

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-54240]

### In the Matter of the Application of the Nasdaq Stock Market, Inc. and the NASDAQ Stock Market LLC for Section 12(b) Registration On Behalf of Certain Issuers

July 31, 2006.

#### I. Introduction

On January 13, 2006, the Commission approved the application of the Nasdaq Stock Market, Inc. ("Nasdaq") to register one of its subsidiaries, the NASDAQ Stock Market LLC ("Nasdaq Exchange"), as a national securities exchange.<sup>1</sup> Currently, companies listed on Nasdaq have one or more classes of equity securities registered under Section 12(g)<sup>2</sup> of the Securities Exchange Act of 1934 ("Exchange Act"),<sup>3</sup> registered under Section 12(b) of the Exchange Act<sup>4</sup> for listing on another national securities exchange, or exempt from registration pursuant to Section 12(g)(2)(B) or 12(g)(2)(G) of the Exchange Act<sup>5</sup> or Rule 12g3-2(b) promulgated under the Exchange Act<sup>6</sup> as permitted under NASD Rules 4310 and 4320. Under Section 12(a) of the Exchange Act,<sup>7</sup> brokers and dealers are prohibited from effecting transactions in a security on a national securities exchange unless it has been registered under Section 12(b) of the Exchange Act.

Accordingly, absent relief, Nasdaq's transition to the Nasdaq Exchange would require each of the companies currently listing securities on either the Nasdaq Global Market or Nasdaq Capital Market to individually register their Nasdaq-listed securities under Section 12(b) of the Exchange Act before the Nasdaq Exchange commences operations. This process would require each affected company to file a registration statement with the Commission or other appropriate regulatory agency.<sup>8</sup> The Nasdaq Exchange would then be required to certify to the Commission and other regulators that, with respect to each

registration statement, the company's securities are approved for listing and registration on the Nasdaq Exchange.<sup>9</sup> The registration would become effective 30 days after the Commission's receipt of certification from the Nasdaq Exchange or within such shorter period of time as the Commission may determine.<sup>10</sup>

On behalf of its listed companies, Nasdaq and the Nasdaq Exchange have asked for relief with respect to this registration process, asserting that it would place an unnecessary cost and administrative burden on the listed companies, investors, the agencies that regulate the listed companies, and Nasdaq and the Nasdaq Exchange, and would not be in the public interest. With respect to the vast majority of its listed securities, Nasdaq and the Nasdaq Exchange assert that information that would be elicited by registration has already been required to be publicly disclosed. Since the vast majority of Nasdaq-listed companies already have registered their securities under Section 12 of the Exchange Act<sup>11</sup> or have been required to file detailed public information with the Commission,<sup>12</sup> the resulting duplicative disclosure would not significantly benefit the marketplace or investors.

To ameliorate the cost and administrative burden resulting from the filing of individual Exchange Act registration statements that would otherwise be required, Nasdaq and the Nasdaq Exchange have submitted a letter, dated July 31, 2006, on behalf of certain Nasdaq-listed issuers (the "Issuers") to the Commission requesting that this letter serve as the single application for registration with respect to the listed securities of these Issuers, as well as the Nasdaq Exchange's certification of such application (the

"Nasdaq Application").<sup>13</sup> Nasdaq and the Nasdaq Exchange have made a similar request of the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, and the Office of Thrift Supervision.<sup>14</sup> The Nasdaq Application is provided as an attachment to this Order.

#### II. Statutory Standards

Section 12(a) of the Exchange Act makes it unlawful for an exchange member, broker, or dealer to effect any transaction in any security (other than an exempted security) on a national securities exchange unless a registration is effective with respect to that security on the exchange in accordance with the provisions of Section 12 and the rules and regulations promulgated under Section 12. Exchange Act Section 12(b) and related rules prescribe the form and content of the application that may be used to register a security on a national exchange. However, Section 12(c)<sup>15</sup> permits the Commission to require alternative information in lieu of the informational requirements of Section 12(b) if, in the judgment of the Commission, some or all of the information required under Section 12(b) is "inapplicable to any specified class or classes of issuers" and the substitute information is of comparable character as the Commission may deem applicable to such class of issuers.

Section 12(d) provides that the registration of a security under the Exchange Act becomes effective 30 days after the Commission's receipt of certification from the national securities exchange that the security has been approved for listing and registration on the exchange, or within such shorter period of time as the Commission may determine.

#### III. Discussion of NASD Rule 4130 and Opt-Out Process

To provide notice of its plan to seek the requested relief on behalf of the Issuers and to assure sufficient authority

<sup>1</sup> See Release No. 34-53128 (January 13, 2006) [71 FR 3550].

<sup>2</sup> 15 U.S.C. 78l(g).

<sup>3</sup> 15 U.S.C. 78a et seq.

<sup>4</sup> 15 U.S.C. 78l(b).

<sup>5</sup> 15 U.S.C. 78l(g)(2)(B) or 78l(g)(2)(G).

<sup>6</sup> 17 CFR 240.12g3-2(b).

<sup>7</sup> 15 U.S.C. 78l(a).

<sup>8</sup> Section 12(i) of the Exchange Act requires filings relating to certain financial institutions to be made with the Comptroller of the Currency, the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, or the Office of Thrift Supervision. 15 U.S.C. 78l(i).

<sup>9</sup> See Section 12(d) of the Exchange Act [15 U.S.C. 78l(d)].

<sup>10</sup> *Id.*

<sup>11</sup> These companies have filed registration statements pursuant to Section 12(g) or, in a limited number of cases, Section 12(b) of the Exchange Act. A separate Section 12(b) registration statement is required with respect to each national securities exchange on which a particular class of security is listed. Accordingly, a new registration statement on 12(b) will be required by the time the Nasdaq Exchange becomes operational, even as to those Nasdaq-listed companies that have previously filed 12(b) registration statements.

<sup>12</sup> Those Nasdaq-listed companies which have registered under the Investment Company Act of 1940 (the "1940 Act") have filed registration statements with the Commission under the 1940 Act and have been required to make periodic filings under the 1940 Act identical in form to those required of investment companies that have registered their securities under Section 12(b) of the Exchange Act. These investment companies are exempt from registration under Section 12(g)(2)(B) of the Exchange Act.

<sup>13</sup> See Letter from Edward S. Knight to Nancy M. Morris (July 31, 2006). For certain of its listed issuers whose securities are not currently required to be registered under the Exchange Act, Nasdaq and the Nasdaq Exchange have requested additional time for these securities to become registered under Section 12(b). That portion of the request is being addressed in a separate Order by the Commission. See Exchange Act Release No. 34-54241 (July 31, 2006).

<sup>14</sup> We understand these agencies will consider the request for relief with respect to the companies they oversee pursuant to Section 12(i) of the Exchange Act. We further understand that the Comptroller of the Currency does not currently oversee any affected company pursuant to Section 12(i) of the Exchange Act.

<sup>15</sup> 15 U.S.C. 78l(c).

for Nasdaq and the Nasdaq Exchange to submit the Nasdaq Application to the Commission, the NASD proposed a new rule specifically permitting Nasdaq and the Nasdaq Exchange to take the contemplated action. The Commission approved this rule on April 6, 2006.<sup>16</sup> NASD Rule 4130 explicitly authorizes Nasdaq and the Nasdaq Exchange, in connection with Nasdaq's transition to a national securities exchange, to file an application with the Commission and the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, and the Office of Thrift Supervision to register each Issuer's listed securities under Section 12(b) of the Exchange Act and request any appropriate regulatory relief from the provisions of Section 12, unless the Issuer informs Nasdaq, pursuant to procedures set forth by Nasdaq, that it does not want to be included in this process.<sup>17</sup>

Accordingly, prior to filing the Nasdaq Application, Nasdaq provided notice of its intention to seek the requested relief.<sup>18</sup> In addition to general notice through the proposed rule filing, Nasdaq notified each Issuer, individually, of its plans to submit the request and allowed any Issuer that did not wish its securities to be included in the request to opt-out of the process.<sup>19</sup> At the expiration of the notice period,

no Issuers had elected to opt-out of the requested relief.<sup>20</sup>

#### IV. Findings

Pursuant to Section 12(c) of the Exchange Act, in the judgment of the Commission, based on the Nasdaq Application for Section 12(b) registration and the representations made therein and in light of the recent registration of the Nasdaq Exchange, the Commission will consider the Nasdaq Application in lieu of the information otherwise required under Section 12(b) of the Exchange Act. In reaching its determination, the Commission considered the following:

(i) In recognition of the unique circumstances discussed above in Section I and in the Nasdaq Application, particularly the fact that the information to be elicited by registration under Section 12 of the Exchange Act or, in the case of investment companies registered under the 1940 Act, its substantial equivalent, already has been required to be made public by the Issuers, it is the judgment of the Commission that the Nasdaq Application is sufficient for purposes of registration of the securities listed in Exhibit A to the Nasdaq Application (the "Issuer Securities");<sup>21</sup>

(ii) Nasdaq and the Nasdaq Exchange have represented to the Commission in the Nasdaq Application that, as of the date of this Order:

a. They have conducted the opt-out process as described, particularly with respect to notice of the Nasdaq Application to all Issuers, generally, pursuant to NASD Rule 4130 and a press release and, specifically, to each Issuer through the opt-out option,

b. That authorization has not been withheld by any Issuer with respect to any of the Issuer Securities, and

c. The Issuer Securities listed in Exhibit A to the Nasdaq Application accurately reflect the securities that are to be the subject of its request;

(iii) The Nasdaq Exchange has certified to the Commission in the Nasdaq Application that, as of the date of this Order, all of the Issuer Securities have been approved by the Nasdaq Exchange for listing and registration in accordance with the requirements of Section 12(d) of the Exchange Act; and

(iv) In accordance with Section 12(d) and Rule 12d1-2(a)<sup>22</sup> of the Exchange Act, Nasdaq and the Nasdaq Exchange have requested in writing the acceleration of the effective date of the Nasdaq Application for

Section 12(b) registration of the Issuer Securities on the date of this Order.

#### V. Conclusion

The Commission, having reviewed the Nasdaq Application for Section 12(b) registration of the Issuer Securities and in reliance on the representations and certifications made by Nasdaq and the Nasdaq Exchange in the Nasdaq Application, has concluded that it is appropriate, in the public interest and consistent with the protection of investors, to approve the Nasdaq Application and grant the request by Nasdaq and the Nasdaq Exchange for registration of the Issuer Securities under Section 12(b).

The Commission recognizes that the use of its authority under Section 12(c) of the Exchange Act to consider information other than that prescribed by Section 12(b) for purposes of Section 12 registration is a variation on the customary registration process. As noted, however, the Commission believes the special circumstances of Nasdaq's transition to a national securities exchange and the existing public disclosure requirements applicable to the Issuer Securities constitute a unique situation meriting the application of Section 12(c).

With respect to the findings and conclusions in this Order, it is also to be expressly understood that the Commission has not made, and this Order does not constitute, any determination regarding the Issuers' compliance with the listing standards of the Nasdaq Exchange or of any other exchange, securities association or facility on which the Issuers' securities trade, or any Commission rule or regulation, other than the Section 12(b) registration requirements as they relate to Nasdaq's transition to a national securities exchange. In addition, the Commission has not made, and this Order does not constitute, any determination regarding the regulation or oversight of Nasdaq or the Nasdaq Exchange with respect to the Issuer Securities, other than the Section 12(b) registration requirements as they relate to Nasdaq's transition to a national securities exchange.

Accordingly, *it is ordered* that the Nasdaq Application for Section 12(b) registration of the Issuer Securities, made by Nasdaq and the Nasdaq Exchange on behalf of the Issuers pursuant to NASD Rule 4130, be, and hereby is, granted, effective as of July 31, 2006.

<sup>16</sup> See Release No. 34-53606 (April 6, 2006) [71 FR 18790].

<sup>17</sup> The text of Rule 4130 reads as follows:

In connection with The Nasdaq Exchange commencing operations as a national securities exchange, each issuer authorizes Nasdaq and the Nasdaq Exchange to file an application to register under Section 12(b) of the Exchange Act any class of the issuer's securities that is listed on Nasdaq on the day immediately preceding the day the Nasdaq Exchange commences such operations; provided, however, that this provision shall not be applicable to any security that the issuer informs Nasdaq, pursuant to procedures set forth by Nasdaq, should not be so registered. The application to register under Section 12(b) of the Exchange Act will be filed with the Commission or, for those securities subject to Section 12(i) of the Exchange Act, with the appropriate banking regulator specified in Section 12(i). The authorization in this paragraph includes allowing Nasdaq and the Nasdaq Exchange to request any appropriate regulatory relief from the provisions of Section 12.

<sup>18</sup> See Nasdaq Application at 3 and Release No. 34-53362 (February 24, 2006) [71 FR 10734].

<sup>19</sup> See Nasdaq Application at 3. Notice was provided through a May 15, 2006 bulletin to Issuers and a May 17, 2006 press release requesting Issuers notify Nasdaq by May 30, 2006 if they did not wish to participate. The result of an Issuer choosing to opt-out is that the Issuer's securities will be ineligible to be listed and traded on the Nasdaq Exchange as of its operational date; such Issuer would instead trade on the pink sheets or OTC Bulletin Board unless it files an individual Section 12(b) registration statement on Form 8-A or Form 10, as applicable, in connection with listing on the Nasdaq Exchange or another national securities exchange, and such registration statement subsequently becomes effective.

<sup>20</sup> See Exhibit B to the Nasdaq Application.

<sup>21</sup> According to the Nasdaq Application, the Issuer Securities represent securities: (i) That are listed on Nasdaq immediately preceding the date that the Nasdaq Exchange begins operations; (ii) that are currently either registered under Section 12(b) or 12(g) of the Exchange Act or exempt from Section 12(g) registration pursuant to Section 12(g)(2)(B) or 12(g)(2)(G) of the Exchange Act or Exchange Act Rule 12g3-2(b); and (iii) that have not been requested by the issuer to be opted-out of the Nasdaq Application pursuant to the procedures established by Nasdaq as a result of NASD Rule 4130.

<sup>22</sup> 17 CFR 249.12d1-2(a).

By the Commission (Chairman Cox and Commissioners Glassman, Atkins, Campos and Nazareth).

**Nancy M. Morris,**  
*Secretary.*

July 31, 2006

Nancy M. Morris, Esq.  
Secretary, US Securities and Exchange  
Commission, 100 F Street, NE,  
Washington, DC 20549

RE: Request for Relief from § 12 of the  
Securities Exchange Act of 1934

Dear Ms. Morris:

On January 13, 2006, the Securities and Exchange Commission ("SEC" or "Commission") approved the application of The NASDAQ Stock Market LLC ("NASDAQ Exchange"), a subsidiary of The Nasdaq Stock Market, Inc. ("Nasdaq"), to register under Section 6 of the Securities Exchange Act of 1934 ("Act" or "Exchange Act") as a national securities exchange.<sup>1</sup> Nasdaq's transition of its listing and trading activities to the Nasdaq Exchange will fulfill Congress's instruction to promote "fair competition \* \* \* between exchange markets."<sup>2</sup> Absent the relief requested herein, however, Nasdaq's transition to a national securities exchange would require approximately 3,200 Nasdaq Global Market<sup>3</sup> and Capital market issuers with securities registered pursuant to the Act, or exempt from registration under Section 12(g) of the Act,<sup>4</sup> to file registration statements<sup>5</sup> to register those securities under Section 12(b) of the Act.<sup>6</sup>

Engaging in what would essentially be a re-registration process for the vast majority of these 3,200 issuers would create a serious disruption in the trading of securities on The Nasdaq Stock Market. As explained below, the confusion and inevitable administrative delay that would accompany such a process for issuers registered with the Commission would achieve no material public benefit and would place an unnecessary burden on issuers, investors, Nasdaq, the Nasdaq Exchange, and the Commission. The

Commission can prevent this potential disruption by granting the relief requested in this letter. Specifically, Nasdaq and the Nasdaq Exchange request that this letter serve as: (1) The registration statement under Section 12(b) for all classes of listed securities of Nasdaq Capital Market and Nasdaq Global Market issuers registered with the Commission under Sections 12(b) and 12(g), as well as those listed securities exempt from registration under Section 12(g)(2)(B) of the Act<sup>7</sup>; and (2) the Nasdaq Exchange's certification pursuant to Section 12(d) of the Act<sup>8</sup> that these securities are approved for listing and registration concurrent with the start of operations of the Nasdaq Exchange. Nasdaq and the Nasdaq Exchange also request that the Commission issue an exemption from registration applicable to issuers that are now exempt from the registration requirements of Section 12(g) pursuant to Section 12(g)(2)(G) of the Act<sup>9</sup> and Exchange Act Rule 12g3-2(b)<sup>10</sup> to allow these companies three years from the date the Nasdaq Exchange begins operations to become registered under Section 12(b). NASD Rule 4130 specifically permits Nasdaq to act on behalf of its issuers in this regard.<sup>11</sup>

## I. Background

Nasdaq presently is a facility of the National Association of Securities Dealers, Inc. ("NASD"), a registered securities association, and thus is subject to Section 15A of the Act. On March 15, 2001, Nasdaq filed an application under Section 6 of the Act for registration as a national securities exchange ("Form 1") with the Commission. On August 15, 2005, and September 23, 2005, Nasdaq submitted Amendments 4 and 5, respectively, to its Form 1. In Amendments 4 and 5 Nasdaq proposed, among other things, a new corporate structure whereby Nasdaq would become a holding company with the Nasdaq Exchange as one of its subsidiaries. The Commission published notice of Amendments 4 and 5 on October 11, 2005.<sup>12</sup> On January 13, 2006, the Nasdaq Exchange submitted Amendment 6 to the Form 1 and the Commission approved the Nasdaq Exchange's application for registration as a national securities exchange.<sup>13</sup> On June 30, 2006, the Commission modified the approval order so that the Nasdaq Exchange could begin operations in a phased manner, with operations related to trading in Nasdaq-listed securities beginning before operations related

to trading in securities listed on other national securities exchanges.<sup>14</sup> The Nasdaq Exchange has satisfied the conditions expressed in the amended approval order with respect to Nasdaq-listed securities and expects to begin operations as a national securities exchange for those securities on August 1, 2006.

Upon operation of the Nasdaq Exchange, issuers listed and traded on Nasdaq will instead be listed and traded on the Nasdaq Exchange.<sup>15</sup> Under current NASD rules, a security is eligible for listing on Nasdaq if it is registered under the Exchange Act under either Section 12(g) or Section 12(b).<sup>16</sup> In addition, three categories of securities exempt from registration under Section 12(g) are also eligible for listing on Nasdaq. First, a security issued by an investment company registered under the Investment Company Act of 1940 (the "1940 Act") is exempt from registration under Section 12(g)(2)(B) of the Act, but is eligible for listing on Nasdaq.<sup>17</sup> Second, a security issued by an insurance company and exempt from registration under Section 12(g) pursuant to Section 12(g)(2)(G) is also eligible for listing.<sup>18</sup> Finally, the securities of certain foreign issuers are eligible for inclusion in Nasdaq even though they are exempt from registration pursuant to Rule 12g3-2(b) under the Exchange Act.<sup>19</sup> Once the Nasdaq Exchange begins operations, issuers will need instead to have been registered under Section 12(b) so that brokers and dealers may effect transactions in these securities on the Nasdaq Exchange consistent with Section 12(a) of the Act.<sup>20</sup>

In contemplation of this request, Nasdaq has adopted Rule 4130, which specifically permits Nasdaq to act on behalf of its issuers to request registration of their listed securities under Section 12(b), or seek appropriate regulatory relief from Section 12(b), in connection with the transition to the Nasdaq Exchange.<sup>21</sup> In proposing this rule change, Nasdaq noted that it anticipated making the requests contained herein and the process by which it would provide notice to each issuer and would allow any issuer that does not wish to register under Section 12(b) the ability to opt-out of Nasdaq's request.<sup>22</sup> Nasdaq provided that notice by issuing a

<sup>14</sup> Securities Exchange Act Release No. 54085 (June 30, 2006), 71 FR 38910 (July 10, 2006).

<sup>15</sup> This includes securities listed on the Nasdaq Capital Market and the Nasdaq Global Market. Note that the NASD has modified its Plan of Allocation and Delegation of Functions by NASD to Subsidiaries and certain NASD rules to reflect NASD's direct authority for the activities related to the OTC Bulletin Board, rather than the prior delegation of such authority to Nasdaq. As such, this application does not address the OTC Bulletin Board and securities quoted on the OTC Bulletin Board will not be listed on the Nasdaq Exchange.

<sup>16</sup> NASD Rules 4310(a)(1) and (2) and 4320(a).

<sup>17</sup> NASD Rule 4310(a)(4).

<sup>18</sup> NASD Rule 4310(a)(3).

<sup>19</sup> NASD Rule 4320(c).

<sup>20</sup> 15 U.S.C. 78l(a).

<sup>21</sup> Securities Exchange Act Release No. 53606, *supra* note 11.

<sup>22</sup> Securities Exchange Act Release No. 53262, *supra* note 11.

<sup>1</sup> Securities Exchange Act Release No. 53128 (January 13, 2006), 71 FR 3550 (January 23, 2006) (the "Exchange Approval Order").

<sup>2</sup> Exchange Act Section 11A(a)(1)(C)(ii).

<sup>3</sup> Effective July 1, 2006, Nasdaq renamed the Nasdaq National Market as the Nasdaq Global Market and created a new segment within the Global Market called the Global Select Market. References to the Nasdaq Global Market include those securities listed on the Nasdaq Global Market and the Nasdaq Global Select Market. See Securities Exchange Act Release No. 54071 (June 29, 2006), 71 FR 38922 (July 10, 2006) (SR–NASD–2006–068); Securities Exchange Act Release No. 53799 (May 12, 2006), 71 FR 29195 (May 19, 2006) (SR–NASDAQ–2006–007).

<sup>4</sup> 15 U.S.C. 78l(g).

<sup>5</sup> Most of these registration statements would be filed with the Commission. However, Section 12(i) of the Act requires filings relating to certain financial institutions to be made with the Comptroller of the Currency, the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, or the Office of Thrift Supervision (collectively, the "Banking Regulators"). 15 U.S.C. 78l(i). Separate requests have been sent to the Banking Regulators seeking similar relief for the companies registered with them.

<sup>6</sup> 15 U.S.C. 78l(b).

<sup>7</sup> 15 U.S.C. 78l(g)(2)(B).

<sup>8</sup> 15 U.S.C. 78l(d).

<sup>9</sup> 15 U.S.C. 78l(g)(2)(G).

<sup>10</sup> 17 CFR 240.12g3-2(b).

<sup>11</sup> Rule 4130 permits Nasdaq to act on behalf of its issuers to request registration of their listed securities under Section 12(b), or seek appropriate regulatory relief from Section 12(b), in connection with the transition to the Nasdaq Exchange. See Securities Exchange Act Release No. 53606 (April 6, 2006), 71 FR 18790 (April 12, 2006) (approving SR–NASD–2006–28); Securities Exchange Act Release No. 53262 (February 24, 2006), 71 FR 10734 (March 2, 2006) (providing notice of SR–NASD–2006–28).

<sup>12</sup> Securities Exchange Act Release No. 52559 (October 4, 2005), 70 FR 59097 (October 11, 2005).

<sup>13</sup> Exchange Approval Order, *supra* note 1.

bulletin to issuers<sup>23</sup> on May 15, 2006, and by issuing a press release<sup>24</sup> on May 17, 2006.

As of July 31, 2006, Nasdaq lists 2,776 securities on the Global Market (including 1,254 securities on the Nasdaq Global Select Market) and 580 securities on the Capital Market.<sup>25</sup> These securities can be categorized as follows: 3,257 securities are registered with the Commission under Section 12(g); 40 securities are also listed on a national securities exchange and are registered with the Commission under Section 12(b); 17 investment company issuers' securities are exempt from registration under Section 12(g)(2)(B); four insurance company issuers' securities are exempt from Section 12(g) registration under Section 12(g)(2)(G); nine foreign private issuers' securities are exempt from Section 12(g) registration under Rule 12g3-2(b); and 29 bank and savings association issuers' securities are registered under Section 12(g) with other regulatory agencies pursuant to Section 12(i).<sup>26</sup>

## II. Basis for Relief Sought and Anticipated Benefits

### A. Securities Already Registered Under Section 12(g) and 12(b)

Absent relief, the issuers of approximately 3,297 Nasdaq Global Market and Capital Market securities that are registered with the Commission under Sections 12(g) and 12(b) will be required to file a registration statement to register their securities under Section 12(b) on the Nasdaq Exchange once Nasdaq begins operating as a national securities exchange. Nasdaq believes that under the circumstances, this registration process would be confusing and would place an unnecessary cost and administrative burden on Nasdaq, the Nasdaq Exchange, the Commission, and issuers and would not be in the public interest. Specifically, each of those issuers would be required to file with the Commission and with the Nasdaq Exchange a new Exchange Act registration statement describing the securities to be registered along with all necessary exhibits. The Nasdaq Exchange would then be required to certify to the Commission that each issuer's securities are approved for listing and registration. This process would have to be coordinated to minimize disruptions to trading in issuer securities,

with the real possibility of some securities experiencing trading gaps during the transition. Such a daunting and time-sensitive task—which creates no significant identifiable benefit to the public creates the unnecessary risk of administrative errors by the issuers, the Nasdaq Exchange, or the Commission that could inadvertently delay or otherwise adversely impact the registration and trading of securities on the new exchange. The public interest is served by having exchanges run smoothly and efficiently, and the requested relief would achieve that purpose.

The additional registration process would not result in any significant benefit to the marketplace or investors because they would not receive any additional information regarding the security. Each Nasdaq Global Market and Capital Market issuer in this category has already filed an Exchange Act registration statement with the Commission to register the class of securities under Section 12 of the Act. Those issuers with securities registered under Section 12(g) were required to file a registration statement that contained "such information and documents as the Commission may specify comparable to that which is required in an application to register a security pursuant to [Section 12(b)]."<sup>27</sup>

There are also no relevant differences in the regulatory requirements for securities registered under Sections 12(b) and 12(g) that would negatively impact investors. For example, issuers with securities registered under Section 12(g) must, like issuers with securities registered under Section 12(b), file periodic and other reports with the Commission under Section 13 of the Act, comply with the proxy requirements under Section 14 of the Act, and adhere to the requirements of the Williams Act. Because securities registered under Section 12(b) and Section 12(g) are already treated in a nearly identical fashion, requiring Nasdaq issuers to re-register their securities would not result in any material benefit to the marketplace or investors.

The Commission would be acting well within its authority in granting the relief requested. Congress has provided specific authorization under Section 12(c) of the Act,<sup>28</sup> which allows the submission of different information than that required under Section 12(b).

Accordingly, Nasdaq and the Nasdaq Exchange request that this letter serve as: (i) The registration statement under Section 12(b) for all classes of listed securities of Nasdaq Global Market and Capital Market issuers registered with the Commission under Sections 12(b) and 12(g) and included in Exhibit A; and (ii) the Nasdaq Exchange's certification pursuant to Section 12(d) of the Act that these securities are approved for listing and registration, concurrent with the start of operations of the Nasdaq Exchange. Nasdaq and the Nasdaq Exchange further request that the Commission accelerate the effective date of this application for Section 12(b) registration to July 31, 2006.

This action would be in the public's interest and consistent with the protection of

investors because it would prevent the imposition of a significant administrative burden on issuers, the Commission, and others without weakening any of the protections afforded to investors under the federal securities laws.<sup>29</sup>

### B. Securities Exempt From Registration Under Section 12(g)(2)(B)

Nasdaq currently lists 17 investment companies whose securities are exempted from Section 12(g) registration pursuant to Section 12(g)(2)(B) of the Act. No purpose would be served by requiring these issuers to file registration statements under Section 12(b) because these companies already are and would remain subject to registration and reporting requirements under the 1940 Act rather than Section 13 of the Act.<sup>30</sup> The Commission's rules clearly contemplate that disclosure under the 1940 Act satisfies the disclosure required by the Exchange Act. In particular, each registered investment company has filed a registration statement with the Commission under the 1940 Act and has been required to make periodic filings under the 1940 Act identical in form to those required of investment companies that have registered their securities under Section 12(b) of the Act.<sup>31</sup>

As such, Nasdaq and the Nasdaq Exchange request that these issuers be treated in the same manner as issuers with securities registered under Sections 12(b) or 12(g) of the Act and that this letter serve as: (i) The registration statement under Section 12(b) for all classes of listed securities of Nasdaq Global Market and Capital Market issuers exempt from Section 12(g) registration pursuant to Section 12(g)(2)(B) and included in Exhibit A; and (ii) the Nasdaq Exchange's certification pursuant to Section 12(d) of the Act that these securities are approved for listing and registration, concurrent with the start of operations of the Nasdaq Exchange. Nasdaq and the Nasdaq Exchange further

<sup>29</sup> This reclassification would apply only to those issuers listed on Nasdaq when it becomes a national securities exchange and not to issuers approved for listing on Nasdaq afterwards. Such later-listed issuers would be required to file a registration statement with the Commission to register their securities under Section 12(b) and Nasdaq would be required separately to certify such registration statements. In addition, this reclassification would not apply to the securities of any issuer that has opted-out of such treatment, pursuant to NASD Rule 4130. See SR-NASD-2006-28.

<sup>30</sup> Registered investment companies file annual and semiannual reports on Forms N-CSR and N-SAR, rather than on Forms 10-K and 10-Q, even if registered under the Exchange Act. See General Instruction A. to Form N-CSR, General Instruction A. to Form 10-K, and Exchange Act Rules 13a-11(b) and 13a-13(b). Registered investment companies are also subject to proxy regulation under Rule 20a-1 of the 1940 Act. See also Item 22 of Schedule 14A.

<sup>31</sup> Under Exchange Act Rule 12g-2, the Commission already has made provision for these companies to be deemed registered under the Exchange Act without the need for a filing. That relief is automatic upon the termination of the issuer's registration under the 1940 Act. Given that relief, it would make no sense to impose a filing requirement when the investment company has maintained, rather than terminated, its registration under the 1940 Act.

<sup>23</sup> See "Impact of NASDAQ Exchange Registration on Listed Companies" available at: [http://www.nasdaq.com/about/Exchange\\_Bulletin\\_051506.pdf](http://www.nasdaq.com/about/Exchange_Bulletin_051506.pdf).

<sup>24</sup> See "NASDAQ Notifies Listed Companies About Transition To Exchange Status" available at: [http://www.nasdaq.com/newsroom/news/pr2006/ne\\_section06\\_066.stm](http://www.nasdaq.com/newsroom/news/pr2006/ne_section06_066.stm)

<sup>25</sup> Some issuers list more than one security on Nasdaq.

<sup>26</sup> To assist the Commission with this request, we have attached lists of those securities registered with the Commission or exempt from registration. Exhibit A contains a list of those securities already registered with the Commission under Sections 12(b) or 12(g) and those securities exempt from registration under Rule 12(g)(2)(B), that have not opted out from this request as provided for in Rule 4130. Exhibit B contains a list of those securities that have opted out from this request. Exhibit C contains a list of those securities that are exempt from registration under Section 12(g) pursuant to Section 12(g)(2)(G) or Rule 12g3-2(b).

<sup>27</sup> Section 12(g)(1) of the Act, 15 U.S.C. 78l(g)(1).

<sup>28</sup> 15 U.S.C. 78l(c).



request that the Commission accelerate the effective date of this application for Section 12(b) registration to July 31, 2006.

This action would be in the public's interest and consistent with the protection of investors because it would prevent the imposition of a significant administrative burden on issuers, the Commission, and others without weakening any of the protections afforded to investors under the federal securities laws.<sup>32</sup>

### C. Other Securities Exempt From Registration Under Section 12(g)

As described above, Nasdaq lists 13 securities—out of more than 3,300—that are otherwise exempt from registration under Section 12(g). The Nasdaq Exchange will operate in all relevant, material respects just as Nasdaq operates today.<sup>33</sup> In fact, while as early as 1983 the Commission recognized that “trading on [Nasdaq] is substantially the same as trading on an exchange,”<sup>34</sup> the Commission has nonetheless permitted securities of these exempt issuers to trade on Nasdaq.

Section 36 of the Act<sup>35</sup> grants the Commission broad authority to make exemptions to any part of the Act when “such exemption is necessary or appropriate in the public interest, and is consistent with the protection of investors.” Granting a temporary continuation of an exemption from registration is “necessary or appropriate in the public interest” and is “consistent with the protection of investors.” This exemption for a transitional period would provide issuers that have traded on Nasdaq without incident for many years with sufficient time to undertake Exchange Act registration requirements and to make an orderly transition to the Nasdaq Exchange and therefore is in the public interest. The Commission has used its authority in the past to resolve administrative hurdles for complex transactions and to relieve unnecessary administrative burdens. Finally, given that these securities have traded on Nasdaq pursuant to an exemption for an extended period of time, the continuation of a similar exemption for a limited time should not raise any new concerns regarding the protection of investors.

Forcing Section 12(g) exempt issuers to immediately register would be inequitable and wholly unrelated to any act or failure to act by these issuers. In the absence of exemptive relief, each of the Section 12(g) exempt issuers would be required to prepare and file a registration statement on Form 10 or 20-F. Foreign issuers would also have to restate or reconcile their financial statements

to U.S. generally accepted accounting principles (“U.S. GAAP”). But it is Nasdaq's becoming an exchange rather than any affirmative act by these exempt issuers that would trigger the imposition of this registration requirement. Companies that list on the Nasdaq Exchange after it begins operations could be required to meet all the registration requirements applicable to an exchange listing without disrupting an existing market in those securities. But for those companies already listed, requiring immediate registration is potentially disruptive and unfair. The mere fact of Nasdaq's conversion to an exchange should not adversely impact these companies or their investors.

Thus, Nasdaq and the Nasdaq Exchange request that the Commission temporarily continue the exemption from registration for the following classes of Nasdaq-listed issuers. In connection with this request, the Nasdaq Exchange represents that it will continue to monitor these companies in the same manner Nasdaq does, to assure compliance with all applicable listing requirements.

#### 1. Insurance Companies

The Commission need not immediately impose registration requirements on the four insurance companies listed on Nasdaq but exempt from Section 12(g) registration.<sup>36</sup> These issuers have not taken any action on their own to trigger a registration requirement and the additional reporting requirements required by such registration. In fact, if the Commission determines not to temporarily continue these companies' exemptions and they choose to delist rather than register, investors would be harmed by the potential loss of a liquid trading market. As such, Nasdaq requests that the Commission grant an exemption for the securities of these insurance companies (identified on Exhibit C) from the requirements of Sections 12(a) and 12(b) with respect to the trading of these securities on the Nasdaq Exchange for a three-year period from the date the Nasdaq Exchange begins to operate as an exchange, provided these companies continue to comply with the requirements of Section 12(g)(2)(G) of the Act and the applicable requirements for continued listing on the Nasdaq Exchange. This transitional exemption will permit these issuers to complete the registration process without undue burden.

#### 2. Foreign Private Issuers

There are nine foreign issuers that trade on The Nasdaq Capital Market pursuant to the “grandfathering” exemption of Rule 12g3-2(b).<sup>37</sup> This exemption originated in 1983, when the Commission first required foreign private issuers whose securities were trading on Nasdaq to be registered. Prior to that time, a foreign private issuer whose securities were not trading on a national securities exchange

was exempt from registration where the foreign issuer did not voluntarily enter the United States markets by, for example, conducting a public offering or listing on an exchange. In 1983 the Commission amended Rule 12g3-2(b) to deny the exemption to non-U.S. issuers that voluntarily listed on Nasdaq. In order not to disrupt the trading of these issuers, however, the Commission grandfathered in all non-Canadian foreign issuers, allowing those companies to continue to trade on Nasdaq without registration under the Exchange Act.<sup>38</sup> In doing so, the Commission heeded the concerns of commenters that many foreign issuers would withdraw from Nasdaq, rather than register, leaving the pink sheets as the only source of trading information related to these companies and resulting in increased price spreads, a decrease in information, price quotes not carried in newspapers, less liquid markets and fewer institutions in the market, absence of NASD surveillance, and delays in execution of transfers.<sup>39</sup>

The same considerations that compelled that treatment of foreign issuers in 1983 are relevant to the relief requested today. These issuers have not acted to jeopardize their ability to trade on Nasdaq or Rule 12g3-2(b) exempt status. If forced to immediately register their securities, a significant number of these issuers may delist rather than register, thereby relegating the U.S. investors in those foreign issuers to potentially less liquid and transparent markets.

For these reasons, the Nasdaq Exchange's registration as an exchange should not force these companies to immediately register or delist.<sup>40</sup> Nasdaq and the Nasdaq Exchange therefore request that the Commission grant an exemption for those securities included in Exhibit C that are exempt from Section 12(g) registration under Rule 12g3-2(b) from the requirements of Sections 12(a) and 12(b) with respect to the trading of these securities on the Nasdaq Exchange for a three-year period from the date the Nasdaq Exchange begins to operate as an exchange, provided the issuers continue to comply with the requirements of Rule 12g3-2(b) and the applicable requirements for continued listing on the Nasdaq Exchange. This transitional exemption will permit these issuers to complete the registration process without undue burden.<sup>41</sup>

<sup>38</sup> Exchange Act Rule 12g3-2(b). The exemption is maintained by submitting the issuer's home country reports to the Commission.

<sup>39</sup> Rule 12g3-2(b) Amendments, *supra* note 34. These factors, according to one estimate, would cause prices to drop 20 percent. *Id.*

<sup>40</sup> One exempt foreign issuer, Nissan Motor Co., Ltd., submitted a comment letter to the Commission in connection with Nasdaq's application to become an exchange, requesting that the Rule 12g3-2(b) grandfathering be allowed to continue indefinitely, or, in the alternative, that a reasonable transition period be allowed. *See* footnote 208 to the Exchange Approval Order, *supra*, note 1.

<sup>41</sup> Nasdaq notes that the proposed three-year period is consistent with the time-line the Commission has set forth to eliminate the requirement for foreign private issuers to reconcile financial statements prepared according to International Financial Reporting Standards to US GAAP. *See* SEC Press Release 2006-17, available at: <http://www.sec.gov/news/press/2006-17.htm>.

<sup>32</sup> As noted in footnote 29, *supra*, this reclassification would apply only to those issuers listed on Nasdaq when it becomes a national securities exchange that have not opted-out of such treatment pursuant to NASD Rule 4130.

<sup>33</sup> The primary difference in market structure that Nasdaq contemplates is the establishment of a holding company structure under which Nasdaq would own the Nasdaq Exchange, which would execute quotes and orders in accordance with a strict price-time priority algorithm.

<sup>34</sup> Securities Act Release No. 6493 (October 14, 1983) (“Rule 12g3-2(b) Amendments”).

<sup>35</sup> 15 U.S.C. 78mm.

<sup>36</sup> Pursuant to Section 12(g)(2)(G) of the Act, these issuers generally must file an annual statement with the Commissioner of Insurance of their domiciliary state and must be subject to regulation by their domiciliary state of proxies, consents, or authorizations.

<sup>37</sup> These issuers are not eligible for listing on the Nasdaq Global Market, nor are they subject to the Global Market listing requirements.

### III. Conclusion

The relief requested above is in the public interest because it will ensure the continued smooth operation of this market immediately from the time the Nasdaq Exchange begins operations as an exchange and avoid confusion and a number of potentially disruptive administrative hurdles. The relief is necessary and appropriate to avoid the disruption that could occur if members, brokers, and dealers were prohibited from effecting transactions in Nasdaq securities due to the lack of an effective registration once the Nasdaq Exchange begins operating as a registered exchange.

The Commission has specific authority provided by Section 12(c) to effect the relief

requested with respect to those securities already registered under Section 12(b) or 12(g) and those securities exempt from Section 12(g) registration pursuant to Section 12(g)(2)(B). Further, the Commission has general exemptive authority pursuant to Section 36 of the Act and Rule 0-12 thereunder, in pertinent part, to exempt any person, security, or transaction, or any class or classes of persons, securities, or transactions, from any provision or provisions of this title or of any rule or regulation thereunder, to the extent that such exemption is necessary or appropriate in the public interest, and is consistent with the protection of investors. The unique facts surrounding Nasdaq's transition to a national securities exchange provide ample

justification for the Commission to exercise its authority under Section 36 under the circumstances described in this letter.

If you have any questions concerning the foregoing you may contact the undersigned at (301) 978-8480, Arnold Golub at (301) 978-8075 or John Yetter at (301) 978-8497.

