

of administering the IRC's excise tax provisions, the TTB regulations also require marks, brands, and labels on kegs, cans, bottles, and cases of beer. These marks, brands, and labels identify the name or trade name of the brewer, the place of production of the beer, the contents of the container, and the nature of the product (beer, ale, etc.).

**Current Actions:** TTB is submitting this collection as a revision. The information collection remains unchanged. However, TTB is increasing the estimated number of respondents due to an increase in the number of brewers regulated by TTB. While TTB is increasing the number of respondents, there is no increase in the estimated total annual burden hours for this information collection because markings on and suitable measuring devices for brewery equipment and structures and marking and labeling containers of beer are usual and customary business practices, and would be undertaken even without the TTB regulatory requirements to do so.

**Type of Review:** Revision of a currently approved collection.

**Affected Public:** Businesses and other for-profits.

**Estimated Number of Respondents:** 5,864.

**Estimated Total Annual Burden Hours:** 1 (one).

**Title:** Formula and Process for Domestic and Imported Alcohol Beverages.

**OMB Number:** 1513-0122.

**TTB Form Number:** TTB F 5100.51.

**Abstract:** TTB F 5100.51 (in all formats, including its online equivalent completed using Formulas Online (FONL)) is used by industry members to obtain approval of formulas for alcohol beverage products where the TTB regulations require such approval. The form collect information regarding the person filing, the type of product made, the ingredients used, and the manufacturing process. TTB uses the collected information to ensure appropriate classification of distilled spirits, wine, and malt beverages for labeling and taxation purposes.

**Current Actions:** TTB is submitting this collection as a revision. TTB F 5100.51 (in all formats, including the FONL version) remains unchanged. However, TTB is adding an additional information collection instrument to this information collection request, the Flavor Ingredient Data Sheet (FIDS). TTB provides the FIDS for respondents to use to disclose the ingredients of certain flavors used in the formulas they submit to TTB for approval. The FIDS helps TTB identify the flavors used for labeling and taxation purposes.

Respondents will submit the FIDS as supplemental documents to their paper or online entries. We also are increasing the number of respondents to reflect an increase in the number of alcohol beverage industry members submitting formula requests to TTB, and we are increasing the estimated total annual burden hours to reflect that increase and the addition of the FIDS to this information collection request.

**Type of Review:** Revision of a currently approved collection.

**Affected Public:** Businesses or other for-profits.

**Estimated Number of Respondents:** 3,000.

**Estimated Total Annual Burden Hours:** 34,320.

**Title:** Surveys for Applications, Permits Online (PONL), Formulas Online (FONL), and COLAs (Certificates of Label Approval) Online.

**OMB Number:** 1513-0124.

**TTB Form Number:** None.

**Abstract:** As part of our efforts to improve customer service, TTB surveys its customers who apply for original or amended permits, submit formula approval requests, and submit requests for certificates of label approval. These surveys assist TTB in identifying potential customer needs and problems, as well as opportunities for improvement in our applications processes, with particular focus on our customers' experiences with TTB's various electronic application systems.

**Current Actions:** TTB is submitting this collection as a revision. The surveys approved under this OMB control number remain unchanged. However, TTB intends to increase the number of customers it surveys on an annual basis and is, therefore, increasing the number of respondents and the resulting burden hours associated with this information collection. Participation in TTB customer satisfaction surveys is voluntary.

**Type of Review:** Revision of a currently approved collection.

**Affected Public:** Businesses and other for-profits; individuals.

**Estimated Number of Respondents:** 50,000.

**Estimated Total Annual Burden Hours:** 25,000.

**Title:** Generic Clearance for the Collection of Qualitative Feedback on Agency Service Delivery.

**OMB Number:** 1513-0132.

**TTB Form Number:** None.

**Abstract:** This collection of information is necessary to enable TTB to obtain customer and stakeholder feedback in an efficient, timely manner, in accordance with our commitment to improving service delivery. The

information collected from our customers and stakeholders through surveys, usability tests, focus groups, and other evaluative tools approved under this information collection will help ensure that TTB customers and stakeholders have effective, efficient, and satisfying experiences with TTB's programs and Web site.

