to be sold

To whom is the stock or securities to be sold?

What consideration and/or other benefit from such sale is to be received by licensee?

What consideration and/or other benefit from such sale is to be received by any other parties?

Submit any additional facts not specifically called for herein which will complete the information as to the transfer set out in the application

8. If this application involves transfer of control of a corporation holding a construction permit, give below the status of the construction as of the date of this application.

9. Is licensee party to any suit or proceeding which may be affected by the proposed transfer of control? If "Yes", describe proceeding below, identify the court, and furnish records of proceedings as Exhibit No. Yes  No

10. If the reasons for this transfer are not stated accurately and fully elsewhere in this application, give below whatever additional facts are required to complete the above information.

The licensee represents that this application is not filed for the purpose of impeding obstructing, or delaying determination on any other application with which it may be in conflict.

All the statements made in this part of this application and attached exhibits called for by this part are considered material representations, and all the exhibits are a material part hereof and are incorporated herein as if set out in full in this application.

The licensee, or the undersigned on the licensee's behalf, states that he has endeavored to supply full and correct information as to all matters which are relevant to this application and that he has done so as to all matters within his own knowledge.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

(Name of licensee)

By \_\_\_\_\_

Title

Subscribed and sworn to before

me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

Notary Public

**SEAL**

(Notary public's seal must be affixed where the law of jurisdiction requires, otherwise state that law does not require seal.)

My commission expires \_\_\_\_\_

EXHIBITS furnished as required by this form:

Exhibit No.	Section and Para. No. of Form	Name of licensee's officer or employee (1) by whom or (2) under whose direction exhibit was prepared (show which)	Official title

INSTRUCTIONS FOR PART III (Transferee)

A. The name of the transferee, stated in Section I hereof, shall be the exact corporate name, if a corporation, if a partnership, the names of all partners and the name under which the partnership does business; if an unincorporated association, the name of the executive officer, his office, and the name of the association. In other sections of the form, the name need be only sufficient for identification of the transferee.

B. This part of this application must be executed by transferee if an individual; by one of the partners of the transferee if a partnership; by an officer of transferee if a corporation or association; or by attorney of transferee only under conditions shown in Section 1.303 Rules Relating to Organization and Practice and Procedure, in which event satisfactory evidence of disability of transferee or his absence from the Continental United States and authority of attorney to act must be submitted with application.

C. Before filling out this application the transferee should familiarize himself with the Communications Act of 1934 and the following parts of the Commission's Rules and Regulations: Part I, Rules Relating to Practice and Procedure; and Parts Relating to the Broadcast Services.

Name and post office address of transferee (See Instruction A of Part III)

Send notices and communications to the following-named person at the post office address indicated:

1. Is this application for

a. Consent to voluntary transfer of control of corporation holding construction permit and/or license

b. Consent to involuntary transfer of control of corporation holding construction permit and/or license

2. Give a full statement of transferee's reasons or purposes for requesting this transfer.

The transferee waives any claim to the use of any particular frequency or of the ether as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests consent to the transfer of control over the licensee corporation in accordance with this application. (See Section 304 of the Communications Act of 1934)

The transferee represents that this application is not filed for the purpose of impeding, obstructing, or delaying determination on any other application with which it may be in conflict.

All the statements made in this part of this application and attached exhibits called for by this part are considered material representations, and all the exhibits are a material part hereof and are incorporated herein as if set out in full in this application.

The transferee, or the undersigned on the transferee's behalf, states that he has endeavored to supply full and correct information as to all matters which are relevant to this application and that he has done so as to all matters within his own knowledge.

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_\_

(Name of transferee)

By \_\_\_\_\_

Subscribed and sworn to before

Title

me this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_\_

SEAL

(Notary public's seal must be affixed where the law of jurisdiction requires, otherwise state that law does not require seal.)

Notary Public

My commission expires \_\_\_\_\_

If transferee is represented by legal counsel, state name and post office address:

EXHIBITS furnished as required by this form:

Exhibit No.	Section and Para. No. of Form	Name of transferee's officer or employee (1) by whom or (2) under whose direction exhibit was prepared (show which)	Official title

LEGAL QUALIFICATIONS  
OF BROADCAST APPLICANT

Name of Applicant

## INSTRUCTIONS

As used in paragraphs 6 to 10 and 19 to 21, both inclusive, of Section II of this form, the words "party to this application" have the following meanings, respectively: In case of an *individual applicant*, the applicant. In case of a *partnership applicant*, all partners, including limited and silent partners. In case of a *corporate applicant*, all officers, directors, stockholders of record, persons owning the beneficial interest in any stock, subscribers to any stock, and persons who voted any of the voting stock at the last stockholders meeting. In case of any other applicant, all executive officers, members of the governing board, and owners or subscribers to any membership or ownership interest in the applicant. In case of an application for assignment or transfer, Section II should be completed only for the assignee or transferee, showing the ownership as it will be after the assignment or transfer has taken place. (Note: If the applicant considers that to furnish a complete answer to the paragraphs referred to would be an unreasonable burden, it may request the Commission for a waiver of the strict terms of this requirement.)