Sincerely yours, Edward S. Knight

Exhibit A: List of securities whose registration will be transferred to Section 12(b)

Exhibit B: List of securities of issuers that have elected to opt-out of requested relief

Exhibit C: List of securities exempt from Section 12(g) registration under Section 12(g)(2)(G) and Rule 12g3-2(b)

**BILLING CODE 8010-01-P**

Exhibit A: List of Securities whose Registration will be Transferred to Section 12(b)

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| SWAT         | A4S Security, Inc. Common Stock                         | Common Stock               | NCM                  | 12(b)             | 0001216199 | 001-32566  |
| SWATW        | A4S Security, Inc. Warrant Exp. 7/18/2010               | Warrant                    | NCM                  | 12(b)             | 0001216199 | 001-32566  |
| ABLE         | Able Energy, Inc. Common Stock                          | Common Stock               | NCM                  | 12(b)             | 0001065728 | 001-15035  |
| ACSEF        | ACS Motion Control Ltd. Common Stock                    | Ordinary Shares            | NCM                  | 12(b)             | 0001038144 | 001-14662  |
| ADST         | AdStar, Inc. Common Stock                               | Common Stock               | NCM                  | 12(b)             | 0001091599 | 001-15363  |
| AFG          | American Financial Group, Inc. Common Stock             | Common Stock               | NGS                  | 12(b)             | 0001042046 | 001-13653  |
| APCC         | American Power Conversion Corporation Common Stock      | Common Stock               | NGS                  | 12(b)             | 0000835910 | 001-12432  |
| APA          | Apache Corporation Common Stock                         | Common Stock               | NGS                  | 12(b)             | 0000006769 | 001-04300  |
| BOSC         | B.O.S. Better Online Solutions Common Stock             | Ordinary Shares            | NGM                  | 12(b)             | 0001005516 | 001-14184  |
| BARI         | Bancorp Rhode Island, Inc. Common Stock                 | Common Stock               | NGS                  | 12(b)             | 0001109525 | 001-16101  |
| BFLY         | Bluefly, Inc. Common Stock                              | Common Stock               | NCM                  | 12(b)             | 0001030896 | 001-14498  |
| CSPLF        | Canada Southern Petroleum Ltd. Common Stock             | Common Stock               | NCM                  | 12(b)             | 0000016804 | 001-03793  |
| CME          | Chicago Mercantile Exchange Holdings Cl A               | Common Stock               | NGS                  | 12(b)             | 0001156375 | 001-31553  |
| COHT         | Cohesant Technologies Inc. Common Stock                 | Common Stock               | NCM                  | 12(b)             | 0000928420 | 001-13484  |
| NVNY         | Empire Resorts, Inc. Common Stock                       | Common Stock               | NCM                  | 12(b)             | 0000906780 | 001-12522  |
| ENDP         | Endo Pharmaceuticals Holdings Inc. Common Stock         | Common Stock               | NGS                  | 12(b)             | 0001100962 | 001-15989  |
| ESCL         | Escala Group, Inc. Common Stock                         | Common Stock               | NGS                  | 12(b)             | 0000895516 | 001-11988  |
| EWEB         | Euroweb International Corp. Common Stock                | Common Stock               | NCM                  | 12(b)             | 0000905428 | 001-12000  |
| GORX         | GeoPharma, Inc. Common Stock                            | Common Stock               | NCM                  | 12(b)             | 0001098315 | 001-16185  |
| HMY          | Harmony Gold Mining Co. Ltd. American Depositary Shares | American Depositary Shares | NGS                  | 12(b)             | 0001023514 | 001-31545  |
| HPQ          | Hewlett-Packard Company Common Stock                    | Common Stock               | NGS                  | 12(b)             | 0000047217 | 001-04423  |
| IDSY         | I.D. Systems, Inc. Common Stock                         | Common Stock               | NGM                  | 12(b)             | 0000049615 | 001-15087  |
| IDNX         | Identix Incorporated Common Stock                       | Common Stock               | NGM                  | 12(b)             | 0000735780 | 001-09641  |
| INTG         | Intergroup Corporation (The) Common Stock               | Common Stock               | NGM                  | 12(b)             | 0000069422 | 001-10324  |
| IRSN         | Irvine Sensors Corporation Common Stock                 | Common Stock               | NCM                  | 12(b)             | 0000357108 | 001-08402  |
| IVN          | Ivanhoe Mines Ltd Ordinary Shares                       | Common Stock               | NGM                  | 12(b)             | 0001158041 | 000-50473  |
| MPET         | Magellan Petroleum Corporation Common Stock             | Common Stock               | NCM                  | 12(b)             | 0000061398 | 001-05507  |
| OXPS         | optionsXpress Holdings, Inc. Common Stock               | Common Stock               | NGS                  | 12(b)             | 0001299688 | 001-32419  |
| PESI         | Perma-Fix Environmental Services, Inc. Common Stock     | Common Stock               | NCM                  | 12(b)             | 0000891532 | 001-11596  |
| POLXF        | Polydex Pharmaceuticals Limited Common Stock            | Common Stock               | NCM                  | 12(b)             | 0000317158 | 001-08366  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| PCIS         | Precis Inc. Common Stock   | Common Stock               | NCM                  | 12(b)             | 0001017440 | 001-15667  |
| QNTA         | Quanta Capital Holdings Ltd. Common Stock  | Common Stock               | NGM                  | 12(b)             | 0001264242 | 001-32138  |
| SVNT         | Savient Pharmaceuticals Inc Common Stock   | Common Stock               | NGM                  | 12(b)             | 0000722104 | 000-15313  |
| SORC         | Source Interlink Companies, Inc. Common Stock  | Common Stock               | NGS                  | 12(b)             | 0000943605 | 001-13437  |
| SCFSP        | Sovereign Bancorp, Inc. - Seacoast Financial Services Corporation Cumulative Trust Preferred Securities  | Other Securities           | NGM                  | 12(b)             | 0000811830 | 001-16581  |
| SSYS         | Stratasys, Inc. Common Stock   | Common Stock               | NGS                  | 12(b)             | 0000915735 | 001-13400  |
| TISA         | Top Image Systems, Ltd. Ordinary Shares  | Ordinary Shares            | NCM                  | 12(b)             | 0001021991 | 001-14552  |
| PANL         | Universal Display Corporation Common Stock   | Common Stock               | NGM                  | 12(b)             | 0001005284 | 001-12031  |
| WAG          | Walgreen Company Common Stock  | Common Stock               | NGS                  | 12(b)             | 0000104207 | 001-00604  |
| WSTM         | Workstream Inc. Common Stock   | Common Stock               | NCM                  | 12(b)             | 0001095266 | 001-15503  |
| ARDI         | @Road, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001109537 | 000-31511  |
| CTAC         | 1-800 Contacts, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001050122 | 000-23633  |
| FLWS         | 1-800 FLOWERS.COM, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001084869 | 000-26841  |
| FCCY         | 1st Constitution Bancorp (NJ) Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001141807 | 000-32891  |
| FIFG         | 1st Independence Financial Group, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000946738 | 000-26570  |
| SRCEO        | 1st Source Corporation 1st Source Capital Trust II - Floating Rate Cumulative Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0000034782 | 000-06233  |
| SRCE         | 1st Source Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000034782 | 000-06233  |
| TCHC         | 21st Century Holding Company Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001069996 | 000-25001  |
| TCHCZ        | 21st Century Holding Company Redeemable Warrants 09/30/07  | Warrant                    | NGM                  | 12(g)             | 0001069996 | 000-25001  |
| TCHCW        | 21st Century Holding Company Warrants 07/31/2006   | Warrant                    | NGM                  | 12(g)             | 0001069996 | 000-25001  |
| TFSM         | 24/7 Real Media, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001062195 | 000-29768  |
| COMS         | 3Com Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000738076 | 000-12867  |
| TDSC         | 3D Systems Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000910638 | 000-22250  |
| JOBS         | 51job, Inc. American Depositary Shares   | American Depositary Shares | NGS                  | 12(g)             | 0001295484 | 000-50841  |
| EGHT         | 8x8 Inc Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001023731 | 000-21783  |
| TACX         | A Consulting Team, Inc. (The) Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001040792 | 000-22945  |
| SHLM         | A. Schulman, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000087565 | 000-07459  |
| ACMR         | A.C. Moore Arts & Crafts, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001042809 | 000-23157  |
| ADAM         | A.D.A.M. Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000863650 | 000-26962  |
| ASVI         | A.S.V., Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000926763 | 000-25620  |
| AAON         | AAON, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000824142 | 000-18953  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| ASTM         | Aastrom Biosciences, Inc. Common Stock                     | Common Stock               | NCM                  | 12(g)             | 0000887359 | 000-22025  |
| VOLV         | AB Volvo Class B American Depositary Receipts              | American Depositary Shares | NGS                  | 12(g)             | 0000753762 | 000-12828  |
| ABIX         | Abatix Corp. Common Stock                                  | Common Stock               | NCM                  | 12(g)             | 0000845779 | 001-10194  |
| ABAX         | ABAXIS, Inc. Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0000881890 | 000-19720  |
| ABER         | Aber Diamond Corporation Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0000841071 | 000-17227  |
| AANB         | Abigail Adams National Bancorp, Inc. Common Stock          | Common Stock               | NGM                  | 12(g)             | 0000356809 | 000-10971  |
| ABBC         | Abington Community Bancorp, Inc. Common Stock              | Common Stock               | NGM                  | 12(g)             | 0001292898 | 000-51077  |
| ABMD         | ABIOMED, Inc. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000815094 | 000-20584  |
| ABBI         | Abraxis BioScience, Inc. Common stock                      | Common Stock               | NGS                  | 12(g)             | 0001141399 | 000-33407  |
| ABXA         | ABX Air, Inc. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000894081 | 000-50368  |
| ACTG         | Acacia Research Corporation (Acacia Tech) Common Stock     | Common Stock               | NGM                  | 12(g)             | 0000934549 | 000-26068  |
| CBMX         | Acacia Research Corporation (CombiMatrix) Common Stock     | Common Stock               | NGM                  | 12(g)             | 0000934549 | 000-26068  |
| ACAD         | ACADIA Pharmaceuticals Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001070494 | 000-50768  |
| ACAM         | Acambis plc American Depositary Shares                     | American Depositary Shares | NGM                  | 12(g)             | 0001073965 | 000-30126  |
| ACCL         | Accelrys, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001002388 | 000-27188  |
| ABPI         | Accentia Biopharmaceuticals, Inc. Common Stock             | Common Stock               | NGM                  | 12(g)             | 0001310094 | 000-51383  |
| AIXD         | Access Integrated Technologies, Inc. Class A Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001173204 | 000-51910  |
| ANCX         | Access National Corporation Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001176316 | 000-49929  |
| LEND         | Accredited Home Lenders Holding Co. Common Stock           | Common Stock               | NGS                  | 12(g)             | 0001174735 | 000-50179  |
| AACE         | Ace Cash Express, Inc. Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0000849116 | 000-20774  |
| ACEC         | ACE*COMM Corporation Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0001017526 | 000-21059  |
| ACGY         | Acergy S.A. American Depositary Shares                     | American Depositary Shares | NGS                  | 12(g)             | 0000898685 | 000-21742  |
| ACET         | Aceto Corporation Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000002034 | 000-04217  |
| ACME         | ACME Communications, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001092013 | 000-27105  |
| ACOR         | Acorda Therapeutics, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001008848 | 000-50513  |
| ACTL         | Actel Corporation Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0000907687 | 000-21970  |
| APII         | Action Products International, Inc. Common Stock           | Common Stock               | NCM                  | 12(g)             | 0000747435 | 000-13118  |
| ACTS         | Actions Semiconductor Co., Ltd. American Depositary Shares | American Depositary Shares | NGM                  | 12(g)             | 0001342068 | 000-51604  |
| ACPW         | Active Power, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001044435 | 000-30939  |
| ACTI         | ActivIdentity Corporation Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001183941 | 000-50223  |
| ATVI         | Activision, Inc. Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0000718877 | 000-12699  |

| Issue Symbol | Issue Name   | Issue Type   | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|--------------|----------------------|-------------------|------------|------------|
| ACTU         | Actuate Corporation Common Stock   | Common Stock | NGM                  | 12(g)             | 0001062478 | 000-24607  |
| ACUS         | Acusphere, Inc. Common Stock   | Common Stock | NGM                  | 12(g)             | 0001115143 | 000-50405  |
| ACXM         | Acxiom Corporation Common Stock  | Common Stock | NGS                  | 12(g)             | 0000733269 | 000-13163  |
| ADES         | ADA-ES, Inc. Common Stock  | Common Stock | NCM                  | 12(g)             | 0001223112 | 000-50216  |
| ARXT         | Adams Respiratory Therapeutics, Inc. Common Stock                        | Common Stock | NGS                  | 12(g)             | 0001319439 | 000-51445  |
| ADPT         | Adaptec, Inc. Common Stock   | Common Stock | NGM                  | 12(g)             | 0000709804 | 000-15071  |
| ADCT         | ADC Telecommunications, Inc. Common Stock                                | Common Stock | NGS                  | 12(g)             | 0000061478 | 000-01424  |
| ADEX         | ADE Corporation Common Stock   | Common Stock | NGM                  | 12(g)             | 0000884498 | 000-26714  |
| ADEP         | Adept Technology, Inc. Common Stock                                      | Common Stock | NGM                  | 12(g)             | 0000865415 | 000-27122  |
| ADZA         | Adeza Biomedical Corporation Common Stock                                | Common Stock | NGS                  | 12(g)             | 0000902482 | 000-20703  |
| ADBE         | Adobe Systems Incorporated Common Stock                                  | Common Stock | NGS                  | 12(g)             | 0000796343 | 000-15175  |
| ADLR         | Adolor Corporation Common Stock  | Common Stock | NGM                  | 12(g)             | 0001076167 | 000-26929  |
| ADTN         | ADTRAN, Inc. Common Stock  | Common Stock | NGS                  | 12(g)             | 0000926282 | 000-24612  |
| AATI         | Advanced Analogic Technologies, Incorporated Common Stock                | Common Stock | NGS                  | 12(g)             | 0001104042 | 000-51349  |
| ADIC         | Advanced Digital Information Corporation Common Stock                    | Common Stock | NGS                  | 12(g)             | 0000770403 | 000-21103  |
| AEIS         | Advanced Energy Industries, Inc. Common Stock                            | Common Stock | NGM                  | 12(g)             | 0000927003 | 000-26966  |
| AERT         | Advanced Environmental Recycling Technologies, Inc. Class A Common Stock | Common Stock | NCM                  | 12(g)             | 0000849706 | 001-10367  |
| ADLS         | Advanced Life Sciences Holdings, Inc. Common Stock                       | Common Stock | NGM                  | 12(g)             | 0001322734 | 000-51436  |
| AMAG         | Advanced Magnetics, Inc. Common Stock                                    | Common Stock | NGM                  | 12(g)             | 0000792977 | 000-14732  |
| AVNC         | Advancis Pharmaceutical Corporation Common Stock                         | Common Stock | NGM                  | 12(g)             | 0001161924 | 000-50414  |
| ADVNA        | ADVANTA Corp. Class A Common Stock                                       | Common Stock | NGS                  | 12(g)             | 0000096638 | 000-14120  |
| ADVNB        | ADVANTA Corp. Class B Common Non Voting                                  | Common Stock | NGS                  | 12(g)             | 0000096638 | 000-14120  |
| ADVS         | Advent Software, Inc. Common Stock                                       | Common Stock | NGS                  | 12(g)             | 0001002225 | 000-26994  |
| ABCO         | Advisory Board Company (The) Common Stock                                | Common Stock | NGS                  | 12(g)             | 0001157377 | 000-33283  |
| AEHR         | Aehr Test Systems Common Stock   | Common Stock | NGM                  | 12(g)             | 0001040470 | 000-22893  |
| AEPI         | AEP Industries Inc. Common Stock   | Common Stock | NGM                  | 12(g)             | 0000785787 | 000-14450  |
| ARXX         | Aeroflex Incorporated Common Stock                                       | Common Stock | NGS                  | 12(g)             | 0000002601 | 000-02324  |
| AEZS         | AEterna Zentaris, Inc. Common Stock                                      | Common Stock | NGM                  | 12(g)             | 0001113423 | 000-30752  |
| AETH         | Aether Holdings, Inc. Common Stock                                       | Common Stock | NGM                  | 12(g)             | 0001093434 | 000-27707  |
| ATRM         | Aetrium Incorporated Common Stock  | Common Stock | NGM                  | 12(g)             | 0000908598 | 000-22166  |
| AFCE         | AFC Enterprises, Inc. Common Stock                                       | Common Stock | NGM                  | 12(g)             | 0001041379 | 000-32369  |
| AFFM         | Affirmative Insurance Holdings, Inc. Common Stock                        | Common Stock | NGS                  | 12(g)             | 0001282543 | 000-50795  |
| AFFX         | Affymatrix, Inc. Common Stock  | Common Stock | NGS                  | 12(g)             | 0000913077 | 000-28218  |



| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| ATAC         | Aftermarket Technology Corp. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000933405 | 000-21803  |
| AGIL         | Agile Software Corporation Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001088653 | 000-27071  |
| AGYS         | Agilysys, Inc. Common Stock                                       | Common Stock               | NGS                  | 12(g)             | 0000078749 | 000-05734  |
| AEMLW        | Agnico-Eagle Mines Limited Warrant                                | Warrant                    | NGM                  | 12(g)             | 0000002809 | 001-13422  |
| GLOV         | AHPC Holdings, Inc. Common Stock                                  | Common Stock               | NCM                  | 12(g)             | 0000837038 | 000-17458  |
| AIRM         | Air Methods Corporation Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0000816159 | 000-16079  |
| AIRT         | Air T, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000353184 | 000-11720  |
| AIRN         | Airspan Networks Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001105542 | 000-31031  |
| AIXG         | Aixtron Aktiengesellschaft American Depositary Shares             | American Depositary Shares | NCM                  | 12(g)             | 0001089496 | 000-51196  |
| AKAM         | Akamai Technologies, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0001086222 | 000-27275  |
| AKSY         | Aksys, Ltd. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000902600 | 000-28290  |
| TRMD         | Aktieselskabet Dampskibsselskabet Torm American Depositary Shares | American Depositary Shares | NGS                  | 12(g)             | 0001168351 | 000-49650  |
| AKZOY        | Akzo Nobel N.V. American Depositary Shares                        | American Depositary Shares | NGS                  | 12(g)             | 0000003124 | 000-17444  |
| ALAB         | Alabama National Bancorporation Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0000926966 | 000-25160  |
| ALDN         | Aladdin Knowledge Systems Limited Ordinary Shares                 | Ordinary Shares            | NGM                  | 12(g)             | 0000911364 | 000-22456  |
| ALAN         | Alanco Technologies Inc. Common Stock                             | Common Stock               | NCM                  | 12(g)             | 000098618  | 000-09347  |
| ALSK         | Alaska Communications Systems Group, Inc. Common Stock            | Common Stock               | NGM                  | 12(g)             | 0001089511 | 000-28167  |
| AMRI         | Albany Molecular Research, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0001065087 | 000-25323  |
| AWGI         | Alderwoods Group Inc Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0000927914 | 000-33277  |
| AWGIW        | Alderwoods Group Inc Warrant                                      | Warrant                    | NGS                  | 12(g)             | 0000927914 | 000-33277  |
| ALDA         | Aldila, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000902272 | 000-21872  |
| ALEX         | Alexander & Baldwin, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0000003453 | 000-00565  |
| ALXN         | Alexion Pharmaceuticals, Inc. Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0000899866 | 000-27756  |
| ALXA         | Alexza Pharmaceuticals, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001344413 | 000-51820  |
| ALFA         | Alfa Corporation Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0000743532 | 000-11773  |
| ACEL         | Alfacell Corporation Common Stock                                 | Common Stock               | NCM                  | 12(g)             | 0000708717 | 000-11088  |
| ALCO         | Alico, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000003545 | 000-00261  |
| ALGN         | Align Technology, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001097149 | 000-32259  |
| ALKS         | Alkermes, Inc. Common Stock                                       | Common Stock               | NGS                  | 12(g)             | 0000874663 | 001-14131  |
| SEMI         | All American Semiconductor, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0000818074 | 000-16207  |
| ABVA         | Alliance Bankshares Corporation Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0001181001 | 000-49976  |
| AFOP         | Alliance Fiber Optic Products, Inc. Common Stock                  | Common Stock               | NCM                  | 12(g)             | 0001122342 | 000-31857  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| ALNC         | Alliance Financial Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000796317 | 000-15366  |
| AHGP         | Alliance Holdings GP, L.P. Common Units Representing Limited Partner Interest         | Limited Partnership        | NGS                  | 12(g)             | 0001344980 | 000-51952  |
| ARLP         | Alliance Resource Partners, L.P. Common Units representing Limited Partners Interests | Limited Partnership        | NGS                  | 12(g)             | 0001086600 | 000-26823  |
| ALSC         | Alliance Semiconductor Corporation Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0000913293 | 000-22594  |
| AHCI         | Allied Healthcare International Inc. Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0000890634 | 000-11570  |
| AHPI         | Allied Healthcare Products, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000874710 | 000-19266  |
| AMOT         | Allied Motion Technologies, Inc.  | Common Stock               | NCM                  | 12(g)             | 0000046129 | 000-04041  |
| ALLI         | Allion Healthcare, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000847935 | 000-17821  |
| ALTH         | Allos Therapeutics, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001097264 | 000-29815  |
| ALOY         | Alloy, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001080359 | 000-26023  |
| MDRX         | Alscripts Healthcare Solutions Inc Common Stock                                       | Common Stock               | NGS                  | 12(g)             | 0001124804 | 000-26537  |
| AFAM         | Almost Family Inc Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000799231 | 001-09848  |
| ALNY         | Alnylam Pharmaceuticals, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001178670 | 000-50743  |
| ATEC         | Alphatec Holdings, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001350653 | 000-52024  |
| ALTI         | Altair Nanotechnologies, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001016546 | 001-12497  |
| ALTR         | Altera Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000768251 | 000-16617  |
| ATGN         | Altigen Communications, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001003607 | 000-27427  |
| ATRS         | Altiris, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001139650 | 000-49793  |
| APSA         | Alto Palermo S.A. American Depositary Shares  | American Depositary Shares | NGM                  | 12(g)             | 0001128173 | 000-30982  |
| ALTU         | Altus Pharmaceuticals Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001340744 | 000-51711  |
| ALVR         | Alvarion Ltd. Ordinary Shares   | Ordinary Shares            | NGM                  | 12(g)             | 0001108332 | 000-30628  |
| AMRN         | Amarin Corporation PLC American Depositary Shares                                     | American Depositary Shares | NCM                  | 12(g)             | 0000897448 | 000-21392  |
| AMZN         | Amazon.com, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001018724 | 000-22513  |
| EPAX         | Ambassadors Group, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001162315 | 000-33347  |
| AMIE         | Ambassadors International, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000946842 | 000-26420  |
| AMCP         | AmCOMP Incorporated Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001009667 | 000-51767  |
| AMCRP        | Amcor Limited 7 1/4% Perpetual Redeemable Income Debt Exchangeable for Stock (PRIDES) | Other Securities           | NCM                  | 12(g)             | 0000869428 | 000-18893  |
| AMCR         | Amcor Limited American Depositary Shares  | American Depositary Shares | NGS                  | 12(g)             | 0000869428 | 000-18893  |
| AMFI         | Amcore Financial, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000714756 | 000-13393  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| AMED         | Amedysys Inc Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000896262 | 000-24260  |
| AMEN         | AMEN Properties Inc Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001037599 | 000-22847  |
| UHAL         | Amerco Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000004457 | 001-11255  |
| ASBI         | Ameriana Bancorp Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000855574 | 000-18392  |
| APRO         | America First Apartment Investors, Inc.   | Common Stock               | NGM                  | 12(g)             | 0001175167 | 000-20737  |
| ATXZ         | America First Tax Exempt Investors, L.P. Beneficial Unit Certificates (BUCs) representing Limited Partnership Interests | Limited Partnership        | NGM                  | 12(g)             | 0001059142 | 000-24843  |
| AMOV         | America Movil, S.A. de C.V. Class A American Depositary Shares  | American Depositary Shares | NGS                  | 12(g)             | 0001129137 | 005-61257  |
| ASGR         | America Service Group Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000877476 | 000-19673  |
| AATK         | American Access Technologies, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001043186 | 000-24575  |
| ABNJ         | American Bancorp of New Jersey, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001330039 | 000-51500  |
| AMBK         | American Bank Inc Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001163747 | 000-31246  |
| ABMC         | American Bio Medica Corp. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000896747 | 000-28666  |
| ABMCW        | American Bio Medica Corp. warrant   | Warrant                    | NCM                  | 12(g)             | 0000896747 | 000-28666  |
| ACAS         | American Capital Strategies, Ltd. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000817473 | 814-00149  |
| AMCE         | American Claims Evaluation, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000774517 | 000-14807  |
| ACLI         | American Commercial Lines Inc Common Stock New  | Common Stock               | NGS                  | 12(g)             | 0001324479 | 000-51562  |
| ACBA         | American Community Bancshares Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001106980 | 000-30517  |
| ADPI         | American Dental Partners, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001028087 | 000-23363  |
| AEOS         | American Eagle Outfitters, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000919012 | 000-23760  |
| ECOL         | American Ecology Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000742126 | 000-11688  |
| AMIC         | American Independence Corp.   | Common Stock               | NGM                  | 12(g)             | 0000097196 | 001-5270   |
| AMAC         | American Medical Alert Corp. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000700721 | 001-08635  |
| AMMD         | American Medical Systems Holdings, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001114200 | 000-30733  |
| AMGIW        | American Mold Guard, Inc. Class A Warrant   | Warrant                    | NCM                  | 12(g)             | 0001344708 | 001-32862  |
| AMGIZ        | American Mold Guard, Inc. Class B Warrant   | Warrant                    | NCM                  | 12(g)             | 0001344708 | 001-32862  |
| AMGI         | American Mold Guard, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001344708 | 001-32862  |
| AMNB         | American National Bankshares, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000741516 | 000-12820  |
| APFC         | American Pacific Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000350832 | 000-21046  |
| ACAP         | American Physicians Capital, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001118148 | 000-32057  |
| AMPH         | American Physicians Service Group, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000724024 | 000-11453  |
| ARII         | American Railcar Industries, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001344596 | 000-51728  |
| AMRB         | American River Bankshares Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001108236 | 000-31525  |

| Issue Symbol | Issue Name   | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|------------------|----------------------|-------------------|------------|------------|
| ASEI         | American Science and Engineering, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000005768 | 000-50967  |
| AMSWA        | American Software, Inc. Class A Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000713425 | 000-12456  |
| AMSC         | American Superconductor Corporation Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000880807 | 000-19672  |
| ATCO         | American Technology Corporation Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000924383 | 000-24248  |
| AMWD         | American Woodmark Corporation Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000794619 | 000-14798  |
| AWBC         | AmericanWest Bancorporation Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000726990 | 000-18561  |
| CRMT         | America's Car-Mart Inc Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000799850 | 000-14939  |
| AMAB         | AmericasBank Corp. Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001040491 | 000-22925  |
| ARGN         | Amerigon Incorporated Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000903129 | 000-21810  |
| ABCB         | Ameris Bancorp Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000351569 | 000-16181  |
| AMSF         | AMERISAFE, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001018979 | 000-51520  |
| ASRVP        | AmeriServ Financial Inc. AmeriServ Financial Trust I - 8.45% Beneficial Unsecured Securities, Series A | Other Securities | NGM                  | 12(g)             | 0000707605 | 000-11204  |
| ASRV         | AmeriServ Financial Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000707605 | 000-11204  |
| ASCA         | Ameristar Casinos, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000912145 | 000-22494  |
| AMTCP        | Ameritrans Capital Corporation 9.375% Participating Preferred Stock                                    | Preferred Stock  | NCM                  | 12(g)             | 0001064015 | 000-22153  |
| AMTC         | Ameritrans Capital Corporation Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001064015 | 000-22153  |
| AMTCW        | Ameritrans Capital Corporation Warrant   | Warrant          | NCM                  | 12(g)             | 0001064015 | 000-22153  |
| AMTY         | Amerityre Corporation Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000945828 | 000-50053  |
| ATLO         | Ames National Corporation Common Stock   | Common Stock     | NCM                  | 12(g)             | 0001132651 | 000-32637  |
| AMGN         | Amgen Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000318154 | 000-12477  |
| AMCS         | AMICAS, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001028584 | 001-12799  |
| AMIS         | AMIS Holdings, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001161963 | 000-50397  |
| AMKR         | Amkor Technology, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001047127 | 000-29472  |
| AMPL         | Ampal-American Israel Corporation Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000731859 | 000-00538  |
| AMPX         | Ampex Corporation Class A Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000887433 | 000-20292  |
| AMSG         | Amsurg Corp. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000895930 | 000-22217  |
| ASYS         | Amtech Systems, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000720500 | 000-11412  |
| AMLN         | Amylin Pharmaceuticals, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000881464 | 000-19700  |
| ANAD         | ANADIGICS, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000940332 | 000-25662  |
| ANDS         | Anadys Pharmaceuticals, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001128495 | 000-50632  |
| ALOG         | Analogic Corporation Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000006284 | 000-06715  |
| ANLY         | Analysts International Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000006292 | 000-04090  |

| Issue Symbol | Issue Name  | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|-----------------|----------------------|-------------------|------------|------------|
| ANLT         | Analytical Surveys, Inc. Common Stock                     | Common Stock    | NCM                  | 12(g)             | 0000753048 | 000-13111  |
| ANEN         | Anaren Inc.   | Common Stock    | NGM                  | 12(g)             | 0000006314 | 000-06620  |
| ABCW         | Anchor Bancorp Wisconsin Inc. Common Stock                | Common Stock    | NGS                  | 12(g)             | 0000885322 | 000-20006  |
| ANDE         | Andersons, Inc. (The) Common Stock                        | Common Stock    | NGS                  | 12(g)             | 0000821026 | 000-20557  |
| ANDW         | Andrew Corporation Common Stock                           | Common Stock    | NGS                  | 12(g)             | 0000317093 | 001-14617  |
| ADRX         | Andrx Group Common Stock                                  | Common Stock    | NGS                  | 12(g)             | 0001123337 | 000-31475  |
| ANSV         | Anesiva, Inc. Common Stock                                | Common Stock    | NGM                  | 12(g)             | 0001131517 | 000-50573  |
| ANGN         | Angeion Corporation Common Stock                          | Common Stock    | NCM                  | 12(g)             | 0000815093 | 000-17019  |
| ANGO         | AngioDynamics, Inc. Common Stock                          | Common Stock    | NGS                  | 12(g)             | 0001275187 | 000-50761  |
| ANPI         | Angiotech Pharmaceuticals, Inc. Common Shares             | Common Stock    | NGS                  | 12(g)             | 0001096481 | 000-30334  |
| ANIK         | Anika Therapeutics Inc. Common Stock                      | Common Stock    | NGS                  | 12(g)             | 0000898437 | 000-21326  |
| ANNB         | Annapolis Bancorp Inc. Common Stock                       | Common Stock    | NCM                  | 12(g)             | 0001041429 | 000-22961  |
| ANST         | Ansoft Corporation Common Stock                           | Common Stock    | NGS                  | 12(g)             | 0000849433 | 000-27874  |
| ANSW         | Answers Corporation Common Stock                          | Common Stock    | NGM                  | 12(g)             | 0001283073 | 000-51467  |
| ANSR         | answerthink inc. Common Stock                             | Common Stock    | NGM                  | 12(g)             | 0001057379 | 000-24343  |
| ANSS         | ANSYS, Inc. Common Stock                                  | Common Stock    | NGS                  | 12(g)             | 0001013462 | 000-20853  |
| AGEN         | Antigenics Inc. Common Stock                              | Common Stock    | NGM                  | 12(g)             | 0001098972 | 000-29089  |
| APPA         | AP Pharma, Inc. Common Stock                              | Common Stock    | NGM                  | 12(g)             | 0000818033 | 000-16109  |
| APAT         | APA Enterprises, Inc. Common Stock                        | Common Stock    | NGM                  | 12(g)             | 0000796505 | 000-16106  |
| APAC         | APAC Customer Services, Inc. Common Stock                 | Common Stock    | NGM                  | 12(g)             | 0000949297 | 000-26786  |
| APAGF        | APCO Argentina Inc. Ordinary Shares                       | Ordinary Shares | NCM                  | 12(g)             | 0000311471 | 000-08933  |
| APOG         | Apogee Enterprises, Inc. Common Stock                     | Common Stock    | NGS                  | 12(g)             | 0000006845 | 000-06365  |
| APOL         | Apollo Group, Inc. Class A Common Stock                   | Common Stock    | NGS                  | 12(g)             | 0000929887 | 000-25232  |
| AINV         | Apollo Investment Corporation Common Stock                | Common Stock    | NGS                  | 12(g)             | 0001278752 | 000-50578  |
| APAB         | Appalachian Bancshares, Inc. (GA) Common Stock            | Common Stock    | NGM                  | 12(g)             | 0001019883 | 000-21383  |
| AAPL         | Apple Computer, Inc. Common Stock                         | Common Stock    | NGS                  | 12(g)             | 0000320193 | 000-10030  |
| APPB         | Applebee's International, Inc. Common Stock               | Common Stock    | NGS                  | 12(g)             | 0000853665 | 000-17962  |
| ARCI         | Appliance Recycling Centers of America, Inc. Common Stock | Common Stock    | NCM                  | 12(g)             | 0000862861 | 000-19621  |
| ADXS         | Applied Digital Solutions, Inc. Common Stock              | Common Stock    | NCM                  | 12(g)             | 0000924642 | 000-26020  |
| AINN         | Applied Innovation Inc. Common Stock                      | Common Stock    | NGM                  | 12(g)             | 0000798399 | 000-21352  |
| AMAT         | Applied Materials, Inc. Common Stock                      | Common Stock    | NGS                  | 12(g)             | 0000006951 | 000-06920  |
| AMCC         | Applied Micro Circuits Corporation Common Stock           | Common Stock    | NGS                  | 12(g)             | 0000711065 | 000-23193  |
| APSG         | Applied Signal Technology, Inc. Common Stock              | Common Stock    | NGS                  | 12(g)             | 0000741696 | 000-21236  |
| APLX         | Applix, Inc. Common Stock                                 | Common Stock    | NCM                  | 12(g)             | 0000932112 | 000-25040  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| APTM         | Aptimus Inc Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001087277 | 000-28968  |
| AQNT         | aQuantive Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0001071806 | 000-29361  |
| ARDM         | Aradigm Corporation Common Stock                    | Common Stock               | NCM                  | 12(g)             | 0001013238 | 000-28402  |
| ARBX         | Arbinet-thexchange, Inc. Common Stock               | Common Stock               | NGM                  | 12(g)             | 0001136655 | 000-51063  |
| ARCAF        | Arcadis NV New York Registry Shares                 | American Depositary Shares | NGS                  | 12(g)             | 0000913596 | 000-22628  |
| ACGL         | Arch Capital Group Ltd. Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000947484 | 000-26456  |
| ACAT         | Arctic Cat Inc. Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0000719866 | 000-18607  |
| ARDNA        | Arden Group, Inc. Class A Common Stock              | Common Stock               | NGM                  | 12(g)             | 0000225051 | 000-09904  |
| ARNA         | Arena Pharmaceuticals, Inc. Common Stock            | Common Stock               | NGM                  | 12(g)             | 0001080709 | 000-31161  |
| ARCC         | Ares Capital Corporation Common Stock               | Common Stock               | NGS                  | 12(g)             | 0001287750 | 000-50697  |
| STST         | ARGON ST, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000026537 | 000-08193  |
| AGII         | Argonaut Group, Inc. Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0000800082 | 000-14950  |
| ARIA         | ARIAD Pharmaceuticals, Inc. Common Stock            | Common Stock               | NGM                  | 12(g)             | 0000884731 | 000-21696  |
| ARBA         | Ariba, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001084755 | 000-26299  |
| RAMS         | Aries Maritime Transport Limited Common Shares      | Common Stock               | NGM                  | 12(g)             | 0001322587 | 000-51339  |
| ARKR         | Ark Restaurants Corp. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0000779544 | 000-14030  |
| ABFS         | Arkansas Best Corporation Common Stock              | Common Stock               | NGS                  | 12(g)             | 0000894405 | 000-19969  |
| ARMHY        | ARM Holdings, plc American Depositary Shares        | American Depositary Shares | NGS                  | 12(g)             | 0001057997 | 000-29644  |
| ARTX         | Arotech Corporation Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0000916529 | 000-23336  |
| ARQL         | ArQule, Inc. Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001019695 | 000-21429  |
| ARRY         | Array BioPharma Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001100412 | 000-31979  |
| ARRS         | Artis Group Inc Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0001141107 | 000-31254  |
| AROW         | Arrow Financial Corporation Common Stock            | Common Stock               | NGS                  | 12(g)             | 0000717538 | 000-12507  |
| ARRO         | Arrow International, Inc. Common Stock              | Common Stock               | NGS                  | 12(g)             | 0000886046 | 000-20212  |
| ARWR         | Arrowhead Research Corporation Common Stock         | Common Stock               | NCM                  | 12(g)             | 0000879407 | 000-21898  |
| ARTG         | Art Technology Group, Inc. Common Stock             | Common Stock               | NGM                  | 12(g)             | 0001086195 | 000-26679  |
| ARTNA        | Artesian Resources Corporation Class A Common Stock | Common Stock               | NGM                  | 12(g)             | 0000863110 | 000-18516  |
| ARTC         | ArthroCare Corporation Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0001005010 | 000-27422  |
| ARTW         | Art's-Way Manufacturing Co., Inc. Common Stock      | Common Stock               | NCM                  | 12(g)             | 0000007623 | 000-05131  |
| ASTT         | ASAT Holdings Limited American Depositary Shares    | American Depositary Shares | NCM                  | 12(g)             | 0001102384 | 000-30842  |
| ASTIU        | Ascent Solar Technologies, Inc. Unit                | Unit                       | NCM                  | 12(g)             | 0001350102 | 001-32919  |
| ASTSF        | ASE Test, Limited Ordinary Shares                   | Ordinary Shares            | NGS                  | 12(g)             | 0001014838 | 000-28522  |



| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| ASHW         | Ashworth, Inc. Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0000820774 | 000-18553  |
| ASIA         | AsiaInfo Holdings, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001100969 | 001-15713  |
| ASMI         | ASM International N.V. Common Stock                      | American Depositary Shares | NGS                  | 12(g)             | 0000351483 | 000-13355  |
| ASML         | ASML Holding N.V. New York Registry Shares               | American Depositary Shares | NGS                  | 12(g)             | 0000937966 | 000-25566  |
| ASPM         | Aspect Medical Systems, Inc. Common Stock                | Common Stock               | NGM                  | 12(g)             | 000086235  | 000-24663  |
| AZPN         | Aspen Technology, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0000929940 | 000-24786  |
| ASPV         | Aspreva Pharmaceuticals Corporation Common Stock         | Common Stock               | NGS                  | 12(g)             | 0001314026 | 000-51169  |
| AACC         | Asset Acceptance Capital Corp. COMMON STOCK              | Common Stock               | NGS                  | 12(g)             | 0001264707 | 000-50552  |
| ASBC         | Associated Banc-Corp Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0000007789 | 000-05519  |
| ASFI         | Asta Funding, Inc. Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0001001258 | 000-26906  |
| ATEA         | Astea International, Inc. Common Stock                   | Common Stock               | NCM                  | 12(g)             | 0000945989 | 000-26330  |
| ASTE         | Astec Industries, Inc. Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0000792987 | 000-14714  |
| ALOT         | Astro-Med, Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0000008146 | 000-13200  |
| ATRO         | Astronics Corporation Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0000008063 | 000-07087  |
| ASYT         | Asyst Technologies, Inc. Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0000909326 | 000-22430  |
| ATAR         | Atari, Inc. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0001002607 | 000-27338  |
| AGIX         | AtheroGenics, Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001107601 | 000-31261  |
| ATHR         | Atheros Communications, Inc. Common Stock                | Common Stock               | NGS                  | 12(g)             | 0001140486 | 000-50534  |
| ATYT         | ATI Technologies Inc. Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0001065331 | 000-29872  |
| AAME         | Atlantic American Corporation Common Stock               | Common Stock               | NGM                  | 12(g)             | 0000008177 | 000-03722  |
| ATBC         | Atlantic BancGroup, Inc. Common Stock                    | Common Stock               | NCM                  | 12(g)             | 0001087790 | 001-15061  |
| ACFC         | Atlantic Coast Federal Corporation Common Stock          | Common Stock               | NGM                  | 12(g)             | 0001284077 | 000-50962  |
| ATNI         | Atlantic Tele-Network, Inc. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0000879585 | 000-19551  |
| ATPL         | Atlantis Plastics, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0000811828 | 000-51182  |
| AAWW         | Atlas Air Worldwide Holdings NEW Common Stock            | Common Stock               | NGS                  | 12(g)             | 0001135185 | 000-25732  |
| ATLS         | Atlas America, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0001279228 | 001-32169  |
| APCFY        | Atlas South Sea Pearl Limited American Depositary Shares | American Depositary Shares | NCM                  | 12(g)             | 0001011974 | 000-28186  |
| ATML         | Atmel Corporation Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000872448 | 000-19032  |
| ATMI         | ATMI Inc. Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0001041577 | 000-30130  |
| ATPG         | ATP Oil & Gas Corporation Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0001123647 | 000-32261  |
| ATRC         | AtriCure, Inc. Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0001323885 | 000-51470  |
| ATRI         | ATRION Corporation Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0000701288 | 000-10763  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| ATSI         | ATS Medical, Inc. Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0000824068 | 000-18602  |
| ATTU         | Attunity Ltd. Ordinary Shares                     | Ordinary Shares            | NGM                  | 12(g)             | 0000893821 | 000-20892  |
| AUBN         | Auburn National Bancorporation, Inc. Common Stock | Common Stock               | NCM                  | 12(g)             | 0000750574 | 000-26486  |
| ADBL         | Audible, Inc. New Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001077926 | 000-26529  |
| AUDC         | AudioCodes Ltd. Common Stock                      | Ordinary Shares            | NGM                  | 12(g)             | 0001086434 | 000-30070  |
| VOXX         | Audiovox Corporation Class A Common Stock         | Common Stock               | NGM                  | 12(g)             | 0000807707 | 000-28839  |
| ADAT         | Authentidate Holding Corp. Common Stock           | Common Stock               | NGM                  | 12(g)             | 0000885074 | 000-20190  |
| ABTL         | Autobytel Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001023364 | 000-22239  |
| ADSK         | Autodesk, Inc. Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000769397 | 000-14338  |
| AUXL         | Auxilium Pharmaceuticals, Inc. Common Stock       | Common Stock               | NGM                  | 12(g)             | 0001182129 | 000-50855  |
| AVFX         | Avalon Pharmaceuticals, Inc. Common Stock         | Common Stock               | NGM                  | 12(g)             | 0001162192 | 001-32629  |
| AVNX         | Avanex Corporation Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001056794 | 000-29175  |
| AVNR         | AVANIR Pharmaceuticals Class A Common Stock       | Common Stock               | NGM                  | 12(g)             | 0000858803 | 001-15803  |
| AVAN         | Avant Immunotherapeutics, Inc. Common Stock       | Common Stock               | NGM                  | 12(g)             | 0000744218 | 000-15006  |
| AVTR         | Avatar Holdings Inc. Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0000039677 | 000-7616   |
| AVII         | AVI BioPharma, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0000873303 | 000-22613  |
| AVCI         | Avici Systems Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001094895 | 000-30865  |
| AVID         | Avid Technology, Inc. Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000896841 | 000-21174  |
| AVGN         | Avigen, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0000932903 | 000-28272  |
| AVSR         | Avistar Communications Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001111632 | 000-31121  |
| AVZA         | Aviza Technology, Inc. Common Stock               | Common Stock               | NGM                  | 12(g)             | 0001311396 | 000-51642  |
| AVCT         | Avocent Corporation Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0001109808 | 000-30575  |
| AWRE         | Aware, Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001015739 | 000-21129  |
| AXCA         | Axcan Pharma Inc. Common Shares                   | Common Stock               | NGS                  | 12(g)             | 0001116094 | 000-30860  |
| ACLS         | Axcelis Technologies, Inc. Common Stock           | Common Stock               | NGM                  | 12(g)             | 0001113232 | 000-30941  |
| AXYX         | Axonix, Inc. Common Stock                         | Common Stock               | NCM                  | 12(g)             | 0001070698 | 000-25571  |
| AXYS         | Axsys Technologies, Inc. Common Stock             | Common Stock               | NGS                  | 12(g)             | 0000206030 | 000-16182  |
| AXTI         | AXT Inc Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0001051627 | 000-24085  |
| POSH         | BabyUniverse, Inc. Common Stock                   | Common Stock               | NCM                  | 12(g)             | 0001325118 | 000-51850  |
| BYBI         | Back Yard Burgers, Inc. Common Stock              | Common Stock               | NCM                  | 12(g)             | 0000901495 | 001-12104  |
| BWEB         | BackWeb Technologies Ltd. Ordinary Shares         | Ordinary Shares            | NCM                  | 12(g)             | 0001082064 | 000-26241  |
| BIDU         | Baidu.com, Inc. ADS                               | American Depositary Shares | NGM                  | 12(g)             | 0001329099 | 000-51469  |
| BKRS         | Bakers Footwear Group, Inc. Common Stock          | Common Stock               | NGM                  | 12(g)             | 0001171032 | 000-50563  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| BWINA        | Baldwin & Lyons, Inc. Class A Common Stock   | Common Stock               | NGM                  | 12(g)             | 000009346  | 000-05534  |
| BWINB        | Baldwin & Lyons, Inc. Class B Common Stock   | Common Stock               | NGM                  | 12(g)             | 000009346  | 000-05534  |
| BLDP         | Ballard Power Systems, Inc. Common Shares  | Common Stock               | NGM                  | 12(g)             | 0000933777 | 000-25270  |
| BANFP        | BancFirst Corporation - BFC Capital Trust II Cumulative Trust Preferred Securities                           | Other Securities           | NGS                  | 12(g)             | 0000760498 | 000-14384  |
| BANF         | BancFirst Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000760498 | 000-14384  |
| BOFL         | Bancshares of Florida, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001082368 | 000-50091  |
| BTFG         | BancTrust Financial Group, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000783739 | 000-15423  |
| BKMU         | Bank Mutual Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001123270 | 000-31207  |
| BOCH         | Bank of Commerce Holdings (CA) Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000702513 | 000-25135  |
| GRAN         | Bank of Granite Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000810689 | 000-15956  |
| BKSC         | Bank of South Carolina Corp. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001007273 | 000-27702  |
| OZRK         | Bank of the Ozarks Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001038205 | 000-22759  |
| BKWW         | Bank of Wilmington Corporation (NC) Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001334872 | 000-51513  |
| BBXT         | BankAtlantic Bancorp, Inc. BankAtlantic Bancorp, Inc - BBC Capital Trust II 8.50% Trust Preferred Securities | Other Securities           | NGM                  | 12(g)             | 0000921768 | 001-13133  |
| BFIN         | BankFinancial Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001303942 | 000-51331  |
| RATE         | Bankrate Inc Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001080866 | 000-25681  |
| BKUNA        | BankUnited Financial Corporation Class A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000894490 | 000-21850  |
| BANR         | Banner Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000946673 | 000-26584  |
| BBSI         | Barrett Business Services, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000902791 | 000-21886  |
| BTRX         | Barrier Therapeutics, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001173657 | 000-50680  |
| BWTR         | Basin Water, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001352045 | 000-51991  |
| BSET         | Bassett Furniture Industries, Incorporated Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000010329 | 000-00209  |
| BAYN         | Bay National Corporation Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001089787 | 000-51765  |
| BCBP         | BCB Bancorp, Inc. (NJ) Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001228454 | 000-50275  |
| BCSB         | BCSB Bancorp, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001052101 | 000-24589  |
| BEAV         | BE Aerospace, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000861361 | 000-18348  |
| BESI         | BE Semiconductor Industries NV New York Registry Shares  | American Depository Shares | NGM                  | 12(g)             | 0001003196 | 000-27298  |
| BEAS         | BEA Systems, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001031798 | 000-22369  |
| BFINB        | Beach First National Bancshares Inc Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000949228 | 000-22503  |
| BCON         | Beacon Power Corporation Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001103345 | 000-31973  |
| BECN         | Beacon Roofing Supply, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001124941 | 000-50924  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| BBGI         | Beasley Broadcast Group, Inc. Class A Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001099160 | 000-29253  |
| BEBE         | bebe stores, inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001059272 | 000-24395  |
| BBBY         | Bed Bath & Beyond Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000886158 | 000-20214  |
| BELFA        | Bel Fuse Inc. Class A Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000729580 | 000-11676  |
| BELFB        | Bel Fuse Inc. Class B Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000729580 | 000-11676  |
| BELM         | Bell Microproducts Inc. Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0000900708 | 000-21528  |
| BNHNA        | Benihana Inc. Class A Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000935226 | 000-26396  |
| BNHN         | Benihana Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000935226 | 000-26396  |
| BFBC         | Benjamin Franklin Bancorp, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001302176 | 000-51194  |
| BERK         | Berkshire Bancorp, Inc. Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0000759718 | 000-13649  |
| BHLB         | Berkshire Hills Bancorp, Inc. Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0001108134 | 000-51584  |
| BHBC         | Berkshire Hills Bancorp Inc. Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0001024321 | 000-21845  |
| BGFV         | Beverly Hills Bancorp Inc. Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0001156388 | 000-49850  |
| BDOG         | Big 5 Sporting Goods Corporation Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0001019439 | 000-22963  |
| BASI         | Big Dog Holding, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000720154 | 000-23357  |
| BDSI         | Bioanalytical Systems, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0000882796 | 000-23186  |
| BCRX         | BioCryst Pharmaceuticals, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001103021 | 001-31361  |
| BDSIW        | BioDelivery Sciences International, Inc. Class A Warrant                   | Warrant                    | NCM                  | 12(g)             | 0001103021 | 001-31361  |
| BDSI         | BioDelivery Sciences International, Inc. Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0001028205 | 000-24875  |
| BIVN         | BioDelivery Sciences International, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0000875045 | 000-19311  |
| BLIB         | Bioenvision, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000875045 | 000-19311  |
| BLIT         | Biogen Idec Inc Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000822418 | 000-50512  |
| BCTI         | Bio-Imaging Technologies, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000822418 | 000-50512  |
| BUCT         | Bioject Medical Technologies Inc. Common Stock                             | Common Stock               | NCM                  | 12(g)             | 0000810084 | 000-15360  |
| BLTI         | BioLase Technology, Inc. Common Stock                                      | Common Stock               | NGM                  | 12(g)             | 0000811240 | 000-19627  |
| BMRN         | BioMarin Pharmaceutical Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001048477 | 000-26727  |
| BMET         | Biomet, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000351346 | 000-12515  |
| BMTI         | BioMimetic Therapeutics, Inc. Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0001138400 | 000-51934  |
| BIOM         | Biomira Inc. Common Shares   | Common Stock               | NGM                  | 12(g)             | 0000877984 | 000-19451  |
| BPRG         | BioProgress plc American Depositary Shares representing 10 Ordinary Shares | American Depositary Shares | NGM                  | 12(g)             | 0001210959 | 000-50994  |
| BPUR         | Biopure Corporation Class A Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0000815508 | 001-15167  |
| BRLI         | Bio-Reference Laboratories, Inc. Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0000792641 | 000-15266  |
| BIOS         | BioScrip, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001014739 | 000-28740  |
| BSTE         | Biosite, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000834306 | 000-21873  |
| BSMD         | BioSphere Medical, Inc. Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0000919015 | 000-23678  |

