

Item	Estimated time for response	Estimated annual responses	Estimated annual burden hours
Third Party Requester Change of Correspondence Address—Reexamination Proceeding (PTO/SB/123B).	3 minutes .....	90	5
Request for Customer Number Data Change (PTO/SB/124) .....	12 minutes .....	2,400	480
Request for Customer Number (PTO/SB/125) .....	12 minutes .....	7,100	1,420
Customer Number Upload Spreadsheet .....	1 hour and 30 minutes.	1,700	2,550
Request to Update a PCT Application with a Customer Number (PTO-2248) .....	15 minutes .....	1,110	278
Totals .....	.....	592,315	33,867

*Estimated Total Annual Non-hour Respondent Cost Burden:* \$64,916. There are no capital start-up, maintenance, or recordkeeping costs associated with this information collection. However, this collection does have annual (non-hour) cost burden in the form of filing fees and postage costs.

The two petitions in this collection have associated filing fees. The filing fee for both the Petition Under 37 CFR 1.36(a) to Revoke Power of Attorney by Fewer than All the Applicants and the Petition to Waive 37 CFR 1.32(b)(4) and Grant Power of Attorney by Fewer than All the Applicants is currently \$400 (37 CFR 1.17(f)). Using the \$400 fee for the 25 responses for these petitions, the USPTO estimates that the total filing fees for this collection will be \$10,000 per year.

The public may incur postage costs when submitting the information in this collection to the USPTO by mail. The USPTO estimates that the average first-class postage cost for a mailed submission will be 88 cents for all items except for the Customer Number Upload Spreadsheet and that approximately 59,062 of the non-spreadsheet items will be submitted to the USPTO by mail. Due to the additional materials required for Customer Number Upload Spreadsheet submissions, including the diskette or CD and cover letter, the USPTO estimates that the average first-class postage cost for the 1,700 spreadsheet

submissions will be \$1.73. Therefore, the total estimated postage cost for this collection is approximately \$54,916 per year.

The total (non-hour) respondent cost burden for this collection in the form of filing fees and postage costs is estimated to be \$64,916 per year.

**IV. Request for Comments**

*Comments are invited on:* (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden (including hours and cost) of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, e.g., the use of automated collection techniques or other forms of information technology.

Comments submitted in response to this notice will be summarized or included in the request for OMB approval of this information collection; they also will become a matter of public record.

Dated: May 5, 2011.  
**Susan K. Fawcett,**  
*Records Officer, USPTO, Office of the Chief Information Officer.*

[FR Doc. 2011-11378 Filed 5-9-11; 8:45 am]

**BILLING CODE 3510-16-P**

**DEPARTMENT OF DEFENSE**

**Office of the Secretary**

[Transmittal Nos. 11-12]

**36(b)(1) Arms Sales Notification**

**AGENCY:** Defense Security Cooperation Agency, Department of Defense.

**ACTION:** Notice.

**SUMMARY:** The Department of Defense is publishing the unclassified text of a section 36(b)(1) arms sales notification. This is published to fulfill the requirements of section 155 of Public Law 104-164 dated 21 July 1996.

**FOR FURTHER INFORMATION CONTACT:** Ms. B. English, DSCA/DBO/CFM, (703) 601-3740.

**SUPPLEMENTARY INFORMATION:** The following is a copy of a letter to the Speaker of the House of Representatives, Transmittals 11-12 with attached transmittal, policy justification, and Sensitivity of Technology.

Dated: May 4, 2011.

**Morgan F. Park,**  
*Alternate OSD Federal Register Liaison Officer, Department of Defense.*

**BILLING CODE 5001-06-P**



DEFENSE SECURITY COOPERATION AGENCY  
201 12<sup>TH</sup> STREET SOUTH, STE 203  
ARLINGTON VA 22202-5408

The Honorable John Boehner  
Speaker of the House  
U.S. House of Representatives  
Washington, DC 20515

APR 25 2011

Dear Mr. Speaker:

Pursuant to the reporting requirements of Section 36(b)(1) of the Arms Export Control Act, as amended, we are forwarding herewith Transmittal No. 11-12, concerning the Department of the Navy's proposed Letter(s) of Offer and Acceptance to The United Kingdom for defense articles and services estimated to cost \$137 million. After this letter is delivered to your office, we plan to issue a press statement to notify the public of this proposed sale.

Sincerely,

A handwritten signature in cursive script that reads "Richard A. Genalle, Jr.".