1. Applicant is (Check one): An individual , a general partnership , a limited partnership , a corporation ,  
an unincorporated association

2. If applicant is not an individual, give the State, District,  
Territory or Possession under the laws of which it is organized.

3. Submit as Exhibit No. \_\_\_\_\_ copies, one of which must be properly certified, of (a) if applicant is a general or limited partnership, the partnership agreement; (b) if applicant is a corporation, the articles of incorporation (or charter) and the by-laws, certified by the Secretary of State or other appropriate official; (c) if applicant is an unincorporated association, the articles of association or other legal instrument under which applicant is organized showing the purpose thereof, and the bylaws, if any. In each case, submit properly certified copies of all amendments.

4. If applicant is a corporation or an unincorporated association, indicate specifically by reference to page and paragraph of the articles of incorporation or of association, the charter powers relied upon by the applicant to show that it is legally empowered to construct and operate the proposed station. If the articles of incorporation do not specifically authorize kind of business sought to be entered into, attach a statement from Secretary of State or other officer interpreting the language relied upon.

5. Complete Tables I and II on pages 3 and 4.

## CITIZENSHIP AND OTHER STATUTORY REQUIREMENTS (See instructions above)

6. If applicant is an individual, is the applicant a citizen of the United States; or, if applicant is not an individual, are all parties to this application citizens of the United States? Yes  No   
If the answer is "No", state the name and citizenship of each person who is not a citizen of the United States.

7. Is United States citizenship of any party to this application claimed by reason of naturalization? Yes  No   
If so, state the name of such party, the date and place of issuance of final certificate of naturalization, certificate number, and name and location of court authorizing issuance of same.

8. Is United States citizenship of any party to this application claimed by reason of naturalization of a parent? Yes  No   
If so, state the name of such party, the name of the parent to whom the final certificate was issued, the age of the party to this application at the time the certificate was issued, and any additional facts relied on to establish citizenship, in addition to the information required by Paragraph 7 hereof.

9. (a) Is applicant or any party to this application a representative of an alien or of a foreign government? Yes  No   
(b) If applicant is a corporation, is more than 20 percent of the capital stock owned of record or may it be voted by aliens or their representatives, or by a foreign government or a representative thereof, or by any corporation organized under the laws of a foreign country? Yes  No   
(c) If applicant is a corporation and is controlled by another corporation or corporations, is more than 25 percent of the capital stock of such controlling corporation or corporations owned of record or may it be voted by aliens, their representatives, or by any corporation organized under the laws of a foreign country? Yes  No

(d) If the answer to any of the foregoing parts of this paragraph is "Yes", submit as Exhibit No. \_\_\_\_\_ a full disclosure concerning the persons and matters involved.

10. (a) Has applicant or any party to this application had a station license revoked by order or decree of any Federal court? Yes  No   
(b) Has the applicant or any party to this application been found guilty by a Federal court of the violation of the laws of the United States relating to unlawful restraints and monopolies and to combinations, contracts, or agreements in restraint of trade? Yes  No

(c) Has the applicant or any party to this application been finally adjudged guilty by a Federal court of unlawfully monopolizing or attempting unlawfully to monopolize radio communications, directly or indirectly, through the control of the manufacture or sale of radio apparatus, through exclusive traffice arrangements, or by any other means, or to have been using unfair methods of competition? (See Section 313 of the Communications Act of 1934) Yes  No

(d) Has the applicant or any party to this application been found guilty by any court of any felony or other crime involving moral turpitude, or of the violation of any State, territorial or local law relating to unlawful lotteries, restraints and monopolies and combinations, contracts or agreements in restraint of trade, or of using unfair methods of competition? Yes  No

10. (Continued)

- e. Is there now pending in any court or administrative body against the applicant or any party to this application any action involving any of the matters referred to in Paragraphs 10a, b, c, and d above? Yes  No
- f. Have voluntary proceedings in bankruptcy been instituted by, or have involuntary proceedings in bankruptcy ever been brought against applicant or any party to this application? Yes  No
- g. Are there outstanding any unsatisfied judgments or decrees against applicant or any party to this application? Yes  No
- h. If the answer to any of the foregoing parts of this paragraph is "Yes", submit as Exhibit No. \_\_\_\_\_ a full disclosure concerning the persons and matters involved, identifying the court and the proceeding (by dates and file numbers), stating the facts upon which the proceeding was based or the nature of the offense committed, and the disposition of the matter.