| Issue Symbol | Issue Name   | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-----------------|----------------------|-------------------|------------|------------|
| BIOV         | BioVeris Corporation Common Stock                      | Common Stock    | NGM                  | 12(g)             | 0001264899 | 000-50583  |
| BDMS         | Birner Dental Management Services, Inc. Common Stock   | Common Stock    | NCM                  | 12(g)             | 0000948072 | 000-23367  |
| BITS         | Bitstream Inc. Common Stock                            | Common Stock    | NCM                  | 12(g)             | 0000818813 | 000-21541  |
| BJRI         | BJ's Restaurants, Inc. Common Stock                    | Common Stock    | NGS                  | 12(g)             | 0001013488 | 000-21423  |
| BBOX         | Black Box Corporation Common Stock                     | Common Stock    | NGS                  | 12(g)             | 0000849547 | 000-18706  |
| BLKB         | Blackbaud, Inc. Common Stock                           | Common Stock    | NGS                  | 12(g)             | 0001280058 | 000-50600  |
| BBBB         | Blackboard Inc. Common Stock                           | Common Stock    | NGS                  | 12(g)             | 0001106942 | 000-50784  |
| BCSI         | Blue Coat Systems Inc Common Stock                     | Common Stock    | NGM                  | 12(g)             | 0001095600 | 000-28139  |
| BDCO         | Blue Dolphin Energy Company Common Stock               | Common Stock    | NCM                  | 12(g)             | 0000793306 | 000-15905  |
| BLUE         | Blue Holdings, Inc. Common Stock                       | Common Stock    | NCM                  | 12(g)             | 0001139683 | 000-33297  |
| NILE         | Blue Nile, Inc. Common Stock                           | Common Stock    | NGS                  | 12(g)             | 0001091171 | 000-50763  |
| BRBI         | Blue River Bancshares, Inc. Common Stock               | Common Stock    | NCM                  | 12(g)             | 0001055870 | 000-24501  |
| BPHX         | BluePhoenix Solutions, Ltd. Ordinary Shares            | Ordinary Shares | NGM                  | 12(g)             | 0001029581 | 000-29082  |
| BNCN         | BNC Bancorp (NC) Common Stock                          | Common Stock    | NCM                  | 12(g)             | 0001210227 | 000-50128  |
| BNCC         | Brccorp, Inc. Common Stock                             | Common Stock    | NGM                  | 12(g)             | 0000945434 | 000-26290  |
| BOBE         | Bob Evans Farms, Inc. Common Stock                     | Common Stock    | NGS                  | 12(g)             | 0000033769 | 000-01667  |
| BSXT         | BOE Financial Services of Virginia Inc. Common Stock   | Common Stock    | NCM                  | 12(g)             | 0001109848 | 000-31711  |
| BOFI         | Bofl Holding, Inc. Common Stock                        | Common Stock    | NGM                  | 12(g)             | 0001299709 | 000-51201  |
| BOKF         | BOK Financial Corporation Common Stock                 | Common Stock    | NGS                  | 12(g)             | 0000875357 | 000-19341  |
| BNSO         | Bonso Electronics International, Inc. Common Stock     | Common Stock    | NGM                  | 12(g)             | 0000846546 | 000-17601  |
| BONT         | Bon-Ton Stores, Inc. (The) Common Stock                | Common Stock    | NGS                  | 12(g)             | 0000878079 | 000-19517  |
| BKHM         | Bookham Inc Common Stock                               | Common Stock    | NGM                  | 12(g)             | 0001110647 | 000-30684  |
| BAMM         | Books-A-Million, Inc. Common Stock                     | Common Stock    | NGS                  | 12(g)             | 0000891919 | 000-20664  |
| BORL         | Borland Software Corporation Common Stock              | Common Stock    | NGM                  | 12(g)             | 0000853273 | 000-16096  |
| BCGI         | Boston Communications Group, Inc. Common Stock         | Common Stock    | NGM                  | 12(g)             | 0001012887 | 000-28432  |
| BLSI         | Boston Life Sciences, Inc. Common Stock                | Common Stock    | NCM                  | 12(g)             | 0000094784 | 000-06533  |
| BPFH         | Boston Private Financial Holdings, Inc. Common Stock   | Common Stock    | NGS                  | 12(g)             | 0000821127 | 000-17089  |
| EPAY         | Bottomline Technologies, Inc. Common Stock             | Common Stock    | NGM                  | 12(g)             | 0001073349 | 000-25259  |
| BBNK         | Bridge Capital Holdings Common Stock                   | Common Stock    | NCM                  | 12(g)             | 0001304740 | 000-50974  |
| OCNB         | Bridge Street Financial, Inc. Common Stock (par \$.01) | Common Stock    | NCM                  | 12(g)             | 0001182555 | 000-50105  |
| BRID         | Bridgford Foods Corporation Common Stock               | Common Stock    | NGM                  | 12(g)             | 0000014177 | 000-02396  |
| BEXP         | Brigham Exploration Company Common Stock               | Common Stock    | NGS                  | 12(g)             | 0001034755 | 000-22433  |
| BFAM         | Bright Horizons Family Solutions Inc. Common Stock     | Common Stock    | NGS                  | 12(g)             | 0001060559 | 000-24699  |
| CELL         | Brightpoint, Inc. Common Stock                         | Common Stock    | NGS                  | 12(g)             | 0000918946 | 000-23494  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| BSML         | Britesmile, Inc. Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000866734 | 001-11064  |
| BKBK         | Britton & Koontz Capital Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000707604 | 000-22606  |
| BRCM         | Broadcom Corporation Class A Common Stock           | Common Stock               | NGS                  | 12(g)             | 0001054374 | 000-23993  |
| BYFC         | Broadway Financial Corporation Common Stock         | Common Stock               | NCM                  | 12(g)             | 0001001171 | 000-27464  |
| BWNG         | Broadwing Corporation Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0001060490 | 000-30989  |
| BRCD         | Brocade Communications Systems, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001009626 | 000-25601  |
| BRNC         | Bronco Drilling Company, Inc. Common Stock          | Common Stock               | NGM                  | 12(g)             | 0001328650 | 000-51471  |
| BXXX         | Brooke Corporation Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0000834408 | 000-31789  |
| BRKL         | Brookline Bancorp, Inc. Common Stock                | Common Stock               | NGS                  | 12(g)             | 0001049782 | 000-23695  |
| BFSB         | Brooklyn Federal Bancorp, Inc. Common Stock         | Common Stock               | NGM                  | 12(g)             | 0001310313 | 000-51208  |
| BRKS         | Brooks Automation, Inc.                             | Common Stock               | NGM                  | 12(g)             | 0000933974 | 000-25434  |
| BRKR         | Brkr BioSciences Corporation Common Stock           | Common Stock               | NGM                  | 12(g)             | 0001109354 | 000-30833  |
| BMTC         | Bryn Mawr Bank Corporation Common Stock             | Common Stock               | NGM                  | 12(g)             | 0000802681 | 000-15261  |
| BSQR         | BSQUARE Corporation Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001054721 | 000-27687  |
| BTUI         | BTU International, Inc. Common Stock                | Common Stock               | NGM                  | 12(g)             | 0000840883 | 000-17297  |
| BUCA         | BUCA, Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0001046501 | 000-25721  |
| BUCY         | Bucyrus International, Inc. Class A Common Stock    | Common Stock               | NGS                  | 12(g)             | 0000740761 | 000-50858  |
| BWLD         | Buffalo Wild Wings, Inc. Common Stock               | Common Stock               | NGS                  | 12(g)             | 0001062449 | 000-24743  |
| BLDR         | Builders FirstSource, Inc. Common Stock             | Common Stock               | NGS                  | 12(g)             | 0001316835 | 000-51357  |
| BMHC         | Building Materials Holding Corporation Common Stock | Common Stock               | NGS                  | 12(g)             | 0001046356 | 000-19335  |
| BOBJ         | Business Objects S.A. American Depositary Shares    | American Depositary Shares | NGS                  | 12(g)             | 0000928753 | 000-24720  |
| BWCF         | BWC Financial Corporation Common Stock              | Common Stock               | NGM                  | 12(g)             | 0000353650 | 000-10658  |
| CFFI         | C&F Financial Corporation Common Stock              | Common Stock               | NGS                  | 12(g)             | 0000913341 | 000-23423  |
| CHRW         | C.H. Robinson Worldwide, Inc. Common Stock          | Common Stock               | NGS                  | 12(g)             | 0001043277 | 000-23189  |
| CCMP         | Cabot Microelectronics Corporation Common Stock     | Common Stock               | NGS                  | 12(g)             | 0001102934 | 000-30205  |
| CACH         | Cache, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0000350199 | 000-10345  |
| CDNS         | Cadence Design Systems, Inc. Common Stock           | Common Stock               | NGS                  | 12(g)             | 0000813672 | 001-10606  |
| CDZI         | CADIZ, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000727273 | 000-12114  |
| CDMS         | Cadmus Communications Corporation Common Stock      | Common Stock               | NGM                  | 12(g)             | 0000745274 | 000-12954  |
| CLMS         | Calamos Asset Management, Inc. Class A Common Stock | Common Stock               | NGS                  | 12(g)             | 0001299033 | 000-51003  |
| CAMP         | CalAmp Corp. Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000730255 | 000-12182  |
| CVGW         | Calavo Growers, Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001133470 | 000-33385  |
| CALC         | California Coastal Communities Inc Common Stock     | Common Stock               | NGM                  | 12(g)             | 0000840216 | 000-17189  |



| Issue Symbol | Issue Name  | Issue Type          | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|---------------------|----------------------|-------------------|------------|------------|
| CFNB         | California First National Bancorp Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000803016 | 000-15641  |
| CAMD         | California Micro Devices Corporation Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000800460 | 000-15449  |
| CPKI         | California Pizza Kitchen, Inc. Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000789356 | 000-31149  |
| CALP         | Caliper Life Sciences Inc Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001014672 | 000-28229  |
| CALD         | Callidus Software, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001035748 | 000-50463  |
| CALL         | CalliWave, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001115091 | 000-50958  |
| CALM         | Cal-Maine Foods, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000016160 | 000-04892  |
| CLMT         | Calumet Specialty Products Partners, L.P. Common Units                                      | Limited Partnership | NGM                  | 12(g)             | 0001340122 | 000-51734  |
| CADA         | CAM Commerce Solutions, Inc. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000819334 | 000-16569  |
| OLED         | Cambridge Display Technology, Inc. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0001297968 | 000-51079  |
| CAFI         | Camco Financial Corporation Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000016614 | 000-25196  |
| CAMT         | Camtek Ltd. Ordinary Shares   | Ordinary Shares     | NGM                  | 12(g)             | 0001109138 | 000-30664  |
| CLZR         | Candela Corporation Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000793279 | 000-14742  |
| CBKN         | Capital Bank Corporation Common Stock   | Common Stock        | NGS                  | 12(g)             | 0001071992 | 000-30062  |
| CCBG         | Capital City Bank Group Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000726601 | 000-13358  |
| CCOW         | Capital Corp of the West Common Stock   | Common Stock        | NGS                  | 12(g)             | 0001004740 | 000-27384  |
| CCPCP        | Capital Crossing Preferred Corporation Series A Non-Cumulative Exchangeable Preferred Stock | Preferred Stock     | NGM                  | 12(g)             | 0001072806 | 000-25193  |
| CCPCO        | Capital Crossing Preferred Corporation Series C Non-Cumulative Exchangeable Preferred Stock | Preferred Stock     | NGM                  | 12(g)             | 0001072806 | 000-25193  |
| CCPCN        | Capital Crossing Preferred Corporation Series D Non-Cumulative Exchangeable Preferred Stock | Preferred Stock     | NGM                  | 12(g)             | 0001072806 | 000-25193  |
| CSWC         | Capital Southwest Corporation Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000017313 | 811-01056  |
| CTGI         | Capital Title Group, Inc. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001017158 | 000-21417  |
| CAPB         | CapitalSouth Bancorp Common Stock   | Common Stock        | NGM                  | 12(g)             | 0001338977 | 000-51660  |
| CFFN         | Capitol Federal Financial Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001074433 | 000-25391  |
| CPST         | Capstone Turbine Corporation Common Stock   | Common Stock        | NGM                  | 12(g)             | 0001009759 | 000-30867  |
| CAPA         | Captaris Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000931784 | 000-25186  |
| CSAR         | Caraustar Industries, Inc. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000825692 | 000-20646  |
| CSCX         | Cardiac Science Corporation Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001323115 | 000-51512  |
| CRDC         | Cardica, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001178104 | 000-51772  |
| CFNL         | Cardinal Financial Corporation Common Stock   | Common Stock        | NGS                  | 12(g)             | 0001060523 | 000-24557  |
| CDIC         | CardioDynamics International Corporation Common Stock                                       | Common Stock        | NGM                  | 12(g)             | 0000719722 | 000-11868  |
| CRME         | Cardiome Pharma Corporation Ordinary Shares (Canada)  | Common Stock        | NGM                  | 12(g)             | 0001036141 | 000-29338  |

| Issue Symbol | Issue Name                                       | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-----------------|----------------------|-------------------|------------|------------|
| CECO         | Career Education Corporation Common Stock        | Common Stock    | NGS                  | 12(g)             | 0001046568 | 000-23245  |
| CBOU         | Caribou Coffee Company, Inc. Common Stock        | Common Stock    | NGM                  | 12(g)             | 0001332602 | 000-51535  |
| CKEC         | Carmike Cinemas, Inc. Common Stock               | Common Stock    | NGM                  | 12(g)             | 0000799088 | 000-14993  |
| CLBH         | Carolina Bank Holdings Inc. Common Stock         | Common Stock    | NCM                  | 12(g)             | 0001127160 | 000-31877  |
| CNCP         | Carolina National Corporation Common Stock       | Common Stock    | NCM                  | 12(g)             | 0001157648 | 000-50257  |
| CANI         | Carreker Corporation Common Stock                | Common Stock    | NGM                  | 12(g)             | 0001057709 | 000-24201  |
| CACS         | Carrier Access Corporation Common Stock          | Common Stock    | NGM                  | 12(g)             | 0001018074 | 000-24597  |
| CARN         | Carrington Laboratories, Inc. Common Stock       | Common Stock    | NGM                  | 12(g)             | 0000718007 | 000-11997  |
| CRZO         | Carrizo Oil & Gas, Inc. Common Stock             | Common Stock    | NGS                  | 12(g)             | 0001040593 | 000-22915  |
| CRRB         | Carrollton Bancorp Common Stock                  | Common Stock    | NGM                  | 12(g)             | 0000859222 | 000-23090  |
| CASM         | CAS Medical Systems, Inc. Common Stock           | Common Stock    | NCM                  | 12(g)             | 0000764579 | 000-13839  |
| CACB         | Cascade Bancorp Common Stock                     | Common Stock    | NCM                  | 12(g)             | 0000865911 | 000-23322  |
| CASB         | Cascade Financial Corp. Common Stock             | Common Stock    | NCM                  | 12(g)             | 0000928911 | 000-25286  |
| CSCD         | Cascade Microtech, Inc. Common Stock             | Common Stock    | NCM                  | 12(g)             | 0000864559 | 000-51072  |
| CWST         | Casella Waste Systems, Inc. Class A Common Stock | Common Stock    | NGS                  | 12(g)             | 0000911177 | 000-23211  |
| CASY         | Casey's General Stores, Inc. Common Stock        | Common Stock    | NGS                  | 12(g)             | 0000726958 | 000-12788  |
| CKNN         | Cash Systems Inc Common Stock                    | Common Stock    | NGM                  | 12(g)             | 0000861050 | 001-31955  |
| CASS         | Cass Information Systems, Inc Common Stock       | Common Stock    | NGM                  | 12(g)             | 0000708781 | 000-20827  |
| CSTL         | Castelle Common Stock                            | Common Stock    | NCM                  | 12(g)             | 0000908605 | 000-22020  |
| CMRG         | Casual Male Retail Group, Inc. Common Stock      | Common Stock    | NGM                  | 12(g)             | 0000813298 | 000-15898  |
| CATS         | Catalyst Semiconductor, Inc. Common Stock        | Common Stock    | NGM                  | 12(g)             | 0000899636 | 000-21488  |
| CESI         | Catalytica Energy Systems, Inc. Common Stock     | Common Stock    | NGM                  | 12(g)             | 0001053361 | 000-31953  |
| CATT         | Catapult Communications Corporation Common Stock | Common Stock    | NGS                  | 12(g)             | 0001063085 | 000-24701  |
| CATY         | Cathay General Bancorp Common Stock              | Common Stock    | NGS                  | 12(g)             | 0000861842 | 000-18630  |
| CTTY         | Catuity Inc. Common Stock                        | Common Stock    | NCM                  | 12(g)             | 0001109740 | 000-30045  |
| CVCO         | Cavco Industries, Inc. Common Stock When Issued  | Common Stock    | NGS                  | 12(g)             | 0000278166 | 000-08822  |
| CBEY         | Cbeyond, Inc. Common Stock                       | Common Stock    | NGM                  | 12(g)             | 0001205727 | 000-51588  |
| CBIZ         | CBIZ, Inc. Common Stock                          | Common Stock    | NGS                  | 12(g)             | 0000944148 | 000-25890  |
| CBRL         | CBRL Group Inc. Common Stock                     | Common Stock    | NGS                  | 12(g)             | 0001067294 | 000-25225  |
| CCFH         | CCF Holding Company Common Stock                 | Common Stock    | NCM                  | 12(g)             | 0000943033 | 000-25846  |
| CCBL         | C-COR Incorporated Common Stock                  | Common Stock    | NGM                  | 12(g)             | 0000350621 | 000-10726  |
| CHINA        | CDC Corporation Class A Common Shares            | Ordinary Shares | NGM                  | 12(g)             | 0001076770 | 000-30134  |
| CDWC         | CDW Corporation Common Stock                     | Common Stock    | NGS                  | 12(g)             | 0000899171 | 000-21796  |
| CECE         | CECO Environmental Corp. Common Stock            | Common Stock    | NCM                  | 12(g)             | 0000003197 | 000-07099  |

| Issue Symbol | Issue Name   | Issue Type                    | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-------------------------------|----------------------|-------------------|------------|------------|
| CLDN         | Celadon Group, Inc. Common Stock                             | Common Stock                  | NGS                  | 12(g)             | 0000865941 | 000-23192  |
| BDAY         | Celebrate Express, Inc. Common Stock                         | Common Stock                  | NGM                  | 12(g)             | 0001100124 | 000-50973  |
| CELG         | Celgene Corporation Common Stock                             | Common Stock                  | NGS                  | 12(g)             | 0000816284 | 000-16132  |
| CEGE         | Cell Genesys, Inc. Common Stock                              | Common Stock                  | NGM                  | 12(g)             | 0000865231 | 000-19986  |
| CTIC         | Cell Therapeutics, Inc. Common Stock                         | Common Stock                  | NGM                  | 12(g)             | 0000891293 | 000-28386  |
| CBHI         | Centennial Bank Holdings, Inc. Common Stock                  | Common Stock                  | NGS                  | 12(g)             | 0001324410 | 000-51556  |
| CYCL         | Centennial Communications Corporation Class A Common Stock   | Common Stock                  | NGS                  | 12(g)             | 0000879573 | 000-19603  |
| CNBC         | Center Bancorp, Inc. Common Stock                            | Common Stock                  | NGS                  | 12(g)             | 0000712771 | 000-11486  |
| CLFC         | Center Financial Corporation Common Stock                    | Common Stock                  | NGS                  | 12(g)             | 0001174820 | 000-32589  |
| CSFL         | Centerstate Banks of Florida, Inc. Common Stock              | Common Stock                  | NGS                  | 12(g)             | 0001102266 | 000-32017  |
| CTLM         | Centillum Communications, Inc. Common Stock                  | Common Stock                  | NGM                  | 12(g)             | 0001107194 | 000-30649  |
| CEBK         | Central Bancorp, Inc Common Stock                            | Common Stock                  | NGM                  | 12(g)             | 0001076394 | 000-25251  |
| CEDC         | Central European Distribution Corporation Common Stock       | Common Stock                  | NGS                  | 12(g)             | 0001046880 | 000-24341  |
| CETV         | Central European Media Enterprises Ltd. Class A Common Stock | Ordinary Shares               | NGS                  | 12(g)             | 0000925645 | 000-24796  |
| CFBK         | Central Federal Corporation Common Stock                     | Common Stock                  | NCM                  | 12(g)             | 0001070680 | 000-25045  |
| CENF         | Central Freight Lines, Inc. Common Stock                     | Common Stock                  | NGM                  | 12(g)             | 0001085636 | 000-50485  |
| CENT         | Central Garden & Pet Company Common Stock                    | Common Stock                  | NGS                  | 12(g)             | 0000887733 | 000-20242  |
| CJBK         | Central Jersey Bancorp Common Stock                          | Common Stock                  | NCM                  | 12(g)             | 0001172353 | 000-27428  |
| CVCY         | Central Valley Community Bancorp Common Stock                | Common Stock                  | NCM                  | 12(g)             | 0001127371 | 000-31977  |
| CVBK         | Central Virginia Bankshares, Inc. Common Stock               | Common Stock                  | NGM                  | 12(g)             | 0000804561 | 000-24002  |
| TRUE         | Centrue Financial Corporation Common Stock                   | Common Stock                  | NGM                  | 12(g)             | 0000891523 | 000-20804  |
| CENX         | Century Aluminum Company Common Stock                        | Common Stock                  | NGS                  | 12(g)             | 0000949157 | 000-27918  |
| CNBKA        | Century Bancorp, Inc. Class A Common Stock                   | Common Stock                  | NGM                  | 12(g)             | 0000812348 | 000-15752  |
| CNTY         | Century Casinos, Inc. Common Stock                           | Common Stock                  | NCM                  | 12(g)             | 0000911147 | 000-22900  |
| CRLTS        | Century Realty Trust Shares of Beneficial Interest           | Shares of Beneficial Interest | NCM                  | 12(g)             | 0000018914 | 000-07716  |
| CEPH         | Cephalon, Inc. Common Stock                                  | Common Stock                  | NGS                  | 12(g)             | 0000873364 | 000-19119  |
| CPHD         | CEPHEID Common Stock   | Common Stock                  | NGM                  | 12(g)             | 0001037760 | 000-30755  |
| CRDN         | Ceradyne, Inc. Common Stock                                  | Common Stock                  | NGS                  | 12(g)             | 0000018937 | 000-13059  |
| CRNT         | Ceragon Networks Ltd. Ordinary Shares                        | Ordinary Shares               | NGM                  | 12(g)             | 0001119769 | 000-30862  |
| CERG         | Ceres Group Inc. Common Stock                                | Common Stock                  | NGS                  | 12(g)             | 0000215403 | 000-08483  |
| CERN         | Cerner Corporation Common Stock                              | Common Stock                  | NGS                  | 12(g)             | 0000804753 | 000-15386  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| CERS         | Cerus Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001020214 | 000-21937  |
| CEVA         | CEVA, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001173489 | 000-49842  |
| CFCI         | CFC International, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0000949859 | 000-27222  |
| CITZ         | CFS Bancorp, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001058438 | 000-24611  |
| CHMP         | Champion Industries, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000019149 | 000-21084  |
| CMPP         | Champs Entertainment, Inc Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001040328 | 000-22639  |
| CHNL         | Channell Commercial Corporation Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001013696 | 000-28582  |
| CHAP         | Chaparral Steel Company Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0001319048 | 000-51307  |
| CTHR         | Charles & Colvard Ltd Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0001015155 | 000-23329  |
| SCHW         | Charles Schwab Corporation (The) Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000316709 | 001-9700   |
| CHIC         | Charlotte Russe Holding, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0001092006 | 000-27677  |
| CHRS         | Charming Shoppes, Inc. Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0000019353 | 000-07258  |
| GTLS         | Chart Industries, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0000892553 | 000-50412  |
| CHTR         | Charter Communications, Inc. Class A Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001091667 | 000-27927  |
| CHFN         | Charter Financial Corp. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001136796 | 000-33071  |
| CHRT         | Chartered Semiconductor Manufacturing Ltd. American Depository Shares | American Depository Shares | NGS                  | 12(g)             | 0001095270 | 000-27811  |
| CHTT         | Chatterm, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000019520 | 000-05905  |
| CHKP         | Check Point Software Technologies Ltd. Ordinary Shares                | Ordinary Shares            | NGS                  | 12(g)             | 0001015922 | 000-28584  |
| CKFR         | CheckFree Corporation Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0000949341 | 000-26802  |
| CAKE         | Cheesecake Factory Incorporated (The) Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0000887596 | 000-20574  |
| CHTP         | Chelsee Therapeutics International, Ltd. Common Stock                 | Common Stock               | NCM                  | 12(g)             | 0001333763 | 000-51462  |
| CXSP         | ChemGenex Pharmaceuticals Ltd Sponsored ADR (Australia)               | American Depository Shares | NCM                  | 12(g)             | 0001175965 | 000-51373  |
| CHFC         | Chemical Financial Corporation Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000019612 | 000-08185  |
| CHKE         | Cherokee Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000844161 | 000-18640  |
| CHRK         | Cherokee International Corporation Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001090069 | 000-50593  |
| CHEV         | Cheviot Financial Corp Common Stock                                   | Common Stock               | NCM                  | 12(g)             | 0001248124 | 000-50529  |
| CBNK         | Chicopee Bancorp, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0001355786 | 000-51996  |
| PLCE         | Children's Place Retail Stores, Inc. (The) Common Stock               | Common Stock               | NGS                  | 12(g)             | 0001041859 | 000-23071  |
| CAAS         | China Automotive Systems, Inc. Common Stock                           | Common Stock               | NCM                  | 12(g)             | 0001157762 | 000-33123  |
| CBAK         | China BAK Battery, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001117171 | 000-49712  |
| JRJC         | China Finance Online Co. Limited American Depository Shares           | American Depository Shares | NGM                  | 12(g)             | 0001297830 | 000-50975  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| GRRF         | China Greentech Corporation Limited American Depositary Shares                                 | American Depositary Shares | NGM                  | 12(g)             | 0001347510 | 000-51839  |
| CMED         | China Medical Technologies, Inc. ADS   | American Depositary Shares | NGS                  | 12(g)             | 0001326059 | 000-51440  |
| CHNR         | China Natural Resources, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000793628 | 000-26046  |
| CNTF         | China TechFaith Wireless Communication Technology Limited American Depositary Shares           | American Depositary Shares | NGM                  | 12(g)             | 0001316317 | 000-51242  |
| CTDC         | China Technology Development Group Corporation Common Stock                                    | Common Stock               | NCM                  | 12(g)             | 0001027454 | 000-29008  |
| CHDX         | Chindex International, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000922717 | 000-24624  |
| IMOS         | ChipMOS TECHNOLOGIES (Bermuda) LTD. Common Shares  | Common Stock               | NGS                  | 12(g)             | 0001133478 | 000-31106  |
| CTEC         | Cholestech Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000887227 | 000-20198  |
| CHRD         | Chordiant Software, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001042134 | 000-29357  |
| CHSCP        | CHS Inc. 8% Cumulative Redeemable Preferred Stock  | Preferred Stock            | NGS                  | 12(g)             | 0000823277 | 000-50150  |
| CHDN         | Churchill Downs, Incorporated Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000020212 | 000-01469  |
| CIEN         | Ciena Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000936395 | 000-21969  |
| CIMT         | Cimatron, Limited Ordinary Shares  | Ordinary Shares            | NCM                  | 12(g)             | 0001008595 | 000-27974  |
| CINF         | Cincinnati Financial Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000020286 | 000-04604  |
| CTAS         | Cintas Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000723254 | 000-11399  |
| CIPH         | Ciphergen Biosystems, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000926617 | 000-31617  |
| CPCI         | Ciprico Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000720145 | 000-11336  |
| CRUS         | Cirrus Logic, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000772406 | 000-17795  |
| CSCO         | Cisco Systems, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000858877 | 000-18225  |
| CTRN         | Citi Trends, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001318484 | 000-51315  |
| PLJC         | Citigroup Funding Inc. Principal-Protected Equity Linked Notes Based Upon the Nasdaq-100 Index | Other Securities           | NGM                  | 12(g)             | 0000831001 | 001-09924  |
| SFSU         | Citigroup Funding Inc. Stock Market Upturn Notes Based Upon the Nasdaq-100 Index               | Other Securities           | NGM                  | 12(g)             | 0000831001 | 001-09924  |
| CZNC         | Citizens & Northern Corp Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000810958 | 000-16084  |
| CBCF         | Citizens Banking Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000351077 | 000-10535  |
| CNFL         | Citizens Financial Corporation Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000887136 | 000-20148  |
| CTZN         | Citizens First Bancorp, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001127442 | 000-32041  |
| CSBC         | Citizens South Banking Corporation   | Common Stock               | NGM                  | 12(g)             | 0001051871 | 000-23971  |
| CTXS         | Citrix Systems, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000877890 | 000-27084  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| CHCO         | City Holding Company Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0000726854 | 000-11733  |
| CTEL         | City Telecom (H.K.) Limited American Depositary Shares          | American Depositary Shares | NGM                  | 12(g)             | 0001097086 | 000-30354  |
| CVBG         | Civitas BankGroup, Inc. (TN) Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001092099 | 000-27393  |
| CKXE         | CKX, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000793044 | 000-17436  |
| CLRT         | Clariant, Inc. Common Stock                                     | Common Stock               | NCM                  | 12(g)             | 0001038223 | 000-22677  |
| CLAY         | Clayton Holdings, Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0001325228 | 000-51846  |
| CWEI         | Clayton Williams Energy, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0000880115 | 000-20838  |
| CLHB         | Clean Harbors, Inc. Common Stock                                | Common Stock               | NGS                  | 12(g)             | 0000822818 | 000-16379  |
| CBLI         | Cleveland BioLabs, Inc. Common Stock                            | Common Stock               | NCM                  | 12(g)             | 0001318641 | 001-13111  |
| CKCM         | Click Commerce, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001107050 | 000-30881  |
| CKSW         | ClickSoftware Technologies Ltd. Ordinary Shares                 | Ordinary Shares            | NCM                  | 12(g)             | 0001105841 | 000-30827  |
| CSBK         | Clifton Savings Bancorp, Inc. Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0001240581 | 000-50358  |
| CLDA         | Clinical Data, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000716646 | 000-12716  |
| CMGI         | CMGI, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000914712 | 000-23262  |
| CCNE         | CNB Financial Corporation Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000736772 | 000-13396  |
| CNET         | CNET Networks, Inc. Common Stock                                | Common Stock               | NGS                  | 12(g)             | 0001015577 | 000-20939  |
| CNXS         | CNS, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000814258 | 000-16612  |
| CMKG         | CoActive Marketing Group Inc Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000886475 | 000-20394  |
| CFHI         | Coast Financial Holdings, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001262276 | 000-50433  |
| CBSAN        | Coastal Bancorp Inc. Cumulative Trust Preferred Securities      | Other Securities           | NGM                  | 12(g)             | 0000927628 | 001-13300  |
| CFCP         | Coastal Financial Corporation Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0000935930 | 000-19684  |
| COBZ         | CoBiz, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001028734 | 000-24445  |
| COBR         | Cobra Electronics Corporation Common Stock                      | Common Stock               | NGM                  | 12(g)             | 000030828  | 000-00511  |
| COKE         | Coca-Cola Bottling Co. Consolidated Common Stock                | Common Stock               | NGM                  | 12(g)             | 0000317540 | 000-09286  |
| CVLY         | Codorus Valley Bancorp, Inc Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0000806279 | 000-15536  |
| CCOI         | Cogent Communications Group, Inc. Cogent Common Stock           | Common Stock               | NGM                  | 12(g)             | 0001158324 | 000-51829  |
| COGT         | Cogent, Inc. Common Stock                                       | Common Stock               | NGS                  | 12(g)             | 0001289434 | 000-50947  |
| CGNX         | Cognex Corporation Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0000851205 | 000-17869  |
| CTSH         | Cognizant Technology Solutions Corporation Class A Common Stock | Common Stock               | NGS                  | 12(g)             | 0001058290 | 000-24429  |
| COGN         | Cognos Incorporated Common Shares                               | Common Stock               | NGM                  | 12(g)             | 0000746782 | 000-16006  |
| COHR         | Coherent, Inc. Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0000021510 | 000-05255  |
| COHU         | Cohu, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000021535 | 000-14298  |



| Issue Symbol | Issue Name  | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|------------------|----------------------|-------------------|------------|------------|
| CSTR         | Coinstar, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000941604 | 000-22555  |
| CWTR         | Coldwater Creek, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001018005 | 000-21915  |
| COLY         | Coley Pharmaceutical Group, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001319197 | 000-51472  |
| CGPI         | CollaGenex Pharmaceuticals, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001012270 | 000-28308  |
| CLCT         | Collectors Universe, Inc. New   | Common Stock     | NGM                  | 12(g)             | 0001089143 | 000-27887  |
| COBK         | Colonial Bankshares, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001317019 | 000-51385  |
| CBAN         | Colony Bankcorp, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000711669 | 000-12436  |
| CLRK         | Color Kinetics Incorporated Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001048611 | 000-50798  |
| CBBO         | Columbia Bancorp Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001010002 | 000-27938  |
| COLB         | Columbia Banking System, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000887343 | 000-20288  |
| CBRX         | Columbia Laboratories, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000821995 | 000-17499  |
| COLM         | Columbia Sportswear Company Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001050797 | 000-23939  |
| CMCO         | Columbus McKinnon Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001005229 | 000-27618  |
| CMRO         | COMARCO, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000022252 | 000-05449  |
| CRXX         | CombinatoRx, Incorporated Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001135906 | 000-51171  |
| CMCSA        | Comcast Corporation Class A Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001166691 | 000-50093  |
| CMCSK        | Comcast Corporation Class A Special Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001166691 | 000-50093  |
| CCBP         | Comm Bancorp, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000730030 | 000-17455  |
| CBSH         | Commerce Bancshares, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000022356 | 000-02989  |
| CMFB         | COMMERCEFIRST BANCORP INC Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001098813 | 000-51104  |
| CLBK         | Commercial Bankshares, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000354562 | 000-22246  |
| CCBI         | Commercial Capital Bancorp, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001184818 | 000-50126  |
| CNAF         | Commercial National Financial Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000866054 | 000-18676  |
| CVGI         | Commercial Vehicle Group, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001290900 | 000-50890  |
| CWBS         | Commonwealth Bankshares, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000835012 | 000-17377  |
| CWBSP        | Commonwealth Bankshares, Inc. Common Stock<br>Capital Trust I - 8% Convertible Trust Preferred Securities | Other Securities | NGM                  | 12(g)             | 0000835012 | 000-17377  |
| CBTE         | Commonwealth Biotechnologies, Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0001042418 | 001-13467  |
| CTCO         | Commonwealth Telephone Enterprises, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000310433 | 000-11053  |
| CTCH         | Commtouch Software Ltd. Ordinary Shares   | Ordinary Shares  | NCM                  | 12(g)             | 0001084577 | 000-26495  |
| CBON         | Community Bancorp Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001304366 | 000-51044  |
| CMBC         | Community Bancorp Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001089503 | 000-26505  |
| COMB         | Community Bancshares, Inc. (DE) Common Stock  | Common Stock     | NCM                  | 12(g)             | 0000752195 | 000-16461  |
| CBIN         | Community Bank Shares of Indiana, Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000933590 | 000-25766  |

