

foreign, domestic, or both types of patent practitioners.

2. Please explain how U.S. stakeholders would be impacted by a national standard for U.S. courts to recognize privilege for communications with U.S. patent agents, including potential benefits and costs. If you believe such a standard would be beneficial, please explain what the scope of a national standard should cover.

3. Please explain how U.S. stakeholders would be impacted by a national standard for U.S. courts to recognize privilege for communications with foreign patent practitioners, including potential benefits and costs. If you believe such a standard would be beneficial, please explain what the scope of a standard should cover.

4. Please explain how U.S. stakeholders would be impacted by an international framework establishing minimum privilege standards in the courts of member countries for communications with patent practitioners in other jurisdictions, including potential benefits and costs. If you believe such a framework would be beneficial, please also address the following issues:

a. Please identify which jurisdictions have potential problems and explain the

exact nature of the problem in each of those jurisdictions.

b. Please explain what the scope of an international framework for privilege standards should cover. An example of such a framework can be found in Appendix 5 of the following document: [https://www.aippi.org/download/online/Publications/Attachment1SubmissiontoWIPODecember182013\\_SCP.pdf](https://www.aippi.org/download/online/Publications/Attachment1SubmissiontoWIPODecember182013_SCP.pdf).

5. If a national standard for U.S. courts to recognize privilege for U.S. patent agents or foreign practitioners would be beneficial, please explain how that standard should be established.

a. If Federal legislation would be appropriate, what should such legislation encompass? Please consider whether the Federal tax preparer-client privilege legislation, which statutorily extended attorney-client privilege to non-lawyer practitioners (*e.g.*, certified public accountants) under 26 U.S.C. 7525(a), is an appropriate model and explain why or why not. Are there any noteworthy parallels or differences between Federally-registered accountants and Federally-registered patent agents in either policy or operation?

Commenters are requested to include information identifying how their organization is impacted by privilege issues, *e.g.*, whether they are patent

attorneys, agents, owners, licensees, or any other type of entity.

### 3. Instructions and Information on the Public Roundtable

The roundtable will be held on February 18, 2015, at the United States Patent and Trademark Office, Madison Building, 600 Dulany Street, Alexandria, Virginia 22314. The roundtable will begin at 10:00 a.m. and end at 12:30 p.m. The final agenda and webcast information will be available a week before the roundtable on the USPTO's Office of Policy and International Affairs Web site at <http://www.uspto.gov/ip/global/patents/index.jsp>. Pre-registration will be available from that Web page, or attendees may register at the door.

The event will be divided into two portions. The first part will feature a panel providing background on privileged communications between patent practitioners and their clients. The second part of the event will feature presentations by various stakeholders on privileged communications and their respective positions on this issue. Both portions will explore both domestic and international issues relating to these topics. Here is a preliminary agenda:

Time	Topic
10:00 to 10:05 a.m. ....	Welcome and introduction.
10:05 to 11:00 a.m. ....	Background panel on privileged communications.
11:00 a.m. to 12:30 p.m. ....	Presentations by interested stakeholders.

**Speakers:** Individuals interested in speaking should submit their name, contact information (telephone number and email address), the organization(s) the person represents, if any, relevant biographical information, and a few brief comments on the topics to be discussed to [ACPrivilege@uspto.gov](mailto:ACPrivilege@uspto.gov) by February 10, 2015. Selected speakers will be notified thereafter.

**Written Comments:** Written comments can be submitted via the **Federal Register's** Web site, [www.federalregister.gov](http://www.federalregister.gov), or by email to [ACPrivilege@uspto.gov](mailto:ACPrivilege@uspto.gov). Comments may also be submitted by postal mail addressed to: Mail Stop OPIA, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450, ATTN: Edward Elliott. Although comments may be submitted by postal mail, electronic submissions are encouraged. The deadline for receipt of written comments for consideration by the USPTO is February 25, 2015. Written comments should be identified in the subject line of the email or postal

mailing as "Agent-Client Privilege." Because comments will be made available for public inspection, information that is not desired to be made public, such as an address or phone number, should not be included in the comments.

**Special Accommodations:** The roundtable will be physically accessible to people with disabilities. Individuals requiring accommodation, such as sign language interpretation or other ancillary aids, should communicate their needs to Angel Jenkins at the Office of Policy and International Affairs, by telephone at (571) 272-9300, by email at [angel.jenkins@uspto.gov](mailto:angel.jenkins@uspto.gov), or by postal mail addressed to: Mail Stop OPIA, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1450, ATTN: Angel Jenkins, at least seven (7) business days prior to the roundtable.

Dated: January 20, 2015.

**Michelle K. Lee,**

*Deputy Under Secretary of Commerce for Intellectual Property and Deputy Director of the United States Patent and Trademark Office.*

[FR Doc. 2015-01241 Filed 1-23-15; 8:45 am]

**BILLING CODE 3510-16-P**

### COMMODITY FUTURES TRADING COMMISSION

**Agency Information Collection Activities: Notice of Intent To Renew Collection 3038-0076, Risk Management Requirements for Derivatives Clearing Organizations**

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice.

**SUMMARY:** The Commodity Futures Trading Commission ("Commission" or "CFTC") is announcing an opportunity for public comment on the proposed collection of certain information by the

agency. Under the Paperwork Reduction Act ("PRA"), Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, and to allow 60 days for public comment. This notice solicits comments on reporting requirements relating to financial resource requirements for derivatives clearing organizations.

