

number of portfolios referenced in each initial filing and post-effective amendment is 1. The Commission further estimates that the hour burden for preparing and filing an initial registration statement on Form N-2 is 515 hours per portfolio, and the hour burden for preparing and filing a post-effective amendment on Form N-2 is 107 hours per portfolio. The estimated annual hour burden for preparing and filing initial registration statements is 83,430 hours (162 initial registration statements  $\times$  1 portfolio  $\times$  515 hours per portfolio). The estimated annual hour burden for preparing and filing post-effective amendments is 3,103 hours (29 post-effective amendments  $\times$  1 portfolio  $\times$  107 hours per portfolio). The estimated total annual hour burden for Form N-2, therefore, is estimated to be 86,533 hours (83,430 hours + 3,103 hours).

The information collection requirements imposed by Form N-2 are mandatory. Responses to the collection of information will not be kept confidential. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid control number.

The public may view the background documentation for this information collection at the following Web site: [www.reginfo.gov](http://www.reginfo.gov). Comments should be directed to: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503, or by sending an email to: [Shagufta\\_Ahmed@omb.eop.gov](mailto:Shagufta_Ahmed@omb.eop.gov); and (ii) Thomas Bayer, Chief Information Officer, Securities and Exchange Commission, c/o Remi Pavlik-Simon, 100 F Street NE., Washington, DC 20549 or by sending an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov). Comments must be submitted to OMB within 30 days of this notice.

Dated: October 28, 2013.

**Kevin M. O'Neill,**  
Deputy Secretary.

[FR Doc. 2013-26035 Filed 10-31-13; 8:45 am]

BILLING CODE 8011-01-P

## SECURITIES AND EXCHANGE COMMISSION

### Submission for OMB Review; Comment Request

Upon Written Request, Copies Available  
From: Securities and Exchange Commission, Office of Investor Education and Advocacy, Washington, DC 20549-0213.

#### Extension:

Rule 15c3-5, SEC File No. 270-601, OMB Control No. 3235-0673.

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*) ("PRA"), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget ("OMB") a request for approval of extension of the previously approved collection of information provided for in Rule 15c3-5 (17 CFR 240.15c3-5) under the Securities and Exchange Act of 1934 (15 U.S.C. 78a *et seq.*) ("Exchange Act").

Rule 15c3-5 under the Exchange Act requires brokers or dealers with access to trading directly on an exchange or alternative trading system ("ATS"), including those providing sponsored or direct market access to customers or other persons, to implement risk management controls and supervisory procedures reasonably designed to manage the financial, regulatory, and other risks of this business activity.

The rule requires brokers or dealers to establish, document, and maintain certain risk management controls and supervisory procedures as well as regularly review such controls and procedures, and document the review, and remediate issues discovered to assure overall effectiveness of such controls and procedures. Each such broker or dealer is required to preserve a copy of its supervisory procedures and a written description of its risk management controls as part of its books and records in a manner consistent with Rule 17a-4(e)(7) under the Exchange Act. Such regular review is required to be conducted in accordance with written procedures and is required to be documented. The broker or dealer is required to preserve a copy of such written procedures, and documentation of each such review, as part of its books and records in a manner consistent with Rule 17a-4(e)(7) under the Exchange Act, and Rule 17a-4(b) under the Exchange Act, respectively.

In addition, the Chief Executive Officer (or equivalent officer) is required to certify annually that the broker or dealer's risk management controls and supervisory procedures comply with the rule, and that the broker-dealer conducted such review. Such certifications are required to be preserved by the broker or dealer as part of its books and records in a manner consistent with Rule 17a-4(b) under the Exchange Act. Compliance with Rule 15c3-5 is mandatory.

Respondents consist of broker-dealers with access to trading directly on an exchange or ATS. The Commission estimates that there are currently 870

respondents. To comply with Rule 15c3-5, these respondents will spend approximately 139,200 hours per year (160 hours per broker-dealer  $\times$  870 broker-dealers = 139,200 hours). At an average internal cost per burden hour of approximately \$390.57, the resultant total related internal cost of compliance for these respondents is \$54,367,170 per year (139,200 burden hours multiplied by approximately \$390.57/hour). In addition, for hardware and software expenses, the Commission estimates that the average annual external cost would be approximately \$20,500 per broker-dealer, or \$17,835,000 (\$20,500 per broker-dealer  $\times$  870 broker-dealers = \$17,835,000).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information under the PRA unless it displays a currently valid OMB control number.

The public may view background documentation for this information collection at the following Web site: [www.reginfo.gov](http://www.reginfo.gov). Comments should be directed to: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503, or by sending an email to: [Shagufta\\_Ahmed@omb.eop.gov](mailto:Shagufta_Ahmed@omb.eop.gov); and (ii) Thomas Bayer, Director/Chief Information Officer, Securities and Exchange Commission, c/o Remi Pavlik-Simon, 100 F Street NE., Washington, DC 20549, or by sending an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov). Comments must be submitted to OMB within 30 days of this notice.

Dated: October 28, 2013.

**Kevin M. O'Neill,**  
Deputy Secretary.

[FR Doc. 2013-26036 Filed 10-31-13; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. IC-30765]

### Notice of Applications for Deregistration Under Section 8(f) of the Investment Company Act of 1940

October 25, 2013.