| Issue Symbol | Issue Name  | Issue Type                    | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|-------------------------------|----------------------|-------------------|------------|------------|
| CMTY         | Community Banks, Inc. Common Stock (\$5.00 Par Value)   | Common Stock                  | NGS                  | 12(g)             | 0000714710 | 000-15786  |
| ALBY         | Community Capital Bancshares, Inc. Common Stock   | Common Stock                  | NCM                  | 12(g)             | 0001074369 | 000-25345  |
| CPBK         | Community Capital Corporation Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000832847 | 000-18460  |
| CCBD         | Community Central Bank Corp. Common Stock   | Common Stock                  | NGM                  | 12(g)             | 0001014133 | 000-33373  |
| CFFC         | Community Financial Corp. Common Stock  | Common Stock                  | NCM                  | 12(g)             | 0000850606 | 000-18265  |
| CPBC         | Community Partners Bancorp Common Stock   | Common Stock                  | NCM                  | 12(g)             | 0001343034 | 000-51889  |
| CSHB         | Community Shores Bank Corp. Common Stock  | Common Stock                  | NCM                  | 12(g)             | 0001070523 | 000-51166  |
| CTBI         | Community Trust Bancorp, Inc. Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0000350852 | 000-11129  |
| CTBIP        | Community Trust Bancorp, Inc. CTBI Preferred Capital Trust - 9.0% Cumulative Trust Preferred Securities | Other Securities              | NGS                  | 12(g)             | 0000350852 | 000-11129  |
| CVLL         | Community Valley Bancorp (CA)   | Common Stock                  | NCM                  | 12(g)             | 0001170833 | 000-51678  |
| CWBC         | Community West Bancshares Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0001051343 | 000-23575  |
| CBSS         | Compass Bancshares, Inc. Common Stock   | Common Stock                  | NGS                  | 12(g)             | 0000018568 | 000-06032  |
| CODI         | Compass Diversified Trust Shares of Beneficial Interest   | Shares of Beneficial Interest | NGS                  | 12(g)             | 0001345126 | 000-51937  |
| CCRT         | CompuCredit Corporation Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0001068199 | 000-25751  |
| CDCY         | CompuDyne Corporation Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000022912 | 000-29798  |
| CGEN         | Compugen Ltd. Ordinary Shares   | Ordinary Shares               | NGM                  | 12(g)             | 0001119774 | 000-30902  |
| CHRX         | Computer Horizons Corp. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000023019 | 000-07282  |
| CPSI         | Computer Programs and Systems, Inc. Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0001169445 | 000-49796  |
| CTGX         | Computer Task Group, Inc. Common Stock  | Common Stock                  | NCM                  | 12(g)             | 0000023111 | 001-09410  |
| CPWR         | Compuware Corporation Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0000859014 | 000-20900  |
| CHCI         | Comstock Homebuilding Companies, Inc. Class A Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0001299969 | 000-51070  |
| CITP         | COMSYS IT Partners, Inc. Common Stock   | Common Stock                  | NGM                  | 12(g)             | 0000948850 | 000-27792  |
| COGO         | Comtech Group, Inc. Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0000028367 | 000-02642  |
| CMTL         | Comtech Telecommunications Corp. Common Stock   | Common Stock                  | NGS                  | 12(g)             | 0000023197 | 000-07928  |
| CMVT         | Converse Technology, Inc. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000803014 | 000-15502  |
| CPTS         | Conceptus, Inc. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000896778 | 000-27596  |
| LENS         | Concord Camera Corp. Common Stock   | Common Stock                  | NGM                  | 12(g)             | 0000831861 | 000-17038  |
| CCDC         | Concorde Career Colleges, Inc. Common Stock   | Common Stock                  | NCM                  | 12(g)             | 0000832483 | 000-16992  |
| CNQR         | Concur Technologies, Inc. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0001066026 | 000-25137  |
| CCUR         | Concurrent Computer Corporation Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000749038 | 000-13150  |
| CNXT         | Conexant Systems, Inc. Common Stock   | Common Stock                  | NGS                  | 12(g)             | 0001069353 | 000-24923  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| CNMD         | CONMED Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000816956 | 000-16093  |
| CTWS         | Connecticut Water Service, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000276209 | 000-08084  |
| CNCT         | Connetics Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001004960 | 000-27406  |
| CONN         | Conn's, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001223389 | 000-50421  |
| CNLG         | Conolog Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000023503 | 000-08174  |
| CONR         | Conor Medsystems, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001108271 | 000-51066  |
| CNSL         | Consolidated Communications Holdings, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001304421 | 000-51446  |
| CSLMF        | Consolidated Mercantile Inc Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000784012 | 000-14009  |
| CWCO         | Consolidated Water Co. Ltd. Ordinary Shares  | Ordinary Shares            | NGS                  | 12(g)             | 0000928340 | 000-25248  |
| CNST         | Constar International Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000029806 | 000-16496  |
| CSLR         | Consulier Engineering, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000846718 | 000-17756  |
| CPSS         | Consumer Portfolio Services, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000889609 | 000-51027  |
| CNVR         | Convera Corporation Class A Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001125536 | 000-09747  |
| COOP         | Cooperative Bankshares, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000923529 | 000-24626  |
| CPNO         | Copano Energy, L.L.C. Common Units Representing Limited Liability Company Interest Units | Units/Benef Int            | NGS                  | 12(g)             | 0001297067 | 001-32329  |
| CPRT         | Copart, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000900075 | 000-23254  |
| VEGF         | Coraus Genetics Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001003929 | 000-27264  |
| CORT         | Corcept Therapeutics Incorporated Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001088856 | 000-50679  |
| CORE         | Core Mark Holding Co Inc Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001318084 | 000-51515  |
| CREL         | Corel Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000890640 | 000-20562  |
| CRGI         | Corgi International Limited American Depositary Shares                                   | American Depositary Shares | NGM                  | 12(g)             | 0001028637 | 000-22161  |
| CORI         | Corillian Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001041403 | 000-29291  |
| COCO         | Corinthian Colleges, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001066134 | 000-25283  |
| EXBD         | Corporate Executive Board Company (The) Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0001066104 | 000-24799  |
| CORS         | CORUS Bankshares, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000051939 | 000-06136  |
| CRVL         | CorVel Corp. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000874866 | 000-19291  |
| COSI         | Cosi, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001171014 | 000-50052  |
| CPWM         | Cost Plus, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000798955 | 000-14970  |
| CSGP         | CoStar Group, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001057352 | 000-24531  |
| COST         | Costco Wholesale Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000909832 | 000-20355  |
| CULS         | Cost-U-Less, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000851368 | 000-24543  |
| CTRX         | CoTherix, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001138812 | 000-50794  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| CRRC         | Courier Corporation Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0000025212 | 000-07597  |
| CVGR         | Covalent Group, Inc. Common Stock                              | Common Stock               | NCM                  | 12(g)             | 0000856569 | 000-21145  |
| CVNS         | Covansys Corporation Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0001028461 | 000-22141  |
| CVTI         | Covenant Transport, Inc. Class A Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000928658 | 000-24960  |
| COWN         | Cowen Group, Inc. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0001355007 | 000-52048  |
| CWLZ         | Cowlitz Bancorporation Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000894267 | 000-23881  |
| CPAK         | CPAC, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000351717 | 000-09600  |
| CPII         | CPI International, Inc. Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001279176 | 000-51928  |
| CRAI         | CRA International, Inc. Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0001053706 | 000-24049  |
| CRFT         | Craftmade International, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0000856250 | 000-26667  |
| CRAY         | Cray Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000949158 | 000-26820  |
| CREAF        | Creative Technology Ltd. Ordinary Shares                       | Ordinary Shares            | NGS                  | 12(g)             | 0000888295 | 000-20281  |
| CMOS         | Credence Systems Corporation Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0000893162 | 000-22366  |
| CACC         | Credit Acceptance Corporation Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0000885550 | 000-20202  |
| CRED         | Credo Petroleum Corporation Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000277924 | 000-08877  |
| CREE         | Cree, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000895419 | 000-21154  |
| CSNT         | Crescent Banking Company Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000883476 | 000-20251  |
| CRFN         | Crescent Financial Corporation Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001143921 | 000-32951  |
| CRESY        | Cresud S.A.C.I.F. y A. American Depositary Shares              | American Depositary Shares | NGS                  | 12(g)             | 0001034957 | 000-29190  |
| CRTX         | Critical Therapeutics, Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001145404 | 000-50767  |
| CRMH         | CRM Holdings, Ltd. Common Shares                               | Common Stock               | NGS                  | 12(g)             | 0001338949 | 000-51683  |
| CROX         | Crocs, Inc. Common Stock                                       | Common Stock               | NGS                  | 12(g)             | 0001334036 | 000-51754  |
| CRNS         | Cronos Group (The) Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000919869 | 000-24484  |
| CCRN         | Cross Country Healthcare, Inc. Common Stock \$0.0001 Par Value | Common Stock               | NGS                  | 12(g)             | 0001141103 | 000-33169  |
| XTXI         | Crosstex Energy, Inc. Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0001209821 | 000-50536  |
| XTEX         | Crosstex Energy, L.P. LIMITED PARTNERSHIP INTEREST             | Limited Partnership        | NGS                  | 12(g)             | 0001179060 | 000-50067  |
| CRWN         | Crown Media Holdings, Inc. Class A Common Stock                | Common Stock               | NGM                  | 12(g)             | 0001103837 | 000-30700  |
| CRXL         | Crucell NV American Depositary Shares                          | American Depositary Shares | NGM                  | 12(g)             | 0001126136 | 000-30962  |
| CRYO         | CryoCor, Inc. Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0001125294 | 000-51410  |
| CRYP         | Cryptologic, Inc. Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0001094036 | 000-30224  |
| CSGS         | CSG Systems International, Inc. Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0001005757 | 000-27512  |
| CSPI         | CSP Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000356037 | 000-10843  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| CTCI         | CT Communications, Inc. Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000023259 | 000-19179  |
| CTCM         | CTC Media, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001354513 | 000-52003  |
| CTIB         | CTI Industries Corporation Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0001042187 | 000-23115  |
| CTRP         | Ctrip.com International, Ltd. American Depositary Shares      | American Depositary Shares | NGS                  | 12(g)             | 0001269238 | 000-50483  |
| CBST         | Cubist Pharmaceuticals, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0000912183 | 000-21379  |
| CMLS         | Cumulus Media Inc. Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0001058623 | 000-24525  |
| CRGN         | CuraGen Corporation Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0001030653 | 000-23223  |
| CRIS         | Curis, Inc. Common Stock                                      | Common Stock               | NGM                  | 12(g)             | 0001108205 | 000-30347  |
| CUTR         | Cutera, Inc. Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0001162461 | 000-50644  |
| CBUK         | Cutter & Buck Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0000948069 | 000-26608  |
| CVTX         | CV Therapeutics, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000921506 | 000-21643  |
| CVBF         | CVB Financial Corporation Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0000354647 | 000-10140  |
| CYAN         | Cyanotech Corporation Common Stock                            | Common Stock               | NCM                  | 12(g)             | 0000768408 | 000-14602  |
| CYBX         | Cyberonics, Inc. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000864683 | 000-19806  |
| CYBE         | CyberOptics Corporation Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0000768411 | 000-16577  |
| CYBS         | CyberSource Corporation Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0000934280 | 000-26477  |
| CYCCP        | Cyclacel Pharmaceuticals, Inc. 6% Convertible Preferred Stock | Preferred Stock            | NCM                  | 12(g)             | 0001130166 | 000-50626  |
| CYCC         | Cyclacel Pharmaceuticals, Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001130166 | 000-50626  |
| CYDS         | Cygn Designs, Inc. Common Stock                               | Common Stock               | NCM                  | 12(g)             | 0000906782 | 000-22102  |
| CYMI         | Cymer, Inc. Common Stock                                      | Common Stock               | NGS                  | 12(g)             | 0000897067 | 000-21321  |
| CYNO         | Cynosure, Inc. Class A Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0000885306 | 000-51623  |
| CYPB         | Cypress Bioscience Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0000716054 | 000-12943  |
| CYTO         | Cytogen Corporation Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0000725058 | 000-14879  |
| CYTK         | Cytokinetics, Incorporated Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001061983 | 000-50633  |
| CYTX         | Cytori Therapeutics Inc Common Stock (DE)                     | Common Stock               | NGM                  | 12(g)             | 0001095981 | 000-32501  |
| CYTR         | CytRx Corporation Common Stock                                | Common Stock               | NCM                  | 12(g)             | 0000799698 | 000-15327  |
| CYTC         | CYTYC Corporation Common Stock                                | Common Stock               | NGS                  | 12(g)             | 0000849778 | 000-27558  |
| DECC         | D&E Communications, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001011737 | 000-20709  |
| DADE         | Dade Behring Holdings, Inc Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0001183920 | 000-50010  |
| DAGM         | DAG Media, Inc. Common Stock                                  | Common Stock               | NCM                  | 12(g)             | 0001080340 | 000-25991  |
| DJCO         | Daily Journal Corp. (S.C.) Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000783412 | 000-14665  |
| DAKT         | Daktronics, Inc. Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0000915779 | 000-23246  |
| DANKY        | Danka Business Systems PLC American Depositary Shares         | American Depositary Shares | NCM                  | 12(g)             | 0000894010 | 000-20828  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| DASTY        | Dassault Systemes, S.A. American Depositary Shares      | American Depositary Shares | NGS                  | 12(g)             | 0001016118 | 000-28578  |
| DAIO         | Data I/O Corporation Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000351998 | 000-10394  |
| DTLK         | Datalink Corporation Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001056923 | 000-29758  |
| DRAM         | Dataram Corporation Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0000027093 | 001-8266   |
| DSCP         | Datascope Corp. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0000027096 | 000-06516  |
| DATA         | DataTrak International, Inc. Common Stock               | Common Stock               | NCM                  | 12(g)             | 0000886530 | 000-20699  |
| DWCH         | Datawatch Corporation Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0000792130 | 000-19960  |
| DWSN         | Dawson Geophysical Company Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0000351231 | 000-10144  |
| DSTI         | DayStar Technologies, Inc. Common Stock                 | Common Stock               | NCM                  | 12(g)             | 0001262200 | 000-50508  |
| DSTIZ        | DayStar Technologies, Inc. Warrant B                    | Warrant                    | NCM                  | 12(g)             | 0001262200 | 000-50508  |
| DCAP         | DCAP Group, Inc. Common Stock                           | Common Stock               | NCM                  | 12(g)             | 0000033992 | 000-01665  |
| DDIC         | DDi Corp Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0001104252 | 000-30241  |
| TRAK         | DealerTrack Holdings, Inc. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001333513 | 000-51653  |
| DEAR         | Dearborn Bancorp. Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0000895541 | 000-24478  |
| DEBS         | Deb Shops, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0000715779 | 000-12188  |
| DECK         | Deckers Outdoor Corporation Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000910521 | 000-22446  |
| DCGN         | deCODE genetics, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0001022974 | 000-30469  |
| DECT         | Dectron International, Inc. Common Stock                | Common Stock               | NCM                  | 12(g)             | 0001066042 | 000-24845  |
| DCTH         | Delcath Systems, Inc. Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0000872912 | 001-16133  |
| DLIA         | dELIA's Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001337885 | 000-51648  |
| DELL         | Dell Inc. Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0000826083 | 000-17017  |
| DLPX         | Delphax Technologies Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0000350692 | 000-10691  |
| DELT         | Delta Gallil Industries Ltd. American Depositary Shares | American Depositary Shares | NGM                  | 12(g)             | 0001081022 | 000-30020  |
| DGAS         | Delta Natural Gas Company, Inc. Common Stock            | Common Stock               | NGM                  | 12(g)             | 0000277375 | 000-08788  |
| DPTR         | Delta Petroleum Corporation Common Stock                | Common Stock               | NGM                  | 12(g)             | 0000821483 | 000-16203  |
| DDDC         | deltathree Inc Class A Common Stock                     | Common Stock               | NCM                  | 12(g)             | 0001086740 | 000-28063  |
| DNDN         | Dendreon Corporation Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001107332 | 000-30681  |
| DRTE         | Dendrite International, Inc. Common Stock               | Common Stock               | NGS                  | 12(g)             | 0000880321 | 000-26138  |
| DENN         | Denny's Corporation Common Stock                        | Common Stock               | NCM                  | 12(g)             | 0000852772 | 000-18051  |
| XRAY         | DENTSPLY International Inc. Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000818479 | 000-16211  |
| DEPO         | Depomed, Inc. Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0001005201 | 000-23267  |
| DSGX         | Descartes Systems Group Inc. (The) Common Stock         | Common Stock               | NGM                  | 12(g)             | 0001050140 | 000-29970  |
| DWRI         | Design Within Reach, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001116755 | 000-50807  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| DSWL         | Deswell Industries, Inc. Common Shares                   | Common Stock               | NGM                  | 12(g)             | 0000946936 | 000-26448  |
| DEVG         | Devcon International Corp. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 000028452  | 000-07152  |
| DXCM         | DexCom, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001093557 | 000-51222  |
| DGSE         | DGSE Companies, Inc. Common Stock                        | Common Stock               | NCM                  | 12(g)             | 0000701719 | 001-11048  |
| DLGS         | Dialog Semiconductor Plc American Depositary Shares      | American Depositary Shares | NGM                  | 12(g)             | 0001116581 | 001-15042  |
| DCAL         | Dialysis Corporation of America Common Stock             | Common Stock               | NCM                  | 12(g)             | 0000201653 | 000-08527  |
| DMND         | Diamond Foods, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0001320947 | 000-51439  |
| DHIL         | Diamond Hill Investment Group, Inc. Class A Common Stock | Common Stock               | NCM                  | 12(g)             | 0000909108 | 000-24498  |
| DTPI         | DiamondCluster International, Inc. Common Stock          | Common Stock               | NGM                  | 12(g)             | 0000924940 | 000-22125  |
| DDRX         | Diedrich Coffee Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0000947661 | 000-21203  |
| DIGE         | Digene Corporation Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0001011582 | 000-28194  |
| DGII         | Digi International Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0000854775 | 000-17972  |
| DMRC         | Digimarc Corporation Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0001089443 | 000-28317  |
| DRAD         | Digirad Corporation Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0000707388 | 000-50789  |
| DGIT         | Digital Generation Systems, Inc. Common Stock            | Common Stock               | NGM                  | 12(g)             | 0000934448 | 000-27644  |
| DGIN         | Digital Insight Corporation Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0001037275 | 000-27459  |
| DMGI         | Digital Music Group, Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001339729 | 000-51761  |
| TBUS         | Digital Recorders, Inc. Common Stock                     | Common Stock               | NCM                  | 12(g)             | 0000853695 | 001-13408  |
| DRIV         | Digital River, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0001062530 | 000-24643  |
| DTAS         | Digitas, Inc. Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0001100885 | 000-29723  |
| DCOM         | Dime Community Bancshares, Inc. Common Stock             | Common Stock               | NGS                  | 12(g)             | 0001005409 | 000-27782  |
| DIOD         | Diodes Incorporated Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000029002 | 001-5740   |
| DNEX         | Dionex Corporation Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000708850 | 000-11250  |
| DRCT         | Direct General Corporation Common stock                  | Common Stock               | NGS                  | 12(g)             | 0001023031 | 000-50360  |
| DEIX         | Directed Electronics, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001323630 | 000-51664  |
| DISCA        | Discovery Holding Company Series A Common Stock          | Common Stock               | NGS                  | 12(g)             | 0001320482 | 000-51205  |
| DISCB        | Discovery Holding Company Series B Common Stock          | Common Stock               | NGS                  | 12(g)             | 0001320482 | 000-51205  |
| DSCO         | Discovery Laboratories, Inc. Common Stock                | Common Stock               | NGM                  | 12(g)             | 0000946486 | 000-26422  |
| DPIL         | Discovery Partners International, Inc. Common Stock      | Common Stock               | NGM                  | 12(g)             | 0001113148 | 000-31141  |
| DESC         | Distributed Energy Systems Corporation Common Stock      | Common Stock               | NGM                  | 12(g)             | 0001261482 | 000-31533  |
| DITC         | Ditech Networks, Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001080667 | 000-26209  |
| DVSA         | Diversa Corporation Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001049210 | 000-29173  |
| DXYN         | Dixie Group, Inc. (The) Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0000029332 | 000-02585  |



| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| DCEL         | Dobson Communications Corporation Class A Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001035985 | 000-29225  |
| DOCC         | DocuCorp International, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001033864 | 000-23829  |
| DOCX         | Document Sciences Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001016831 | 000-20981  |
| DLLR         | Dollar Financial Corp. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001271625 | 000-50866  |
| DLTR         | Dollar Tree Stores, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000935703 | 000-25464  |
| DHOM         | Dominion Homes Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000917857 | 000-23270  |
| DGICA        | Donegal Group, Inc. Class A Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000800457 | 000-15341  |
| DGICB        | Donegal Group, Inc. Class B Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000800457 | 000-15341  |
| DMLP         | Dorchester Minerals, L.P. Common Units Representing Limited Partnership Interests                                  | Limited Partnership        | NGM                  | 12(g)             | 0001172358 | 000-50175  |
| DIIB         | Dorel Industries, Inc. Class B Subordinate Voting Shares   | Common Stock               | NGS                  | 12(g)             | 0000843405 | 000-29712  |
| DORM         | Dorman Products, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000868780 | 000-18914  |
| HILL         | Dot Hill Systems Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001042783 | 001-13317  |
| DBLE         | Double Eagle Petroleum Company Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000029834 | 000-06529  |
| DOVP         | DOV Pharmaceutical, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001066833 | 000-49730  |
| DOVR         | Dover Saddlery, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001071625 | 000-51624  |
| DRAX         | Draxis Health Inc. Common Shares   | Common Stock               | NGS                  | 12(g)             | 0000845802 | 000-17434  |
| DROOY        | DRDGOLD Limited American Depositary Shares   | American Depositary Shares | NCM                  | 12(g)             | 0001023512 | 000-28800  |
| DBRN         | Dress Barn, Inc. (The) Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000717724 | 000-11736  |
| DSCM         | drugstore.com, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001086467 | 000-26137  |
| DRYS         | DryShips Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001308858 | 000-51141  |
| DSPG         | DSP Group, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000915778 | 000-23006  |
| DTSI         | DTS, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001226308 | 000-50335  |
| DUCK         | Duckwall-Alco Stores, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000030302 | 000-20269  |
| DRRA         | Dura Automotive Systems, Inc. Class A Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001016177 | 000-21139  |
| DRRAP        | Dura Automotive Systems, Inc. Dura Automotive Systems Capital Trust - 7.50% Convertible Trust Preferred Securities | Other Securities           | NGM                  | 12(g)             | 0001016177 | 000-21139  |
| DRRX         | Durect Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001082038 | 000-31615  |
| DUSA         | DUSA Pharmaceuticals, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000879993 | 000-19777  |
| DXPE         | DXP Enterprises, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001020710 | 000-21513  |
| DYAX         | Dyax Corp. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000907562 | 000-24537  |
| DYII         | Dynacq Healthcare, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000890908 | 000-21574  |
| DDMX         | Dynamex, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001015483 | 000-21057  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| BOOM         | Dynamic Materials Corporation Common Stock               | Common Stock               | NGS                  | 12(g)             | 0000034067 | 001-14775  |
| DRCO         | Dynamics Research Corporation Common Stock               | Common Stock               | NGM                  | 12(g)             | 0000030822 | 000-02479  |
| DYNT         | Dynatronics Corporation Common Stock                     | Common Stock               | NCM                  | 12(g)             | 0000720875 | 000-12697  |
| DVAX         | Dynavax Technologies Corporation Common Stock            | Common Stock               | NGM                  | 12(g)             | 0001029142 | 000-50577  |
| ECMV         | E Com Ventures, Inc. Common Stock                        | Common Stock               | NCM                  | 12(g)             | 0000880460 | 000-19714  |
| EGBN         | Eagle Bancorp, Inc. Common Stock                         | Common Stock               | NCM                  | 12(g)             | 0001050441 | 000-25923  |
| EGLE         | Eagle Bulk Shipping Inc. Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0001322439 | 000-51366  |
| EGLT         | Eagle Test Systems, Inc. Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001290096 | 000-51828  |
| ELNK         | EarthLink, Inc. Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0001102541 | 001-15605  |
| EPEN         | East Penn Financial Corporation Common Stock             | Common Stock               | NCM                  | 12(g)             | 0001220483 | 000-50330  |
| EWBC         | East West Bancorp, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0001069157 | 000-24939  |
| EIHI         | Eastern Insurance Holdings, Inc. Common Stock            | Common Stock               | NGM                  | 12(g)             | 0001321268 | 000-52058  |
| EVBS         | Eastern Virginia Bankshares, Inc. Common Stock           | Common Stock               | NCM                  | 12(g)             | 0001047170 | 000-23565  |
| EASY         | EasyLink Services Corporation Class A Common Stock       | Common Stock               | NCM                  | 12(g)             | 0001081661 | 000-26371  |
| EBAY         | eBay Inc. Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0001065088 | 000-24821  |
| EBIX         | Ebix Inc Common Stock                                    | Common Stock               | NCM                  | 12(g)             | 0000814549 | 000-15946  |
| ECBE         | ECB Bancorp Inc Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0001066254 | 000-24753  |
| ELON         | Echelon Corporation Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0000031347 | 000-29748  |
| DISH         | EchoStar Communications Corporation Class A Common Stock | Common Stock               | NGS                  | 12(g)             | 0001001082 | 000-26176  |
| ECIL         | ECI Telecom Ltd. Ordinary Shares                         | Ordinary Shares            | NGS                  | 12(g)             | 0000701544 | 000-12672  |
| ECLP         | Eclipsys Corporation Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0001034088 | 000-24539  |
| ECLG         | eCollege.com Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001085653 | 000-28393  |
| ECTX         | ECTel Ltd. Ordinary Shares                               | Ordinary Shares            | NGM                  | 12(g)             | 0001096197 | 000-30348  |
| EDAP         | EDAP TMS S.A. American Depositary Shares                 | American Depositary Shares | NGM                  | 12(g)             | 0001041934 | 000-29374  |
| EDEN         | EDEN Bioscience Corporation Common Stock                 | Common Stock               | NCM                  | 12(g)             | 0000930095 | 000-31499  |
| EDGR         | EDGAR Online, Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001080224 | 000-26071  |
| EPEX         | Edge Petroleum Corporation Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0001021010 | 000-22149  |
| EDGW         | Edgewater Technology, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001017968 | 000-20971  |
| DIET         | Ediets Com Inc Common Stock                              | Common Stock               | NCM                  | 12(g)             | 0001094058 | 000-30559  |
| EEEE         | Educate, Inc. Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0001286862 | 000-50952  |
| EDUC         | Educational Development Corporation Common Stock         | Common Stock               | NGM                  | 12(g)             | 0000031667 | 000-04957  |
| EFJI         | EFJ, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0001023516 | 000-21681  |
| EAGL         | EGL, Inc. Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0001001718 | 000-27288  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| EMITF        | Elbit Medical Imaging Ltd. Ordinary Shares   | Ordinary Shares            | NGM                  | 12(g)             | 0001027662 | 000-28996  |
| ESLT         | Elbit Systems Ltd. Ordinary Shares   | Ordinary Shares            | NGS                  | 12(g)             | 0001027664 | 000-28998  |
| EEEE         | Electro Energy Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001175636 | 000-51083  |
| ELRC         | Electro Rent Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000032166 | 000-09061  |
| ESIO         | Electro Scientific Industries, Inc. Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0000726514 | 000-12853  |
| EGLS         | Electroglas, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000902281 | 000-21626  |
| ERTS         | Electronic Arts Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000712515 | 000-17948  |
| ECHO         | Electronic Clearing House, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000721773 | 000-15245  |
| EFIL         | Electronics for Imaging, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000867374 | 000-18805  |
| MELA         | Electro-Optical Sciences, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001051514 | 000-51481  |
| ELSE         | Electro-Sensors, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000351789 | 000-09587  |
| RDEN         | Elizabeth Arden, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000095052 | 001-06370  |
| LONG         | eLong, Inc. American Depositary Shares   | American Depositary Shares | NGM                  | 12(g)             | 0001290903 | 000-50984  |
| ELOY         | eLoyalty Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001094348 | 000-27975  |
| ELRN         | Elron Electronic Industries Ltd. Ordinary Shares                                       | Ordinary Shares            | NGM                  | 12(g)             | 0000315126 | 000-11456  |
| ELTK         | Eltel Ltd. Ordinary Shares   | Ordinary Shares            | NCM                  | 12(g)             | 0001024672 | 000-28884  |
| EMAG         | Emageon Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001121439 | 000-51149  |
| EMAK         | EMAK Worldwide, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000911151 | 000-23346  |
| EMBT         | Embarcadero Technologies, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001107112 | 000-30293  |
| EMBX         | Embrex, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000878725 | 000-19495  |
| EMCI         | EMC Insurance Group, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000356130 | 000-10956  |
| EMKR         | EMCORE Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000808326 | 000-22175  |
| HLTH         | Emdeon Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001009575 | 000-24975  |
| EMRG         | eMerge Interactive, Inc. Class A Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001092605 | 000-29037  |
| EMIS         | Emisphere Technologies, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000805326 | 001-10615  |
| EMMSP        | Emmis Communications Corporation 6.25% Series A Cumulative Convertible Preferred Stock | Preferred Stock            | NGS                  | 12(g)             | 0000783005 | 000-23264  |
| EMMS         | Emmis Communications Corporation Class A Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0000783005 | 000-23264  |
| ELMG         | EMS Technologies, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000032198 | 000-06072  |
| ENPT         | En Pointe Technologies, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001010305 | 000-28052  |
| ECPG         | Encore Capital Group Inc Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001084961 | 000-26489  |
| ENMC         | Encore Medical Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000944763 | 000-26538  |
| WIRE         | Encore Wire Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000850460 | 000-20278  |

| Issue Symbol | Issue Name   | Issue Type   | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|--------------|----------------------|-------------------|------------|------------|
| ENCY         | Encysive Pharmaceuticals Inc Common Stock              | Common Stock | NGM                  | 12(g)             | 0000887023 | 000-20117  |
| ELGX         | Endologix Inc  | Common Stock | NGM                  | 12(g)             | 0001013606 | 000-28440  |
| ENWV         | Endwave Corporation Common Stock                       | Common Stock | NGM                  | 12(g)             | 0001118941 | 000-31635  |
| ENER         | Energy Conversion Devices, Inc. Common Stock           | Common Stock | NGS                  | 12(g)             | 0000032878 | 001-08403  |
| EWST         | Energy West, Inc. Common Stock                         | Common Stock | NGM                  | 12(g)             | 0000043350 | 000-14183  |
| ENSI         | EnergySouth, Inc. Common Stock                         | Common Stock | NGS                  | 12(g)             | 0001051286 | 000-29604  |
| NPTH         | Enpath Medical, Inc. Common Stock                      | Common Stock | NGM                  | 12(g)             | 0000833140 | 000-19467  |
| ESGR         | Enstar Group Inc Common Stock                          | Common Stock | NGS                  | 12(g)             | 0000055820 | 000-07477  |
| ENTG         | Entegris, Inc. Common Stock                            | Common Stock | NGS                  | 12(g)             | 0001101302 | 000-30789  |
| EBTC         | Enterprise Bancorp Inc Common Stock                    | Common Stock | NGM                  | 12(g)             | 0001018399 | 000-21021  |
| EFSC         | Enterprise Financial Services Corporation Common Stock | Common Stock | NGM                  | 12(g)             | 0001025835 | 000-24131  |
| ENMD         | EntreMed, Inc. Common Stock                            | Common Stock | NGM                  | 12(g)             | 0000895051 | 000-20713  |
| ENTU         | Entrust, Inc. Common Stock                             | Common Stock | NGM                  | 12(g)             | 0001031283 | 000-24733  |
| ECGI         | Envoy Communications Group, Inc. Common Stock          | Common Stock | NCM                  | 12(g)             | 0001031516 | 000-30082  |
| ENZN         | Enzon, Inc. Common Stock                               | Common Stock | NGM                  | 12(g)             | 0000727510 | 000-12957  |
| EONC         | eOn Communications Corporation Common Stock            | Common Stock | NCM                  | 12(g)             | 0001084752 | 000-26399  |
| EPMD         | EP MedSystems, Inc. Common Stock                       | Common Stock | NCM                  | 12(g)             | 0001012394 | 000-28260  |
| EPIK         | Epic Bancorp Common Stock                              | Common Stock | NCM                  | 12(g)             | 0001099980 | 000-50878  |
| EPCT         | EpiCept Corporation Common Stock                       | Common Stock | NGM                  | 12(g)             | 0001208261 | 000-51290  |
| EPIC         | Epicor Software Corporation Common Stock               | Common Stock | NGS                  | 12(g)             | 0000891178 | 000-20740  |
| EPIQ         | EPIQ Systems, Inc. Common Stock                        | Common Stock | NGS                  | 12(g)             | 0001027207 | 000-22081  |
| EPIX         | EPIX Pharmaceuticals, Inc. Common Stock                | Common Stock | NGM                  | 12(g)             | 0001027702 | 000-21863  |
| PLUS         | ePlus Inc. Common Stock                                | Common Stock | NGM                  | 12(g)             | 0001022408 | 000-28926  |
| EPHC         | Epoch Holding Corporation Common Stock                 | Common Stock | NCM                  | 12(g)             | 0000351903 | 001-09728  |
| EQIX         | Equinix, Inc. Common Stock \$0.001 Par Value           | Common Stock | NGS                  | 12(g)             | 0001101239 | 000-31293  |
| ERES         | eResearch Technology Inc. Common Stock                 | Common Stock | NGS                  | 12(g)             | 0001026650 | 000-29100  |
| ERIE         | Erie Indemnity Company Class A Common Stock            | Common Stock | NGS                  | 12(g)             | 0000922621 | 000-24000  |
| ESBF         | ESB Financial Corporation Common Stock                 | Common Stock | NGS                  | 12(g)             | 0000872835 | 000-19345  |
| ESCA         | Escalade, Incorporated Common Stock                    | Common Stock | NGM                  | 12(g)             | 0000033488 | 000-06966  |
| ESMC         | Escalon Medical Corp. Common Stock                     | Common Stock | NCM                  | 12(g)             | 0000862668 | 000-20127  |
| ESCH         | Eschelon Telecom, Inc. Common Stock                    | Common Stock | NGM                  | 12(g)             | 0001110507 | 000-50706  |
| ESPD         | eSpeed, Inc. Class A Common Stock                      | Common Stock | NGM                  | 12(g)             | 0001094831 | 000-28191  |
| ESST         | ESS Technology, Inc Common Stock                       | Common Stock | NGM                  | 12(g)             | 0000907410 | 000-26660  |
| KEYW         | Essex Corp Common Stock                                | Common Stock | NGS                  | 12(g)             | 0000355199 | 001-31703  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| ETWC         | etria Worldwde, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001268904 | 000-50531  |
| ETWCU        | etria Worldwde, Inc. Units  | Unit                       | NGM                  | 12(g)             | 0001268904 | 000-50531  |
| ETWCW        | etria Worldwde, Inc. Warrants   | Warrant                    | NGM                  | 12(g)             | 0001268904 | 000-50531  |
| CLWT         | Euro Tech Holdings Company Limited Common Stock                                     | Common Stock               | NCM                  | 12(g)             | 0001026662 | 000-22113  |
| EUBK         | EuroBancshares, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001164554 | 000-50872  |
| EEFT         | Euronet Worldwde, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001029199 | 000-22167  |
| EURO         | EuroTrust A/S American Depository Shares  | American Depository Shares | NGM                  | 12(g)             | 0001041457 | 000-30690  |
| EVV          | ev3 Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001318310 | 000-51348  |
| ESCC         | Evans & Sutherland Computer Corporation Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000276283 | 000-8771   |
| EVBN         | Evans Bancorp. Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000842518 | 000-18539  |
| EVC          | EVC Career Colleges Holding Corp. Common Stock                                      | Common Stock               | NCM                  | 12(g)             | 0001065591 | 000-25371  |
| ESLR         | Evergreen Solar, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000947397 | 000-31687  |
| EVST         | Everlast Worldwde Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000934795 | 000-25918  |
| EVOL         | Evolving Systems, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001052054 | 000-24081  |
| EXAS         | EXACT Sciences Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001124140 | 000-32179  |
| EXAC         | Exactech, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000913165 | 000-28240  |
| EXAR         | Exar Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000753568 | 000-14225  |
| XLTC         | Excel Technology, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000873603 | 000-19306  |
| EXJF         | Exchange National Bancshares, Inc. Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0000893847 | 000-23636  |
| EXEL         | Exelixis, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000939767 | 000-30235  |
| EXFO         | EXFO Electro-Optical Engineering Subordinate Voting Shares                          | Common Stock               | NGM                  | 12(g)             | 0001116284 | 000-30895  |
| XIDE         | Exide Technologies New Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000813781 | 000-50745  |
| XIDEW        | Exide Technologies New Warrant 2011   | Warrant                    | NGM                  | 12(g)             | 0000813781 | 000-50745  |
| EXPE         | Expedia, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001324424 | 000-51447  |
| EXPEW        | Expedia, Inc. Warrant to purchase one half of one share of Expedia Inc Common Stock | Warrant                    | NGS                  | 12(g)             | 0001324424 | 000-51447  |
| EXPEZ        | Expedia, Inc. Warrants to purchase .969375 shares of Expedia Inc Common Stock       | Warrant                    | NGS                  | 12(g)             | 0001324424 | 000-51447  |
| EXPD         | Expeditors International of Washington, Inc. Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000746515 | 000-13468  |
| EXPO         | Exponent, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000851520 | 000-18655  |
| ESRX         | Express Scripts, Inc. Class A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000885721 | 000-20199  |
| EXTR         | Extreme Networks, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001078271 | 000-25711  |
| EZPW         | EZCORP, Inc. Class A Non-Voting Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000876523 | 000-19424  |

| Issue Symbol | Issue Name                                     | Issue Type   | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|--------------|----------------------|-------------------|------------|------------|
| EZEM         | E-Z-EM, Inc. Common Stock                      | Common Stock | NGS                  | 12(g)             | 0000727008 | 001-11479  |
| FFIV         | F5 Networks, Inc. Common Stock                 | Common Stock | NGS                  | 12(g)             | 0001048695 | 000-26041  |
| FCPO         | Factory Card & Party Outlet Corp.              | Common Stock | NGM                  | 12(g)             | 0001024441 | 000-21859  |
| FALC         | FalconStor Software, Inc. Common Stock         | Common Stock | NGM                  | 12(g)             | 0000922521 | 000-23970  |
| FMRX         | Familymeds Group, Inc. Common Stock            | Common Stock | NCM                  | 12(g)             | 0000921878 | 001-15445  |
| DAVE         | Famous Dave's of America, Inc. Common Stock    | Common Stock | NGM                  | 12(g)             | 0001021270 | 000-21625  |
| FRGO         | Fargo Electronics, Inc. Common Stock           | Common Stock | NGS                  | 12(g)             | 0001098834 | 000-29029  |
| FARM         | Farmer Brothers Company Common Stock           | Common Stock | NGM                  | 12(g)             | 0000034563 | 000-01375  |
| FFKT         | Farmers Capital Bank Corporation Common Stock  | Common Stock | NCM                  | 12(g)             | 0000713095 | 000-14412  |
| FARO         | FARO Technologies, Inc. Common Stock           | Common Stock | NGM                  | 12(g)             | 0000917491 | 000-23081  |
| FAST         | Fastenal Company Common Stock                  | Common Stock | NGS                  | 12(g)             | 0000815556 | 000-16125  |
| FBSS         | Fauquier Bankshares, Inc. Common Stock         | Common Stock | NCM                  | 12(g)             | 0001083643 | 000-25805  |
| FVRL         | Faville, Inc. Common Stock                     | Common Stock | NGM                  | 12(g)             | 0001285701 | 000-51134  |
| FTHR         | Featherlite, Inc. Common Stock                 | Common Stock | NCM                  | 12(g)             | 0000928064 | 000-24804  |
| FFCO         | FedFirst Financial Corporation Common Stock    | Common Stock | NCM                  | 12(g)             | 0001308017 | 000-51153  |
| FEIC         | FEI Company Common Stock                       | Common Stock | NGM                  | 12(g)             | 0000914329 | 000-22780  |
| FFDF         | FFD Financial Corporation Common Stock         | Common Stock | NCM                  | 12(g)             | 0001006177 | 000-27916  |
| FTGX         | Fibernet Telecom Group, Inc. Common Stock      | Common Stock | NCM                  | 12(g)             | 0001001868 | 000-24661  |
| FBST         | Fiberstars, Inc. Common Stock                  | Common Stock | NGM                  | 12(g)             | 0000924168 | 000-24564  |
| FSBI         | Fidelity Bancorp, Inc. Common Stock            | Common Stock | NGM                  | 12(g)             | 0000769207 | 000-22288  |
| FFFL         | Fidelity Bankshares, Inc. Common Stock         | Common Stock | NGS                  | 12(g)             | 0001028336 | 000-29040  |
| LION         | Fidelity Southern Corporation New Common Stock | Common Stock | NGS                  | 12(g)             | 0000822662 | 000-22374  |
| FICC         | Fieldstone Investment Corporation Common Stock | Common Stock | NGS                  | 12(g)             | 0001271831 | 000-50938  |
| FITB         | Fifth Third Bancorp Common Stock               | Common Stock | NGS                  | 12(g)             | 0000035527 | 000-08076  |
| FILE         | FileNet Corporation Common Stock               | Common Stock | NGS                  | 12(g)             | 0000706015 | 000-15997  |
| FISI         | Financial Institutions, Inc. Common Stock      | Common Stock | NGS                  | 12(g)             | 0000862831 | 000-26481  |
| FNSR         | Finisar Corporation Common Stock               | Common Stock | NGS                  | 12(g)             | 0001094739 | 000-27999  |
| FINL         | Finish Line, Inc. (The) Class A Common Stock   | Common Stock | NGS                  | 12(g)             | 0000886137 | 000-20184  |
| FNLY         | Finlay Enterprises, Inc. Common Stock          | Common Stock | NGM                  | 12(g)             | 0000878731 | 000-25716  |
| FADV         | First Advantage Corporation Common Stock       | Common Stock | NGS                  | 12(g)             | 0001210677 | 000-50285  |
| FACT         | First Albany Companies, Inc. Common Stock      | Common Stock | NGM                  | 12(g)             | 0000782842 | 000-14140  |
| FRNS         | First Avenue Networks, Inc. Common Stock       | Common Stock | NGM                  | 12(g)             | 0001010286 | 000-21091  |
| FAVS         | First Aviation Services, Inc. Common Stock     | Common Stock | NCM                  | 12(g)             | 0001025743 | 000-21995  |
| FBNC         | First Bancorp Common Stock                     | Common Stock | NGS                  | 12(g)             | 0000811589 | 000-15572  |

| Issue Symbol | Issue Name  | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|------------------|----------------------|-------------------|------------|------------|
| FBEI         | First Bancorp of Indiana, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001074543 | 000-29814  |
| FBMS         | First Bancshares, Inc. (The) (MS) Common Stock  | Common Stock     | NCM                  | 12(g)             | 0000947559 | 000-22507  |
| FBSI         | First Bancshares, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000912967 | 000-22842  |
| FBTC         | First BancTrust Corporation Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001129847 | 000-32535  |
| FBNKM        | First Banks, Inc. First Preferred Capital Trust III - 9.00% Cumulative Trust Preferred Securities | Other Securities | NGM                  | 12(g)             | 0000710507 | 000-20632  |
| BUSE         | First Busey Corporation Class A Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000314489 | 000-15950  |
| FBIZ         | First Business Financial Services, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001305399 | 000-51028  |
| FCAP         | First Capital, Inc. Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001070296 | 000-25023  |
| FCFS         | First Cash Financial Services, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000840489 | 000-19133  |
| FCTR         | First Charter Corporation Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000717306 | 000-15829  |
| FCZA         | First Citizens Banc Corp. Common Stock  | Common Stock     | NCM                  | 12(g)             | 0000944745 | 000-25980  |
| FCNCA        | First Citizens BancShares, Inc. Class A Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000798941 | 000-16471  |
| FCLF         | First Clover Leaf Financial Corp. Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001283582 | 000-50820  |
| FCBP         | First Community Bancorp Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001102112 | 000-30747  |
| FCBC         | First Community Bancshares, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000859070 | 000-19297  |
| FCFL         | First Community Bank Corporation of America (FL) Common Stock                                     | Common Stock     | NCM                  | 12(g)             | 0001082564 | 000-50357  |
| FCCO         | First Community Corporation Common Stock  | Common Stock     | NCM                  | 12(g)             | 0000932781 | 000-28344  |
| FCGI         | First Consulting Group, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001049758 | 000-23651  |
| FDEF         | First Defiance Financial Corp. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000946647 | 000-26850  |
| FFSW         | First Federal Banc of the Southwest, Inc. Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001060939 | 000-51243  |
| FFBH         | First Federal Bancshares of Arkansas, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001006424 | 000-28312  |
| FFBI         | First Federal Bancshares, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001113107 | 000-30753  |
| FFSX         | First Federal Bancshares, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001075348 | 000-25509  |
| FFNM         | First Federal of Northern Michigan Bancorp, Inc. Common Stock                                     | Common Stock     | NGM                  | 12(g)             | 0001128227 | 000-31957  |
| FFBC         | First Financial Bancorp. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000708955 | 000-12379  |
| FFIN         | First Financial Bankshares, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000036029 | 000-07674  |
| THFF         | First Financial Corporation Indiana Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000714562 | 000-16759  |
| FFCH         | First Financial Holdings, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000787075 | 000-17122  |
| FFKY         | First Financial Service Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000854395 | 000-18832  |
| FFHS         | First Franklin Corporation Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000742161 | 000-16362  |
| FINB         | First Indiana Corporation Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000789670 | 000-14354  |