**Current Actions:** TTB is submitting this collection as a revision. TTB intends to increase its use of surveys, usability tests, focus groups, and other tools it uses to obtain customer and stakeholder feedback in order to improve its service delivery, programs, and Web site. As a result, TTB is increasing the number of respondents and the resulting burden hours associated with this information collection. Participation in TTB surveys, usability tests, focus groups, and other evaluative tools is voluntary.

**Type of Review:** Revision of a currently approved collection.

**Affected Public:** Businesses and other for-profits; Non-profits; individuals.

**Estimated Number of Respondents:** 30,000.

**Estimated Total Annual Burden Hours:** 30,000.

Dated: August 17, 2016.

**Amy R. Greenberg,**

*Director, Regulations and Rulings Division.*

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## DEPARTMENT OF THE TREASURY

### Office of the Comptroller of the Currency

## FEDERAL RESERVE SYSTEM

### FEDERAL DEPOSIT INSURANCE CORPORATION

#### Proposed Agency Information Collection Activities; Comment Request

##### Correction

In notice document 2016-19268, appearing on pages 54190 through 54216 in the issue of Monday, August 15, 2016, make the following corrections:

1. On page 54213, at the top of the page, above the table labelled Data Items Removed, insert the heading "Appendix B" and, on the following line, insert the heading "FFIEC 031: Data Items Removed or Change in Reporting Threshold".

2. On page 54214, above the table labelled Data Items Removed, insert the heading "Appendix C" and, on the following line, insert the heading

“FFIEC 041: Data Items Removed or Change in Reporting Threshold”.

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## DEPARTMENT OF THE TREASURY

### Office of the Comptroller of the Currency

#### Agency Information Collection Activities: Information Collection Revision; Submission for OMB Review; Uniform Interagency Transfer Agent Registration and Amendment Form

**AGENCY:** Office of the Comptroller of the Currency (OCC), Treasury.

**ACTION:** Notice and request for comment.

**SUMMARY:** The OCC, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to comment on the revision of an information collection, as required by the Paperwork Reduction Act of 1995 (PRA).

An agency may not conduct or sponsor, and a respondent is not required to respond to, an information collection unless it displays a currently valid Office of Management and Budget (OMB) control number.

The OCC is soliciting comment on a revision to its collection titled “Uniform Transfer Agency Registration and Amendment Form.” The OCC also is giving notice that it has sent the collection to OMB for review.

**DATES:** Comments must be submitted on or before September 21, 2016.

**ADDRESSES:** Because paper mail in the Washington, DC, area and at the OCC is subject to delay, commenters are encouraged to submit comments by email, if possible. Comments may be sent to: Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, Attention “1557–0124, Form TA–1,” 400 7th Street SW., Suite 3E–218, Mail Stop 9W–11, Washington, DC 20219. In addition, comments may be sent by fax to 571–465–4326 or by electronic mail to [prainfo@occ.treas.gov](mailto:prainfo@occ.treas.gov).

You may personally inspect and photocopy comments at the OCC, 400 7th Street SW., Washington, DC 20219. For security reasons, the OCC requires that visitors make an appointment to inspect comments. You may do so by calling 202–649–6700 or, for persons who are deaf or hard of hearing, TTY, (202) 649–5597. Upon arrival, visitors will be required to present valid government-issued photo identification

and submit to security screening in order to inspect and photocopy comments.

All comments received, including attachments and other supporting materials, are part of the public record and subject to public disclosure. Do not include any information in your comments or supporting materials that you consider confidential or inappropriate for public disclosure.

Additionally, please send a copy of your comments by mail to: OCC Desk Officer, 1557–0124, U.S. Office of Management and Budget, New Executive Office Building, Room 10235, 725 17th Street NW., Washington, DC 20503; by fax to 202–395–6974; or by email to [oira\\_submission@omb.eop.gov](mailto:oira_submission@omb.eop.gov).