**CORPORATE APPLICANT**

**INSTRUCTION:** If applicant is a corporation, answer paragraphs 11 to 16, inclusive.

**11. Stock of corporation**

(a) Class of stock	(b) Par value	(c) Vote per share	(d) No. shares authorized	(e) No. shares issued	(f) No. shares subscribed	(g) Total number stockholders

12. At the last meeting of stockholders were any shares of stock voted by proxy? Yes  No   
 If so, state

Class of stock	No. of shares	Meeting date	No. voted by stockholders in person	No. voted by proxy	Name of each proxy voting 1 percent more of each class

13. In connection with the stockholders and stock subscribers named in Table I to this form, is the beneficial owner of the stock a person other than the owner of record or subscriber? Yes  No

If so, submit as Exhibit No. \_\_\_\_\_ a statement of (a) the name of the owner of record, or subscriber, (b) the name of the beneficial owner, (c) the conditions under which the owner or subscriber holds any votes or has subscribed for such stock, and (d) a copy of any contract or other instrument relating to such conditions.

14. Has applicant any other obligations or securities authorized or outstanding which bear voting rights either absolutely or upon any contingency? Yes  No

If so, submit as Exhibit No. \_\_\_\_\_ a statement of (a) the nature of such securities, (b) the face value or par value, (c) the number of units authorized, (d) the number of units issued and outstanding, (e) the number of units, if any, proposed to be issued, (f) the conditions or contingency upon which such securities may be voted, and (g) facts showing whether or not such securities have been voted or entitled to be voted in the past 5 years and at the present time.

15a. Is applicant corporation, directly or indirectly, controlled by another corporation or legal entity? Yes  No

b. Is 10 percent or more of the stock of applicant corporation owned by another corporation or legal entity? Yes  No

c. If the answer to any of the foregoing parts of this paragraph is "Yes", state below the name of such other corporation or legal entity, and submit as Exhibit No. \_\_\_\_\_ (a) a statement of how such control, if any, exists and the extent thereof, and (b) with respect to such other corporation or legal entity, a statement answering paragraphs 11 to 15, inclusive and the information requested in Tables I and II of this section.

16. Is the corporation or legal entity named in paragraph 15 in turn a subsidiary? Yes  No

If so, state below the name of such other parent corporation or legal entity, and submit as Exhibit No. \_\_\_\_\_ a statement for each such corporation or legal entity answering paragraphs 11 to 16, and the information requested in Tables I and II of this section, to and including the organization having final control.

**UNINCORPORATED ASSOCIATION (OR OTHER LEGAL ENTITY)**

**INSTRUCTION:** If applicant is an unincorporated association or a legal entity other than an individual, partnership or corporation, answer paragraphs 17 and 18.

17. State the nature of the applicant, cite the laws under which organized, and submit as Exhibit No. \_\_\_\_\_ a copy of such laws.

18. State the total number of members or persons holding any ownership interest in the applicant.

Table I

INSTRUCTIONS: *If applicant is an individual*, fill out columns (a) and (b) stating (a) applicant's name and residence (home) address or addresses, and (b) applicant's date and place of birth. *If applicant is a partnership*, fill out columns (a), (b), (c) and (g), stating as to each general or limited partner (including silent partners): (a) name and residence (home) address or addresses, (b) date and place of birth, (c) nature of partnership interest (i.e. general or limited), and (g) percent of ownership interest. *If applicant is a corporation or an unincorporated association*, fill out all columns, giving the information requested as to all officers, directors and members of the governing board. In addition, give the information as to all stockholders, stock subscribers, holders of membership certificates of other ownership interests, unless the applicant has more than 20 stockholders, stock subscribers or holders of membership certificates or other ownership interests, in which case furnish the information as to all persons owning 3 percent or more of the capital stock, membership or ownership interest, and all persons who voted 3 percent or more of such stock or interest at the last meeting of stockholders, members or owners. *If applicant is a corporation or unincorporated association*, state in column (g) the percent of voting stock or voting interest held, (d) whether or not the individual is a director or member of the governing board (Yes or No), (e) the number of shares of stock of all classes or membership interests held, and (f) the number of shares of stock of all classes or membership interests subscribed for.

NAME AND RESIDENCE (home) ADDRESS(es)  (a)	DATE AND PLACE OF BIRTH  (b)	NATURE OF PARTNERSHIP INTEREST OR OFFICE HELD  (c)	DIRECTOR OR MEMBER OF GOVERNING BOARD (Yes or No)  (d)	NO. SHARES OF EACH CLASS OF STOCK OR NO. MEMBERSHIP OR OWNERSHIP INTERESTS		PERCENT OF OWNER- SHIP OF PARTNERSHIP OR PERCENT OF VOTING STOCK OR MEMBERSHIP  (g)
				Now held (e)	Subscribed (f)	

Table II

## BUSINESS AND FINANCIAL INTERESTS

INSTRUCTIONS: The purpose of Table II is to obtain information concerning the occupation, business, and financial interests, at the present time and during the past 5 years, of the applicant and of each party to this application named in Table I. In column (a) list the names of all individuals or organizations listed in column (a) of Table I. In column (b) state the principal occupations and businesses in which each party named is engaged at the present time or has been engaged at any time during the past 5 years, and, in addition, state any other business or financial enterprise in which such party has now or within the past 5 years has had either a 25% or greater interest or any official relationship. In each case, state in column (b) the firm name, the principal place of business, and the nature of the business engaged in. In case the party has been associated in business with any other person or persons, state the name of each such other person. In column (c) state the extent and nature of the interest, official relationship, employment, or association, giving approximate dates.