| Issue Symbol | Issue Name  | Issue Type          | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|---------------------|----------------------|-------------------|------------|------------|
| FKFS         | First Keystone Financial, Inc. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000856751 | 000-25328  |
| FMFC         | First M & F Corporation Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000320387 | 000-09424  |
| FMAR         | First Mariner Bancorp Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000946090 | 000-21815  |
| FRME         | First Merchants Corporation Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000712534 | 000-17071  |
| FRMEP        | First Merchants Corporation First Merchants Capital Trust I - 8.75% Cumulative Trust Preferred Securities | Other Securities    | NGS                  | 12(g)             | 0000712534 | 000-17071  |
| FMBI         | First Midwest Bancorp, Inc. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000702325 | 000-10967  |
| FMSB         | First Mutual Bancshares, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001098337 | 000-28261  |
| FNSC         | First National Bancshares Inc (SC) Common Stock   | Common Stock        | NGM                  | 12(g)             | 0001095274 | 000-30523  |
| FNLC         | First National Lincoln Corporation Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000765207 | 000-26589  |
| FNFG         | First Niagara Financial Group Inc. Common Stock   | Common Stock        | NGS                  | 12(g)             | 0001051741 | 000-23975  |
| FNFI         | First Niles Financial, Inc. Common Stock  | Common Stock        | NCM                  | 12(g)             | 0001065823 | 000-24849  |
| FOBB         | First Oak Brook Bancshares, Inc. Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000717837 | 000-14468  |
| FLIC         | First of Long Island Corporation (The) Common Stock   | Common Stock        | NCM                  | 12(g)             | 0000740663 | 000-12220  |
| FPTB         | First PacTrust Bancorp, Inc. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0001169770 | 000-49806  |
| FPFC         | First Place Financial Corp. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001068912 | 000-25049  |
| FRGB         | First Regional Bancorp Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000356708 | 000-10232  |
| FRCCP        | First Republic Preferred Capital Corporation Preferred Stock  | Preferred Stock     | NGM                  | 12(g)             | 0001143834 | 000-33461  |
| FRCCO        | First Republic Preferred Capital Corporation Preferred Stock  | Preferred Stock     | NGM                  | 12(g)             | 0001143834 | 000-33461  |
| FSGI         | First Security Group, Inc. Common Stock   | Common Stock        | NGS                  | 12(g)             | 0001138817 | 000-49747  |
| FSBK         | First South Bancorp Inc Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001027183 | 000-22219  |
| FSNM         | First State Bancorporation Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000897861 | 001-12487  |
| FSTF         | First State Financial Corporation Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001282582 | 000-50992  |
| FUNC         | First United Corporation Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000763907 | 000-14237  |
| FBMI         | Firstbank Corporation Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000778972 | 000-14209  |
| FBNW         | FirstBank NW Corp. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0001035513 | 000-22435  |
| FCFC         | FirstCity Financial Corporation Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000828678 | 033-19694  |
| FMER         | FirstMerit Corporation Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000354869 | 000-10161  |
| FSRV         | FirstService Corporation Subordinate Voting Shares  | Common Stock        | NGS                  | 12(g)             | 0000913353 | 000-24762  |
| FSTW         | Firstwave Technologies Inc. Common Stock  | Common Stock        | NCM                  | 12(g)             | 0000897078 | 000-21202  |
| FISV         | Fiserv, Inc. Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000798354 | 000-14948  |
| FSCI         | Fisher Communications, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001034669 | 000-22439  |
| FLAG         | FLAG Financial Corporation Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000897509 | 000-24532  |
| FLML         | Flamel Technologies S.A. American Depositary Shares   | American Depositary | NGM                  | 12(g)             | 0001012477 | 000-28508  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
|              |  | Shares                     |                      |                   |            |            |
| FLDR         | Flanders Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000799526 | 000-27958  |
| FLXS         | Flexsteel Industries, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000037472 | 000-05151  |
| FLEX         | Flextronics International Ltd. Ordinary Shares                                     | Ordinary Shares            | NGS                  | 12(g)             | 0000866374 | 000-23354  |
| FLIR         | FLIR Systems, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000354908 | 000-21918  |
| FLOW         | Flow International Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000713002 | 000-12448  |
| FFIC         | Flushing Financial Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000923139 | 000-24272  |
| FMCO         | FMS Financial Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000839845 | 000-17353  |
| FBNP         | FNB Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001010961 | 000-24141  |
| FBNF         | FNB Financial Services Corporation Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0000742679 | 000-13086  |
| FBNB         | FNB United Corp. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000764811 | 000-13823  |
| FCSE         | FOCUS Enhancements, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000884719 | 001-11860  |
| FMCN         | Focus Media Holding Limited Sponsored American Depositary Receipt (Cayman Islands) | American Depositary Shares | NGM                  | 12(g)             | 0001330017 | 000-51387  |
| FONR         | Fonar Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000355019 | 000-10248  |
| VIFLD        | Food Technology Service, Inc. New Common Stock                                     | Common Stock               | NCM                  | 12(g)             | 0000868267 | 000-19047  |
| FMTI         | Forbes Medi-Tech Inc. Common Share   | Common Stock               | NGM                  | 12(g)             | 0001087477 | 000-30076  |
| FORG         | Forgent Networks Inc Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000884144 | 000-20008  |
| FORM         | FormFactor, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001039399 | 000-50307  |
| FORTY        | Formula Systems (1985) Ltd. American Depositary Shares                             | American Depositary Shares | NGM                  | 12(g)             | 0001045986 | 000-29442  |
| FORR         | Forrester Research, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001023313 | 000-21433  |
| FNET         | FortuNet, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001337899 | 000-51703  |
| FWRD         | Forward Air Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000912728 | 000-22490  |
| FORD         | Forward Industries, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000038264 | 000-06669  |
| FOSL         | Fossil, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000883569 | 000-19848  |
| FWLT         | Foster Wheeler Ltd. (Bermuda)  | Common Stock               | NGS                  | 12(g)             | 0001130385 | 000-50740  |
| FWLTW        | Foster Wheeler Ltd. Class A Warrants 9/24/2009 (Bermuda)                           | Warrant                    | NGS                  | 12(g)             | 0001130385 | 000-50740  |
| FWLTZ        | Foster Wheeler Ltd. Warrants B 9/24/2007 (Bermuda)                                 | Warrant                    | NGS                  | 12(g)             | 0001130385 | 000-50740  |
| FDRY         | Foundry Networks, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001090071 | 000-26689  |
| FOXH         | FoxHollow Technologies, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001217688 | 000-50998  |
| FPBI         | FPB Bancorp, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001162245 | 000-33351  |
| FPIC         | FPIC Insurance Group, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001010247 | 001-11983  |
| FBTX         | Franklin Bank Corp. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001207070 | 000-50518  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| FCMC         | Franklin Credit Management Corp Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0000831246 | 000-17771  |
| FELE         | Franklin Electric Co., Inc. Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0000038725 | 000-00362  |
| FRED         | Fred's, Inc. Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0000724571 | 000-19288  |
| FREEW        | FreeSeas Inc. Warrant W 7/29/2009                             | Warrant                    | NCM                  | 12(g)             | 0001325159 | 000-51672  |
| FREEZ        | FreeSeas Inc. Warrant Z 7/29/2011                             | Warrant                    | NCM                  | 12(g)             | 0001325159 | 000-51672  |
| FREE         | FreeSeas Inc. Common Stock                                    | Common Stock               | NCM                  | 12(g)             | 0001325159 | 000-51672  |
| RAIL         | FreightCar America, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0001320854 | 000-51237  |
| FRNT         | Frontier Airlines Holdings, Inc. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0000921929 | 000-24126  |
| FTBK         | Frontier Financial Corporation Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0000716457 | 000-15540  |
| FFEX         | Frozen Food Express Industries, Inc. Common Stock             | Common Stock               | NGM                  | 12(g)             | 000039273  | 001-10006  |
| FSII         | FSI International, Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0000841692 | 000-17276  |
| FCEL         | FuelCell Energy, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000886128 | 001-14204  |
| FTEK         | Fuel-Tech, N.V. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0000846913 | 000-21724  |
| FULT         | Fulton Financial Corporation Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0000700564 | 000-10587  |
| FNDT         | Fundtech Ltd. Ordinary Shares                                 | Ordinary Shares            | NGM                  | 12(g)             | 0001054836 | 000-29634  |
| FMDAY        | Futuremedia Public Limited Company American Depositary Shares | American Depositary Shares | NCM                  | 12(g)             | 0000906476 | 000-21978  |
| FXEN         | FX Energy, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0000907649 | 000-25386  |
| GKSR         | G&K Services, Inc. Class A Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000039648 | 000-04063  |
| WILC         | G. Willi-Food International, Ltd. Ordinary Shares             | Ordinary Shares            | NCM                  | 12(g)             | 0001030997 | 000-29256  |
| GAIA         | Gaiam, Inc. Common Stock                                      | Common Stock               | NGM                  | 12(g)             | 0001089872 | 000-27517  |
| HIST         | Gallery of History, Inc. Common Stock                         | Common Stock               | NCM                  | 12(g)             | 0000763730 | 000-13757  |
| GMTC         | GameTech International, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001045014 | 000-23401  |
| GPIC         | Gaming Partners International Corporation Common Stock        | Common Stock               | NGM                  | 12(g)             | 0000918580 | 000-23588  |
| GMTN         | Gander Mountain Company Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001277475 | 000-50659  |
| GRMN         | Garmin Ltd. Common Stock                                      | Common Stock               | NGS                  | 12(g)             | 0001121788 | 000-31983  |
| GBTS         | Gateway Financial Holdings, Inc. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001156953 | 000-33223  |
| GBTB         | GB&T Bancshares Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0001061068 | 000-24203  |
| GEHL         | Gehl Company Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0000856386 | 000-18110  |
| GEMP         | Gemplus International S.A. American Depositary Shares         | American Depositary Shares | NGS                  | 12(g)             | 0001128749 | 000-31052  |
| GMST         | Gemstar-TV Guide International Inc. Common Stock              | Common Stock               | NGS                  | 12(g)             | 0000923282 | 000-24218  |
| GENR         | Genaera Corporation Common Stock                              | Common Stock               | NCM                  | 12(g)             | 0000880431 | 000-19651  |
| GSTL         | Genco Shipping & Trading Limited Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001326200 | 000-51442  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| GLGC         | Gene Logic Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001043914 | 000-23317  |
| GNLB         | Genelabs Technologies, Inc. Common Stock                    | Common Stock               | NCM                  | 12(g)             | 0000874443 | 000-19222  |
| GNCMA        | General Communication, Inc. Class A Common Stock            | Common Stock               | NGS                  | 12(g)             | 0000808461 | 000-15279  |
| GNBT         | Genex Biotechnology Corporation Common Stock                | Common Stock               | NCM                  | 12(g)             | 0001059784 | 000-25169  |
| GHCI         | Genesis HealthCare Corporation Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0001236736 | 000-50351  |
| GNSS         | Genesis Microchip Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001161396 | 000-33477  |
| GNSY         | Genesys S.A. American Depositary Shares                     | American Depositary Shares | NGM                  | 12(g)             | 0001125276 | 000-31134  |
| GENE         | Genetic Technologies Ltd Sponsored ADR                      | American Depositary Shares | NGM                  | 12(g)             | 0001166272 | 000-51504  |
| GTOP         | Genitope Corporation Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001028358 | 000-50425  |
| GLYT         | Genlyte Group Incorporated (The) Common Stock               | Common Stock               | NGS                  | 12(g)             | 0000833076 | 000-16960  |
| GHDX         | Genomic Health, Inc. Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001131324 | 000-51541  |
| GPRO         | Gen-Probe Incorporated Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000820237 | 001-31279  |
| GNTA         | Genta Incorporated Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0000880643 | 000-19635  |
| GETI         | GenTek Inc. Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0001077552 | 000-29163  |
| GNTX         | Gentex Corporation Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000355811 | 000-10235  |
| GENT         | Gentium SpA Gentium SpA American Depositary Shares ("ADSs") | American Depositary Shares | NGM                  | 12(g)             | 0001314755 | 000-51341  |
| GTIV         | Gentiva Health Services, Inc. Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0001096142 | 001-15669  |
| GNVC         | GenVec, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0000934473 | 000-24469  |
| GENZ         | Genzyme Corporation Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0000732485 | 000-14680  |
| GMET         | GeoMet, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0001352302 | 000-52155  |
| GEOI         | GeoResources, Inc. Common Stock                             | Common Stock               | NCM                  | 12(g)             | 0000041023 | 000-08041  |
| GABC         | German American Bancorp, Inc. Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000714395 | 000-11244  |
| GERN         | Geron Corporation Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0000886744 | 000-20859  |
| GVHR         | Gevity HR, Inc. Common Stock                                | Common Stock               | NGS                  | 12(g)             | 0001035185 | 000-22701  |
| GFIG         | GFI Group Inc. Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0001292426 | 000-51103  |
| ROCK         | Gibraltar Industries, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0000912562 | 000-22462  |
| GBBMZ        | Gigabeam Corporation Class Z Warrant 1/28/2011              | Warrant                    | NCM                  | 12(g)             | 0001279831 | 000-50985  |
| GBBM         | Gigabeam Corporation Common Stock                           | Common Stock               | NCM                  | 12(g)             | 0001279831 | 000-50985  |
| GBBMW        | Gigabeam Corporation Warrant 10/14/2009                     | Warrant                    | NCM                  | 12(g)             | 0001279831 | 000-50985  |
| GIGM         | GigaMedia Limited Ordinary Shares                           | Ordinary Shares            | NGM                  | 12(g)             | 0001105101 | 000-30540  |
| GIGA         | Giga-tronics Incorporated Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0000719274 | 000-12719  |
| GIII         | G-III Apparel Group, LTD. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0000821002 | 000-18183  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| GILT         | Gilat Satellite Networks Ltd. Ordinary Shares  | Ordinary Shares            | NGM                  | 12(g)             | 0000897322 | 000-21218  |
| GILD         | Gilead Sciences, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000882095 | 000-19731  |
| GIVN         | Given Imaging Ltd. Ordinary shares   | Ordinary Shares            | NGM                  | 12(g)             | 0001126140 | 000-33133  |
| GBCI         | Glacier Bancorp, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000868671 | 000-18911  |
| GLAD         | Gladstone Capital Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001143513 | 000-33117  |
| GOODP        | Gladstone Commercial Corporation 7.75% Series A Cumulative Convertible Preferred Stock | Preferred Stock            | NGM                  | 12(g)             | 0001234006 | 000-106024 |
| GOOD         | Gladstone Commercial Corporation Common stock  | Common Stock               | NGM                  | 12(g)             | 0001234006 | 000-106024 |
| GAIN         | Gladstone Investment Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001321741 | 000-51233  |
| GLBZ         | Glen Burnie Bancorp Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000890066 | 000-24047  |
| GEMS         | Glenayre Technologies, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000808918 | 000-15761  |
| GLBC         | Global Crossing Ltd. New Common Stock (Bermuda)  | Common Stock               | NGM                  | 12(g)             | 0001061322 | 001-16201  |
| GEPT         | Global ePoint, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000896195 | 000-21738  |
| GISX         | Global Imaging Systems, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001050167 | 000-24373  |
| GLBL         | Global Industries, Ltd. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000895663 | 000-21086  |
| GPTX         | Global Payment Technologies, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000933020 | 000-25148  |
| GSOL         | Global Sources Ltd. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001110650 | 000-30678  |
| GNET         | Global Traffic Network, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001344907 | 000-51838  |
| GCOM         | Globecom Systems Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001031028 | 000-22839  |
| GMKT         | Gmarket Inc. American Depositary Shares  | American Depositary Shares | NGM                  | 12(g)             | 0001365241 | 000-52060  |
| GMXR         | GMX Resources, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001127342 | 000-32325  |
| GOAM         | GoAmerica, Inc. New Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001101268 | 000-29359  |
| GLNG         | Golar Lng Ltd Golar Lng Ltd  | Common Stock               | NGS                  | 12(g)             | 0001207179 | 000-50113  |
| GKIS         | Gold Kist Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001292215 | 000-50925  |
| GLDC         | Golden Enterprises, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000042228 | 000-04339  |
| GLDN         | Golden Telecom, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001089874 | 000-27423  |
| GFSI         | Goldleaf Financial Solutions, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001069469 | 000-25959  |
| GGXY         | Golf Galaxy, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001327098 | 000-51460  |
| GOLF         | Golfsmith International Holdings, Inc. Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0001202273 | 000-52041  |
| GTIM         | Good Times Restaurants Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000825324 | 000-18590  |
| GOOG         | Google Inc. Class A Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001288776 | 000-50726  |
| GPCB         | Gpc Biotech Ag American Depositary Shares  | American Depositary Shares | NGM                  | 12(g)             | 0001117629 | 000-50825  |
| GRIN         | Grand Toys International Limited American Depositary Shares                            | American Depositary Shares | NCM                  | 12(g)             | 0001285066 | 000-22372  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
|              |   | Shares                     |                      |                   |            |            |
| GCFB         | Granite City Food & Brewery Ltd   | Common Stock               | NCM                  | 12(g)             | 0001048620 | 000-29643  |
| GRVY         | GRAVITY Co., Ltd. American Depositary Shares  | American Depositary Shares | NGM                  | 12(g)             | 0001313310 | 000-51138  |
| PEDE         | Great Pee Dee Bancorp, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001046587 | 000-23521  |
| GSBC         | Great Southern Bancorp, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000854560 | 000-18082  |
| GSBCP        | Great Southern Bancorp, Inc. Great Southern Capital Trust I - 9.00% Cumulative Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0000854560 | 000-18082  |
| WOLF         | Great Wolf Resorts, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001294538 | 000-51064  |
| GAFC         | Greater Atlantic Financial Corp. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001082735 | 000-26467  |
| GBBK         | Greater Bay Bancorp Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000775473 | 000-25034  |
| GBBKO        | Greater Bay Bancorp GBB Capital V - 9.00% Cumulative Trust Preferred Securities                           | Other Securities           | NGS                  | 12(g)             | 0000775473 | 000-25034  |
| GLFS         | Greater Community Bancorp Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000773845 | 000-14294  |
| GLFSO        | Greater Community Bancorp Cumulative Trust Preferred Securities   | Other Securities           | NGM                  | 12(g)             | 0000773845 | 000-14294  |
| GMCR         | Green Mountain Coffee, Roasters Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000909954 | 001-12340  |
| GPRE         | Green Plains Renewable Energy, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001309402 | 000-51677  |
| GCBC         | Greene County Bancorp, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001070524 | 000-25165  |
| GCBS         | Greene County Bancshares Inc Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000764402 | 000-14289  |
| SRVY         | Greenfield Online, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001108906 | 000-50698  |
| GVBK         | Greenville First Bancshares Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001090009 | 000-27719  |
| GRIF         | Griffin Land & Nurseries, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001037390 | 000-29288  |
| GRIL         | Grill Concepts Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000895041 | 000-23326  |
| GGAL         | Grupo Financiero Galicia S.A. American Depositary Shares  | American Depositary Shares | NCM                  | 12(g)             | 0001114700 | 000-30852  |
| GS LA        | GS Financial Corp. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001029630 | 000-22269  |
| GSIC         | GSI Commerce, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000828750 | 000-16611  |
| GSIG         | GSI Group, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001076930 | 000-25705  |
| GTCB         | GTC Biotherapeutics Inc Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000904973 | 000-21794  |
| GTSI         | GTSI Corp. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000850483 | 000-19394  |
| GTXI         | GTX, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001260990 | 000-50549  |
| GFED         | Guaranty Federal Bancshares, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001046203 | 000-23325  |
| GTRC         | Guitar Center, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001021113 | 000-22207  |
| GIFI         | Gulf Island Fabrication, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001031623 | 000-22303  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| GMRK         | GulfMark Offshore, Inc. Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0001030749 | 000-22853  |
| GPOR         | Gulfport Energy Corporation Common Stock              | Common Stock               | NGS                  | 12(g)             | 0000874499 | 000-19514  |
| Gymb         | Gymboree Corporation (The) Common Stock               | Common Stock               | NGS                  | 12(g)             | 0000786110 | 000-21250  |
| GYRO         | Gyrodyn Company of America, Inc. Common Stock         | Common Stock               | NCM                  | 12(g)             | 0000044689 | 000-01684  |
| HEES         | H&E Equipment Services, Inc. Common Stock             | Common Stock               | NGS                  | 12(g)             | 0001339605 | 000-51759  |
| HABC         | Habersham Bancorp Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0000754597 | 000-13153  |
| HAIN         | Hain Celestial Group, Inc. (The) Common Stock         | Common Stock               | NGS                  | 12(g)             | 0000910406 | 000-22818  |
| HAMP         | Hampshire Group, Limited Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0000887150 | 000-20201  |
| HNAB         | Hana Biosciences, Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001140028 | 000-50782  |
| HANA         | hanarotelecom incorporated American Depositary Shares | American Depositary Shares | NGS                  | 12(g)             | 0001108838 | 001-15012  |
| HBHC         | Hancock Holding Company Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000750577 | 000-13089  |
| HAFC         | Hammi Financial Corporation Common Stock              | Common Stock               | NGS                  | 12(g)             | 0001109242 | 000-30421  |
| HANS         | Hansen Natural Corporation Common Stock               | Common Stock               | NCM                  | 12(g)             | 0000865752 | 000-18761  |
| HARB         | Harbor Florida Bancshares Inc Common Stock            | Common Stock               | NGS                  | 12(g)             | 0001029407 | 000-22817  |
| HDNG         | Hardinge, Inc. Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000313716 | 000-15760  |
| HGIC         | Harleysville Group Inc. Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000792013 | 000-14697  |
| HNBC         | Harleysville National Corporation Common Stock        | Common Stock               | NGS                  | 12(g)             | 0000702902 | 000-15237  |
| HARL         | Harleysville Savings Bank Common Stock                | Common Stock               | NGM                  | 12(g)             | 0001107160 | 000-29709  |
| HLIT         | Harmonic Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000851310 | 000-25826  |
| HWFG         | Harrington West Financial Group, Inc. Common Stock    | Common Stock               | NGM                  | 12(g)             | 0001063997 | 000-50066  |
| TINY         | Harris & Harris Group, Inc. Common Stock              | Common Stock               | NGM                  | 12(g)             | 0000893739 | 000-11576  |
| HPOL         | Harris Interactive, Inc. Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0001094238 | 000-27577  |
| HBIO         | Harvard Bioscience, Inc. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001123494 | 000-31923  |
| HRVE         | Harvey Electronics, Inc. Common Stock                 | Common Stock               | NCM                  | 12(g)             | 0000046043 | 000-14626  |
| HAST         | Hastings Entertainment, Inc. Common Stock             | Common Stock               | NGM                  | 12(g)             | 0001054579 | 000-24381  |
| HAUP         | Hauppauge Digital, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0000930803 | 001-13550  |
| HWKN         | Hawkins, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000046250 | 000-07647  |
| HAYZ         | Hayes Lemmerz International, Inc.                     | Common Stock               | NGM                  | 12(g)             | 0001237941 | 000-20932  |
| HGRD         | Health Grades, Inc. Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0001027915 | 000-22019  |
| HAXS         | HealthAxis Inc. Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000768892 | 000-13591  |
| HCSG         | Healthcare Services Group, Inc. Common Stock          | Common Stock               | NGS                  | 12(g)             | 0000731012 | 000-12015  |
| HCTL         | Healthcare Technologies Ltd. Ordinary Shares          | Ordinary Shares            | NCM                  | 12(g)             | 0000835688 | 000-17788  |
| HLEX         | HealthExtras, Inc. Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0001090403 | 000-31014  |



| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| HSTM         | HealthStream, Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001095565 | 000-27701  |
| HTRN         | HealthTronics, Inc. Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0001018871 | 000-30406  |
| HWAY         | Healthways, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000704415 | 000-19364  |
| HTLD         | Heartland Express, Inc. Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000799233 | 000-15087  |
| HTLF         | Heartland Financial USA, Inc. Common Stock            | Common Stock               | NGS                  | 12(g)             | 0000920112 | 001-15393  |
| HEII         | HEI, Inc. Common Shares                               | Common Stock               | NGM                  | 12(g)             | 0000351298 | 000-10078  |
| HSII         | Heidrick & Struggles International, Inc. Common Stock | Common Stock               | NGS                  | 12(g)             | 0001066605 | 000-25837  |
| HELE         | Helen of Troy Limited Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0000916789 | 000-23312  |
| HSIC         | Henry Schein, Inc. Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0001000228 | 000-27078  |
| HERO         | Hercules Offshore, Inc. Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0001330849 | 000-51582  |
| HTGC         | Hercules Technology Growth Capital, Inc. Common Stock | Common Stock               | NGM                  | 12(g)             | 0001280784 | 814-00792  |
| HTBK         | Heritage Commerce Corp Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0001053352 | 000-23877  |
| HFWA         | Heritage Financial Corporation Common Stock           | Common Stock               | NGS                  | 12(g)             | 0001046025 | 000-29480  |
| HBOS         | Heritage Financial Group Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001320002 | 000-51305  |
| HEOP         | Heritage Oaks Bancorp (CA) Common Stock               | Common Stock               | NCM                  | 12(g)             | 0000921547 | 000-25020  |
| HRLY         | Herley Industries, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0000047035 | 000-05411  |
| MLHR         | Herman Miller, Inc. Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0000066382 | 001-15141  |
| HSKA         | Heska Corporation Common Stock                        | Common Stock               | NCM                  | 12(g)             | 0001038133 | 000-22427  |
| HFFC         | HF Financial Corp. Common Stock (\$0.01 Par Value)    | Common Stock               | NGM                  | 12(g)             | 0000881790 | 000-19772  |
| HIFN         | hi/fn, inc. Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0001065246 | 000-24765  |
| HIBB         | Hibbett Sporting Goods, Inc. Common Stock             | Common Stock               | NGS                  | 12(g)             | 0001017480 | 000-20969  |
| HTCO         | Hickory Tech Corporation Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0000766561 | 000-13721  |
| HIHO         | Highway Holdings Limited Common Stock                 | Common Stock               | NCM                  | 12(g)             | 0001026785 | 000-28990  |
| HLND         | Hiland Partners, LP Common Units                      | Limited Partnership        | NGS                  | 12(g)             | 0001306527 | 000-51120  |
| HINT         | Hill International, Inc. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001287808 | 000-50781  |
| HINTU        | Hill International, Inc. Unit Expires 4/23/2008       | Unit                       | NGM                  | 12(g)             | 0001287808 | 000-50781  |
| HINTW        | Hill International, Inc. Warrant Expires 4/23/2008    | Warrant                    | NGM                  | 12(g)             | 0001287808 | 000-50781  |
| HIMX         | Himax Technologies, Inc. American Depositary Shares   | American Depositary Shares | NGS                  | 12(g)             | 0001342338 | 000-51847  |
| HORT         | Hines Horticulture, Inc. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001003515 | 000-24439  |
| HRSH         | Hirsch International Corp. Class A Common Stock       | Common Stock               | NCM                  | 12(g)             | 0000915909 | 000-23434  |
| HITK         | Hi-Tech Pharmacal Co., Inc. Common Stock              | Common Stock               | NGS                  | 12(g)             | 0000887497 | 000-20424  |
| HITT         | Hittite Microwave Corporation Common Stock            | Common Stock               | NGS                  | 12(g)             | 0001130866 | 000-51448  |
| HMINF        | HMN Financial, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0000921183 | 000-24100  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| HMSY         | HMS Holdings Corp  | Common Stock               | NGS                  | 12(g)             | 0001196501 | 000-20946  |
| HOKU         | Hoku Scientific, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001178336 | 000-51458  |
| HEPH         | Hollis-Eden Pharmaceuticals, Inc. Common Stock             | Common Stock               | NGM                  | 12(g)             | 0000899394 | 000-24672  |
| HOLL         | Hollywood Media Corp. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0000912544 | 000-22908  |
| HOLX         | Hologic, Inc. Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0000859737 | 000-18281  |
| HOMB         | Home BancShares, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001331520 | 000-51904  |
| HCFC         | Home City Financial Corporation Common Stock               | Common Stock               | NCM                  | 12(g)             | 0001022103 | 000-21809  |
| HOMF         | Home Federal Bancorp Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0000867493 | 000-18847  |
| HOME         | Home Federal Bancorp, Inc. Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001283858 | 000-50901  |
| HSOA         | Home Solutions of America, Inc. Common Stock               | Common Stock               | NGM                  | 12(g)             | 0000855424 | 000-22388  |
| HOFT         | Hooker Furniture Corporation Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001077688 | 000-25349  |
| HFBC         | HopFed Bancorp, Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001041550 | 000-23667  |
| HBNC         | Horizon Bancorp (IN) Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000706129 | 000-10792  |
| HRZB         | Horizon Financial Corp. Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0001002682 | 000-27062  |
| HORC         | Horizon Health Corporation Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0000935007 | 001-13626  |
| HOFF         | Horizon Offshore, Inc. Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0001051431 | 001-16857  |
| HOTT         | Hot Topic, Inc. Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0001017712 | 000-28784  |
| HOTJ         | House of Taylor Jewelry, Inc. Common Stock                 | Common Stock               | NCM                  | 12(g)             | 0001069249 | 000-25377  |
| SOLD         | HouseValues, Inc. Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0001298978 | 000-51032  |
| HWCC         | Houston Wire & Cable Company Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001356949 | 000-52046  |
| HOVNP        | Hovnanian Enterprises Inc Dep Shr Srs A Pfd                | Depository Receipt         | NGM                  | 12(g)             | 0000357294 | 000-32447  |
| HUBG         | Hub Group, Inc. Class A Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000940942 | 000-27754  |
| HCBK         | Hudson City Bancorp, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0000921847 | 000-26001  |
| HHGP         | Hudson Highland Group, Inc. COMMON STOCK                   | Common Stock               | NGM                  | 12(g)             | 0001210708 | 000-50129  |
| HDSN         | Hudson Technologies, Inc. Common Stock                     | Common Stock               | NCM                  | 12(g)             | 0000925528 | 000-13412  |
| HGSI         | Human Genome Sciences, Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0000901219 | 000-22962  |
| HUMC         | Hummingbird Ltd Common Shares                              | Common Stock               | NGM                  | 12(g)             | 0000919548 | 000-23464  |
| HBAN         | Huntington Bancshares Incorporated Common Stock            | Common Stock               | NGS                  | 12(g)             | 0000049196 | 000-02525  |
| HPCCP        | Huntington Preferred Capital, Inc. Class C Preferred Stock | Preferred Stock            | NGM                  | 12(g)             | 0001140657 | 000-33243  |
| HURC         | Hurco Companies, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000315374 | 000-09143  |
| HURN         | Huron Consulting Group Inc. Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0001289848 | 000-50976  |
| HRAY         | Hurray! Holding Co., Ltd. American Depositary Shares       | American Depositary Shares | NGM                  | 12(g)             | 0001294435 | 000-51116  |
| HTCH         | Hutchinson Technology Incorporated Common Stock            | Common Stock               | NGS                  | 12(g)             | 0000772897 | 000-14709  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| HYDL         | Hydri Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001116030 | 000-31579  |
| HYGS         | Hydrogenics Corporation Common Shares                     | Common Stock               | NGM                  | 12(g)             | 0001119985 | 000-31815  |
| HYSL         | Hyperion Solutions Corporation Common Stock               | Common Stock               | NGS                  | 12(g)             | 0001001113 | 000-26934  |
| HYTM         | Hythiam, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001136174 | 000-51181  |
| ITWO         | i2 Technologies, Inc. Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0001009304 | 000-28030  |
| IACI         | IAC/InterActiveCorp Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000891103 | 000-20570  |
| IACIW        | IAC/InterActiveCorp Warrants 2/4/2009                     | Warrant                    | NGS                  | 12(g)             | 0000891103 | 000-20570  |
| IACIZ        | IAC/InterActiveCorp Warrants 2/4/2009                     | Warrant                    | NGS                  | 12(g)             | 0000891103 | 000-20570  |
| IBAS         | iBasis, Inc. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0001091756 | 000-27127  |
| IBKC         | IBERIABANK Corporation Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000933141 | 000-25756  |
| IBIS         | Ibis Technology Corporation Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0000855182 | 000-23150  |
| ICAB         | i-CABLE Communications Limited American Depositary Shares | American Depositary Shares | NGM                  | 12(g)             | 0001097020 | 000-30350  |
| ICAD         | icad inc. Common Stock                                    | Common Stock               | NCM                  | 12(g)             | 0000749660 | 001-9341   |
| ICGN         | icagen, Inc. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000902622 | 000-50676  |
| ICOC         | ICO, Inc. Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0000353567 | 000-10068  |
| ICOCZ        | ICO, Inc. Depositary Shares                               | Depositary Receipt         | NGM                  | 12(g)             | 0000353567 | 000-10068  |
| ICLR         | ICON plc American Depositary Shares                       | American Depositary Shares | NGS                  | 12(g)             | 0001060955 | 000-29714  |
| ICON         | Iconix Brand Group, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0000857737 | 000-10593  |
| ICOP         | ICOP Digital, Inc. Common Stock                           | Common Stock               | NCM                  | 12(g)             | 0001094572 | 000-27321  |
| ICOPW        | ICOP Digital, Inc. Warrant                                | Warrant                    | NCM                  | 12(g)             | 0001094572 | 000-27321  |
| ICOS         | ICOS Corporation Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000874294 | 000-19171  |
| IVIS         | ICOS Vision Systems Corporation N.V. Common Stock         | Common Stock               | NGM                  | 12(g)             | 0001049253 | 000-29554  |
| ICTG         | ICT Group, Inc. Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0001013149 | 000-20807  |
| ICTS         | ICTS International N.V. Common Shares                     | Common Stock               | NGM                  | 12(g)             | 0001010134 | 000-28542  |
| ICUI         | ICU Medical, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0000883984 | 000-19974  |
| IDIX         | Idenix Pharmaceuticals, Inc. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001093649 | 000-49839  |
| IDXX         | IDEXX Laboratories, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0000874716 | 000-19271  |
| IDMI         | IDM Pharma, Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0000822206 | 000-19591  |
| IFLO         | i-Flow Corporation Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0000857728 | 000-18338  |
| IGTE         | iGate Corporation Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001024732 | 000-21755  |
| IIVI         | II-VI Incorporated Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000820318 | 000-16195  |
| IKAN         | Ikanos Communications, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001219210 | 000-51532  |
| IKNX         | Ikonics Corporation                                       | Common Stock               | NCM                  | 12(g)             | 0001083301 | 000-25727  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| ILMN         | Illumina, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001110803 | 000-30361  |
| ILOG         | ILOG S.A. American Depositary Shares  | American Depositary Shares | NGS                  | 12(g)             | 0001031140 | 000-29144  |
| DISK         | Image Entertainment, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000216324 | 000-11071  |
| ISNS         | Image Sensing Systems, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000943034 | 000-26056  |
| IMNY         | I-mary, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001104017 | 000-30883  |
| IMAX         | Imax Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000921582 | 000-24216  |
| IMCL         | ImClone Systems Incorporated Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000765258 | 000-19612  |
| IMMR         | Immersion Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001058811 | 000-27969  |
| ICCC         | ImmuCell Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000811641 | 000-15507  |
| BLUD         | Immucor, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000736822 | 000-14820  |
| IMMC         | Immunicon Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001083132 | 000-50677  |
| IMGN         | ImmunoGen, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000855654 | 000-17999  |
| IMMU         | Immunomedics, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000722830 | 000-12104  |
| IMCO         | IMPCO Technologies, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000790708 | 000-16115  |
| IPII         | Imperial Industries, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000049930 | 001-07190  |
| IPSU         | Imperial Sugar Company Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000831327 | 000-16674  |
| IPSUW        | Imperial Sugar Company Warrants 01/01/2008  | Warrant                    | NGM                  | 12(g)             | 0000831327 | 000-16674  |
| ZOOM         | Impreso, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001108345 | 000-29883  |
| MAIL         | IncrediMail Ltd. Ordinary Shares  | Ordinary Shares            | NCM                  | 12(g)             | 0001338940 | 000-51694  |
| INCY         | Incyte Corp. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000879169 | 001-12400  |
| INDB         | Independent Bank Corp. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000776901 | 000-19264  |
| INDBN        | Independent Bank Corp. Independent Capital Trust III - 8.625% Cumulative Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0000776901 | 000-19264  |
| INDBM        | Independent Bank Corp. Independent Capital Trust IV - 8.375% Cumulative Trust Preferred Securities  | Other Securities           | NGS                  | 12(g)             | 0000776901 | 000-19264  |
| IBCP         | Independent Bank Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000039311 | 000-07818  |
| IBCPD        | Independent Bank Corporation IBC Capital Finance II - % Cumulative Trust Preferred Securities       | Other Securities           | NGS                  | 12(g)             | 0000039311 | 000-07818  |
| IDEV         | Indevus Pharmaceuticals Inc.  | Common Stock               | NGM                  | 12(g)             | 0000854222 | 000-18728  |
| IINT         | Indus International, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001041333 | 000-22993  |
| IDGR         | Industrial Distribution Group, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001042351 | 001-13195  |
| IDSA         | Industrial Services of America, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000004187 | 000-20979  |
| NRGP         | Inergy Holdings, L.P. Common Units  | Limited Partnership        | NGS                  | 12(g)             | 0001228068 | 000-51304  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| NRGY         | Inergy, L.P. Common Units Representing Limited Partnership Interests | Limited Partnership        | NGS                  | 12(g)             | 0001136352 | 000-32453  |
| IFNY         | Infinity Energy Resources, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0000822746 | 000-17204  |
| IPCC         | Infinity Property and Casualty Corporation Common Stock              | Common Stock               | NGS                  | 12(g)             | 0001195933 | 000-50167  |
| IFOX         | Infocrossing, Inc. Common Stock                                      | Common Stock               | NGS                  | 12(g)             | 0000893816 | 000-20824  |
| INFS         | InFocus Corporation Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0000845434 | 000-18908  |
| INFA         | Informatica Corporation Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0001080099 | 000-25871  |
| INFT         | Inforte Corp. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001039944 | 000-29239  |
| INSP         | InfoSpace, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001068875 | 000-25131  |
| INFY         | Infosys Technologies Limited American Depositary Shares              | American Depositary Shares | NGS                  | 12(g)             | 0001067491 | 000-25383  |
| IUSA         | infoUSA, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000879437 | 000-19598  |
| IVTA         | InfoVista S.A. American Depositary Shares                            | American Depositary Shares | NGM                  | 12(g)             | 0001117064 | 000-30838  |
| IMKTA        | Ingles Markets, Incorporated Class A Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0000050493 | 000-14706  |
| INHX         | Inhibitex, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001274913 | 000-50772  |
| INOD         | Innodata Isogen Inc Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0000903651 | 000-22196  |
| IOSP         | Innospec Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001054905 | 000-51849  |
| INOC         | Innotrac Corporation Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0001051114 | 000-23741  |
| ISSC         | Innovative Solutions and Support, Inc. Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000836690 | 000-31157  |
| INVX         | Innovex, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000050601 | 000-13143  |
| INNO         | Innovo Group, Inc. Common Stock                                      | Common Stock               | NCM                  | 12(g)             | 0000844143 | 000-18926  |
| INPC         | InPhonic, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001133324 | 000-51023  |
| NPLA         | InPlay Technologies, Inc. Common Stock                               | Common Stock               | NCM                  | 12(g)             | 0001054070 | 001-15069  |
| NSIT         | Insight Enterprises, Inc. Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0000932696 | 000-25092  |
| IFUL         | Insightful Corporation Common Stock                                  | Common Stock               | NCM                  | 12(g)             | 0000895095 | 000-20992  |
| ISIG         | Insignia Systems, Inc. Common Stock                                  | Common Stock               | NCM                  | 12(g)             | 0000875355 | 000-19380  |
| INSU         | Instituform Technologies, Inc. Class A Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000353020 | 000-10786  |
| INSM         | Insmed, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001104506 | 000-30739  |
| ISPH         | Inspire Pharmaceuticals, Inc. Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001040416 | 000-31135  |
| IIIN         | Insteel Industries, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000764401 | 001-09929  |
| QUOT         | Insure.com, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001079996 | 000-26781  |
| INSW         | InsWeb Corporation Common Stock                                      | Common Stock               | NCM                  | 12(g)             | 0001077370 | 000-26083  |
| INTN         | INTAC International Common Stock                                     | Common Stock               | NCM                  | 12(g)             | 0001127439 | 000-32621  |
| IBNK         | Integra Bank Corporation Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000764241 | 000-13585  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| IART         | Integra LifeSciences Holdings Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000917520 | 000-26224  |
| ISYS         | Integral Systems, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000718130 | 000-18603  |
| INMD         | IntegraMed America, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000885988 | 000-20260  |
| IASG         | Integrated Alarm Services Group, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001200022 | 000-50343  |
| IDTI         | Integrated Device Technology, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000703361 | 000-12695  |
| IESC         | Integrated Electrical Services, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001048268 | 001-13783  |
| ISSI         | Integrated Silicon Solution, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000854701 | 000-23084  |
| INTC         | Intel Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000050863 | 000-06217  |
| IPAR         | Inter Parfums, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000822663 | 000-16469  |
| ININ         | Interactive Intelligence, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001083318 | 000-27385  |
| ISWI         | Interactive Systems Worldwide Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001025995 | 000-21831  |
| INCX         | Interchange Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001259550 | 000-50989  |
| IFCJ         | Interchange Financial Services Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000755933 | 001-10518  |
| IDCC         | InterDigital Communications Corp. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000354913 | 001-11152  |
| IFSLA        | Interface, Inc. Class A Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000715787 | 000-12016  |
| INGR         | Intergraph Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000351145 | 000-09722  |
| IMGC         | Intermagetics General Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000351012 | 000-09968  |
| ITMN         | InterMune, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001087432 | 000-29801  |
| IAC          | International Assets Holding Corporation Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000913760 | 000-23554  |
| LFINP        | International Bancshares Corporation - Local Financial Capital Trust I - 9.00% Cumulative Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0000315709 | 000-09439  |
| IBOC         | International Bancshares Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000315709 | 000-09439  |
| IDWK         | International DisplayWorks, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000866415 | 000-27002  |
| ISCA         | International Speedway Corporation Class A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000051548 | 000-02384  |
| ICGE         | Internet Capital Group, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001085621 | 000-26929  |
| ICCA         | Internet Commerce Corp. Class A Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000894738 | 000-24996  |
| IGLD         | Internet Gold Golden Lines Ltd. Ordinary Shares  | Ordinary Shares            | NGM                  | 12(g)             | 0001090159 | 000-30198  |
| IJI          | Internet Initiative Japan, Inc. American Depositary Shares   | American Depositary Shares | NGM                  | 12(g)             | 0001090633 | 000-30204  |
| ISSX         | Internet Security Systems Inc Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001053148 | 000-23655  |
| INPH         | Interphase Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000728249 | 000-13071  |
| INTX         | Intersections, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001095277 | 000-50580  |
| ISIL         | Intersil Corporation Class A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001096325 | 000-29617  |
| INTL         | Inter-Tel, Incorporated Series A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000350066 | 000-10211  |

