

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Centers for Medicare and Medicaid Services**

[Document Identifier: CMS-416]

**Emergency Clearance: Public Information Collection Requirements Submitted to the Office of Management and Budget (OMB)**

**AGENCY:** Center for Medicare and Medicaid Services.

In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Centers for Medicare and Medicaid Services (CMS), Department of Health and Human Services, is publishing the following summary of proposed collections for public comment. Interested persons are invited to send comments regarding this burden estimate or any other aspect of this collection of information, including any of the following subjects: (1) The necessity and utility of the proposed information collection for the proper performance of the agency's functions; (2) the accuracy of the estimated burden; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) the use of automated collection techniques or other forms of information technology to minimize the information collection burden.

1. *Type of Information Collection Request:* Revision of a currently approved collection; *Title of Information Collection:* Annual Early and Periodic Screening, Diagnostic and Treatment (EPSDT) Services Participation Report; *Form Number:* CMS-416 (OMB#: 0938-0354); *Use:* States are required to submit an annual report on the provision of EPSDT services pursuant to section 1902(a)(43)(D) of the Social Security Act. These reports provide CMS with data necessary to assess the effectiveness of State EPSDT programs, to determine a State's results in achieving its participation goal and to respond to inquiries. Respondents are State Medicaid Agencies. CMS has revised the form by withdrawing the three additional lines of data (lines 12d, 12e and 12f) that were included on the form which was recently approved on April 28, 2009. *Frequency:* Yearly; *Affected Public:* State, Tribal and Local governments; *Number of Respondents:* 56; *Total Annual Responses:* 56; *Total Annual Hours:* 504. (For policy questions regarding this collection contact Cindy Ruff at 410-786-5916. For all other issues call 410-786-1326.)

CMS is requesting OMB review and approval of this collection by September 21, 2009, with a 180-day approval period. Written comments and recommendation will be considered from the public if received by the individuals designated below by the noted deadline below.

To obtain copies of the supporting statement and any related forms for the proposed paperwork collections referenced above, access CMS's Web Site address at <http://www.cms.hhs.gov/PaperworkReductionActof1995> or e-mail your request, including your address, phone number, OMB number, and CMS document identifier, to [Paperwork@cms.hhs.gov](mailto:Paperwork@cms.hhs.gov), or call the Reports Clearance Office on (410) 786-1326.

In commenting on the proposed information collections please reference the document identifier or OMB control number. To be assured consideration, comments and recommendations must be submitted in one of the following ways by September 8, 2009:

1. *Electronically.* You may submit your comments electronically to <http://www.regulations.gov>. Follow the instructions for "Comment or Submission" or "More Search Options" to find the information collection document(s) accepting comments.
2. *By regular mail.* You may mail written comments to the following address: CMS, Office of Strategic Operations and Regulatory Affairs, Division of Regulations Development, Attention: Document Identifier/OMB Control Number (CMS-416), Room C4-26-05, 7500 Security Boulevard, Baltimore, Maryland 21244-1850 and, OMB Office of Information and Regulatory Affairs, Attention: CMS Desk Officer, New Executive Office Building, Room 10235, Washington, DC 20503. Fax Number: (202) 395-6974.

Dated: July 31, 2009.

**Michelle Shortt,**

*Director, Regulations Development Group, Office of Strategic Operations and Regulatory Affairs.*

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**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Substance Abuse and Mental Health Services Administration**

**Agency Information Collection Activities: Proposed Collection; Comment Request**

In compliance with Section 3506(c)(2)(A) of the Paperwork

Reduction Act of 1995 concerning opportunity for public comment on proposed collections of information, the Substance Abuse and Mental Health Services Administration will publish periodic summaries of proposed projects. To request more information on the proposed projects or to obtain a copy of the information collection plans, call the SAMHSA Reports Clearance Officer on (240) 276-1243.