(a) Name of party

(b) Firm name, principal place of business, and nature of business

(c) Extent and nature of interest, etc. (giving dates)

OTHER BROADCAST INTERESTS (See instructions on page 1)

19. Does applicant or any party to this application have now, or has applicant or any such party had, any interest in, or connection with, the following:

- (a) Any standard, FM, or television broadcast station? Yes  No
- (b) Any application pending before the Commission? Yes  No
- (c) Any application which has been denied by the Federal Communications Commission? Yes  No
- (d) Any broadcast station the license of which has been revoked? Yes  No

If the answer to any of the foregoing parts of this paragraph is "Yes", show particulars in the table below:

(1) Name of party having such interest	(2) Nature of interest or connection (giving dates)	(3) Call letters of station or file number of application	(4) Location

20. Is the applicant or any party to this application controlled, directly or indirectly, by any person who has any interest in or connection with any broadcast station or application of the type referred to in Paragraphs 19(a) to (d)? If so, submit as Exhibit No. giving full particulars. Yes  No

21. (a) Are any of the parties to this application related to each other (as husband, wife, father, mother, brother, sister, son or daughter)? Yes  No

(b) Does any member of the immediate family (i.e., husband, wife, father, mother, brother, sister, son or daughter) of any party to this application have any interest in or connection with any other broadcast station or pending application? Yes  No

(c) If answer is "Yes" to either (a) or (b) above, state (a) names of the persons, (b) relationship, (c) nature and extent of such interest or connection, (d) name of applicant or call letters of station, (e) file number of application, and (f) location of station or proposed station involved.

OWNERSHIP AND CONTROL OF STATION

22. The Commission is seeking in this paragraph information as to contracts and arrangements now in existence, as well as any arrangements or negotiations, written or oral, which relate to the present or future ownership, control or operation of the station; the questions must be answered in the light of this instruction.

(a) Applicant's control over the station is to be by reason of: (Indicate by check mark)

Ownership  Lease  Other authority

(b) Name and address of the owner of the station (if other than the applicant)

(c) Will the applicant have and maintain absolute control of the station, its equipment, and operation, including complete supervision of the programs to be broadcast? If "No", explain Yes  No

(d) Are there any documents, instruments, contracts or understandings relating to ownership, management, use or control of the station or facilities, or any right or interest therein? Yes  No

If so, attach as Exhibit No. copies of all such documents, instruments or contracts and state the substance of oral contracts or understandings.

Additional Information to be Supplied by Applicants for Noncommercial Educational Television Broadcast Stations

Name of Applicant

1. (a) Describe clearly and in detail the character of the applicant (a public or private educational institution; a state, county, municipality or other political subdivision; or a board of education, school board, school district or other department or unit of a state or of one of its political subdivisions.)

(b) If applicant is a private educational institution, submit as Exhibit No. \_\_\_\_\_ a certified copy of its articles of incorporation or other authority under which it was organized, together with by-laws and amendments.

(c) If applicant is a public educational institution, submit as Exhibit No. \_\_\_\_\_ a certified copy of the laws (and amendments thereof) under which it was created with an appropriate citation as to the source thereof.

(d) If the applicant is a political subdivision, or a board, department or unit thereof, submit as Exhibit No. \_\_\_\_\_ a certified copy of the laws (and amendments thereof) under which said subdivision, board, department or unit was created with an appropriate citation as to the source thereof.

2. State whether applicant is a nonprofit educational institution or organization. If an institution, whether it is accredited, and by what authority.

3. If applicant is a state or a political subdivision thereof, does it have an independently constituted educational body, having autonomy in carrying out applicant's educational program?

Yes  No

4. If applicant is a public or private educational institution, give details concerning the educational background of applicant's executive officers and members of its governing board.

5. (a) If the execution of this application was duly authorized by a governing body, give date and place of the meeting of said governing body.

(b) Submit as Exhibit No. \_\_\_\_\_ a certified copy of the resolution showing that: (i) a quorum of members having authority to act was present, (ii) the resolution was voted favorably by the members required, and (iii) the officer executing the application was authorized to do so.

6. (a) If applicant is a state or political subdivision thereof, or is a board, department or unit thereof, set forth fully and in detail all facts concerning the authority of the applicant to construct and operate a noncommercial educational television broadcast station and full information as to the sources of funds for the construction of such station, including whether such funds have been authorized, appropriated or how they will otherwise be made available.

(b) Submit as Exhibit No. \_\_\_\_\_ a certified copy of the statute, resolution or other authority (and amendments thereof) evidencing the above facts.