| Issue Symbol | Issue Name   | Issue Type                    | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-------------------------------|----------------------|-------------------|------------|------------|
| IBCA         | Intervest Bancshares Corp. Class A Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0000927807 | 000-23377  |
| IVIL         | Intervideo, Inc. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0001114084 | 000-49809  |
| INTV         | InterVoice Inc. Common Stock   | Common Stock                  | NGS                  | 12(g)             | 0000764244 | 001-15045  |
| IWOV         | Interwoven, Inc. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0001042431 | 000-27389  |
| INTT         | inTest Corporation Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0001036262 | 000-22529  |
| IVAC         | Intevac, Inc. Common Stock   | Common Stock                  | NGM                  | 12(g)             | 0001001902 | 000-26946  |
| ILSE         | IntraLase Corp. Common Stock   | Common Stock                  | NGM                  | 12(g)             | 0001163848 | 000-50939  |
| ITRA         | Intraware, Inc. Common Stock   | Common Stock                  | NCM                  | 12(g)             | 0001025134 | 000-25249  |
| INGN         | Introgen Therapeutics, Inc. Common Stock   | Common Stock                  | NGM                  | 12(g)             | 0001018710 | 000-21291  |
| INTZ         | Intrusion Inc. New Common Stock  | Common Stock                  | NCM                  | 12(g)             | 0000736012 | 000-20191  |
| INTU         | Intuit Inc. Common Stock   | Common Stock                  | NGS                  | 12(g)             | 0000896878 | 000-21180  |
| ISRG         | Intuitive Surgical, Inc. Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0001035267 | 000-30713  |
| VTIV         | inVentiv Health, Inc. Common Stock   | Common Stock                  | NGS                  | 12(g)             | 0001089473 | 000-30318  |
| SNAK         | Inventure Group, Inc. (The) Common Stock   | Common Stock                  | NCM                  | 12(g)             | 0000944508 | 001-14556  |
| IEDU         | INVESTools Inc Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0001145124 | 001-31917  |
| ISBC         | Investors Bancorp, Inc. Common Stock   | Common Stock                  | NGS                  | 12(g)             | 0001326807 | 000-51557  |
| IFIN         | Investors Financial Services Corp. Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0000949589 | 000-26996  |
| IRETP        | Investors Real Estate Trust Series A Cumulative Redeemable Preferred Shares of Beneficial Interest | Preferred Stock               | NGS                  | 12(g)             | 0000798359 | 000-14851  |
| IRETS        | Investors Real Estate Trust Shares of Beneficial Interest  | Shares of Beneficial Interest | NGS                  | 12(g)             | 0000798359 | 000-14851  |
| ITIC         | Investors Title Company Common Stock   | Common Stock                  | NGM                  | 12(g)             | 0000720858 | 000-11774  |
| IVGN         | Invitrogen Corporation Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0001073431 | 000-25317  |
| INXI         | INX Inc. Common Stock  | Common Stock                  | NCM                  | 12(g)             | 0001020017 | 000-21479  |
| INXIW        | INX Inc. Warrants 5/7/2009   | Warrant                       | NCM                  | 12(g)             | 0001020017 | 000-21479  |
| IOMI         | Iomai Corporation Common Stock   | Common Stock                  | NGM                  | 12(g)             | 0001125001 | 000-51709  |
| IONA         | IONA Technologies PLC American Depositary Shares   | American Depositary Shares    | NGM                  | 12(g)             | 0001032346 | 000-29154  |
| IOTN         | Ionatron, Inc. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000879911 | 001-14015  |
| IPAS         | iPass Inc. Common Stock  | Common Stock                  | NGS                  | 12(g)             | 0001053374 | 000-50327  |
| IPCR         | IPC Holdings, Limited Common Shares  | Common Stock                  | NGS                  | 12(g)             | 0000909815 | 000-27662  |
| IPCS         | IPCS, Inc. Common Stock  | Common Stock                  | NCM                  | 12(g)             | 0001108727 | 000-51844  |
| IPIX         | IPIX Corporation Common Stock  | Common Stock                  | NCM                  | 12(g)             | 0001088022 | 000-26363  |
| IRIX         | IRIDEX Corporation Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0001006045 | 000-27598  |
| IRIS         | IRIS International, Inc. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000319240 | 000-09767  |



| Issue Symbol | Issue Name                                       | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-----------------|----------------------|-------------------|------------|------------|
| IRBT         | iRobot Corporation Common Stock                  | Common Stock    | NGM                  | 12(g)             | 0001159167 | 000-51598  |
| ISIS         | Isis Pharmaceuticals, Inc. Common Stock          | Common Stock    | NGM                  | 12(g)             | 0000874015 | 000-19125  |
| ISLE         | Isle of Capri Casinos, Inc. Common Stock         | Common Stock    | NGS                  | 12(g)             | 0000863015 | 000-20538  |
| ISONL        | Ionics Corporation Class B Warrants 12/29/2006   | Warrant         | NCM                  | 12(g)             | 0001023966 | 000-21607  |
| ISONZ        | Ionics Corporation Class C Warrants 12/29/2006   | Warrant         | NCM                  | 12(g)             | 0001023966 | 000-21607  |
| ISON         | Ionics Corporation Common Stock                  | Common Stock    | NCM                  | 12(g)             | 0001023966 | 000-21607  |
| ISRL         | Isramco, Inc. Common Stock                       | Common Stock    | NCM                  | 12(g)             | 0000719209 | 000-12500  |
| ISTA         | ISTA Pharmaceuticals, Inc. Common Stock          | Common Stock    | NGM                  | 12(g)             | 0000930553 | 000-31255  |
| ITLA         | ITLA Capital Corporation Common Stock            | Common Stock    | NGS                  | 12(g)             | 0001000234 | 000-26960  |
| ITRI         | Itron, Inc. Common Stock                         | Common Stock    | NGS                  | 12(g)             | 0000780571 | 000-22418  |
| ITRN         | Ituran Location and Control Ltd. Ordinary Shares | Ordinary Shares | NGM                  | 12(g)             | 0001337117 | 000-51526  |
| IVAN         | Ivanhoe Energy, Inc. Common Shares               | Common Stock    | NCM                  | 12(g)             | 0001106935 | 000-30586  |
| IVOW         | iVOW, Inc. Common Stock                          | Common Stock    | NCM                  | 12(g)             | 0001035181 | 000-22743  |
| XXIA         | Ixia Common Stock                                | Common Stock    | NGS                  | 12(g)             | 0001120295 | 000-31523  |
| SYXI         | IXYS Corporation Common Stock                    | Common Stock    | NGM                  | 12(g)             | 0000945699 | 000-26124  |
| JJSF         | J & J Snack Foods Corp. Common Stock             | Common Stock    | NGS                  | 12(g)             | 0000785956 | 000-14616  |
| MAYS         | J. W. Mays, Inc. Common Stock                    | Common Stock    | NCM                  | 12(g)             | 0000054187 | 001-3647   |
| JBHT         | J.B. Hunt Transport Services, Inc. Common Stock  | Common Stock    | NGS                  | 12(g)             | 0000728535 | 000-11757  |
| JCOM         | j2 Global Communications Inc Common Stock        | Common Stock    | NGS                  | 12(g)             | 0001084048 | 000-25965  |
| JCDA         | Jacada Ltd. Ordinary Shares                      | Ordinary Shares | NGM                  | 12(g)             | 0001095747 | 000-30342  |
| JKHY         | Jack Henry & Associates, Inc. Common Stock       | Common Stock    | NGS                  | 12(g)             | 0000779152 | 000-14112  |
| JXSB         | Jacksonville Bancorp Common Stock                | Common Stock    | NCM                  | 12(g)             | 0001172097 | 000-49792  |
| JAXB         | JACKSONVILLE BANCORP INC (FL) Common Stock       | Common Stock    | NCM                  | 12(g)             | 0001071264 | 000-30248  |
| JACO         | Jaco Electronics, Inc. Common Stock              | Common Stock    | NGM                  | 12(g)             | 0000052971 | 000-5896   |
| JAKK         | JAKKS Pacific, Inc. Common Stock                 | Common Stock    | NGS                  | 12(g)             | 0001009829 | 000-28104  |
| JRCC         | James River Coal Company New Common Stock        | Common Stock    | NGM                  | 12(g)             | 0001297720 | 000-51129  |
| JRVR         | James River Group, Inc. Common Stock             | Common Stock    | NGM                  | 12(g)             | 0001325177 | 000-51480  |
| JDAS         | JDA Software Group, Inc. Common Stock            | Common Stock    | NGM                  | 12(g)             | 0001006892 | 000-27876  |
| JDSU         | JDS Uniphase Corporation Common Stock            | Common Stock    | NGS                  | 12(g)             | 0000912093 | 000-22874  |
| JFBI         | Jefferson Bancshares, Inc. Common Stock          | Common Stock    | NGM                  | 12(g)             | 0001222915 | 000-50347  |
| JFBC         | Jeffersonville Bancorp Common Stock              | Common Stock    | NCM                  | 12(g)             | 0000874495 | 000-19212  |
| JBLU         | JetBlue Airways Corporation Common Stock         | Common Stock    | NGS                  | 12(g)             | 0001158463 | 000-49728  |
| JCTCF        | Jewett-Cameron Trading Company Common Shares     | Common Stock    | NCM                  | 12(g)             | 0000885307 | 000-19954  |
| JMAR         | JMAR Technologies, Inc. Common Stock             | Common Stock    | NCM                  | 12(g)             | 0000857953 | 001-10515  |

| Issue Symbol | Issue Name   | Issue Type   | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|--------------|----------------------|-------------------|------------|------------|
| JBSS         | John B. Sanfilippo & Son, Inc. Common Stock        | Common Stock | NGM                  | 12(g)             | 0000880117 | 000-19681  |
| JOUT         | Johnson Outdoors Inc. Class A Common Stock         | Common Stock | NGM                  | 12(g)             | 0000788329 | 000-16255  |
| JSDA         | Jones Soda Co. Common Stock                        | Common Stock | NCM                  | 12(g)             | 0001083522 | 000-28820  |
| JOSB         | Jos. A. Bank Clothiers, Inc. Common Stock          | Common Stock | NGS                  | 12(g)             | 0000920033 | 000-23874  |
| JOYG         | Joy Global Inc. Common Stock                       | Common Stock | NGS                  | 12(g)             | 0000801898 | 001-9299   |
| JNPR         | Juniper Networks, Inc. Common Stock                | Common Stock | NGS                  | 12(g)             | 0001043604 | 000-26339  |
| JUPM         | Jupiternedia Corporation                           | Common Stock | NGS                  | 12(g)             | 0001083712 | 000-26393  |
| KALU         | Kaiser Aluminum Corporation Common Stock           | Common Stock | NGM                  | 12(g)             | 0000811596 | 000-52105  |
| KAMN         | Kaman Corporation Common Stock                     | Common Stock | NGM                  | 12(g)             | 0000054381 | 000-1093   |
| KBAY         | Kanbay International, Inc. Common Stock            | Common Stock | NGS                  | 12(g)             | 0001125011 | 000-50849  |
| KRNY         | Kearny Financial Common Stock                      | Common Stock | NGS                  | 12(g)             | 0001295664 | 000-51093  |
| KELYA        | Kelly Services, Inc. Class A Common Stock          | Common Stock | NGS                  | 12(g)             | 0000055135 | 000-01088  |
| KELYB        | Kelly Services, Inc. Class B Common Stock          | Common Stock | NGS                  | 12(g)             | 0000055135 | 000-01088  |
| KNDL         | Kendle International Inc. Common Stock             | Common Stock | NGS                  | 12(g)             | 0001039151 | 000-23019  |
| KNXA         | Kenexa Corporation Common Stock                    | Common Stock | NGM                  | 12(g)             | 0001114714 | 000-51358  |
| KNSY         | Kensey Nash Corporation Common Stock               | Common Stock | NGS                  | 12(g)             | 0001002811 | 000-27120  |
| KENT         | Kent Financial Services, Inc. Common Stock         | Common Stock | NCM                  | 12(g)             | 0000316028 | 001-7986   |
| KFFB         | Kentucky First Federal Bancorp Common Stock        | Common Stock | NGM                  | 12(g)             | 0001297341 | 000-51176  |
| KERX         | Keryx Biopharmaceuticals, Inc. Common Stock        | Common Stock | NGM                  | 12(g)             | 0001114220 | 000-30929  |
| KEQU         | Kewaunee Scientific Corporation Common Stock       | Common Stock | NGM                  | 12(g)             | 0000055529 | 000-05286  |
| KTEC         | Key Technology, Inc. Common Stock                  | Common Stock | NGM                  | 12(g)             | 0000906193 | 000-21820  |
| KTOC         | Key Tronic Corporation Common Stock                | Common Stock | NGM                  | 12(g)             | 0000719733 | 000-11559  |
| KEYN         | Keynote Systems, Inc. Common Stock                 | Common Stock | NGM                  | 12(g)             | 0001032761 | 000-27241  |
| KEYS         | Keystone Automotive Industries, Inc. Common Stock  | Common Stock | NGS                  | 12(g)             | 0001012393 | 000-28568  |
| KFED         | K-Fed Bancorp Common Stock                         | Common Stock | NGM                  | 12(g)             | 0001270985 | 000-50592  |
| KFRC         | Kforce, Inc. Common Stock                          | Common Stock | NGS                  | 12(g)             | 0000930420 | 000-26058  |
| KHDH         | KHD Humboldt Wedag International Ltd. Common Stock | Common Stock | NGS                  | 12(g)             | 0000016859 | 001-04192  |
| KBALB        | Kimball International, Inc. Class B Common Stock   | Common Stock | NGS                  | 12(g)             | 0000055772 | 000-03279  |
| KNITA        | Kintera Inc. Common Stock                          | Common Stock | NGM                  | 12(g)             | 0001117119 | 000-50507  |
| KIRK         | Kirkland's, Inc. COMMONSTOCK                       | Common Stock | NGM                  | 12(g)             | 0001056285 | 000-49885  |
| KLAC         | KLA-Tencor Corporation Common Stock                | Common Stock | NGS                  | 12(g)             | 0000319201 | 000-09992  |
| KMGB         | KMG Chemicals, Inc. Common Stock                   | Common Stock | NGM                  | 12(g)             | 0001028215 | 000-29278  |
| KNBT         | KNBT Bancorp, Inc. Common Stock                    | Common Stock | NGM                  | 12(g)             | 0001236964 | 000-50426  |
| NITE         | Knight Capital Group, Inc. Class A Common Stock    | Common Stock | NGS                  | 12(g)             | 0001060749 | 001-14223  |

| Issue Symbol | Issue Name                                       | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| VLOCF        | Knightsbridge Tankers, Limited Common Stock      | Common Stock               | NGS                  | 12(g)             | 0001029145 | 000-29106  |
| KNOL         | Knology, Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001096788 | 000-32647  |
| KNOT         | Knot, Inc. (The) Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001062292 | 000-28271  |
| KOMG         | Komag, Incorporated Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0000813347 | 000-16852  |
| KONA         | Kona Grill, Inc. Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001265572 | 000-51491  |
| KONG         | KongZhong Corporation American Depositary Shares | American Depositary Shares | NGM                  | 12(g)             | 0001285137 | 000-50826  |
| KOPN         | Kopin Corporation Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0000771266 | 000-19882  |
| KOSP         | Kos Pharmaceuticals, Inc. Common Stock           | Common Stock               | NGS                  | 12(g)             | 0001018952 | 000-22171  |
| KOSN         | Kosan Biosciences Incorporated Common Stock      | Common Stock               | NGM                  | 12(g)             | 0001110206 | 000-31633  |
| KOSS         | Koss Corporation Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0000056701 | 000-03295  |
| KRSL         | Kreiser Manufacturing Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000056806 | 000-04036  |
| KRON         | Kronos Incorporated Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0000886903 | 000-20109  |
| KSWS         | K-Swiss Inc. Class A Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000862480 | 000-18490  |
| KTII         | K-Tron International, Inc. Common Stock          | Common Stock               | NGM                  | 12(g)             | 0000000020 | 000-09576  |
| KLIC         | Kulicke and Soffa Industries, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000056978 | 000-00121  |
| KVHI         | KVH Industries, Inc. Common Stock                | Common Stock               | NGM                  | 12(g)             | 0001007587 | 000-28082  |
| KYPH         | Kyphon Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0001123313 | 000-49804  |
| FSTR         | L.B. Foster Company Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0000352825 | 000-10436  |
| LJPC         | La Jolla Pharmaceutical Company Common Stock     | Common Stock               | NGM                  | 12(g)             | 0000920465 | 000-24274  |
| DDSS         | Labopharm Inc Ordinary Shares                    | Ordinary Shares            | NGM                  | 12(g)             | 0001284519 | 000-51933  |
| BOOT         | LaCrosse Footwear, Inc. Common Stock             | Common Stock               | NGM                  | 12(g)             | 0000919443 | 000-23800  |
| LDSH         | Ladish Co., Inc. Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0000814250 | 000-23539  |
| LSBK         | Lake Shore Bancorp, Inc. Common Stock            | Common Stock               | NGM                  | 12(g)             | 0001341318 | 000-51821  |
| LBAI         | Lakeland Bancorp, Inc. Common Stock              | Common Stock               | NGS                  | 12(g)             | 0000846901 | 000-17820  |
| LKFN         | Lakeland Financial Corporation Common Stock      | Common Stock               | NGS                  | 12(g)             | 0000721994 | 000-11487  |
| LAKE         | Lakeland Industries, Inc. Common Stock           | Common Stock               | NGM                  | 12(g)             | 0000798081 | 000-15535  |
| LACO         | Lakes Entertainment, Inc. Common Stock           | Common Stock               | NGM                  | 12(g)             | 0001071255 | 000-24993  |
| LRCX         | Lam Research Corporation Common Stock            | Common Stock               | NGS                  | 12(g)             | 0000707549 | 000-12933  |
| LAMR         | Lamar Advertising Company Class A Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001090425 | 000-30242  |
| LANC         | Lancaster Colony Corporation Common Stock        | Common Stock               | NGS                  | 12(g)             | 0000057515 | 000-04065  |
| LNCE         | Lance, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000057528 | 000-00398  |
| LNDC         | Landec Corporation Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0001005286 | 000-27446  |
| LARK         | Landmark Bancorp Inc. Common Stock               | Common Stock               | NGM                  | 12(g)             | 0001141688 | 000-23164  |

| Issue Symbol | Issue Name  | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|-----------------|----------------------|-------------------|------------|------------|
| LSTR         | Landstar System, Inc. Common Stock                    | Common Stock    | NGS                  | 12(g)             | 0000853816 | 000-21238  |
| GAIT         | Langer, Inc. Common Stock                             | Common Stock    | NGM                  | 12(g)             | 0000725460 | 000-12991  |
| LNOP         | LanOptics Ltd. Ordinary Shares                        | Ordinary Shares | NCM                  | 12(g)             | 0000892534 | 000-20860  |
| LTRX         | Lantronix, Inc. Common Stock                          | Common Stock    | NCM                  | 12(g)             | 0001114925 | 001-16027  |
| LCRD         | LaserCard Corporation Common Stock                    | Common Stock    | NGM                  | 12(g)             | 000030140  | 000-06377  |
| LSCC         | Lattice Semiconductor Corporation Common Stock        | Common Stock    | NGM                  | 12(g)             | 0000855658 | 000-18032  |
| LAUR         | Laureate Education, Inc. Common Stock                 | Common Stock    | NGS                  | 12(g)             | 0000912766 | 000-22844  |
| LARL         | Laurel Capital Group, Inc. Common Stock               | Common Stock    | NCM                  | 12(g)             | 0000892158 | 000-23010  |
| LAWS         | Lawson Products, Inc. Common Stock                    | Common Stock    | NGS                  | 12(g)             | 0000703604 | 000-10546  |
| LWSN         | Lawson Software, Inc. Common Stock                    | Common Stock    | NGS                  | 12(g)             | 0001141517 | 000-33335  |
| LAYN         | Layne Christensen Company Common Stock                | Common Stock    | NGS                  | 12(g)             | 0000888504 | 000-20578  |
| LCAV         | LCA-Vision Inc. Common Stock                          | Common Stock    | NGS                  | 12(g)             | 0001003130 | 000-27610  |
| LCCI         | LCC International, Inc. Class A Common Stock          | Common Stock    | NGM                  | 12(g)             | 0001016229 | 000-21213  |
| LBIX         | Leading Brands Inc Common Shares                      | Common Stock    | NCM                  | 12(g)             | 0000884247 | 000-19884  |
| LDIS         | Leadis Technology, Inc. Common Stock                  | Common Stock    | NGM                  | 12(g)             | 0001130626 | 000-50770  |
| LEAP         | Leap Wireless International, Inc. Common Stock        | Common Stock    | NGS                  | 12(g)             | 0001065049 | 000-29752  |
| LTRE         | Learning Tree International, Inc. Common Stock        | Common Stock    | NGM                  | 12(g)             | 0001002037 | 000-27248  |
| XPRT         | LECG Corporation Common Stock                         | Common Stock    | NGS                  | 12(g)             | 0001192305 | 000-50464  |
| LCRY         | LeCroy Corporation Common Stock                       | Common Stock    | NGM                  | 12(g)             | 0000943580 | 000-26634  |
| FLPB         | Leesport Financial Corp. Common Stock                 | Common Stock    | NGS                  | 12(g)             | 0000775662 | 000-14555  |
| LEGC         | Legacy Bancorp, Inc. Common Stock                     | Common Stock    | NGM                  | 12(g)             | 0001332199 | 000-51525  |
| LSCO         | LESCO, Inc. Common Stock                              | Common Stock    | NGM                  | 12(g)             | 0000745394 | 000-13147  |
| LVLTT        | Level 3 Communications, Inc. Common Stock             | Common Stock    | NGS                  | 12(g)             | 0000794323 | 000-15658  |
| LEXG         | Lexicon Genetics Incorporated Common Stock            | Common Stock    | NGM                  | 12(g)             | 0001062822 | 000-30111  |
| LHCG         | LHC Group Common Stock                                | Common Stock    | NGM                  | 12(g)             | 0001303313 | 000-51343  |
| LBCP         | Liberty Bancorp, Inc. Common Stock                    | Common Stock    | NCM                  | 12(g)             | 0001353268 | 000-51992  |
| LBTYA        | Liberty Global, Inc. Class A Common Stock             | Common Stock    | NGS                  | 12(g)             | 0001316631 | 000-51360  |
| LBTYB        | Liberty Global, Inc. Class B Common Stock             | Common Stock    | NGS                  | 12(g)             | 0001316631 | 000-51360  |
| LBTYK        | Liberty Global, Inc. Series C Common Stock            | Common Stock    | NGS                  | 12(g)             | 0001316631 | 000-51360  |
| LCAPA        | Liberty Media Corporation Capital Common Series A     | Common Stock    | NGS                  | 12(g)             | 0001355096 | 000-51990  |
| LCAPB        | Liberty Media Corporation Capital Common Series B     | Common Stock    | NGS                  | 12(g)             | 0001355096 | 000-51990  |
| LINTA        | Liberty Media Corporation Interactive Common Series A | Common Stock    | NGS                  | 12(g)             | 0001355096 | 000-51990  |
| LINTB        | Liberty Media Corporation Interactive Common Series B | Common Stock    | NGS                  | 12(g)             | 0001355096 | 000-51990  |
| LPHI         | Life Partners Holdings Inc Common Stock               | Common Stock    | NCM                  | 12(g)             | 0000049534 | 000-07900  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| LIFC         | LifeCell Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000849448 | 000-19890  |
| LCBM         | Lifecore Biomedical, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000028626 | 000-04136  |
| LPNT         | LifePoint Hospitals, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001301611 | 000-29818  |
| LCUT         | Lifetime Brands, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000874396 | 000-19254  |
| LWAY         | Lifeway Foods, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000814586 | 000-17363  |
| LGND         | Ligand Pharmaceuticals Incorporated Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0000886163 | 000-20720  |
| LTBG         | Lightbridge, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001017172 | 000-21319  |
| LPTH         | LightPath Technologies, Inc. Class A Common Stock                              | Common Stock               | NCM                  | 12(g)             | 0000889971 | 000-27548  |
| LIHY         | Lihir Gold Limited Sponsored ADR   | American Depositary Shares | NGS                  | 12(g)             | 0001000300 | 000-26860  |
| LNCR         | Lincare Holdings Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000882235 | 000-19946  |
| LNCB         | Lincoln Bancorp Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001070259 | 000-25219  |
| LINC         | Lincoln Educational Services Corporation Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001286613 | 000-51371  |
| LECO         | Lincoln Electric Holdings, Inc. Common Shares                                  | Common Stock               | NGS                  | 12(g)             | 0000595927 | 000-1402   |
| LLTC         | Linear Technology Corporation Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0000791907 | 000-14864  |
| LTON         | Linktone Ltd. American Depositary Shares                                       | American Depositary Shares | NGM                  | 12(g)             | 0001270532 | 000-50596  |
| LINE         | Linn Energy, LLC Common Units Representing Limited Liability Company Interests | Units/Benef Int            | NGM                  | 12(g)             | 0001326428 | 000-51719  |
| LIOX         | Lionbridge Technologies, Inc. Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0001058299 | 000-26933  |
| LIPD         | Lipid Sciences Incorporated Common Stock No Par Value                          | Common Stock               | NGM                  | 12(g)             | 0000071478 | 000-00497  |
| LPMA         | Lipman Electronic Engineering Ltd. Ordinary Shares                             | Ordinary Shares            | NGS                  | 12(g)             | 0001270484 | 000-50544  |
| LQDT         | Liquidity Services, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001235468 | 000-51813  |
| LFUS         | Littelfuse, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000889331 | 000-20388  |
| LPSN         | LivePerson, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001102993 | 000-30141  |
| JADE         | LJ International, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001046692 | 000-29620  |
| LKQX         | LKQ Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001065696 | 000-50404  |
| ERICY        | LM Ericsson Telephone Company American Depositary Shares                       | American Depositary Shares | NGS                  | 12(g)             | 0000717826 | 000-12033  |
| LMIA         | LMI Aerospace, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001059562 | 000-24293  |
| LMLP         | LMU Payment Systems, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000781891 | 000-13959  |
| LNBB         | LNB Bancorp, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000737210 | 000-13203  |
| LNBT         | LodgeNet Entertainment Corporation Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000911002 | 000-22334  |
| LOGC         | Logic Devices Incorporated Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000802851 | 000-17187  |
| LGVN         | LogicVision, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001041418 | 000-31773  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| LGTY         | Logility, Inc. Common Stock                                      | Common Stock               | NGM                  | 12(g)             | 0001043915 | 000-23057  |
| LOGI         | Logitech International S.A. American Depositary Shares           | American Depositary Shares | NGS                  | 12(g)             | 0001032975 | 000-29174  |
| LOJN         | LoJack Corporation Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0000355777 | 001-8439   |
| STAR         | Lone Star Steakhouse & Saloon, Inc. Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0000883670 | 000-19907  |
| LOOK         | LookSmart, Ltd. Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0001077866 | 000-26357  |
| LOOP         | LoopNet, Inc. Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0001353209 | 000-52026  |
| LORL         | Loral Space and Communications, Inc. Common Stock                | Common Stock               | NGM                  | 12(g)             | 0001006269 | 001-14180  |
| LTEC         | LOUD Technologies Inc. Common Stock                              | Common Stock               | NCM                  | 12(g)             | 0000946815 | 000-26524  |
| LOUD         | Loudeye Corporation Common Stock                                 | Common Stock               | NCM                  | 12(g)             | 0001064648 | 000-29583  |
| LXBK         | LSB Bancshares, Inc. Common Stock                                | Common Stock               | NGS                  | 12(g)             | 0000714530 | 000-11448  |
| LSBX         | LSB Corporation Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0001143848 | 000-32955  |
| LSBI         | LSB Financial Corp. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000930405 | 000-25070  |
| LYTS         | LSI Industries Inc. Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0000763532 | 000-13375  |
| LTXX         | LTX Corporation Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0000357020 | 000-10761  |
| LUFK         | Lufkin Industries, Inc. Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000060849 | 000-02612  |
| LMRA         | Lumera Corporation Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001137399 | 000-50862  |
| LMNX         | Luminex Corporation Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0001033905 | 000-30109  |
| LUNA         | Luna Innovations Incorporated Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001239818 | 000-52008  |
| MBTF         | M B T Financial Corp Common Stock                                | Common Stock               | NGS                  | 12(g)             | 0001118237 | 000-30973  |
| MCBC         | Macatawa Bank Corporation Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0001053584 | 000-25927  |
| MACC         | MACC Private Equities Inc. Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000923808 | 000-24412  |
| MACE         | Mace Security International, Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0000912607 | 000-22810  |
| MFNC         | Mackinac Financial Corporation Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0000036506 | 000-20167  |
| MXICY        | Macronix International Co. Ltd American Depositary Shares        | American Depositary Shares | NGS                  | 12(g)             | 0001009680 | 000-27884  |
| MVSN         | Macrovision Corporation Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0001027443 | 000-22023  |
| MGEE         | Madison Gas and Electric Company Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0000061339 | 000-01125  |
| MAFB         | MAF Bancorp. Inc. Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0000854662 | 000-18121  |
| MAGS         | Magal Security Systems Ltd. Ordinary Shares                      | Ordinary Shares            | NGM                  | 12(g)             | 0000896494 | 000-21388  |
| MGLN         | Magellan Health Services, Inc. Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0000019411 | 000-50540  |
| MGIC         | Magic Software Enterprises Ltd. Ordinary Shares                  | Ordinary Shares            | NGM                  | 12(g)             | 0000876779 | 000-19415  |
| LAVA         | Magma Design Automation, Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001065034 | 000-33213  |
| MECA         | Magna Entertainment Corporation Class A Subordinate Voting Stock | Common Stock               | NGM                  | 12(g)             | 0001093273 | 000-30578  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| MGYR         | Magyar Bancorp, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001337068 | 000-51726  |
| MSFG         | MainSource Financial Group Inc Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000720002 | 000-12422  |
| MAIR         | MAIR Holdings, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000835768 | 000-17895  |
| COOL         | Majesco Entertainment Company Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001076682 | 000-51128  |
| MMUS         | MakeMusic, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000920707 | 000-26192  |
| MKTAY        | Makita Corp. American Depositary Shares  | American Depositary Shares | NGS                  | 12(g)             | 0000202467 | 000-12602  |
| MAMA         | Mamma.com Inc Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000839435 | 000-17164  |
| TMNG         | Management Network Group, Inc. (The) Common Stock                                      | Common Stock               | NGM                  | 12(g)             | 0001094814 | 000-27617  |
| MANA         | Manatron, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000798736 | 000-15264  |
| MANH         | Manhattan Associates, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001056696 | 000-23999  |
| MTEX         | Mannatech, Incorporated Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001056358 | 000-24657  |
| MNKD         | MannKind Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000899460 | 000-50865  |
| MANT         | ManTech International Corporation Common Stock \$0.01 Par Value                        | Common Stock               | NGS                  | 12(g)             | 0000892537 | 000-49604  |
| MAPS         | MapInfo Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000916238 | 000-23078  |
| MCHX         | Marchex, Inc. Class B Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001224133 | 000-50658  |
| MCHXP        | Marchex, Inc. Convertible Exchangeable Preferred Stock                                 | Preferred Stock            | NGM                  | 12(g)             | 0001224133 | 000-50658  |
| MRGO         | Margo Caribe Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000808493 | 000-15336  |
| MARPS        | Marine Petroleum Trust Units of Beneficial Interest                                    | Units/Benif Int            | NCM                  | 12(g)             | 0000062362 | 000-08565  |
| MKTX         | MarketAxess Holdings, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001278021 | 000-50670  |
| MRLN         | Marlin Business Services Corp. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001260968 | 000-50448  |
| MARSA        | Marsh Supermarkets, Inc. Class A Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000062737 | 000-01532  |
| MARSB        | Marsh Supermarkets, Inc. Class B Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000062737 | 000-01532  |
| MSHL         | Marshall Edwards, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001262104 | 000-50484  |
| MSHLW        | Marshall Edwards, Inc. Warrants 12/18/2006   | Warrant                    | NGM                  | 12(g)             | 0001262104 | 000-50484  |
| MATK         | Martek Biosciences Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000892025 | 000-22354  |
| MRTN         | Marten Transport, Ltd. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000799167 | 000-15010  |
| MLP          | Martin Midstream Partners L.P. Limited Partnership                                     | Limited Partnership        | NGS                  | 12(g)             | 0001176334 | 000-50056  |
| MRVL         | Marvell Technology Group, Ltd. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001058057 | 000-30877  |
| MSDXP        | Mason-Dixon Bancshares, Inc. Mason-Dixon Capital Trust - \$2.5175 Preferred Securities | Other Securities           | NGM                  | 12(g)             | 0000092230 | 000-27652  |
| MASB         | MASSBANK Corp. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000799166 | 000-15137  |
| MATH         | MathStar, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001118037 | 000-51560  |



| Issue Symbol | Issue Name   | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|------------------|----------------------|-------------------|------------|------------|
| MATR         | Matria Healthcare, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001007228 | 000-20619  |
| MTXC         | Matrix Bancorp. Inc Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000944725 | 000-21231  |
| MTXCP        | Matrix Bancorp. Inc Matrix Bancorp Capital Trust I - 10.0% Trust Preferred Securities    | Other Securities | NGM                  | 12(g)             | 0000944725 | 000-21231  |
| MTRX         | Matrix Service Company Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000866273 | 000-18716  |
| MTXX         | Matrixx Initiatives, Inc.  | Common Stock     | NGS                  | 12(g)             | 0001006195 | 000-27646  |
| MATW         | Mathews International Corporation Class A Common Stock                                   | Common Stock     | NGS                  | 12(g)             | 0000063296 | 000-09115  |
| MTSN         | Mattson Technology, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000928421 | 000-24838  |
| MAXE         | Max & Erma's Restaurants, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000706471 | 000-11514  |
| MXRE         | Max Re Capital Ltd. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001141719 | 000-33047  |
| MAXC         | Maxco, Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000078966 | 000-02762  |
| MXIM         | Maxim Integrated Products, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000743316 | 000-16538  |
| MRTI         | Maxus Realty Trust Inc Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000748580 | 000-13754  |
| MXWL         | Maxwell Technologies, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000319815 | 000-10964  |
| MAXY         | Maxygen, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001068796 | 000-28401  |
| MBFI         | MB Financial Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001139812 | 000-24566  |
| MBFIP        | MB Financial Inc. MB Financial Capital Trust I - % Cumulative Trust Preferred Securities | Other Securities | NGS                  | 12(g)             | 0001139812 | 000-24566  |
| MSSR         | McCormick & Schmick's Seafood Restaurants, Inc. Common Stock                             | Common Stock     | NGM                  | 12(g)             | 0001288741 | 000-50845  |
| MCDTA        | McDATA Corporation Class A Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000731502 | 000-31257  |
| MCDT         | McDATA Corporation Class B Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000731502 | 000-31257  |
| MCGC         | MCG Capital Corporation Common Stock \$0.01 Par Value                                    | Common Stock     | NGS                  | 12(g)             | 0001141299 | 000-33377  |
| MGRC         | McGrath RentCorp Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000752714 | 000-13292  |
| MDCA         | MDC Partners Inc. CL A Subordinate Voting Shares   | Common Stock     | NGS                  | 12(g)             | 0000876883 | 000-19382  |
| MDII         | MDI, Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000318259 | 000-09463  |
| MEAD         | Meade Instruments Corp. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001032067 | 000-22183  |
| MVCO         | Meadow Valley Corporation Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000934749 | 000-25428  |
| MEAS         | Measurement Specialties, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000778734 | 000-16085  |
| MKTY         | Mechanical Technology Incorporated Common Stock (\$0.01 Par Value)                       | Common Stock     | NGM                  | 12(g)             | 0000064463 | 000-06890  |
| TAXI         | Medallion Financial Corp. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001000209 | 000-27812  |
| MEDX         | Medarex, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000874255 | 000-19312  |
| MDTH         | MedCath Corporation Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001139463 | 000-33009  |

| Issue Symbol | Issue Name  | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|------------------|----------------------|-------------------|------------|------------|
| MBAY         | MediaBay, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001040973 | 001-13469  |
| MCCC         | Mediacom Communications Corporation Class A Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001098659 | 000-29227  |
| MDLK         | Medialink Worldwide Incorporated Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000812890 | 000-21989  |
| MDCI         | Medical Action Industries Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000748270 | 000-13251  |
| MDCO         | Medicines Company (The) Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001113481 | 000-31191  |
| MEDI         | MedImmune, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000873591 | 000-19131  |
| MDTL         | Medis Technologies Ltd. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001090507 | 000-30391  |
| MEDW         | MEDIWARE Information Systems, Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000874733 | 001-10768  |
| MTOX         | Medtox Scientific, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000739944 | 000-12971  |
| MDWV         | Medwave, Inc. Common Stock  | Common Stock     | NCM                  | 12(g)             | 0000876043 | 000-28010  |
| MEMY         | Memory Pharmaceuticals Corp. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001062216 | 000-50642  |
| MENT         | Mentor Graphics Corporation Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000701811 | 000-13442  |
| MTSL         | MER Telemanagement Solutions Ltd. Common Shares   | Ordinary Shares  | NCM                  | 12(g)             | 0001025561 | 000-28950  |
| MBWM         | Mercantile Bank Corporation Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001042729 | 000-26719  |
| MRBK         | Mercantile Bankshares Corporation Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000064908 | 000-05127  |
| MIGP         | Mercer Insurance Group, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001050690 | 000-25425  |
| MERC         | Mercer International Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001333274 | 000-09409  |
| MBVT         | Merchants Bancshares, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000726517 | 000-11595  |
| MRCY         | Mercury Computer Systems Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001049521 | 000-23599  |
| MRGE         | Merge Technologies Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000944765 | 000-29486  |
| VIVO         | Meridian Bioscience Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000794172 | 000-14902  |
| MMSI         | Merit Medical Systems, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000856982 | 000-18592  |
| MERX         | Merix Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000921365 | 000-23818  |
| PGEB         | Merrill Lynch & Co., Inc. 97% Protected Notes Linked to Global Equity Basket                                | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| PDNT         | Merrill Lynch & Co., Inc. 97% Protected Notes linked to the Performance of the Dow Jones Industrial Average | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| ARQQ         | Merrill Lynch & Co., Inc. Accelerated Return Note Linked to the NASDAQ 100 Index                            | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| DWMT         | Merrill Lynch & Co., Inc. Dow Jones Industrial Average MITS Securities due 12/27/2010                       | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MTDW         | Merrill Lynch & Co., Inc. Dow Jones Industrial Average Market Index Target-Term Securities (MITTS)          | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |

| Issue Symbol | Issue Name  | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|------------------|----------------------|-------------------|------------|------------|
| MTDB         | Merrill Lynch & Co., Inc. Dow Jones Industrial Average Market Index Target-Term Securities (MITTS) due January 16, 2009 | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| LNDU         | Merrill Lynch & Co., Inc. LIRN linked to the Dow Jones Industrial Average   | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| LERA         | Merrill Lynch & Co., Inc. LIRN Linked to the Nikkei 225 Index   | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MTSM         | Merrill Lynch & Co., Inc. Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities                         | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MTNK         | Merrill Lynch & Co., Inc. Nikkei 225 Market Index Target-Term Securities (MITTS) 9/30/2010                              | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MNNY         | Merrill Lynch & Co., Inc. Nikkei 225 Market Index Target-Term Securities (MITTS) due 3/8/2011                           | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MTTX         | Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities   | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MTTT         | Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities   | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MITT         | Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS)   | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MLMT         | Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS)   | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MTSP         | Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS)   | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| SPPX         | Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS)   | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MSPX         | Merrill Lynch & Co., Inc. S&P 500 Market Index Target-Term Securities (MITTS) due 8/5/2010                              | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| SRDD         | Merrill Lynch & Co., Inc. SRNs Linked to the Select Ten Index due May 2009  | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| DOWT         | Merrill Lynch & Co., Inc. Strategic Return Notes Linked to Select Ten due Feb 2009                                      | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| SRRR         | Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Industrial 15 Index                                      | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| SRIB         | Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Industrial 15 Index                                      | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| SRIX         | Merrill Lynch & Co., Inc. Strategic Return Notes linked to the Industrial 15 Index 10/31/2008                           | Other Securities | NGM                  | 12(g)             | 0000065100 | 001-07182  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| DWID         | Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Industrial 15 Index due 8/5/2008       | Other Securities           | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| DWTT         | Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Select 10 Index due September 30, 2008 | Other Securities           | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| DOTN         | Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Select Ten Index due February 2008     | Other Securities           | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| DWTN         | Merrill Lynch & Co., Inc. Strategic Return Notes Linked to the Select Ten Index due June 2008         | Other Securities           | NGM                  | 12(g)             | 0000065100 | 001-07182  |
| MERB         | Merrill Merchants Bancshares, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000913072 | 000-24715  |
| MESA         | Mesa Air Group, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000810332 | 000-15495  |
| MLAB         | Mesa Laboratories, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000724004 | 000-11740  |
| CASH         | Meta Financial Group, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000907471 | 000-22140  |
| MBRX         | Metabasis Therapeutics, Inc. common stock   | Common Stock               | NGM                  | 12(g)             | 0001053221 | 000-50785  |
| MTLM         | Metal Management, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000795665 | 000-14836  |
| MTSX         | Metal Storm Limited American Depositary Shares  | American Depositary Shares | NCM                  | 12(g)             | 0001119775 | 000-31212  |
| MTLK         | Metalink, Ltd. Ordinary Shares  | Ordinary Shares            | NGM                  | 12(g)             | 0001098462 | 000-30394  |
| MSLV         | MetaSolv Inc Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000916704 | 000-28129  |
| MEOH         | Methanex Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000886977 | 000-20115  |
| METH         | Method Electronics, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000065270 | 000-02816  |
| INFOD        | Metro One Telecommunications, Inc. New Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000920990 | 000-27024  |
| MCBI         | MetroCorp Bancshares, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001068300 | 000-25141  |
| MTLG         | Metrologic Instruments, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000815910 | 000-24712  |
| CASA         | Mexican Restaurants, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001009244 | 000-28234  |
| MFBC         | MFB Corp. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000916396 | 000-23374  |
| MFRI         | MFRI, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000914122 | 000-18370  |
| MOGN         | MGI PHARMA, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000702131 | 000-10736  |
| MGPI         | MGP Ingredients, Inc.   | Common Stock               | NGS                  | 12(g)             | 0000835011 | 000-17196  |
| MCRL         | Micrel, Incorporated Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000932111 | 000-25236  |
| MLIN         | Micro Linear Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000875359 | 000-24758  |
| MCHP         | Microchip Technology Incorporated Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000827054 | 000-21184  |
| MITI         | Micromet, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001131907 | 000-50440  |
| NOIZ         | Micronetics, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000820097 | 000-17966  |
| MCRS         | MICROS Systems, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000320345 | 000-09993  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| MSCC         | Microsemi Corporation Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0000310568 | 000-08866  |
| MSFT         | Microsoft Corporation Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0000789019 | 000-14278  |
| MSTR         | MicroStrategy Incorporated Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0001050446 | 000-24435  |
| MSTRW        | MicroStrategy Incorporated Warrants to Purchase Class A Common Stock | Warrant                    | NGS                  | 12(g)             | 0001050446 | 000-24435  |
| MTMD         | Microtek Medical Holdings Inc Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000929299 | 000-24866  |
| TUNE         | Microtune, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001108058 | 000-31029  |
| MVIS         | Microvision, Inc. Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0000065770 | 000-21221  |
| MVISW        | Microvision, Inc. Warrants Expiration 5/26/11                        | Warrant                    | NGM                  | 12(g)             | 0000065770 | 000-21221  |
| MFCO         | Microwave Filter Company, Inc. Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000716688 | 000-10976  |
| MEND         | Micrus Endovascular Corporation Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001028318 | 000-51323  |
| MBRG         | Middleburg Financial Corporation                                     | Common Stock               | NCM                  | 12(g)             | 0000914138 | 000-24159  |
| MIDD         | Middleby Corporation (The) Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0000769520 | 001-9973   |
| MSEX         | Middlesex Water Company Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0000066004 | 000-00422  |
| MLAN         | Midland Company (The) Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0000066025 | 001-06026  |
| MDST         | Mid-State Bancshares Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0001027324 | 000-23925  |
| MBHI         | Midwest Banc Holdings, Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0001051379 | 000-29598  |
| OSKY         | MidWestOne Financial Group Inc Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0000741390 | 000-24630  |
| MIKR         | Mikron Infrared, Inc. Common Stock                                   | Common Stock               | NCM                  | 12(g)             | 0000787809 | 000-15486  |
| MLEA         | Millea Holdings Inc. ADR American Depositary Shares                  | American Depositary Shares | NGS                  | 12(g)             | 0001169486 | 000-12011  |
| MBVA         | Millennium Bankshares Corporation Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0001158678 | 000-49611  |
| MCEL         | Millennium Cell Inc. Common Stock                                    | Common Stock               | NCM                  | 12(g)             | 0001114872 | 000-31083  |
| MLNM         | Millennium Pharmaceuticals, Inc. Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0001002637 | 000-28494  |
| MICC         | Millicom International Cellular S.A. Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0000912958 | 000-22828  |
| MNDO         | MIND C.T.I. Ltd. Ordinary Shares                                     | Ordinary Shares            | NGM                  | 12(g)             | 0001119083 | 000-31215  |
| MSPD         | Mindspeed Technologies, Inc. Common Stock, par value \$0.01          | Common Stock               | NGM                  | 12(g)             | 0001224370 | 000-50499  |
| MIPS         | MIPS Technologies, Inc. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0001059786 | 000-24487  |
| MRAE         | Mirae Corporation American Depositary Shares                         | American Depositary Shares | NGM                  | 12(g)             | 0001099196 | 000-30376  |
| MSON         | MISONIX, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000880432 | 001-10986  |
| MIND         | Mitcham Industries, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000926423 | 000-25142  |
| MTSY         | Mitsui & Company, Ltd. American Depositary Shares                    | American Depositary Shares | NGS                  | 12(g)             | 0000067099 | 000-9929   |
| MITY         | MITY Enterprises Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0000921030 | 000-23898  |

| Issue Symbol | Issue Name  | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|------------------|----------------------|-------------------|------------|------------|
| MIVA         | MIVA, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001094808 | 000-30428  |
| MKSI         | MKS Instruments, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001049502 | 000-23621  |
| MINI         | Mobile Mini, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000911109 | 001-12804  |
| MOBE         | Mobility Electronics, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001075656 | 000-30907  |
| MOBI         | Mobius Management Systems, Inc. Common Stock                                      | Common Stock     | NGM                  | 12(g)             | 0001025148 | 000-24077  |
| MOCO         | MOCON, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000067279 | 000-09273  |
| MPAC         | MOD-PAC CORP. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001191857 | 000-50063  |
| MODT         | Modtech Holdings Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001075066 | 000-25161  |
| MFLO         | Moldflow Corporation Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001103234 | 000-30027  |
| MDCC         | Molecular Devices Corporation Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001003113 | 000-27316  |
| MOLXA        | Molex Incorporated Class A Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000067472 | 000-07491  |
| MOLX         | Molex Incorporated Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000067472 | 000-07491  |
| MNTA         | Momenta Pharmaceuticals, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001235010 | 000-50797  |
| MCRI         | Monarch Casino & Resort, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000907242 | 000-22088  |
| MCBF         | Monarch Community Bancorp, Inc. Common Stock par value .01                        | Common Stock     | NCM                  | 12(g)             | 0001169769 | 000-49814  |
| MONM         | Monmouth Capital Corporation Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000067618 | 000-24282  |
| MNRTA        | Monmouth Real Estate Investment Corporation Class A Common Stock                  | Common Stock     | NGS                  | 12(g)             | 0000067625 | 000-04258  |
| MGRM         | Monogram Biosciences, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001094961 | 000-30369  |
| MPWR         | Monolithic Power Systems, Inc. Common Stock                                       | Common Stock     | NGM                  | 12(g)             | 0001280452 | 000-51026  |
| MNRO         | Monro Muffler Brake, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000876427 | 000-19357  |
| MROE         | Monroe Bancorp Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000745456 | 000-31951  |
| MNST         | Monster Worldwide, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001020416 | 000-21571  |
| PSTA         | Monterey Gourmet Foods, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000913032 | 000-22534  |
| MSNQ         | Morgan Stanley Capital Protected Notes Based on the Value of the Nasdaq 100 Index | Other Securities | NGM                  | 12(g)             | 0000895421 | 001-11758  |
| NBXH         | Morgan Stanley Morgan Stanley 9% Targeted Income Strategic Return Securities      | Other Securities | NGM                  | 12(g)             | 0000895421 | 001-11758  |
| MNDX         | Morgan Stanley MPS with minimum return protection                                 | Other Securities | NGM                  | 12(g)             | 0000895421 | 001-11758  |
| NXPL         | Morgan Stanley Performance Leveraged Upside Securities Linked to Nasdaq 100 Index | Other Securities | NGM                  | 12(g)             | 0000895421 | 001-11758  |
| NDPL         | Morgan Stanley Performance Leveraged Upside Securities Linked to Nasdaq 100 Index | Other Securities | NGM                  | 12(g)             | 0000895421 | 001-11758  |

| Issue Symbol | Issue Name  | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|------------------|----------------------|-------------------|------------|------------|
| NPLU         | Morgan Stanley Performance Leveraged Upside Securities Linked to Nasdaq 100 Index due August 20, 2007 | Other Securities | NGM                  | 12(g)             | 0000895421 | 001-11758  |
| ESTX         | Morgan Stanley PLUS Based on the Value of the Dow Jones EURO STOXX 50 Index                           | Other Securities | NGM                  | 12(g)             | 0000895421 | 001-11758  |
| MHGC         | Morgans Hotel Group Co. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001342126 | 000-51802  |
| MORN         | Morningstar, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001289419 | 000-51280  |
| MOCC         | Moscow CableCom Corp. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000006383 | 000-01460  |
| MOSS         | Mossimo Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0001005181 | 001-14208  |
| MOSY         | MoSys, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000890394 | 000-32929  |
| MWRK         | Mothers Work, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000896985 | 000-21196  |
| MOVE         | Move Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001085770 | 000-26659  |
| MOVI         | Movie Gallery, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000925178 | 000-24548  |
| MPWG         | MPW Industrial Services Group, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001047098 | 000-23335  |
| MROI         | MRO Software, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000920354 | 000-23852  |
| MRVC         | MRV Communications, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000887969 | 000-25678  |
| MSGI         | MSGI Security Solutions, Inc. Common Stock  | Common Stock     | NCM                  | 12(g)             | 0000014280 | 000-16730  |
| FLSH         | M-Systems Flash Disk Pioneers Ltd. Ordinary Shares  | Ordinary Shares  | NGS                  | 12(g)             | 0000895361 | 000-28694  |
| MTCT         | MTC Technologies, Inc. COMMONSTOCK  | Common Stock     | NGS                  | 12(g)             | 0001172243 | 000-49890  |
| MTIC         | MTI Technology Corporation Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000901696 | 000-23418  |
| MTMC         | MTM Technologies, Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000906282 | 000-22122  |
| MNTG         | MTR Gaming Group, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000834162 | 000-20508  |
| MTSC         | MTS Systems Corporation Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000068709 | 000-02382  |
| MBND         | Multiband Corporation Common Stock  | Common Stock     | NCM                  | 12(g)             | 0000732412 | 000-13529  |
| LABL         | Multi-Color Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000819220 | 000-16148  |
| MFLX         | Multi-Fineline Electronix, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000830916 | 000-50812  |
| MGAM         | Multimedia Games, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000896400 | 000-28318  |
| MFSF         | MutualFirst Financial Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001094810 | 000-27905  |
| MWAV         | M-WAVE, Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000883842 | 000-19944  |
| MWIV         | MWI Veterinary Supply, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001323974 | 000-51468  |
| MYOG         | Myogen, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001101052 | 000-50438  |
| MYGN         | Myriad Genetics, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000899923 | 000-26642  |
| NABI         | Nabi Biopharmaceuticals Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000072444 | 000-04829  |
| NGEN         | Nanogen, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001030339 | 000-23541  |
| NANO         | Nanometrics Incorporated Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000704532 | 000-13470  |



| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| NANX         | Nanophase Technologies Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000883107 | 000-22333  |
| NSSC         | Napco Security Systems, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000069633 | 000-10004  |
| NAPS         | Napster, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001122787 | 000-32393  |
| NARA         | Nara Bancorp, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001128361 | 000-50245  |
| NASB         | NASB Financial Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001059131 | 000-24033  |
| NDAQ         | Nasdaq Stock Market, Inc. (The) Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001120193 | 000-32651  |
| NAFC         | Nash-Finch Company Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000069671 | 000-00785  |
| NSHA         | Nashua Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000069680 | 000-21271  |
| NPSN         | Naspers Limited N Shs Sponsored American Depositary Receipt Representing Class N Shares (South Africa)                       | American Depositary Shares | NGM                  | 12(g)             | 0001106051 | 000-50117  |
| NSTK         | Nastech Pharmaceutical Company, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000737207 | 000-13789  |
| NATH         | Nathan's Famous, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000069733 | 000-03189  |
| NAHC         | National Atlantic Holdings Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000946492 | 000-51127  |
| NKSH         | National Bankshares, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000796534 | 000-15204  |
| ALLEP        | National City Corporation - Allegiant Bancorp, Inc. Allegiant Capital Trust II - 9.00% Cumulative Trust Preferred Securities | Other Securities           | NGM                  | 12(g)             | 0000069970 | 000-07229  |
| NCOC         | National Coal Corp. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001089575 | 000-26509  |
| NADX         | National Dentex Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000913616 | 000-23092  |
| NHHC         | National Home Health Care Corp. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000728389 | 000-12927  |
| EGOV         | National Information Consortium, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001065332 | 000-26621  |
| NATI         | National Instruments Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000935494 | 000-25426  |
| NATL         | National Interstate Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001301106 | 000-51130  |
| NMHC         | National Medical Health Card Systems, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000813562 | 000-26749  |
| MBLA         | National Mercantile Bancorp Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000714801 | 000-15982  |
| NPBC         | National Penn Bancshares, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000700733 | 000-10957  |
| NPBCO        | National Penn Bancshares, Inc. Cumulative Trust Preferred Securities   | Other Securities           | NGS                  | 12(g)             | 0000700733 | 000-10957  |
| NRCI         | National Research Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000070487 | 000-29466  |
| NSEC         | National Security Group, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000865058 | 000-18649  |
| NTSC         | National Technical Systems, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000110536 | 000-16438  |
| NHRX         | NationsHealth, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001233426 | 000-50348  |
| NHRXU        | NationsHealth, Inc. Units Expiring 8/24/2007   | Unit                       | NGM                  | 12(g)             | 0001233426 | 000-50348  |
| NHRXW        | NationsHealth, Inc. Warrants Expiring 8/24/2007  | Warrant                    | NGM                  | 12(g)             | 0001233426 | 000-50348  |
| NTOL         | Natrol, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001025573 | 000-24567  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| NAII         | Natural Alternatives International, Inc. Common Stock        | Common Stock               | NGM                  | 12(g)             | 0000787253 | 000-15701  |
| BHIP         | Natural Health Trends Corporation Common Stock               | Common Stock               | NGM                  | 12(g)             | 0000912061 | 000-26272  |
| NRVN         | Nature Vision, Inc. Common Stock                             | Common Stock               | NCM                  | 12(g)             | 0000078311 | 000-07475  |
| BABY         | Natus Medical Incorporated Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0000878526 | 000-33001  |
| NVSL         | Naugatuck Valley Financial Corporation Common Stock          | Common Stock               | NGM                  | 12(g)             | 0001293413 | 000-50876  |
| NAVR         | Navarre Corporation Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0000911650 | 000-22982  |
| FLYR         | Navigant International, Inc. Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0001055455 | 000-24387  |
| NAVJ         | Navigators Group, Inc. (The) Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0000793547 | 000-15886  |
| BULK         | Navios Maritime Holdings Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001333172 | 000-51047  |
| BULKU        | Navios Maritime Holdings Inc. Units 12/9/2008                | Unit                       | NGM                  | 12(g)             | 0001333172 | 000-51047  |
| BULKW        | Navios Maritime Holdings Inc. Warrants 12/9/2008             | Warrant                    | NGM                  | 12(g)             | 0001333172 | 000-51047  |
| NAVI         | NaviSite, Inc. Common Stock                                  | Common Stock               | NCM                  | 12(g)             | 0001084750 | 000-27597  |
| NBTF         | NB&T FINANCIAL GROUP INC Common Stock                        | Common Stock               | NCM                  | 12(g)             | 0000908837 | 000-23134  |
| NBTB         | NBT Bancorp Inc. Common Stock                                | Common Stock               | NGS                  | 12(g)             | 0000790359 | 000-14703  |
| NCIT         | NCI, Inc. Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0001334478 | 000-51579  |
| NCOG         | NCO Group, Inc. Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0001022608 | 000-21639  |
| NNDS         | NDS Group plc. American Depositary Shares                    | American Depositary Shares | NGS                  | 12(g)             | 0001098074 | 000-30364  |
| NIPNY        | NEC Corporation American Depositary Shares                   | American Depositary Shares | NGS                  | 12(g)             | 0000072127 | 000-12713  |
| NKTR         | Nektar Therapeutics Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000906709 | 000-23556  |
| NEOG         | Neogen Corporation Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0000711377 | 000-17988  |
| NMGC         | NeoMagic Corporation Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001030485 | 000-22009  |
| NEOL         | NeoPharm, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0000942788 | 001-12493  |
| NTEC         | Neose Technologies, Inc. Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0000877902 | 000-27718  |
| NWRE         | Neoware, Inc. Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0000894743 | 000-21240  |
| NSTC         | Ness Technologies, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0001089638 | 000-50954  |
| NEST         | Nestor, Inc. Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0000720851 | 000-12965  |
| UEPS         | Net 1 UEPS Technologies, Inc. Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0001041514 | 000-31203  |
| NETC         | NET Servicios de Comunicacao S.A. American Depositary Shares | American Depositary Shares | NGM                  | 12(g)             | 0001024446 | 000-28860  |
| NTBK         | Net.B@nk, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001035826 | 000-22361  |
| NTES         | Netease.com, Inc. American Depositary Shares                 | American Depositary Shares | NGS                  | 12(g)             | 0001110646 | 000-30666  |
| NFLX         | Netflix, Inc. Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0001065280 | 000-49802  |

| Issue Symbol | Issue Name  | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|------------------|----------------------|-------------------|------------|------------|
| NTGR         | NETGEAR, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001122904 | 000-50350  |
| NGRU         | netGuru inc Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001015920 | 000-28560  |
| NIETL        | NetLogic Microsystems, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001135711 | 000-50838  |
| NETM         | NetManage, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000909793 | 000-22158  |
| NTRT         | NetRatings, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001095480 | 000-27907  |
| NTCT         | NetScout Systems, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001078075 | 000-26251  |
| NTST         | Netsmart Technologies, Inc. Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001011028 | 000-21177  |
| NTWK         | NetSol Technologies Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0001039280 | 000-22773  |
| NTAP         | Network Appliance, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001002047 | 000-27130  |
| NENG         | Network Engines, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001110903 | 000-30863  |
| NTIL         | Neurobiological Technologies, Inc. Common Stock                                     | Common Stock     | NCM                  | 12(g)             | 0000918112 | 000-23280  |
| NRMX         | Neurochem Inc Common Shares   | Ordinary Shares  | NGM                  | 12(g)             | 0001259942 | 000-50393  |
| NBIX         | Neurocrine Biosciences, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000914475 | 000-22705  |
| NRGN         | Neurogen Corporation Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000849043 | 000-18311  |
| NURO         | NeuroMetrix, Inc. Common Stock, \$0.0001 par value per share                        | Common Stock     | NGM                  | 12(g)             | 0001289850 | 000-50856  |
| NCEM         | Nevada Chemicals, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000356342 | 000-10634  |
| NBSC         | New Brunswick Scientific Co., Inc. Common Stock                                     | Common Stock     | NGM                  | 12(g)             | 0000071241 | 000-06994  |
| NCBC         | New Century Bancorp, Inc. (NC) Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001263762 | 000-50400  |
| NEBS         | New England Bancshares, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001338248 | 000-51589  |
| NOOF         | New Frontier Media, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000847383 | 000-23697  |
| NHTB         | New Hampshire Thrift Bancshares, Inc. Common Stock                                  | Common Stock     | NGM                  | 12(g)             | 0000846931 | 000-17859  |
| NRPH         | New River Pharmaceuticals Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001288379 | 000-50851  |
| HAVNP        | New York Community Bancorp, Inc. Haven Capital Trust II - 10.25% Capital Securities | Other Securities | NGM                  | 12(g)             | 0000910073 | 001-31565  |
| NMIL         | NewMill Bancorp, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000807524 | 000-16455  |
| NFSB         | Newport Bancorp, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001355855 | 000-51856  |
| NEWP         | Newport Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000225263 | 000-01649  |
| NKBS         | Newtek Business Services Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001094019 | 000-50524  |
| NXTY         | Nexity Financial Corporation Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001084727 | 000-51273  |
| NEXM         | NexMed, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001017491 | 000-22245  |
| NXST         | Nexstar Broadcasting Group, Inc. Class A Common Stock                               | Common Stock     | NGM                  | 12(g)             | 0001142417 | 000-50478  |
| NEXT         | Nextest Systems Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001167896 | 000-51851  |
| NGAS         | NGAS Resources, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000746834 | 000-12185  |
| NGPC         | NGP Capital Resources Company Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001297704 | 000-50905  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| NICE         | NICE-Systems Limited American Depositary Shares   | American Depositary Shares | NGS                  | 12(g)             | 0001003935 | 000-27466  |
| NICK         | Nicholas Financial, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001000045 | 000-26680  |
| NHWK         | Nighthawk Radiology Holdings, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001292470 | 000-51786  |
| NIHD         | NIH Holdings, Inc Class B Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001037016 | 000-32421  |
| NINE         | Ninetowns Digital World Trade Holdings Limited American Depositary Shares                       | American Depositary Shares | NGM                  | 12(g)             | 0001285735 | 000-51025  |
| NICH         | Nitches, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000772263 | 000-13851  |
| NTMD         | NitroMed, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000927829 | 000-50439  |
| NMSS         | NMS Communications Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000915866 | 000-23282  |
| NMTI         | NMT Medical Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001017259 | 000-21001  |
| NNBR         | NN, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000918541 | 000-23486  |
| NLCI         | Nobel Learning Communities, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000721237 | 001-10031  |
| NOBH         | Nobility Homes, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000072205 | 000-06506  |
| NOBL         | Noble International, Ltd. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001034258 | 001-13581  |
| NDSN         | Nordson Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000072331 | 000-07977  |
| NSYS         | Nortech Systems Incorporated Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000722313 | 000-13257  |
| NASI         | North American Scientific, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000949876 | 000-26670  |
| NBAN         | North Bay Bancorp Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001102595 | 000-31080  |
| FFFD         | North Central Bancshares, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001005188 | 000-27672  |
| NPSI         | North Pittsburgh Systems, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000764765 | 000-13716  |
| NPTE         | North Pointe Holdings Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001171218 | 000-51530  |
| NOVB         | North Valley Bancorp Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000353191 | 000-10652  |
| NECB         | Northeast Community Bancorp, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000353191 | 000-51852  |
| NREB         | Northern Empire Bancshares Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000746253 | 000-51318  |
| NSFC         | Northern States Financial Corporation Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000744485 | 000-19300  |
| NTRS         | Northern Trust Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000073124 | 000-05965  |
| NFLD         | Northfield Laboratories Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000920947 | 000-24050  |
| NRIM         | Northrim Bancorp Inc Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001163370 | 000-33501  |
| NSTR         | Northstar Neuroscience, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001351509 | 000-51951  |
| NWFI         | Northway Financial Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001041753 | 000-23129  |
| NWSB         | Northwest Bancorp, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001042064 | 000-23817  |
| NWSBP        | Northwest Bancorp, Inc. Northwest Capital Trust I - 8.75% Cumulative Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0001042064 | 000-23817  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| NWPX         | Northwest Pipe Company Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0001001385 | 000-27140  |
| NWEC         | NorthWestern Corporation Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000073088 | 001-10499  |
| NWECW        | NorthWestern Corporation Warrants Expiring 11/1/2007 | Warrant                    | NGS                  | 12(g)             | 0000073088 | 001-10499  |
| NWFL         | Norwood Financial Corp. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001013272 | 000-28364  |
| NVMI         | Nova Measuring Instruments Ltd. Ordinary Shares      | Ordinary Shares            | NGM                  | 12(g)             | 0001109345 | 000-30668  |
| NOVC         | Novacea, Inc. Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001178711 | 000-51967  |
| NOVA         | NovaMed Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0001086939 | 000-26625  |
| TONS         | Novamerican Steel, Inc. Common Stock                 | Common Stock               | NGM                  | 12(g)             | 0001046687 | 000-29506  |
| NGPS         | NovAtel Inc. Common Shares                           | Common Stock               | NGS                  | 12(g)             | 0001027539 | 000-29004  |
| NVTL         | Novatel Wireless, Inc. New Common Stock              | Common Stock               | NGM                  | 12(g)             | 0001022652 | 000-31659  |
| NVAX         | Novavax, Inc. Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001000694 | 000-26770  |
| NOVL         | Novell, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0000758004 | 000-13351  |
| NVLS         | Novellus Systems, Inc. Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000836106 | 000-17157  |
| NOVN         | Noven Pharmaceuticals, Inc. Common Stock             | Common Stock               | NGS                  | 12(g)             | 0000815838 | 000-17254  |
| NVGN         | Novogen Limited American Depositary Shares           | American Depositary Shares | NGM                  | 12(g)             | 0001075880 | 000-29962  |
| NPSP         | NPS Pharmaceuticals, Inc. Common Stock               | Common Stock               | NGM                  | 12(g)             | 0000890465 | 000-23272  |
| NTLS         | NTELOS Holdings Corp. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001328571 | 000-51798  |
| NTLI         | NTL Incorporated Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0001270400 | 000-50886  |
| NTLIW        | NTL Incorporated Series A Warrants                   | Warrant                    | NGS                  | 12(g)             | 0001270400 | 000-50886  |
| NUHC         | Nu Horizons Electronics Corp. Common Stock           | Common Stock               | NGM                  | 12(g)             | 0000718074 | 001-08798  |
| NUAN         | Nuance Communications, Inc. Common Stock             | Common Stock               | NGS                  | 12(g)             | 0001002517 | 000-27038  |
| NUCO         | NuCo2 Inc. Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0000947577 | 000-27378  |
| NCST         | NUCRYST Pharmaceuticals Corp. Common Shares          | Common Stock               | NGM                  | 12(g)             | 0001344674 | 000-51686  |
| NMRX         | Numerex Corp. Class A Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0000870753 | 000-22920  |
| NUTR         | Nutraceutical International Corporation Common Stock | Common Stock               | NGS                  | 12(g)             | 0001050007 | 000-23731  |
| NTRI         | NutriSystem Inc Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0001096376 | 000-28551  |
| NXXI         | Nutrition 21 Inc. Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000744962 | 000-14983  |
| NUVA         | NuVasive, Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001142596 | 000-50744  |
| NUVO         | Nuvelo, Inc. New Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0000907654 | 000-22873  |
| NVEC         | NVE Corporation Common Stock                         | Common Stock               | NCM                  | 12(g)             | 0000724910 | 000-12196  |
| NVDA         | NVIDIA Corporation Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0001045810 | 000-23985  |
| NWIR         | NWH, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0000915016 | 000-23598  |
| NXTM         | NxStage Medical Inc. Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001333170 | 000-51567  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| N'YER        | Nyer Medical Group, Inc. Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000884647 | 000-20175  |
| N'MX         | Nymox Pharmaceutical Corporation Common Stock                  | Common Stock               | NCM                  | 12(g)             | 0001018735 | 001-12033  |
| OICO         | O. I. Corporation Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000073773 | 000-06511  |
| OIIM         | O2Micro International Limited American Depositary Shares       | American Depositary Shares | NGS                  | 12(g)             | 0001095348 | 000-30910  |
| OAKF         | Oak Hill Financial, Inc. Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000949953 | 000-26876  |
| RHEO         | OccuLogix, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0001299139 | 000-51030  |
| OCENY        | Oce NV American Depositary Shares                              | American Depositary Shares | NGM                  | 12(g)             | 0000753058 | 000-13742  |
| OBCI         | Ocean Bio-Chem, Inc. Common Stock                              | Common Stock               | NCM                  | 12(g)             | 0000350737 | 000-11102  |
| OSHC         | Ocean Shore Holding Co. Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001298716 | 000-51000  |
| OCFC         | OceanFirst Financial Corp. Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0001004702 | 000-27428  |
| CHUX         | O'Charley's Inc. Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0000864233 | 000-18629  |
| ODMO         | Odimo Incorporated Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001292026 | 000-51161  |
| ODSY         | Odyssey Healthcare, Inc. Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0001129623 | 000-33267  |
| OCAS         | Ohio Casualty Corporation Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000073952 | 000-05544  |
| OLCB         | Ohio Legacy Corporation Common Stock                           | Common Stock               | NCM                  | 12(g)             | 0001096654 | 000-31673  |
| OVBC         | Ohio Valley Banc Corp. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000894671 | 000-20914  |
| OLGR         | Oilgear Company (The) Common Stock                             | Common Stock               | NCM                  | 12(g)             | 0000074058 | 000-00822  |
| ODFL         | Old Dominion Freight Line, Inc. Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0000878927 | 000-19582  |
| OLBK         | Old Line Bancshares, Inc. (MD) Common Stock                    | Common Stock               | NCM                  | 12(g)             | 0001253317 | 000-50345  |
| OPOF         | Old Point Financial Corporation Common Stock                   | Common Stock               | NCM                  | 12(g)             | 0000740971 | 000-12896  |
| OSBC         | Old Second Bancorp, Inc. Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000357173 | 000-10537  |
| OSBCP        | Old Second Bancorp, Inc. Cumulative Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0000357173 | 000-10537  |
| ZEUS         | Olympic Steel, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0000917470 | 000-23320  |
| OMEF         | Omega Financial Corporation Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000705671 | 000-13599  |
| OFLX         | Omega Flex, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001317945 | 000-51372  |
| ONAV         | Omega Navigation Enterprises, Inc. Class A Common Stock        | Common Stock               | NGM                  | 12(g)             | 0001324915 | 000-51894  |
| OMNI         | OMNI Energy Services Corp. Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0001046212 | 000-23383  |
| OMCL         | Omniceil, Inc. Common Stock (\$0.001 par value)                | Common Stock               | NGM                  | 12(g)             | 0000926326 | 000-33043  |
| OMTR         | Omniture, Inc. Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0001357525 | 000-52076  |
| OVTI         | OmniVision Technologies, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0001106851 | 000-29939  |
| OMRI         | Omrix Biopharmaceuticals, Inc. Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001349426 | 000-51905  |
| OMTL         | Omtol, Ltd. Common Stock                                       | Common Stock               | NCM                  | 12(g)             | 0001020579 | 000-22871  |
| ASGN         | On Assignment, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0000890564 | 000-20540  |

| Issue Symbol | Issue Name  | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|-----------------|----------------------|-------------------|------------|------------|
| ONIN         | ON Semiconductor Corporation Common Stock         | Common Stock    | NGS                  | 12(g)             | 0001097864 | 000-30419  |
| OTIV         | On Track Innovations Ltd Ordinary Shares          | Ordinary Shares | NGM                  | 12(g)             | 0001021604 | 000-49877  |
| ONCY         | Oncolytics Biotech, Inc. Common Shares            | Common Stock    | NCM                  | 12(g)             | 0001129928 | 000-31062  |
| ONFC         | Oneida Financial Corp. Common Stock               | Common Stock    | NCM                  | 12(g)             | 0001070190 | 000-25101  |
| ORCC         | Online Resources Corporation Common Stock         | Common Stock    | NGS                  | 12(g)             | 0000888953 | 000-26123  |
| ONSM         | Onstream Media Corporation Common Stock           | Common Stock    | NCM                  | 12(g)             | 0000919130 | 000-22849  |
| ONVI         | Onvia, Inc. Common Stock                          | Common Stock    | NGM                  | 12(g)             | 0001100917 | 000-29609  |
| ONXX         | ONYX Pharmaceuticals, Inc. Common Stock           | Common Stock    | NGM                  | 12(g)             | 0001012140 | 000-28298  |
| ONXS         | ONYX Software Corporation Common Stock            | Common Stock    | NGM                  | 12(g)             | 0001014383 | 000-25361  |
| OPEN         | Open Solutions, Inc. Common Stock                 | Common Stock    | NGS                  | 12(g)             | 0000873538 | 000-02333  |
| OTEX         | Open Text Corporation Common Shares               | Common Stock    | NGS                  | 12(g)             | 0001002638 | 000-27544  |
| OPTV         | OpenTV Corp. Class A Ordinary Shares              | Ordinary Shares | NGM                  | 12(g)             | 0001096958 | 001-15473  |
| OPWV         | Openwave Systems Inc Common Stock                 | Common Stock    | NGS                  | 12(g)             | 0001082506 | 000-25687  |
| ORCI         | Opinion Research Corporation Common Stock         | Common Stock    | NGM                  | 12(g)             | 0000911673 | 000-22554  |
| OPLK         | Oplink Communications, Inc. Common Stock          | Common Stock    | NGM                  | 12(g)             | 0001022225 | 000-31581  |
| OPNT         | OPNET Technologies Inc. Common Stock              | Common Stock    | NGS                  | 12(g)             | 0001108924 | 000-30931  |
| OPSW         | Opware, Inc. Common Stock                         | Common Stock    | NGM                  | 12(g)             | 0001100813 | 000-32377  |
| OPTC         | Optelecom-NKF, Inc. Common Stock                  | Common Stock    | NCM                  | 12(g)             | 0000275858 | 000-08828  |
| OBAS         | Optibase Ltd. Ordinary Shares                     | Ordinary Shares | NGM                  | 12(g)             | 0001077618 | 000-29992  |
| OCCF         | Optical Cable Corporation Common Stock            | Common Stock    | NGM                  | 12(g)             | 0001000230 | 000-27022  |
| OCPI         | Optical Communication Products, Inc. Common Stock | Common Stock    | NGM                  | 12(g)             | 0001122668 | 000-31861  |
| OPMR         | Optimal Group, Inc. Class A Common Stock          | Common Stock    | NGM                  | 12(g)             | 0001015923 | 000-28572  |
| OPHC         | OptimumBank Holdings, Inc. Common Stock           | Common Stock    | NCM                  | 12(g)             | 0001288855 | 000-50755  |
| OPTN         | OPTION CARE, Inc. Common Stock                    | Common Stock    | NGS                  | 12(g)             | 0000884064 | 000-19878  |
| ORCL         | Oracle Corporation Common Stock                   | Common Stock    | NGS                  | 12(g)             | 0001341439 | 000-14376  |
| OLAB         | Oralabs Holding Corporation Common Stock          | Common Stock    | NCM                  | 12(g)             | 0001044577 | 000-23039  |
| ORNG         | Orange 21 Inc. Common Stock                       | Common Stock    | NGM                  | 12(g)             | 0000932372 | 000-51071  |
| OSUR         | OraSure Technologies, Inc. Common Stock           | Common Stock    | NGM                  | 12(g)             | 0001116463 | 000-15337  |
| ORBT         | Orbit International Corporation Common Stock      | Common Stock    | NCM                  | 12(g)             | 0000074818 | 000-03936  |
| ORBK         | Orbotech Ltd. Ordinary Shares                     | Ordinary Shares | NGS                  | 12(g)             | 0000749037 | 000-12790  |
| ORCH         | Orchid Cellmark Inc. Common Stock                 | Common Stock    | NGM                  | 12(g)             | 0001107216 | 000-30267  |
| ORCT         | Orckit Communications Ltd.                        | Ordinary Shares | NGM                  | 12(g)             | 0001021620 | 000-28724  |
| ORLY         | O'Reilly Automotive, Inc. Common Stock            | Common Stock    | NGS                  | 12(g)             | 0000898173 | 000-21318  |
| ORGN         | Origen Financial, Inc. Common Stock               | Common Stock    | NGM                  | 12(g)             | 0001268039 | 000-50721  |



| Issue Symbol | Issue Name   | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-----------------|----------------------|-------------------|------------|------------|
| SEED         | Origin Agritech Limited Common Stock               | Common Stock    | NGM                  | 12(g)             | 0001321851 | 000-51576  |
| OFIX         | Orthofix International N.V. Common Stock           | Common Stock    | NGS                  | 12(g)             | 0000884624 | 000-19961  |
| OLGC         | Orthologic Corp. Common Stock                      | Common Stock    | NGM                  | 12(g)             | 0000887151 | 000-21214  |
| VITA         | Orthovita, Inc. Common Stock                       | Common Stock    | NGM                  | 12(g)             | 0000913756 | 000-24517  |
| OSCI         | Oscient Pharmaceuticals Corporation Common Stock   | Common Stock    | NGM                  | 12(g)             | 0000356830 | 000-10824  |
| OSIP         | OSI Pharmaceuticals Inc. Common Stock              | Common Stock    | NGS                  | 12(g)             | 0000729922 | 000-15190  |
| OSIS         | OSI Systems, Inc. Common Stock                     | Common Stock    | NGM                  | 12(g)             | 0001039065 | 000-23125  |
| OSTE         | Osteotech, Inc. Common Stock                       | Common Stock    | NGM                  | 12(g)             | 0000874734 | 000-19278  |
| OTTR         | Otter Tail Corporation Common Stock                | Common Stock    | NGS                  | 12(g)             | 0000075129 | 000-00368  |
| OUTD         | Outdoor Channel Holdings, Inc. Common Stock        | Common Stock    | NGM                  | 12(g)             | 0000760326 | 000-17287  |
| OVRL         | Overland Storage Inc.                              | Common Stock    | NGS                  | 12(g)             | 0000889930 | 000-22071  |
| OSTK         | Overstock.com, Inc. Common Stock                   | Common Stock    | NGM                  | 12(g)             | 0001130713 | 000-49799  |
| OXGN         | OXIGENE, Inc. Common Stock                         | Common Stock    | NGM                  | 12(g)             | 0000908259 | 000-21990  |
| OYOG         | OYO Geospace Corporation Common Stock              | Common Stock    | NGM                  | 12(g)             | 0001001115 | 001-13601  |
| PFIN         | P & F Industries, Inc. Class A Common Stock        | Common Stock    | NGM                  | 12(g)             | 0000075340 | 000-15573  |
| PTSI         | P.A.M. Transportation Services, Inc. Common Stock  | Common Stock    | NGM                  | 12(g)             | 0000798287 | 000-15057  |
| PFCB         | P.F.Chang's China Bistro, Inc. Common Stock        | Common Stock    | NGS                  | 12(g)             | 0001039889 | 000-25123  |
| PABK         | PAB Bankshares, Inc. Common Stock                  | Common Stock    | NGS                  | 12(g)             | 0000705200 | 000-25422  |
| PCAR         | PACCAR Inc. Common Stock                           | Common Stock    | NGS                  | 12(g)             | 0000075362 | 001-14817  |
| PACR         | Pacer International, Inc. Common stock             | Common Stock    | NGS                  | 12(g)             | 0001091735 | 000-49828  |
| PCBC         | Pacific Capital Bancorp Common Stock               | Common Stock    | NGS                  | 12(g)             | 0000357264 | 000-11113  |
| PCBK         | Pacific Continental Corporation (Ore) Common Stock | Common Stock    | NGM                  | 12(g)             | 0001084717 | 000-30106  |
| PEIX         | Pacific Ethanol, Inc. Common Stock                 | Common Stock    | NGM                  | 12(g)             | 0000778164 | 000-21467  |
| PCNTF        | Pacific Internet Limited Ordinary Shares           | Ordinary Shares | NGM                  | 12(g)             | 0001074245 | 000-29938  |
| PMBC         | Pacific Mercantile Bancorp Common Stock            | Common Stock    | NGS                  | 12(g)             | 0001109546 | 000-30777  |
| PPBI         | Pacific Premier Bancorp Inc                        | Common Stock    | NGM                  | 12(g)             | 0001028918 | 000-22193  |
| PSBC         | Pacific State Bancorp (Stockton, CA) Common Stock  | Common Stock    | NGM                  | 12(g)             | 0001169424 | 000-49892  |
| PSUN         | Pacific Sunwear of California, Inc. Common Stock   | Common Stock    | NGS                  | 12(g)             | 0000874841 | 000-21296  |
| PACT         | PacificNet Inc. Common Stock                       | Common Stock    | NGM                  | 12(g)             | 0000815017 | 000-24985  |
| PKTR         | Packeteer, Inc. Common Stock                       | Common Stock    | NGS                  | 12(g)             | 0001011344 | 000-26785  |
| PACW         | Pac-West Telecomm, Inc. Common Stock               | Common Stock    | NCM                  | 12(g)             | 0001071598 | 000-27743  |
| PTIE         | Pain Therapeutics Common Stock                     | Common Stock    | NGM                  | 12(g)             | 0001069530 | 000-29959  |
| PHHM         | Palm Harbor Homes, Inc. Common Stock               | Common Stock    | NGM                  | 12(g)             | 0000923473 | 000-24268  |
| PALM         | Palm, Inc. Common Stock                            | Common Stock    | NGS                  | 12(g)             | 0001100389 | 000-29597  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| PMTI         | Palomar Medical Technologies, Inc. Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000881695 | 000-22340  |
| PBCI         | Pamrapo Bancorp, Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0000854071 | 000-18014  |
| PAAS         | Pan American Silver Corp. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000771992 | 000-13727  |
| PANC         | Panacos Pharmaceuticals, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001040017 | 000-24241  |
| PNRA         | Panera Bread Company Class A Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0000724606 | 000-19253  |
| PTRY         | Pantry, Inc. (The) Common Stock                                | Common Stock               | NGS                  | 12(g)             | 0000915862 | 000-25813  |
| PZZA         | Papa John's International, Inc. Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0000901491 | 000-21660  |
| PLLL         | Parallel Petroleum Corporation Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0000750561 | 000-13305  |
| PMTC         | Parametric Technology Corporation Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0000857005 | 000-18059  |
| PRXL         | PAREXEL International Corporation Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0000799729 | 000-27058  |
| PFED         | Park Bancorp, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001013554 | 000-20867  |
| PKBK         | Parke Bancorp, Inc. Common Stock                               | Common Stock               | NCM                  | 12(g)             | 0001315399 | 000-51338  |
| PRKR         | ParkerVision, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000914139 | 000-22904  |
| PKOH         | Park-Ohio Holdings Corp. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0000076282 | 000-03134  |
| PVSA         | Parkvale Financial Corporation Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0000820907 | 000-17411  |
| PARL         | Parlux Fragrances, Inc. Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000802356 | 000-15491  |
| PDRT         | Particle Drilling Technologies, Inc. Common Stock              | Common Stock               | NCM                  | 12(g)             | 0000759153 | 000-30819  |
| PTNR         | Partner Communications Company Ltd. American Depositary Shares | American Depositary Shares | NGS                  | 12(g)             | 0001096691 | 001-14968  |
| PRTR         | Partners Trust Financial Group, Inc. Common Stock              | Common Stock               | NGS                  | 12(g)             | 0001163345 | 001-31277  |
| PBHC         | Pathfinder Bancorp, Inc. Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0001046188 | 000-23601  |
| PTMK         | Pathmark Stores, Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0000955585 | 001-05287  |
| PTMKW        | Pathmark Stores, Inc. Warrant                                  | Warrant                    | NGM                  | 12(g)             | 0000955585 | 001-05287  |
| PATK         | Patrick Industries, Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0000076605 | 000-03922  |
| PCAP         | Patriot Capital Funding, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0001321560 | 000-51459  |
| PNBK         | Patriot National Bancorp Inc. Common Stock                     | Common Stock               | NCM                  | 12(g)             | 0001098146 | 000-29599  |
| PATR         | Patriot Transportation Holding, Inc. Common Stock              | Common Stock               | NGM                  | 12(g)             | 0000844059 | 000-17554  |
| PDCO         | Patterson Companies, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000891024 | 000-20572  |
| PTEN         | Patterson-UTI Energy, Inc. Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0000889900 | 000-22664  |
| PFCO         | PAULA Financial Common Stock                                   | Common Stock               | NCM                  | 12(g)             | 0000929031 | 000-23181  |
| PLCC         | Paulson Capital Corp. Common Stock                             | Common Stock               | NCM                  | 12(g)             | 0000704159 | 000-18188  |
| PAYX         | Paychex, Inc. Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0000723531 | 000-11330  |
| POCC         | PC Connection, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001050377 | 000-23827  |
| MALL         | PC Mall, Inc. Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0000937941 | 000-25790  |