The following is a notice of applications for deregistration under section 8(f) of the Investment Company Act of 1940 for the month of October, 2013. A copy of each application may be obtained via the Commission's Web site by searching for the file number, or for an applicant using the Company name box, at <http://www.sec.gov/search/>

[search.htm](#) or by calling (202) 551-8090. An order granting each application will be issued unless the SEC orders a hearing. Interested persons may request a hearing on any application by writing to the SEC's Secretary at the address below and serving the relevant applicant with a copy of the request, personally or by mail. Hearing requests should be received by the SEC by 5:30 p.m. on November 19, 2013, and should be accompanied by proof of service on the applicant, in the form of an affidavit or, for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Secretary, U.S. Securities and Exchange Commission, 100 F Street NE., Washington, DC 20549-1090.

**FOR FURTHER INFORMATION CONTACT:** Diane L. Titus at (202) 551-6810, SEC, Division of Investment Management, Exemptive Applications Office, 100 F Street NE., Washington, DC 20549-8010.

**SEI Alpha Strategy Portfolios, LP [File No. 811-22112]**

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On August 30, 2013, applicant made a liquidating distribution to its shareholder, based on net asset value. Expenses of approximately \$3,500 incurred in connection with the liquidation were paid by SEI Investments Management Corporation, applicant's investment adviser.

*Filing Date:* The application was filed on September 27, 2013.

*Applicant's Address:* One Freedom Valley Dr., Oaks, PA 19456.

**John Hancock Series Trust [File No. 811-3392]**

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On June 28, 2013, applicant made a liquidating distribution to its shareholders, based on net asset value. Applicant paid \$9,454 in expenses incurred in connection with the liquidation. Applicant has retained \$20,540 in cash, which is being held in escrow, for payment of specified unpaid liabilities.

*Filing Date:* The application was filed on September 30, 2013.

*Applicant's Address:* 601 Congress St., Boston, MA 02210-2805.

**Multi-Cap Growth Portfolio [File No. 811-8558]; Focused Growth Portfolio [File No. 811-10607]; Global Dividend Income Portfolio [File No. 811-21875]**

*Summary:* Each applicant seeks an order declaring that it has ceased to be an investment company. On July 25, 2012, July 20, 2012, and August 21, 2012, respectively, applicants made final liquidating distributions to their shareholders, based on net asset value. Applicants incurred no expenses in connection with the liquidations.

*Filing Dates:* The applications were filed on September 20, 2013, and amended on October 9, 2013.

*Applicants' Address:* Two International Place, Boston, MA 02110.

**Arden Macro Fund, L.L.C. [File No. 811-22702]; Arden Macro Master Fund, L.L.C. [File No. 811-22703]**

*Summary:* Each applicant seeks an order declaring that it has ceased to be an investment company. Applicants have never made public offerings of their securities and do not propose to make public offerings or engage in business of any kind.

*Filing Dates:* The applications were filed on August 30, 2013, and amended on October 7, 2013.

*Applicants' Address:* 375 Park Ave., 32nd Floor, New York, NY 10152.

**Greater China Growth Portfolio [File No. 811-7264]**

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. On July 31, 2012, applicant made a liquidating distribution to its shareholders, based on net asset value. Applicant incurred no expenses in connection with the liquidation.

*Filing Dates:* The application was filed on September 20, 2013, and amended on October 9, 2013.

*Applicant's Address:* Two International Place, Boston, MA 02110.

**Empiric Funds, Inc. [File No. 811-9088]**

*Summary:* Applicant seeks an order declaring that it has ceased to be an investment company. Applicant has transferred its assets to Mutual Fund Series Trust, and on April 5, 2013, applicant made a final distribution to its shareholders based on net asset value. Expenses of \$61,000 incurred in connection with the reorganization were paid by the applicant and Empiric Advisors, Inc., applicant's investment adviser.

*Filing Date:* The application was filed on October 3, 2013.

*Applicant's Address:* Empiric Advisors, Inc., 500 N Capital of Texas

Hwy., Building 8, Suite 150, Austin, TX 78746.

**UBS Juniper Crossover Fund, LLC [File No. 811-10113]**

*Summary:* Applicant, a closed-end investment company, seeks an order declaring that it has ceased to be an investment company. On June 18, 2013, applicant made a liquidating distribution to its shareholders, based on net asset value. Expenses of \$11,000 incurred in connection with the liquidation were paid by applicant.

*Filing Date:* The application was filed on October 9, 2013.

*Applicant's Address:* c/o UBS Alternative and Quantitative Investment LLC, 677 Washington Blvd., Stamford, CT 06901

**Separate Account I of Washington National Insurance Company [File No. 811-3640]**

*Summary:* Applicant, Separate Account I of Washington National Insurance Company, a unit investment trust registered under the Investment Company Act of 1940 (the "Act"), seeks an order declaring that it has ceased to be an investment company. Washington National Insurance Company ("Company"), of which Applicant is a separate account, terminated the offering of Applicant's variable annuity contracts ("Contracts") after 1996. The Applicant no longer accepts new purchase payments and the Company has not engaged in any solicitation or marketing activities with respect to the Contracts for 17 years. The Applicant has no securityholders other than the current 95 beneficial owners of the Contracts. Applicant is not making and does not presently propose to make a public offering of the Contracts. After the deregistration order requested by the Applicant is issued, securityholders under the Contracts will be promptly notified that certain legal protections afforded to securityholders of an investment company registered under the Act will no longer apply. However, after issuance of the order, the Company will continue to be responsible for satisfying all the obligations to securityholders under the Contracts.

*Filing Date:* The application was filed on September 3, 2013.

*Applicant's Address:* 11815 N. Pennsylvania Street, Carmel IN 46032.

For the Commission, by the Division of Investment Management, pursuant to delegated authority.

**Kevin M. O'Neill,**  
Deputy Secretary.

[FR Doc. 2013-26037 Filed 10-31-13; 8:45 am]

**BILLING CODE 8011-01-P**