Broadcast Application		FEDERAL COMMUNICATIONS COMMISSION			Section III	
FINANCIAL QUALIFICATIONS OF BROADCAST APPLICANT		Name of Applicant				
The Commission is seeking in the questions that follow information as to contracts and arrangements now in existence, as well as any arrangements or negotiations, written or oral, which relate to the present or future financing of the station; the questions must be answered in the light of this instruction.						
1. a. Give estimated initial costs of making installation for which application is made. If performed under a contract for the completed work, the facts as to such contract must be stated in lieu of estimates as to the several items. In any event, the cost shown must be the costs in place and ready for service, including the amounts for labor, supervision, materials, supplies and freight. Cost items such as professional fees, mobile equipment, non-technical studio furnishings, etc. should be included under "Other Items" below.						
Transmitter proper including tubes		Antenna system, including antenna- ground system, coupling equipment, transmission line		Frequency and modulation monitors		Studio technical equipment, microphones, transcription equipment, etc.
\$		\$		\$		\$
Acquiring land	Acquiring, remodel- ing, or construct- ing buildings	Other items itemize	Total	Give estimated cost of operation for first year	Give estimated revenues for first year	
\$	\$	\$	\$	\$	\$	
b. State the basis of the estimates in (a) above.						
c. The proposed construction is to be financed and paid for in the following manner (including specified statements as to the approximate amount to be met and paid for from each source.) The financial plan should provide for any additional construction costs should the actual cost exceed the original estimated cost, and also for the early operation of the station in the event operating expenses should exceed operating revenues:						
Existing Capital	New Capital	Loans from banks or others	Profits from existing operations	Donations	Credit, deferred payments, etc.	Other sources (specify)
\$	\$	\$	\$	\$	\$	\$
2. a. Attach as Exhibit No. a detailed balance sheet of applicant as at the close of a month within 90 days of the date of the application showing applicant's financial position. If the status and composition of any assets and liabilities on the balance sheet are not clearly defined by their respective titles, attach as Exhibit No. schedules which give a complete analysis of such items.						
b. Attach as Exhibit No. a statement showing the yearly net income, after Federal income tax, for each of the past 2 years, received by applicant from the various types of activity in which he was engaged or from any other source.						
3. Furnish the following information with respect to the applicant only. If the answer in "None" to any or all items, specify so state:						
a. Amount of funds on deposit in bank or other depository			b. Name and address of the bank in which deposited			
c. Name and address of the party in whose name the money is deposited						
d. Conditions of deposit (in trust, savings, subject to check, on time deposit, who may draw on account and for what purpose, or other condition)						
e. Whether the funds were deposited for the specific purpose of constructing and operating the station						

## FUNDS, PROPERTY, ETC., TO BE FURNISHED BY PARTIES CONNECTED WITH APPLICANT OR BY OTHERS

4. Submit as Exhibit No. \_\_\_\_\_ a statement setting forth the full name and address of each person (whether or not connected with applicant, but including partners, shareholders, or subscribers to capital stock of the applicant) who has furnished or will furnish funds, property, service, credit, loans, donations, assurances, or other things of value, or will assist in any other manner in financing station. For each person (other than financial institutions or equipment manufacturers) who has furnished or will furnish one percent or more of the total of things of value excluding loans from financial institutions and equipment credit supply the additional information requested in a to g below. For financial institutions or equipment manufacturers, supply the additional information requested in h below. ("Furnish" or "furnished" as herein used includes payments for capital stock or other securities, loans and other credits, gifts and any other contributions.)
- a. A description of that which has been or will be furnished by each person showing the value thereof and any encumbrances thereon.
  - b. If the funds or other things of value proposed to be used for the purchase or construction of the station have been acquired for that specific purpose, indicate the source or sources thereof.
  - c. For each person who has agreed to furnish funds, purchase stock or extend credit, submit a verified copy of the agreement by which each person is so obligated, showing the amount, terms of repayment, if any, and security, if any.
  - d. For each person (except financial institutions) who has agreed to furnish funds or purchase stock, but who has not already done so, submit a balance sheet or, in lieu thereof, a financial statement showing all liabilities and containing current and liquid assets sufficient in amount to meet current liabilities (including amounts payable during the next year on long term liabilities) and, in addition, to indicate financial ability to comply with the terms of the agreement. The balance sheets submitted should segregate receivables and payables to show the amounts due within one year and those due after one year. The term current and liquid assets refers to items such as cash, or loan value of insurance, government bonds, stocks listed on major exchanges etc., or other assets which may be readily used or converted to provide funds to meet the proposed commitments. Assets such as accounts receivable, which result from normal operation of a business, stocks of close corporations, timberland, building lots, etc., are not considered as a readily available source of funds without a specific showing that such assets will provide funds to meet proposed commitments. If a balance sheet does not clearly indicate liquid assets sufficient in amount to meet current liabilities and in addition, proposed commitments, it should be supplemented by a statement showing the manner in which non-liquid assets will provide such funds. Any financial statement furnished in lieu of a balance sheet should, likewise, describe assets relied on to provide funds, in sufficient detail to permit a determination of current position and should be more than a mere statement of total assets and total liabilities or a statement of net worth.
  - e. As to each person who has or has had in the past 5 years an interest of 25% or more in any business or financial enterprise or any official relationship to any business or financial enterprise, give full and complete disclosure of the enterprise, the name and principal place of business, the character of business engaged in, and the nature and extent of the interest in or relationship to such business.
  - f. Net income after Federal income tax, received for the past two years by each person who has furnished or will furnish funds, property, service, credit, loans, donations, assurances, or other things of value. (A statement that income for the required periods was in excess of a certain specified amount will be sufficient.)
  - g. If applicant or any person named in the exhibit has pledged, hypothecated or otherwise encumbered any stocks or other securities for the purpose of providing applicant with funds for construction of the station herein requested, submit a statement explaining each such transaction.
  - h. For financial institutions or equipment manufacturers who have agreed to make a loan or extend credit, submit a verified copy of the agreement by which the institution or manufacturer is so obligated, showing the amount of loan or credit, terms of payment, if any, and security, if any.