Comments are invited on: (a) Whether the proposed collections of information are necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

**Proposed Project: Jail Diversion and Trauma Recovery—Priority to Veterans Program Evaluation—NEW**

The Substance Abuse and Mental Health Services Administration's (SAMHSA), Center for Mental Health Services (CMHS) has implemented the Jail Diversion and Trauma Recovery Program (JDTR)—Priority to Veterans to support local implementation and State-wide expansion of trauma-integrated jail diversion programs to reach individuals with post-traumatic stress disorder (PTSD) and trauma-related disorders involved in the justice system. JDTR requires grantees to implement a State infrastructure program linked to a local pilot criminal justice diversion project. At the State level, the State Mental Health Authority (SMHA) will convene a State Advisory Committee that provides oversight of pilot projects' training, diversion, service delivery and local project evaluation, as well as design and implement plans to disseminate knowledge about effective pilot projects and to replicate them in other communities in the State. CMHS is requesting approval from the Office of Management and Budget (OMB) to implement a data collection document, the Semi-Annual Progress Report (SAPR), to evaluate the implementation, expansion, and sustainability of jail diversion and trauma-informed services developed under the JDTR program.

The current proposal requests implementing the Semi-Annual Progress Report (SAPR) to collect information in the following areas:

a. Document the State and pilot level goals for the project;

b. Describe the project environment, including changes that have helped or hindered implementation;

c. Estimate project spending on State, pilot, and evaluation activities;

d. Describe activities and progress on State level infrastructure change components, including barriers to progress;

e. Report on pilot project progress, including activities related to the pilot program, changes to program plans, and barriers to implementation;

f. Describe any project accomplishments, including documenting numbers and types of trainings, as well as any policy changes; and

g. Describe and update progress in meeting cross-site client evaluation requirements.

This information would be collected twice a year: In March and September. Six grantees were awarded 5-year grants in FY 2008 and six more 5-year grants are anticipated in 2009. The six FY 2008 grantees began data collection in March of FY 2009. Six additional possible future grantees awarded on September 30, 2009 would commence data collection in March of FY 2010. The burden estimate for completing the SAPR is as follows:

#### CY 2009 ANNUAL REPORTING BURDEN

Data collection activity	Number of respondents <sup>1</sup>	Responses per respondent <sup>3</sup>	Total responses	Average hours per response	Total hour burden
Semi-Annual Progress report .....	<sup>2</sup> 12	1	12	5	60
Overall Total: .....	12		12		60

<sup>1</sup> The respondents are the State Grantees.

<sup>2</sup> The respondents include FY 2008 Grantees and anticipated FY 2009 Grantees. The SAPR will be completed once by all 12 sites, in March 2010.

<sup>3</sup> The Project Director for each Grantee is responsible for compiling and submitting the SAPR.

#### CY 2010 ANNUAL REPORTING BURDEN

Data collection activity	Number of respondents <sup>1</sup>	Responses per respondent <sup>3</sup>	Total responses	Average hours per response	Total hour burden
Reporting for FY 2008 and anticipated FY 2009					
Semi-Annual Progress report .....	12	2	24	5	120
Reporting for possible FY 2010					
Semi-Annual Progress report .....	6	<sup>2</sup> 1	6	5	30
Overall Total: .....	18		30		150

<sup>1</sup> The respondents are the States.

<sup>2</sup> The SAPR will be completed once by possible FY 2010 sites, in March 2011.

<sup>3</sup> The Project Director for each Grantee is responsible for compiling and submitting the SAPR.

#### CY 2011 ANNUAL REPORTING BURDEN

Data collection activity	Number of respondents <sup>1</sup>	Responses per respondent <sup>2</sup>	Total responses	Average hours per response	Total hour burden
Semi-Annual Progress report .....	18	2	36	5	180
Overall Total: .....	18		36		180

<sup>1</sup> The respondents are the States.

<sup>2</sup> The Project Director for each Grantee is responsible for compiling and submitting the SAPR.

#### CY 2012 ANNUAL REPORTING BURDEN

Data collection activity	Number of respondents <sup>1</sup>	Responses per respondent <sup>2</sup>	Total responses	Average hours per response	Total hour burden
Semi-Annual Progress report .....	18	2	36	5	180
Overall Total: .....	18		36		180

<sup>1</sup> The respondents are the States.

<sup>2</sup> The Project Director for each Grantee is responsible for compiling and submitting the SAPR.

Send comments to Summer King, SAMHSA Reports Clearance Officer, OAS, Room 7-1044, One Choke Cherry Road, Rockville, MD 20857 and e-mail her a copy at

*summer.king@samhsa.hhs.gov*. Written comments should be received within 60 days of this notice.

Dated: July 29, 2009.

**Elaine Parry,**

*Director, Office of Program Services.*

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