**Richard A. Genalle, Jr.**  
**Deputy Director**

Enclosures:

1. Transmittal
2. Policy Justification
3. Sensitivity of Technology



Transmittal No. 11-12

Notice of Proposed Issuance of Letter of Offer  
Pursuant to Section 36(b)(1)  
of the Arms Export Control Act, as amended

- (i) Prospective Purchaser: United Kingdom
- (ii) Total Estimated Value:
- |                          |                      |
|--------------------------|----------------------|
| Major Defense Equipment* | \$ 117 million       |
| Other                    | <u>\$ 20 million</u> |
| TOTAL                    | \$ 137 million       |
- (iii) Description and Quantity or Quantities of Articles or Services under Consideration for Purchase: 20 Block 1A to Block 1B Baseline 2 configuration Ordnance Alteration Kits and 16 Block 1B Baseline 1 to Baseline 2 Ordnance Alteration Kits for conversion and upgrades of MK15 PHALANX Close-In Weapon System (CIWS), spare and repair parts, support equipment, personnel training and training equipment, publications and technical documentation, software support, U.S. Government and contractor engineering, technical, and logistics support services, and all other related elements of program support
- (iv) Military Department: Navy (FAH)
- (v) Prior Related Cases, if any:
- FMS case LCK-\$15.8M-10 May82  
FMS case LHO-\$24.9M-20 Sep94  
FMS case GAH-\$539M-30 Jul04
- (vi) Sales Commission, Fee, etc., Paid, Offered, or Agreed to be Paid: None
- (vii) Sensitivity of Technology Contained in the Defense Article or Defense Services Proposed to be Sold: See Annex attached
- (viii) Date Report Delivered to Congress: APR 25 2011

\* as defined in Section 47(6) of the Arms Export Control Act.

POLICY JUSTIFICATIONUnited Kingdom – MK 15 PHALANX Close-In Weapon System (CIWS) Block 1B Baseline 2

The Government of the United Kingdom (UK) has requested the sale of 20 Block 1A to Block 1B Baseline 2 configuration Ordnance Alteration Kits and 16 Block 1B Baseline 1 to Baseline 2 Ordnance Alteration Kits for conversion and upgrades of MK15 PHALANX Close-In Weapon System (CIWS), spare and repair parts, support equipment, personnel training and training equipment, publications and technical documentation, software support, U.S. Government and contractor engineering, technical, and logistics support services, and all other related elements of program support. The estimated cost is \$137 million.

This proposed sale will contribute to the foreign policy and national security of the United States by helping to maintain and improve the security of a key NATO partner that has been, and continues to be, an important force for political stability and economic power in Europe.

The MK 15 PHALANX CIWS overhauls/upgrades will be used for close-in ship self-defense against air and surface threats onboard the UK's naval combatants and auxiliaries. The MK 15 PHALANX CIWS Block 1B Baseline 2 upgrades will provide enhanced electro-optical and radiofrequency close-in detection, tracking and engagement capabilities over the UK's existing MK 15 PHALANX systems, while improving CIWS supportability, maintainability and interoperability with U.S. systems. The UK, which already has earlier versions of the MK 15 PHALANX in its inventory, will have no problem absorbing these upgrades and support into its armed forces.

The proposed sale of this equipment will not alter the basic military balance in the region.

The prime contractor will be Raytheon Systems Company in Tucson, Arizona. There are no known offset agreements proposed in connection with this potential sale.

Implementation of this proposed sale will not require the assignment of any additional U.S. Government or contractor representatives to the UK.

There will be no adverse impact on U.S. defense readiness as a result of this proposed sale.

Transmittal No. 11-12

Notice of Proposed Issuance of Letter of Offer  
Pursuant to Section 36(b)(1)  
of the Arms Export Control Act, as amended

Annex  
Item No. vii

(vii) Sensitivity of Technology:

1. A MK 15 PHALANX Close-in Weapon System Block 1B Baseline 2 is Unclassified. Each system includes a MK 15 CIWS (a fast-reaction, rapid fire, computer controlled electro optical/radar and 20mm gun system designed to engage threats at a short range), Remote Control Station (RCS), Local Control Station (LCS), Peculiar Support Equipment (PSE), General Purpose Electronic Test Equipment (GPETE), Printer Network Kit, W82 Cable (P/N 6660476), Blackshells and Connectors, and Shipping Skid and Cover. Technical documentation that supports the operation/maintenance of the weapon system is Confidential. Shipboard operation/firing guidance is Unclassified.

2. If a technologically advanced adversary were to obtain knowledge of the specific hardware and software elements, the information could be used to develop countermeasures which might reduce weapon system effectiveness or be used in the development of a system with similar or advanced capabilities.

[FR Doc. 2011-11274 Filed 5-9-11; 8:45 am]

BILLING CODE 5001-06-C

**DEPARTMENT OF DEFENSE****Office of the Secretary**

[Transmittal Nos. 11-13]

**36(b)(1) Arms Sales Notification**

**AGENCY:** Defense Security Cooperation Agency, Department of Defense.

**ACTION:** Notice.

**SUMMARY:** The Department of Defense is publishing the unclassified text of a section 36(b)(1) arms sales notification. This is published to fulfill the requirements of section 155 of Public Law 104-164 dated 21 July 1996.

**FOR FURTHER INFORMATION CONTACT:** Ms. B. English, DSCA/DBO/CFM, (703) 601-3740

**SUPPLEMENTARY INFORMATION:** The following is a copy of a letter to the Speaker of the House of Representatives, Transmittals 11-13 with attached transmittal, policy justification, and Sensitivity of Technology.

Dated: May 4, 2011.

**Morgan F. Park,**

*Alternate OSD Federal Register Liaison Officer, Department of Defense.*

BILLING CODE 5001-06-P