STATEMENT OF PROGRAM SERVICE OF BROADCAST APPLICANT	Name of applicant
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**NOTICE TO ALL APPLICANTS**

The replies to the following questions constitute a representation of programming policy upon which the Commission will rely in considering the application. It is not expected that licensee will or can adhere inflexibly in day-to-day operation to the representation here made. However, since such representation will constitute, in part, the basis upon which the Commission acts on the application, time and care should be devoted to the preparation of the replies so that they will reflect accurately applicant's responsible judgement of his proposed programming policy.

**INSTRUCTIONS**

1. Paragraphs 1 to 4 are divided into a left-hand column which pertains to past operation and a right-hand column which pertains to proposed operation. Applicants for new stations or assignees or transferees of existing stations are to fill in only the right-hand column while applicants for authorizations for renewal of existing station licenses are to fill in both columns.
2. Program data on past performance are to be based on the composite week for the year preceding the date of application except in the case of renewal applications where the year preceding the expiration date of the existing license is to be used. The days comprising the composite week of each year will be designated by public notice on or about November 15th of that year.
3. Program classifications incident to the replies to Paragraphs 2, 3, and 4 below, are to be in accordance with the definitions on Page 4 of this Section.
4. Assignees or transferees filing FCC Form 314 or 315 need not complete paragraphs 5 or 8.

PAST OPERATION	PROPOSED OPERATION (for a typical week)
1. (a) State actual minimum weekly schedule of operation under the present authorization, giving opening and closing time and total hours for weekdays and Sunday.	(b) State minimum weekly schedule of operation proposed by licensee, permittee, assignee or transferee, giving opening and closing time and total hours for weekdays and Sunday.
2. (a) State for the composite week the percentage of time which was devoted to each of the following types of programs (totals to equal 100%).	(b) State the percentage of time to be devoted to each of the following types of programs for a proposed typical week of operation under the authorization requested (totals to equal 100%). Attach program schedule for this proposed typical week and indicate thereon the class of each program in accordance with paragraph 4(b).
(1) Entertainment (include here all programs which are intended primarily as entertainment, such as music, drama, variety, comedy, quiz, breakfast, children's, etc.) ..... %	(1) Entertainment (include here all programs which are intended primarily as entertainment, such as music, drama, variety, comedy, quiz, breakfast, children's, etc.) ..... %
(2) Religious (include here all sermons, religious news, music, and drama, etc.) ..... %	(2) Religious (include here all sermons, religious news, music, and drama, etc.) ..... %
(3) Agricultural (include here all programs containing farm or market reports or other information specifically addressed to the agricultural population) ..... %	(3) Agricultural (include here all programs containing farm or market reports or other information specifically addressed to the agricultural population) ..... %
(4) Educational (include here programs prepared by or in behalf of educational organizations, exclusive of discussion programs which should be classified under (6) below) ..... %	(4) Educational (include here programs prepared by or in behalf of educational organizations, exclusive of discussion programs which should be classified under (6) below) ..... %
(5) News (include here news reports and commentaries) ..... %	(5) News (include here news reports and commentaries) ..... %
(6) Discussion (include here forum, panel and round-table programs) ..... %	(6) Discussion (include here forum, panel and round-table programs) ..... %
(7) Talks (include here all conversation programs which do not fall under Points (2), (3), (4), (5), or (6) above, including sports) ..... %	(7) Talks (include here all conversation programs which do not fall under Points (2), (3), (4), (5), or (6) above, including sports) ..... %
(8) ..... %	(8) ..... %
(9) ..... %	(9) ..... %
(10) Miscellaneous ..... %	(10) Miscellaneous ..... %
100	100

3. (a) Dividing the broadcast week into 15 minute periods, specify below the number of 14½ minute periods within such 15 minute periods during the composite week in which were broadcast (exclusive of non-commercial spot announcements, call letter announcements and promotional announcements for sustaining programs):

	No. of 14½ minute periods
(1) No spot announcements or commercial continuity	.....
(2) One spot announcement	.....
(3) Two spot announcements	.....
(4) Three spot announcements	.....
(5) Four spot announcements	.....
(6) Five or more spot announcements	.....

Total number of 14½ minute periods .....