#### FOR FURTHER INFORMATION CONTACT:

Shaquita Merritt, OCC Clearance Officer, 202–649–5490 or, for persons who are deaf or hard of hearing, TTY, (202) 649–5597, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency, 400 7th Street SW., Washington, DC 20219. In addition, copies of the current Form TA–1 reporting form and instructions can be obtained at the Federal Financial Institutions Examination Council Web site ([http://www.ffiec.gov/ffiec\\_report\\_forms.htm](http://www.ffiec.gov/ffiec_report_forms.htm)).

**SUPPLEMENTARY INFORMATION:** The OCC is proposing to revise the following information collection:

*Report Title:* Uniform Interagency Transfer Agent Registration and Amendment Form.

*Form Number:* Form TA–1.

*Frequency of Response:* On occasion.

*Affected Public:* National banks and their subsidiaries, federal savings associations and their subsidiaries.

*OMB Control No.:* 1557–0124.

*Estimated Number of Respondents:* registrations: 1; amendments: 10.

*Estimated Average Time per Response:* registrations: 1.25 hours; amendments: 10 minutes.

*Estimated Total Annual Burden:* 3 hours.

#### General Description of Report

Section 17A(c) of the Security Exchange Act of 1934 (the Act) requires all transfer agents for securities registered under section 12 of the Act or, if the security would be required to be registered except for the exemption from registration provided by section 12(g)(2)(B) or section 12(g)(2)(G), to “fil[e] with the appropriate regulatory agency . . . an application for registration in such form and containing such information and documents . . . as such appropriate regulatory agency may prescribe as necessary or appropriate in

furtherance of the purposes of this section.”<sup>1</sup> In general, an entity performing transfer agent functions for a security is required to register with its appropriate regulatory agency (“ARA”) if the security is registered on a national securities exchange or if the issuer of the security has total assets exceeding \$10 million and a class of equity security held of record by 2,000 persons or, for an issuer that is not a bank, BHC, or SLHC, by 500 persons who are not accredited investors.<sup>2</sup> The OCC’s 12 CFR 9.20 implements these provisions of the Act.

To accomplish the registration of transfer agents, Form TA–1 was developed in 1975 as an interagency effort by the Securities and Exchange Commission (SEC) and the Federal banking agencies (the OCC, the Board of Governors of the Federal Reserve System, and the Federal Deposit Insurance Corporation). The agencies primarily use the data collected on Form TA–1 to determine whether an application for registration should be approved, denied, accelerated, or postponed, and they use the data in connection with their supervisory responsibilities.

#### Current Actions

The OCC proposes to revise the reporting instructions for Form TA–1. The proposed revisions remove outdated references to the OTS, clarify the definition of a “qualifying security” pursuant to statutory changes, alter the number of Form TA–1 copies that registrants are required to file with their ARA, and make other minor instructional clarifications. The OCC currently requires the filing of an original plus two copies of any registration or amendment. The proposed change is to require the filing of only the original.

Pursuant to statutory changes,<sup>3</sup> the definition of a “qualifying security” was altered to include securities registered on a national securities exchange pursuant to section 12(b) of the Act, as well as equity securities registered pursuant to section 12(g)(1) of the Act for issuers that have:

(a) Total assets exceeding \$10 million and a class of equity security (other than an exempted security) held of record by either 2,000 persons, or 500 persons

<sup>1</sup> 15 U.S.C. 78q–1.

<sup>2</sup> 15 U.S.C. 78l(g)(1).

<sup>3</sup> See Fixing America’s Surface Transportation Act section 85001, Pub. L. 114–94, 129 Stat. 1312, 1797 (2010), amending 15 U.S.C. 78a *et seq.*; Jumpstart Our Business Startups Act section 501, Pub. L. 112–106, 126 Stat. 306, 325 (2012), amending 15 U.S.C. 78l(g)(1)(A).