**DATES:** Comments must be submitted on or before March 27, 2015.

**ADDRESSES:** You may submit comments, identified by "Risk Management Requirements for Derivatives Clearing Organizations," by any of the following methods:

- The Agency's Web site, at <http://comments.cftc.gov>. Follow the instructions for submitting comments through the Web site.
- **Mail:** Christopher Kirkpatrick, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW., Washington, DC 20581.
- **Hand Delivery/Courier:** Same as Mail, above.
- **Federal eRulemaking Portal:** <http://www.regulations.gov>. Follow the instructions for submitting comments through the Portal.

Please submit your comments using only one method.

**FOR FURTHER INFORMATION CONTACT:** Eileen Chotiner, Division of Clearing and Risk, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW., Washington, DC 20581; (202) 418-5467; email: [echotiner@cftc.gov](mailto:echotiner@cftc.gov); and refer to OMB Control No. 3038-0076.

**SUPPLEMENTARY INFORMATION:** Under the PRA, Federal agencies must obtain approval from the Office of Management and Budget ("OMB") for each collection of information they conduct or sponsor. "Collection of Information" is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3 and includes agency requests or requirements that members of the public submit reports, keep records, or provide information to a third party. Section 3506(c)(2)(A) of the PRA, 44 U.S.C. 3506(c)(2)(A), requires Federal agencies to provide a 60-day notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, before submitting the collection to OMB for approval. To comply with this requirement, the Commission is publishing notice of the proposed

extension of the collection of information listed below.

**Title:** Risk Management Requirements for Derivatives Clearing Organizations (OMB Control No. 3038-0076). This is a request for extension of a currently approved information collection.

**Abstract:** Part 39 of the Commission's regulations establishes risk management requirements for derivatives clearing organizations ("DCOs"), which are required to be registered with the Commission. Part 39 also establishes procedures for registration of DCOs. The Commission will use the information in this collection to assess compliance of DCOs and DCO applicants with requirements for DCOs prescribed in the Commodity Exchange Act and Commission regulations.

With respect to the collection of information, the CFTC invites comments on:

- Whether the proposed collection of information is necessary for the proper performance of the functions of the Commission, including whether the information will have a practical use;
- The accuracy of the Commission's estimate of the burden of the proposed collection of information, including the validity of the methodology and assumptions used;
- Ways to enhance the quality, usefulness, and clarity of the information to be collected; and
- Ways to minimize the burden of collection of information on those who are to respond, including through the use of appropriate automated electronic, mechanical, or other technological collection techniques or other forms of information technology; e.g., permitting electronic submission of responses.

All comments must be submitted in English, or if not, accompanied by an English translation. Comments will be posted as received to <http://www.cftc.gov>. You should submit only information that you wish to make available publicly. If you wish the Commission to consider information that you believe is exempt from disclosure under the Freedom of Information Act, a petition for confidential treatment of the exempt information may be submitted according to the procedures established in § 145.9 of the Commission's regulations.<sup>1</sup>

The Commission reserves the right, but shall have no obligation, to review, pre-screen, filter, redact, refuse or remove any or all of your submission from <http://www.cftc.gov> that it may deem to be inappropriate for publication, such as obscene language. All submissions that have been redacted

or removed that contain comments on the merits of the Information Collection Request ("ICR") will be retained in the public comment file and will be considered as required under the Administrative Procedure Act and other applicable laws, and may be accessible under the Freedom of Information Act.

**Burden Statement:** The respondent burden for this collection is estimated to be 10 hours per response.

**Respondents/Affected Entities:** Derivatives clearing organizations and applicants for registration as a derivatives clearing organization.

**Estimated number of respondents:** 17.

**Estimated total annual burden on respondents:** 1,903.

**Frequency of collection:** On occasion.

**Authority:** 44 U.S.C. 3501 *et seq.*

Dated: January 20, 2015.

**Christopher J. Kirkpatrick,**  
Secretary of the Commission.

[FR Doc. 2015-01183 Filed 1-23-15; 8:45 am]

**BILLING CODE 6351-01-P**

## COMMODITY FUTURES TRADING COMMISSION

### Agency Information Collection Activities: Notice of Intent To Renew Collection 3038-0086, Swap Data Repositories; Registration and Regulatory Requirements

**AGENCY:** Commodity Futures Trading Commission.

**ACTION:** Notice.

**SUMMARY:** The Commodity Futures Trading Commission ("Commission" or "CFTC") is announcing an opportunity for public comment on the proposed collection of certain information by the agency. Under the Paperwork Reduction Act ("PRA"), Federal agencies are required to publish notice in the **Federal Register** concerning each proposed collection of information, including each proposed extension of an existing collection of information, and to allow 60 days for public comment. This notice solicits comments on requirements relating to Swap Data Repositories ("SDRs"), including initial registration as an SDR, maintaining registration as an SDR, swap data reporting, and swap data recordkeeping.

**DATES:** Comments must be submitted on or before March 27, 2015.

**ADDRESSES:** You may submit comments, identified by "Renewal of Collection Pertaining to Swap Data Repositories; Registration and Reporting Requirements" by any of the following methods:

- The Agency's Web site, at <http://comments.cftc.gov>. Follow the

<sup>1</sup> 17 CFR 145.9.