State the number of spot announcements (exclusive of non-commercial spot and call letter announcements, and promotional announcements for sustaining programs) broadcast during the composite week which exceeded one minute in length \_\_\_\_\_  
(See definition of spot announcement)

(b) State what the practice of the station will be with respect to the number and length of spot announcements allowed in a given period.

4. In the tables below the percentages for each segment are to be computed on the basis of 100 percent of the operating hours within the particular segment for the seven days comprising the composite week (i.e., if full time operation, 70 hours for the 8 a.m. to 6 p.m. segment, 35 hours for the 6 p.m. to 11 p.m. segment, and the total weekly hours of operation between 11 p.m. and 8 a.m. for the third segment). The percentages in the column headed "Total" are to be computed on the basis of 100 percent of operating hours for the seven days.

The exact number of spot announcements should be stated, including those broadcast within participating programs, but excluding call letter announcements (call letters and location) and promotional announcements for sustaining programs.

NOTE: The purpose of the following tabulation is to enable the Commission to secure quantitative data as to the proportion of time (to be) devoted to the various classes of programs. The function of each class of program as part of a diversified program structure is discussed in the Commission's Report of March 7, 1946, entitled "Public Service Responsibility of Broadcast Licensees".

(a) State the percentage of time which was devoted to each of the following classes of programs during the composite week.

**PROGRAM LOG ANALYSIS**  
(in percentages)

	8 a.m.- 6 p.m.	6 p.m.- 11 p.m.	All other hours	Total
(1) Network commercial (NC)	.....	.....	.....	.....
(2) Network sustaining (NS)	.....	.....	.....	.....
(3) Recorded commercial (RC)	.....	.....	.....	.....
(4) Recorded sustaining (RS)	.....	.....	.....	.....
(5) Wire commercial (WC)	.....	.....	.....	.....
(6) Wire sustaining (WS)	.....	.....	.....	.....
(7) Live commercial (LC)	.....	.....	.....	.....
(8) Live sustaining (LS)	.....	.....	.....	.....
(9) Total commercial (1+3+5+7)	.....	.....	.....	.....
(10) Total sustaining (2+4+6+8)	.....	.....	.....	.....
(11) Complete Total	100%	100%	100%	100%

- (12) Actual broadcast hours (per week) .....
- (13) No. of spot announcements (SA) (per week) .....
- (14) No. of non-commercial spot announcements (NCSA) (per week) .....

(b) Show in the table below the percentage of time proposed to be devoted to each of the following classes of programs during a proposed typical week of operation.

**PROGRAM LOG ANALYSIS**  
(in percentages)

	8 a.m.- 6 p.m.	6 p.m.- 11 p.m.	All other hours	Total
(1) Network commercial (NC)	.....	.....	.....	.....
(2) Network sustaining (NS)	.....	.....	.....	.....
(3) Recorded commercial (RC)	.....	.....	.....	.....
(4) Recorded sustaining (RS)	.....	.....	.....	.....
(5) Wire commercial (WC)	.....	.....	.....	.....
(6) Wire sustaining (WS)	.....	.....	.....	.....
(7) Live commercial (LC)	.....	.....	.....	.....
(8) Live sustaining (LS)	.....	.....	.....	.....
(9) Total commercial (1+3+5+7)	.....	.....	.....	.....
(10) Total sustaining (2+4+6+8)	.....	.....	.....	.....
(11) Complete Total	100%	100%	100%	100%

- (12) Proposed broadcast hours (per week) .....
- (13) No. of spot announcements (SA) (per week) .....
- (14) No. of non-commercial spot announcements (NCSA) (per week) .....

<p>5. (a) Attach as Exhibit No. _____ the original or one exact copy of the program log for the seven days comprising the composite week analyzed in the preceding paragraphs. (If original logs are submitted they will be returned.)</p>	<p>8. If this application is for an FM authorization, will the programs of any AM station operating in the same area be duplicated? If the answer is yes, Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>(b) What year's composite week has been analyzed in the foregoing paragraphs?</p>	<p>(a) How many hours per day will be devoted to duplicated programs?</p>
<p>6. Will the proposed station be affiliated with any network? Yes <input type="checkbox"/> No <input type="checkbox"/> of the answer is "Yes", give the name of the network.</p>	<p>(b) Call letters and location of the AM station</p>
<p>7. Attach as Exhibit No. _____ a narrative statement on the policy to be pursued with respect to making time available for the discussion of public issues, including illustrations of the types of programs to be broadcast and the methods of selection of subjects and participants.</p>	<p>(c) What kinds of programs (musical, sports, etc.) will be duplicated?</p>
	<p>9. State the average number of hours per week which will be used in advertising or promoting any business, profession or activity other than broadcasting in which the applicant is engaged or financially interested either directly or indirectly. If this is an application for renewal of license, show this data for the past license period also.</p>
	<p>10. If the data furnished in response to the questions in this Section IV do not in the applicant's opinion adequately reflect station operation, attach as Exhibit No. _____ a statement setting forth any additional program data that the applicant desires to call to the Commission's attention. (If the applicant feels that the program material classified in Paragraph 2 is susceptible of classifications other than those listed he may supplement Paragraph 2 with an explanatory statement in this Exhibit.)</p>
<p>11. If this application is for a television authorization, will programs be broadcast in color? Yes <input type="checkbox"/> No <input type="checkbox"/> If "Yes", will programs be: Network <input type="checkbox"/> Local Live <input type="checkbox"/> Local Slide <input type="checkbox"/></p>	
<p>12. State applicant's general plans for staffing the station, including the number of employees in each department (i.e. program, commercial, technical, etc.), and the names, residence and citizenship of the general manager, station manager, program director and other department heads who have been employed or whom the applicant expects to employ.</p>	

## PROGRAM CLASSIFICATION

A commercial program (C) is any program the time for which is paid for by a sponsor or any program which is interrupted by a spot announcement (as defined below), at intervals of less than 14 1/2 minutes. A network program shall be classified as "commercial" if it is commercially sponsored on the network, even though the particular station is not paid for carrying it--unless all commercial announcements have been deleted from the program by the station. Cooperative programs furnished to its affiliates by a network which are available for local sponsorship are network sustaining programs (NS) if no local sponsorship is involved and are network commercial programs (NC) where there is local sponsorship even though the commercial announcement is made by the station's local announcer.

(It will be noted that any 142 minute segment of a program which is interrupted by a commercial announcement is classified as a commercial program, even though the purchaser of the interrupting announcement has not also purchased the time preceding and following. The result is to classify so called "participating" programs as commercial. Without such a rule, a 15-minute program may contain 5 or even more minutes of advertising and still be classified as "sustaining" Under the proposed definition, a program may be classified as "sustaining" although preceded and followed by spot announcements, but if a spot announcement interrupts a program, the 142 minute segment so interrupted must be classified as "commercial.")

A sustaining program (S) is any program which is neither paid for by a sponsor nor interrupted by a spot announcement (as defined below.)

A network program (N) is any program furnished to the station by a network or another station. Delayed broadcasts of transcribed programs or films, originated by networks are classified as "network" not "recorded." Cooperative programs furnished to its affiliates by a network which are available for local sponsorship are network sustaining programs (NS) if no local sponsorship is involved and are network commercial programs (NC) where there is local sponsorship even though the commercial announcement is made by the station's local announcer. Programs are classified as network whether furnished by a nationwide, regional, or special network or by another station.

A recorded program (R) is any program which uses phonograph records, electrical transcriptions, films, or other means of mechanical reproduction in whole or in part--except where the recording is wholly incidental to the program and is limited to background sounds, sound effects, identifying themes, musical "bridges", etc. A program part transcribed or recorded and part live is classified as "recorded" unless the recordings are wholly incidental, as above. A transcribed delayed broadcast of a network program, however, is not classified as "recorded" but as "network." A recorded or filmed program which is a local live program produced by the station and recorded for later broadcasting by the station shall be considered a local live program.

A wire program (W) is any program the text of which is distributed to a number of stations by telegraph, teletype, or similar means, and read in whole or in part by a local announcer. Programs distributed by the wire news services are "wire" programs. A news program which is part wire and in part of non-syndicated origin is classified as "wire" if more than half of the program is usually devoted to the reading verbatim, or virtually verbatim, of the syndicated wire text, and otherwise is classified as "live."

A local live program (L) is any local program which uses live talent exclusively, whether originating in the station's studios or by remote control. Programs furnished to a station by a network or another station, however, are not classified as "live" but as "network". A program which uses recordings in whole or in part, except in a wholly incidental manner, should not be classified as "live" but as "recorded." Wire programs, as defined above, should likewise not be classified as "live". A recorded program which is a local live program produced by the station and recorded for later broadcasting by the station shall be considered a local live program.

A non-commercial spot announcement (NCSA) is an announcement which is not paid for by a sponsor and which is devoted to a non-profit cause--e.g., war bonds, Red Cross, public health, civic announcements, etc. Promotional announcements should be classified as "non-commercial spot announcements" if the program promoted is a sustaining program; other promotional announcements should be classified as "spot announcements". Participating announcements should not be classified as "non-commercial spot announcements" but as "spot announcements." War bond, Red Cross, civic and similar announcements for which the station receives remuneration should not be classified as "non-commercial spot announcements" but as "spot announcements."

A spot announcement (SA) is any announcement which is neither a non-commercial spot announcement (as above defined) nor a station identification announcement (call letters and location). An announcement should be classified as a "spot announcement," whether or not the station receives remuneration, unless it is devoted to a nonprofit cause. Sponsored time signals, sponsored weather announcements, etc. are spot announcements. Unsponsored time signals, weather announcements, etc., are program matter and not classified as announcements. Station identification announcements should not be classified as either non-commercial spot announcements or spot announcements, if limited to call letters, location and identification of the licensee and network. Commercial continuity on sponsored programs is not classified as spot announcements.