| Issue Symbol | Issue Name   | Issue Type   | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|--------------|----------------------|-------------------|------------|------------|
| PCTI         | PC-Tel, Inc. Common Stock                            | Common Stock | NGM                  | 12(g)             | 0001057083 | 000-271115 |
| PDFS         | PDF Solutions, Inc. Common Stock                     | Common Stock | NGM                  | 12(g)             | 0001120914 | 000-313111 |
| PDII         | PDI, Inc. Common Stock                               | Common Stock | NGM                  | 12(g)             | 0001054102 | 000-24249  |
| PDLI         | PDL BioPharma, Inc. Common Stock                     | Common Stock | NGS                  | 12(g)             | 0000882104 | 000-19756  |
| PEAK         | Peak International Limited Common Stock              | Common Stock | NGM                  | 12(g)             | 0001036081 | 000-29332  |
| PIII         | PECO II, Inc. Common Stock                           | Common Stock | NCM                  | 12(g)             | 0000845072 | 000-31283  |
| PSAI         | Pediatric Services of America, Inc. Common Stock     | Common Stock | NGM                  | 12(g)             | 0000893430 | 000-23946  |
| PMFG         | Peerless Manufacturing Company Common Stock          | Common Stock | NGM                  | 12(g)             | 0000076954 | 000-05214  |
| PRLS         | Peerless Systems Corporation Common Stock            | Common Stock | NCM                  | 12(g)             | 0000897893 | 000-21287  |
| PEET         | Peet's Coffee & Tea, Inc. Common Stock               | Common Stock | NGS                  | 12(g)             | 0000917968 | 000-32233  |
| PGWC         | Pegasus Wireless Corp. (NV) Common Stock             | Common Stock | NGM                  | 12(g)             | 0001126752 | 000-32567  |
| PEGA         | Pegasystems Inc. Common Stock                        | Common Stock | NGS                  | 12(g)             | 0001013857 | 001-11859  |
| PAGI         | Pemco Aviation Group, Inc. Common Stock              | Common Stock | NGM                  | 12(g)             | 0000771729 | 000-13829  |
| PMTR         | Pemstar Inc. Common Stock                            | Common Stock | NGM                  | 12(g)             | 0000924829 | 000-31223  |
| PENX         | Penford Corporation Common Stock                     | Common Stock | NGM                  | 12(g)             | 0000739608 | 000-11488  |
| PENN         | Penn National Gaming, Inc. Common Stock              | Common Stock | NGS                  | 12(g)             | 0000921738 | 000-24206  |
| PFSB         | PennFed Financial Services, Inc. Common Stock        | Common Stock | NGM                  | 12(g)             | 0000920945 | 000-24040  |
| PNNW         | Pennichuck Corporation Common Stock                  | Common Stock | NGM                  | 12(g)             | 0000788885 | 000-18552  |
| PWOD         | Penns Woods Bancorp, Inc. Common Stock               | Common Stock | NGM                  | 12(g)             | 0000716605 | 000-17077  |
| COBH         | Pennsylvania Commerce Bancorp, Inc. Common Stock     | Common Stock | NGS                  | 12(g)             | 0001085706 | 000-50961  |
| PNSN         | Penson Worldwide, Inc. Common Stock                  | Common Stock | NGM                  | 12(g)             | 0001123541 | 000-32095  |
| PPCO         | Penwest Pharmaceuticals Co. Common Stock             | Common Stock | NGM                  | 12(g)             | 0001047188 | 000-23467  |
| PFDC         | Peoples Bancorp Common Stock                         | Common Stock | NGM                  | 12(g)             | 0000869004 | 000-18991  |
| PEBO         | Peoples Bancorp Inc. Common Stock                    | Common Stock | NGS                  | 12(g)             | 0000318300 | 000-16772  |
| PEBK         | Peoples Bancorp of North Carolina, Inc. Common Stock | Common Stock | NGM                  | 12(g)             | 0001093672 | 000-27205  |
| PBTC         | Peoples Banc Trust Company, Inc. (The) Common Stock  | Common Stock | NCM                  | 12(g)             | 0000762128 | 000-13653  |
| PCBI         | Peoples Community Bancorp, Inc. Common Stock         | Common Stock | NGM                  | 12(g)             | 0001100983 | 000-29949  |
| PEDH         | Peoples Educational Holdings, Inc. Common Stock      | Common Stock | NCM                  | 12(g)             | 0000729156 | 000-50916  |
| PFBX         | Peoples Financial Corporation Common Stock           | Common Stock | NCM                  | 12(g)             | 0000770460 | 000-30050  |
| PSPT         | PeopleSupport, Inc. Common Stock                     | Common Stock | NGM                  | 12(g)             | 0001289001 | 000-50843  |
| PRCP         | Perceptron, Inc. Common Stock                        | Common Stock | NGM                  | 12(g)             | 0000887226 | 000-20206  |
| PPHM         | Peregrine Pharmaceuticals Inc. Common Stock          | Common Stock | NCM                  | 12(g)             | 0000704562 | 000-17085  |
| PRFT         | Perficient, Inc. Common Stock                        | Common Stock | NGS                  | 12(g)             | 0001085869 | 000-51167  |
| PFGC         | Performance Food Group Company Common Stock          | Common Stock | NGS                  | 12(g)             | 0000908254 | 000-22192  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| PTIX         | Performance Technologies, Incorporated Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001003950 | 000-27460  |
| PSEM         | Pericom Semiconductor Corporation Common Stock        | Common Stock               | NGM                  | 12(g)             | 0001001426 | 000-27026  |
| PRGO         | Perrigo Company Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000820096 | 000-19725  |
| PERY         | Perry Ellis International Inc. Common Stock           | Common Stock               | NGS                  | 12(g)             | 0000900349 | 000-21764  |
| PSTI         | Per-Se Technologies, Inc. Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000878556 | 000-19480  |
| PVSW         | Pervasive Software Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001042821 | 000-23043  |
| PETC         | PETCO Animal Supplies, Inc. Common Stock              | Common Stock               | NGS                  | 12(g)             | 0000888455 | 000-23574  |
| PETS         | PetMed Express, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0001040130 | 000-28827  |
| HAWK         | Petrohawk Energy Corporation Common Stock             | Common Stock               | NGS                  | 12(g)             | 0001059324 | 000-25717  |
| PETD         | Petroleum Development Corporation Common Stock        | Common Stock               | NGS                  | 12(g)             | 0000077877 | 000-07246  |
| PETM         | PETsMART, Inc. Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000863157 | 000-21888  |
| PFSW         | PFSweb, Inc. Common Stock                             | Common Stock               | NCM                  | 12(g)             | 0001095315 | 000-28275  |
| PGTI         | PGT, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001354327 | 000-52059  |
| PPDI         | Pharmaceutical Product Development, Inc. Common Stock | Common Stock               | NGS                  | 12(g)             | 0001003124 | 000-27570  |
| PCOP         | Pharmacopeia Drug Discovery, Inc. Common Stock        | Common Stock               | NGM                  | 12(g)             | 0001273013 | 000-50523  |
| PCYC         | Pharmacyclics, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0000949699 | 000-27066  |
| PXSL         | Pharmaxis Limited ADR                                 | American Depositary Shares | NGM                  | 12(g)             | 0001301357 | 000-51505  |
| PHRM         | Pharmion Corporation Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001203866 | 000-50447  |
| PARS         | Pharmos Corporation Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0000713275 | 000-11550  |
| PFWD         | Phase Forward Incorporated Common Stock               | Common Stock               | NGM                  | 12(g)             | 0001050180 | 000-50839  |
| ANTP         | PHAZAR CORP Common Stock                              | Common Stock               | NCM                  | 12(g)             | 0000724267 | 000-12866  |
| PHII         | PHI, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000350403 | 000-09827  |
| PHIIK        | PHI, Inc. Non-Voting Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0000350403 | 000-09827  |
| PHLY         | Philadelphia Consolidated Holding Corp. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000909109 | 000-22280  |
| PTEC         | Phoenix Technologies Ltd. Common Stock                | Common Stock               | NGM                  | 12(g)             | 0000832767 | 000-17111  |
| PHMD         | PhotoMedex, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0000711665 | 000-11635  |
| PHTN         | Photon Dynamics, Inc. Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0001002663 | 000-27234  |
| PLAB         | Photonics, Inc. Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000810136 | 000-15451  |
| PICO         | PICO Holdings Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0000830122 | 000-18786  |
| PNCL         | Pinnacle Airlines Corp. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001166291 | 001-31898  |
| PNFP         | Pinnacle Financial Partners, Inc. Common Stock        | Common Stock               | NGS                  | 12(g)             | 0001115055 | 000-31225  |
| PONR         | Pioneer Companies, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0000830141 | 000-31230  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| PXPL         | Pixelplus Co., Ltd. American Depositary Shares Representing 0.5 Common Shares                        | American Depositary Shares | NGM                  | 12(g)             | 0001331588 | 000-51643  |
| PXLW         | Pixelworks, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001040161 | 000-30269  |
| PZZI         | Pizza Inn, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000718332 | 000-12919  |
| PLSB         | Placer Sierra Bancshares Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001279410 | 000-50652  |
| PLNR         | Planar Systems, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000722392 | 000-23018  |
| LGBT         | PlanetOut, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001287258 | 000-50879  |
| TUTR         | PLATO Learning Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000893965 | 000-20842  |
| PLXS         | Plexus Corp. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000785786 | 000-14824  |
| PLUG         | Plug Power, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001093691 | 000-27527  |
| PLBC         | Plumas Bancorp (Quincy, CA) Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001168455 | 000-49883  |
| PLXT         | PLX Technology, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000850579 | 000-25699  |
| PMACA        | PMA Capital Corporation Class A Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001041665 | 000-22761  |
| PMCS         | PMC - Sierra, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000767920 | 000-19084  |
| PFSL         | Pocahontas Bancorp, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001051859 | 000-23969  |
| POTP         | Point Therapeutics Inc Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000919745 | 000-19410  |
| PTSX         | Point360 Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001014733 | 000-21917  |
| PNTR         | Pointer Telocation Ltd. Ordinary Shares (Israel)   | Ordinary Shares            | NCM                  | 12(g)             | 0000920532 | 001-13138  |
| PTEK         | PokerTek, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001302177 | 000-51572  |
| PLCM         | Polycom, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001010552 | 000-27978  |
| PLMD         | PolyMedica Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000878748 | 000-19842  |
| PMRY         | Pomeroy IT Solutions, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000883979 | 000-20022  |
| PARD         | Poniard Pharmaceuticals, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000755806 | 000-16614  |
| POOL         | Pool Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000945841 | 000-26640  |
| POPEZ        | Pope Resources Depositary Receipts of Limited Partnership Units                                      | Depositary Receipt         | NGM                  | 12(g)             | 0000784011 | 001-09035  |
| BPOP         | Popular, Inc. 6.70% Cumulative Monthly Income Trust Preferred Securities                             | Other Securities           | NGS                  | 12(g)             | 0000763901 | 000-13818  |
| BPOP         | Popular, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000763901 | 000-13818  |
| BPOPO        | Popular, Inc. Noncumulative Monthly Income Preferred Stock 2003 Series A                             | Preferred Stock            | NGS                  | 12(g)             | 0000763901 | 000-13818  |
| BPOPM        | Popular, Inc. Popular Capital Trust II - 6.125% Cumulative Monthly Income Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0000763901 | 000-13818  |
| PLAY         | PortalPlayer, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001297633 | 000-51004  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| PRPX         | Portec Rail Products, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001263074 | 000-50543  |
| PRAA         | Portfolio Recovery Associates, Inc. Common Stock                                | Common Stock               | NGS                  | 12(g)             | 0001185348 | 000-50058  |
| POSS         | Possis Medical, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000079677 | 000-944    |
| POWL         | Powell Industries, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000804020 | 001-12488  |
| POWI         | Power Integrations, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000833640 | 000-23441  |
| PDSN         | PowerDsine Ltd. Ordinary Shares   | Ordinary Shares            | NGM                  | 12(g)             | 0001275816 | 000-50787  |
| PWER         | Power-One, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001042825 | 000-29454  |
| PWAV         | Powerwave Technologies, Inc. Common Stock                                       | Common Stock               | NGS                  | 12(g)             | 0001023362 | 000-21507  |
| POZN         | Pozen, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001059790 | 000-31719  |
| PRAI         | PRA International Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001293243 | 000-51029  |
| PRCS         | PRAECIS PHARMACEUTICALS INCORPORATED Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001033025 | 000-30289  |
| PRAN         | Prana Biotechnology Ltd American Depositary Shares                              | American Depositary Shares | NCM                  | 12(g)             | 0001131343 | 000-49843  |
| PLPC         | Preformed Line Products Company Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0000800035 | 000-31164  |
| PREM         | Premier Community Bankshares Inc Common Stock                                   | Common Stock               | NCM                  | 12(g)             | 0000854399 | 000-18868  |
| PRXI         | Premier Exhibitions, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000796764 | 000-24452  |
| PFBF         | Premier Financial Bancorp, Inc. Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0000887919 | 000-20908  |
| PFBIP        | Premier Financial Bancorp, Inc. PFBI Capital Trust - 9.75% Preferred Securities | Other Securities           | NGM                  | 12(g)             | 0000887919 | 000-20908  |
| PRWT         | PremierWest Bancorp Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001102287 | 000-50332  |
| PORK         | Premium Standard Farms, Inc. Common Stock                                       | Common Stock               | NGS                  | 12(g)             | 0001143967 | 000-51347  |
| PLFE         | Presidential Life Corporation Common Stock                                      | Common Stock               | NGS                  | 12(g)             | 000080124  | 000-05486  |
| PRST         | Presstek, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000846876 | 000-17541  |
| PBIO         | Pressure BioSciences, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000830656 | 000-21615  |
| PRGX         | PRG-Schultz International Inc. Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0001007330 | 000-28000  |
| PCLN         | priceline.com Incorporated Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001075531 | 000-25581  |
| PSMT         | PriceSmart, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001041803 | 000-22793  |
| PNRG         | PrimeEnergy Corporation Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000056868 | 000-07406  |
| PNBC         | Princeton National Bancorp, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0000707855 | 000-20050  |
| REVV         | Princeton Review, Inc. (The) Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0001113668 | 000-32469  |
| PTNX         | Printronic, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000311505 | 000-09321  |
| PRVT         | Private Media Group, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001068084 | 000-25067  |
| PVTB         | PrivateBancorp, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000889936 | 000-25887  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| PROS         | ProCentury Corporation Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001273397 | 000-50641  |
| PDEX         | Pro-Dex, Inc. Common Stock                                   | Common Stock               | NCM                  | 12(g)             | 0000788920 | 000-14942  |
| PFACP        | Pro-Fac Cooperative, Inc. Class A Cumulative Preferred Stock | Preferred Stock            | NCM                  | 12(g)             | 0000202932 | 000-20539  |
| PGLAF        | Progen Industries Limited Ordinary Shares                    | Ordinary Shares            | NCM                  | 12(g)             | 0000943502 | 000-29228  |
| PGNX         | Progenics Pharmaceuticals Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0000835887 | 000-23143  |
| PROG         | Programmer's Paradise, Inc. Common Stock                     | Common Stock               | NCM                  | 12(g)             | 0000945983 | 000-26408  |
| PRGS         | Progress Software Corporation Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0000876167 | 000-19417  |
| PGIC         | Progressive Gaming International Corporation Common Stock    | Common Stock               | NGM                  | 12(g)             | 0000912241 | 000-22752  |
| PSEC         | Prospect Energy Corporation Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001287032 | 000-50691  |
| PRSP         | Prosperity Bancshares, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0001068851 | 000-25051  |
| PTIL         | Protherics plc American Depositary Shares                    | American Depositary Shares | NGM                  | 12(g)             | 0000945725 | 000-51463  |
| PBKS         | Provident Bankshares Corporation Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000818969 | 000-16421  |
| PCBS         | Provident Community Bancshares, Inc. Common Stock            | Common Stock               | NGM                  | 12(g)             | 0000926164 | 001-5735   |
| PROV         | Provident Financial Holdings, Inc. Common Stock              | Common Stock               | NGS                  | 12(g)             | 0001010470 | 000-28304  |
| PBNY         | Provident New York Bancorp Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0001070154 | 000-25233  |
| PILL         | ProxyMed, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0000906337 | 000-22052  |
| PBIP         | Prudential Bancorp, Inc. of Pennsylvania Common Stock        | Common Stock               | NGM                  | 12(g)             | 0001302324 | 000-51214  |
| PSBI         | PSB Bancorp, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001047537 | 000-24601  |
| PSBH         | PSB Holdings, Inc. Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0001293211 | 000-50970  |
| PSIT         | PSI Technologies Holdings, Inc. American Depositary Shares   | American Depositary Shares | NCM                  | 12(g)             | 0001106714 | 000-30582  |
| PSDV         | pSivida Limited American Depositary Shares                   | American Depositary Shares | NGM                  | 12(g)             | 0001314102 | 000-51122  |
| PSSI         | PSS World Medical Inc. Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000920527 | 000-23832  |
| PSYS         | Psychiatric Solutions, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0000829608 | 000-20488  |
| PULB         | Pulaski Financial Corporation Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0001062438 | 000-24571  |
| PCYO         | Pure Cycle Corporation Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000276720 | 000-08814  |
| PVFC         | PVF Capital Corp. Common Stock                               | Common Stock               | NCM                  | 12(g)             | 0000928592 | 000-24948  |
| PWEI         | PW Eagle Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0000852426 | 000-18050  |
| PMID         | Pyramid Breweries, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001001917 | 000-27116  |
| QEPC         | Q.E.P. Co., Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001017815 | 000-21161  |
| QADI         | QAD Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001036188 | 000-22823  |
| QCCO         | QC Holdings, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001289505 | 000-50840  |
| QCRH         | QCR Holdings, Inc. Common Stock                              | Common Stock               | NCM                  | 12(g)             | 0000906465 | 000-22208  |



| Issue Symbol | Issue Name  | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|-----------------|----------------------|-------------------|------------|------------|
| QGEN         | Qiagen N.V. Ordinary Shares   | Ordinary Shares | NGS                  | 12(g)             | 0001015820 | 000-28564  |
| XING         | Qiao Xing Universal Telephone, Inc. Common Stock                            | Common Stock    | NGM                  | 12(g)             | 0001051846 | 000-29946  |
| QLGC         | QLogic Corporation Common Stock   | Common Stock    | NGS                  | 12(g)             | 0000918386 | 000-23298  |
| QLTI         | QLT Inc. Common Shares  | Common Stock    | NGS                  | 12(g)             | 0000827809 | 000-17082  |
| QMED         | QMed Inc. Common Stock  | Common Stock    | NCM                  | 12(g)             | 0000729213 | 000-11411  |
| QSNID        | QSound Labs, Inc. Common Shares   | Common Stock    | NCM                  | 12(g)             | 0000840518 | 000-17212  |
| QFAB         | Quaker Fabric Corporation Common Stock                                      | Common Stock    | NGM                  | 12(g)             | 0000103341 | 000-22199  |
| QCOM         | QUALCOMM Incorporated Common Stock  | Common Stock    | NGS                  | 12(g)             | 0000804328 | 000-19528  |
| QLTY         | Quality Distribution, Inc. Common Stock                                     | Common Stock    | NGM                  | 12(g)             | 0000922863 | 000-24180  |
| QSII         | Quality Systems, Inc. Common Stock  | Common Stock    | NGS                  | 12(g)             | 0000708818 | 000-13801  |
| QBAK         | Qualstar Corporation Common Stock   | Common Stock    | NGM                  | 12(g)             | 0000758938 | 000-30083  |
| QNTAP        | Quanta Capital Holdings Ltd. Series A Preferred Shares                      | Preferred Stock | NGM                  | 12(g)             | 0001264242 | 001-32138  |
| QTTWW        | Quantum Fuel Systems Technologies Worldwide, Inc. COMMON STOCK              | Common Stock    | NGM                  | 12(g)             | 0001166380 | 000-49629  |
| QRCP         | Quest Resource Corporation Common Stock                                     | Common Stock    | NGM                  | 12(g)             | 0000775351 | 000-17371  |
| QSFT         | Quest Software, Inc. Common Stock   | Common Stock    | NGS                  | 12(g)             | 0001088033 | 000-26937  |
| QUIK         | QuickLogic Corporation Common Stock   | Common Stock    | NGM                  | 12(g)             | 0000882508 | 000-22671  |
| QDEL         | Quidel Corporation Common Stock   | Common Stock    | NGM                  | 12(g)             | 0000353569 | 000-10961  |
| QGLY         | Quigley Corporation (The) Common Stock                                      | Common Stock    | NGM                  | 12(g)             | 0000868278 | 000-21617  |
| QMAR         | Quintana Maritime Limited Common Stock                                      | Common Stock    | NGM                  | 12(g)             | 0001325098 | 000-51412  |
| QUIP         | Quipp, Inc. Common Stock  | Common Stock    | NGM                  | 12(g)             | 0000796577 | 000-14870  |
| QUIX         | Quixote Corporation Common Stock  | Common Stock    | NGM                  | 12(g)             | 0000032870 | 000-7903   |
| QVDX         | Quovadx Inc. Common Stock   | Common Stock    | NGM                  | 12(g)             | 0001094561 | 000-29273  |
| RACK         | Rackable Systems, Inc. Common Stock   | Common Stock    | NGS                  | 12(g)             | 0001316625 | 000-51333  |
| RADI         | Rada Electronics Industries Limited Ordinary Shares                         | Ordinary Shares | NCM                  | 12(g)             | 0000761238 | 000-15375  |
| RDCM         | Radcom Ltd. Ordinary Shares   | Ordinary Shares | NGM                  | 12(g)             | 0001016838 | 000-29452  |
| RADS         | Radiant Systems, Inc. Common Stock  | Common Stock    | NGM                  | 12(g)             | 0000845818 | 000-22065  |
| RTSX         | Radiation Therapy Services, Inc. Common Stock, par value \$0.0001 per share | Common Stock    | NGS                  | 12(g)             | 0001056904 | 000-50802  |
| RADA         | Radica Games Limited Common Stock   | Common Stock    | NGM                  | 12(g)             | 0000919642 | 000-23696  |
| ROIA         | Radio One, Inc. Class A Common Stock  | Common Stock    | NGM                  | 12(g)             | 0001041657 | 000-25969  |
| ROIAK        | Radio One, Inc. Class D Common Stock  | Common Stock    | NGM                  | 12(g)             | 0001041657 | 000-25969  |
| RSYS         | RadiSys Corporation Common Stock  | Common Stock    | NGS                  | 12(g)             | 0000873044 | 000-26844  |
| RVSN         | RADVision Ltd. Ordinary Shares  | Ordinary Shares | NGS                  | 12(g)             | 0001105519 | 000-29871  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| RDWR         | Radware Ltd. Ordinary Shares   | Ordinary Shares            | NGS                  | 12(g)             | 0001094366 | 000-30324  |
| RADN         | Radyne Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000718573 | 000-11685  |
| RPFQ         | Rainier Pacific Financial Group, Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0001243800 | 000-50362  |
| RDTA         | Raining Data Corporation Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000820738 | 000-16449  |
| RMKR         | Rainmaker Systems, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001094007 | 000-28009  |
| RAME         | RAM Energy Resources, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001282648 | 000-50682  |
| RAMEU        | RAM Energy Resources, Inc. Units   | Unit                       | NCM                  | 12(g)             | 0001282648 | 000-50682  |
| RAMEW        | RAM Energy Resources, Inc. Warrant 5/11/2008                                   | Warrant                    | NCM                  | 12(g)             | 0001282648 | 000-50682  |
| RAMR         | RAM Holdings Ltd. Common Shares  | Common Stock               | NGM                  | 12(g)             | 0001352713 | 001-32864  |
| RMBS         | Rambus, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000917273 | 000-22339  |
| RMTR         | Ramtron International Corporation Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000849502 | 000-17739  |
| RAND         | Rand Capital Corporation Common Stock (\$0.10 Par Value)                       | Common Stock               | NCM                  | 12(g)             | 0000081955 | 811-01825  |
| GOLD         | Randgold Resources Limited American Depositary Shares                          | American Depositary Shares | NGS                  | 12(g)             | 0001175580 | 000-49888  |
| RARE         | RARE Hospitality International Inc. Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0000883976 | 000-19924  |
| RAVN         | Raven Industries, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000082166 | 000-3136   |
| ROLL         | RBC Bearings Incorporated Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001324948 | 000-51486  |
| RCRC         | RC2 Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001034239 | 000-22635  |
| RCMT         | RCM Technologies, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000700841 | 001-10245  |
| RCNI         | RCN Corporation Common Stock New   | Common Stock               | NGS                  | 12(g)             | 0001041858 | 000-22825  |
| RNWK         | RealNetworks, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001046327 | 000-23137  |
| RHAT         | Red Hat, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001087423 | 000-26281  |
| RRGB         | Red Robin Gourmet Burgers, Inc. Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0001171759 | 000-49916  |
| RBAK         | Redback Networks Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001081290 | 000-25853  |
| REDE         | RedEnvelope, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001236038 | 000-50387  |
| HOOK         | Redhook Ale Brewery, Incorporated Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000892222 | 000-26542  |
| REDF         | Rediff.com India Limited American Depositary Shares                            | American Depositary Shares | NCM                  | 12(g)             | 0001103783 | 000-30735  |
| RGNC         | Regency Energy Partners LP Common Units Representing Limited Partner Interests | Limited Partnership        | NGM                  | 12(g)             | 0001338613 | 000-51757  |
| RTIX         | Regeneration Technologies, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0001100441 | 000-31271  |
| REGN         | Regeneron Pharmaceuticals, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0000872589 | 000-19034  |
| RGCI         | Regent Communications, Inc. Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0000913015 | 000-15392  |
| RNHDA        | Reinhold Industries, Inc. Class A Common Stock                                 | Common Stock               | NCM                  | 12(g)             | 0000862255 | 000-18434  |
| RELV         | Reliv' International, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000768710 | 001-11768  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| RLRN         | Renaissance Learning, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001030484 | 000-22187  |
| RNST         | Renasant Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000715072 | 000-12154  |
| RNVS         | Renovis, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001118361 | 000-50584  |
| RCII         | Rent-A-Center Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000933036 | 000-25370  |
| RENT         | Rentrak Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000800458 | 000-15159  |
| RDYN         | Replidyne, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001180145 | 000-52082  |
| RGEN         | Repligen Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000730272 | 000-14656  |
| RPRX         | Repro Therapeutics Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000897075 | 000-21198  |
| RJET         | Republic Airways Holdings, Inc. Common stock  | Common Stock               | NGS                  | 12(g)             | 0001159154 | 000-49697  |
| RBNC         | Republic Bancorp Inc. Capital Trust I - 8.60% Cumulative Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0000813808 | 000-15734  |
| RBNC         | Republic Bancorp Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000813808 | 000-15734  |
| RBCAA        | Republic Bancorp, Inc. Class A Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000921557 | 000-24649  |
| RUTX         | Republic Companies Group, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001320092 | 000-51455  |
| FRBK         | Republic First Bancorp, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000834285 | 000-17007  |
| RSCR         | Res-Care, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000776325 | 000-20372  |
| REFR         | Research Frontiers Incorporated Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000793524 | 001-09399  |
| RIMM         | Research in Motion Limited Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001070235 | 000-29898  |
| REXI         | Resource America, Inc. Class A Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000083402 | 000-04408  |
| RECN         | Resources Connection, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001084765 | 000-32113  |
| RESP         | Respironics, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000780434 | 000-16723  |
| RSTO         | Restoration Hardware, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000863821 | 000-24261  |
| REST         | Restore Medical, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001350620 | 000-51998  |
| RTLX         | Retail Ltd. Ordinary Shares   | Ordinary Shares            | NGS                  | 12(g)             | 0001064060 | 000-29742  |
| RTRSY        | Reuters Group PLC American Depositary Shares  | American Depositary Shares | NGS                  | 12(g)             | 0001056084 | 000-26579  |
| RFIL         | RF Industries, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000740664 | 000-13301  |
| RFMD         | RF Micro Devices, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000911160 | 000-22511  |
| RFMI         | RF Monolithics, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000922204 | 000-24414  |
| RGCO         | RGC Resources Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001069533 | 000-26591  |
| RELL         | Richardson Electronics, Ltd. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000355948 | 000-12906  |
| RICK         | Rick's Cabaret International, Inc. Common Stock                                     | Common Stock               | NCM                  | 12(g)             | 0000935419 | 000-26958  |
| RIGL         | Rigel Pharmaceuticals, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001034842 | 000-29889  |
| RNOW         | RightNow Technologies, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001111247 | 000-31321  |

| Issue Symbol | Issue Name   | Issue Type          | Issue Market Segment | Registration Type | CIK        | SEC File #  |
|--------------|--|---------------------|----------------------|-------------------|------------|-------------|
| RIMG         | Rimage Corporation Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000892482 | 000-20728   |
| RVEP         | Rio Vista Energy Partners L.P. Common Units representing limited partner interests | Limited Partnership | NGM                  | 12(g)             | 0001260828 | 000-50394   |
| RITT         | RIT Technologies Ltd. Common Stock   | Common Stock        | NCM                  | 12(g)             | 0001041844 | 000-29360   |
| RITA         | RITA Medical Systems, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001056421 | 000-30959   |
| RIVR         | River Valley Bancorp. Common Stock   | Common Stock        | NCM                  | 12(g)             | 0001015593 | 000-21765   |
| RVSB         | Riverview Bancorp Inc Common Stock   | Common Stock        | NGS                  | 12(g)             | 0001041368 | 000-22957   |
| ROCM         | Rochester Medical Corporation Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000868368 | 000-18933   |
| ROAC         | Rock of Ages Corporation Class A Common Stock                                      | Common Stock        | NGM                  | 12(g)             | 0000084581 | 000-29464   |
| ROFO         | Rockford Corporation Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000828064 | 000-30138   |
| RCKB         | Rockville Financial, Inc. Common Stock   | Common Stock        | NGS                  | 12(g)             | 0001311131 | 000-51239   |
| RMTI         | Rockwell Medical Technologies, Inc. Common Stock                                   | Common Stock        | NCM                  | 12(g)             | 0001041024 | 000-23661   |
| RCKY         | Rocky Brands, Inc. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000895456 | 000-21026   |
| RMCF         | Rocky Mountain Chocolate Factory, Inc. Common Stock                                | Common Stock        | NGM                  | 12(g)             | 0000785815 | 000-14749   |
| RSTI         | Rofin-Sinar Technologies, Inc. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001019361 | 000-21377   |
| ROMA         | Roma Financial Corporation Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001355823 | 000-52000   |
| ROME         | Roma Bancorp, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001088144 | 000-27481   |
| RONC         | Ronson Corporation Common Stock  | Common Stock        | NCM                  | 12(g)             | 0000084919 | 001-1031    |
| ROSE         | Rosetta Resources Inc. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001340282 | 000-1340282 |
| ROST         | Ross Stores, Inc. Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000745732 | 000-14678   |
| ROHI         | Rotech Healthcare Inc Common Stock   | Common Stock        | NGM                  | 12(g)             | 0001175108 | 000-50940   |
| RBPA         | Royal Bancshares of Pennsylvania, Inc. Class A Common Stock                        | Common Stock        | NGM                  | 12(g)             | 0000922487 | 000-26366   |
| RGLD         | Royal Gold, Inc. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000085535 | 000-5664    |
| ROYL         | Royale Energy, Inc. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000864839 | 000-22750   |
| RSAS         | RSA Security, Inc. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000932064 | 000-25120   |
| RTWI         | RTW, Inc.  | Common Stock        | NGM                  | 12(g)             | 0000915781 | 000-25508   |
| RUBO         | Rubio's Restaurants, Inc. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0001082423 | 000-26125   |
| RTEC         | Rudolph Technologies, Inc. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001094392 | 000-27965   |
| RCCC         | Rural Cellular Corporation Class A Common Stock                                    | Common Stock        | NGM                  | 12(g)             | 0000869561 | 000-27416   |
| RURL         | Rural/Metro Corporation Common Stock   | Common Stock        | NCM                  | 12(g)             | 0000906326 | 000-22056   |
| RBNF         | Rurban Financial Corp Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000767405 | 000-13507   |
| RUSHB        | Rush Enterprises, Inc. Class B   | Common Stock        | NGS                  | 12(g)             | 0001012019 | 000-20797   |
| RUSHA        | Rush Enterprises, Inc. COMMON STOCK CL A   | Common Stock        | NGS                  | 12(g)             | 0001012019 | 000-20797   |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| RUTH         | Ruth's Chris Steak House, Inc. Common Stock           | Common Stock               | NGS                  | 12(g)             | 0001324272 | 000-51485  |
| RYAAY        | Ryanair Holdings plc American Depositary Shares       | American Depositary Shares | NGS                  | 12(g)             | 0001038683 | 000-29304  |
| RYAN         | Ryan's Restaurant Group, Inc. Common Stock            | Common Stock               | NGS                  | 12(g)             | 0000355622 | 000-10943  |
| STBA         | S&T Bancorp, Inc. Common Stock                        | Common Stock               | NGS                  | 12(g)             | 0000719220 | 000-12508  |
| SYBT         | S.Y. Bancorp, Inc. Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000835324 | 000-17262  |
| SONE         | S1 Corporation Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001063254 | 000-24931  |
| SABA         | Saba Software, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0001070380 | 000-30221  |
| SAFC         | SAFECO Corporation Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000086104 | 001-06563  |
| SFNT         | SafeNet Inc Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0000850313 | 000-20634  |
| SAFT         | Safety Insurance Group, Inc. Common Stock             | Common Stock               | NGS                  | 12(g)             | 0001172052 | 000-50070  |
| SFLK         | SAFLINK Corporation Common Stock                      | Common Stock               | NCM                  | 12(g)             | 0000847555 | 000-20270  |
| SAIA         | Saia, Inc. Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0001177702 | 000-49983  |
| SFUN         | Saifun Semiconductors Ltd. Ordinary Shares            | Ordinary Shares            | NGS                  | 12(g)             | 0001297627 | 000-51581  |
| SALM         | Salem Communications Corporation Class A Common Stock | Common Stock               | NGM                  | 12(g)             | 0001050606 | 000-26497  |
| SLXP         | Salix Pharmaceuticals, Ltd. Common Stock              | Common Stock               | NGM                  | 12(g)             | 0001009356 | 000-23265  |
| SMHG         | Sanders Morris Harris Group, Inc. Common Stock        | Common Stock               | NGM                  | 12(g)             | 0001071341 | 000-30066  |
| SAFM         | Sanderson Farms, Inc. Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0000812128 | 000-16567  |
| SNDK         | SanDisk Corporation Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0001000180 | 000-26734  |
| SNDS         | Sands Regent (The) Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000753899 | 000-14050  |
| SASR         | Sandy Spring Bancorp, Inc. Common Stock               | Common Stock               | NGS                  | 12(g)             | 0000824410 | 000-19065  |
| SGMO         | Sangamo BioSciences, Inc. Common Stock                | Common Stock               | NGM                  | 12(g)             | 0001001233 | 000-30171  |
| SANM         | Sanmina-SCI Corporation Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000897723 | 000-21272  |
| SNTS         | Santarus, Inc. Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0001172480 | 000-50651  |
| SPNS         | Sapiens International Corporation N.V. Common Shares  | Common Stock               | NCM                  | 12(g)             | 0000885740 | 000-20181  |
| SAPE         | Sapient Corporation Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0001008817 | 000-28074  |
| SATC         | SatCon Technology Corporation Common Stock            | Common Stock               | NGM                  | 12(g)             | 0000889423 | 001-11512  |
| SAVB         | Savannah Bancorp, Inc. (The) Common Stock             | Common Stock               | NGM                  | 12(g)             | 0000860519 | 000-18560  |
| SVVS         | SAVVIS, Inc. Common Stock                             | Common Stock               | NCM                  | 12(g)             | 0001058444 | 000-29375  |
| SBAC         | SBA Communications Corporation Common Stock           | Common Stock               | NGS                  | 12(g)             | 0001034054 | 000-30110  |
| SBEI         | SBE, Inc. Common Stock                                | Common Stock               | NCM                  | 12(g)             | 0000087050 | 000-08419  |
| SCIX         | Scailex Corporation Ltd. Ordinary Shares              | Ordinary Shares            | NGM                  | 12(g)             | 0000315816 | 000-12332  |
| SCSC         | ScanSource, Inc. Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000918965 | 000-26926  |
| SCBT         | SCBT Financial Corporation Common Stock               | Common Stock               | NGS                  | 12(g)             | 0000764038 | 001-12669  |

| Issue Symbol | Issue Name   | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-----------------|----------------------|-------------------|------------|------------|
| SMIT         | Schmitt Industries, Inc. Common Stock                        | Common Stock    | NCM                  | 12(g)             | 0000922612 | 000-23996  |
| SCHN         | Schnitzer Steel Industries, Inc. Class A Common Stock        | Common Stock    | NGS                  | 12(g)             | 0000912603 | 000-22496  |
| SCHL         | Scholastic Corporation Common Stock                          | Common Stock    | NGS                  | 12(g)             | 0000866729 | 000-19860  |
| SCHS         | School Specialty, Inc. Common Stock                          | Common Stock    | NGS                  | 12(g)             | 0001055454 | 000-24385  |
| SCLN         | SciClone Pharmaceuticals, Inc. Common Stock                  | Common Stock    | NGM                  | 12(g)             | 0000880771 | 000-19825  |
| SCRX         | Sciele Pharma, Inc. Common Stock                             | Common Stock    | NGS                  | 12(g)             | 0001106773 | 000-30123  |
| SGMS         | Scientific Games Corp Class A Common Stock                   | Common Stock    | NGS                  | 12(g)             | 0000750004 | 000-13063  |
| SCIL         | Scientific Learning Corporation Common Stock                 | Common Stock    | NGM                  | 12(g)             | 0001042173 | 000-24547  |
| STIZ         | Scientific Technologies, Incorporated Common Stock           | Common Stock    | NGM                  | 12(g)             | 0000708250 | 000-12254  |
| SCMM         | SCM Microsystems, Inc. Common Stock                          | Common Stock    | NGM                  | 12(g)             | 0001036044 | 000-22689  |
| SCOX         | SCO Group, Inc. (The) Common Stock                           | Common Stock    | NCM                  | 12(g)             | 0001102542 | 000-29911  |
| SCOP         | Scopus Video Networks Ltd. Ordinary Shares                   | Ordinary Shares | NGM                  | 12(g)             | 0001342575 | 000-51654  |
| SEAB         | SeaBright Insurance Holdings, Inc. Common Stock              | Common Stock    | NGS                  | 12(g)             | 0001267201 | 000-51124  |
| SEAC         | SeaChange International, Inc. Common Stock                   | Common Stock    | NGM                  | 12(g)             | 0001019671 | 000-21393  |
| SBCF         | Seacoast Banking Corporation of Florida Common Stock         | Common Stock    | NGS                  | 12(g)             | 0000730708 | 000-13660  |
| SHLD         | Sears Holdings Corporation Common Stock                      | Common Stock    | NGS                  | 12(g)             | 0001310067 | 000-51217  |
| SGEN         | Seattle Genetics, Inc. Common Stock                          | Common Stock    | NGM                  | 12(g)             | 0001060736 | 000-32405  |
| SCUR         | Secure Computing Corporation Common Stock                    | Common Stock    | NGS                  | 12(g)             | 0001001916 | 000-27074  |
| SBKC         | Security Bank Corporation Common Stock                       | Common Stock    | NGS                  | 12(g)             | 0000925464 | 000-23261  |
| SNFCA        | Security National Financial Corporation Class A Common Stock | Common Stock    | NGM                  | 12(g)             | 0000318673 | 000-09341  |
| SEIC         | SEI Investments Company Common Stock                         | Common Stock    | NGS                  | 12(g)             | 0000350894 | 000-10200  |
| SCSS         | Select Comfort Corporation Common Stock                      | Common Stock    | NGS                  | 12(g)             | 0000827187 | 000-25121  |
| SLTC         | Selectica, Inc. Common Stock                                 | Common Stock    | NGM                  | 12(g)             | 0001090908 | 000-29637  |
| SIGI         | Selective Insurance Group, Inc. Common Stock                 | Common Stock    | NGS                  | 12(g)             | 0000230557 | 000-08641  |
| SMTL         | Semitool, Inc. Common Stock                                  | Common Stock    | NGM                  | 12(g)             | 0000934550 | 000-25424  |
| SMTC         | Semtech Corporation Common Stock                             | Common Stock    | NGM                  | 12(g)             | 0000088941 | 001-6395   |
| SENEA        | Seneca Foods Corp. Class A Common Stock                      | Common Stock    | NGM                  | 12(g)             | 0000088948 | 000-01989  |
| SENEB        | Seneca Foods Corp. Class B Common Stock                      | Common Stock    | NGM                  | 12(g)             | 0000088948 | 000-01989  |
| SNMX         | Senomox, Inc. Common Stock                                   | Common Stock    | NGM                  | 12(g)             | 0001123979 | 000-50791  |
| SGHL         | Sentigen Holding Corp. Common Stock                          | Common Stock    | NCM                  | 12(g)             | 0000864890 | 000-18700  |
| SNTD         | Sento Corporation Common Stock                               | Common Stock    | NCM                  | 12(g)             | 0000004317 | 000-06425  |
| SEPR         | Sepracor Inc. Common Stock                                   | Common Stock    | NGS                  | 12(g)             | 0000877357 | 000-19410  |
| SQNM         | Sequenom, Inc. Common Stock                                  | Common Stock    | NGM                  | 12(g)             | 0001076481 | 000-29101  |
| SERV         | Servidyne, Inc. Common Stock                                 | Common Stock    | NGM                  | 12(g)             | 0000001923 | 000-10146  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| SVBI         | Severn Bancorp Inc (Md) Common Stock                                | Common Stock               | NCM                  | 12(g)             | 0000868271 | 000-49731  |
| SFOC         | SFBC International, Inc. Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0001089542 | 000-31273  |
| SGXP         | SGX Pharmaceuticals, Inc. Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0001125603 | 000-51745  |
| SHMR         | Shamir Optical Industry Ltd. Common Shares                          | Ordinary Shares            | NGM                  | 12(g)             | 0001317362 | 000-51183  |
| SNDA         | Shanda Interactive Entertainment Limited American Depositary Shares | American Depositary Shares | NGS                  | 12(g)             | 0001278308 | 000-50705  |
| SHRP         | Sharper Image Corporation Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0000811696 | 000-15827  |
| SHEN         | Shenandoah Telecommunications Co Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000354963 | 000-09881  |
| SHLO         | Shiloh Industries, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000904979 | 000-21964  |
| SHPGY        | Shire plc American Depositary Shares                                | American Depositary Shares | NGS                  | 12(g)             | 0000936402 | 000-29630  |
| SCVL         | Shoe Carnival, Inc. Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0000895447 | 000-21360  |
| SHOE         | Shoe Pavilion, Inc Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0001051009 | 000-23669  |
| SHBI         | Shore Bancshares Inc Common Stock                                   | Common Stock               | NCM                  | 12(g)             | 0001035092 | 000-22345  |
| SHBK         | Shore Financial Corporation Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001045690 | 000-23847  |
| SHFL         | Shuffle Master, Inc. Common Stock                                   | Common Stock               | NGS                  | 12(g)             | 0000718789 | 000-20820  |
| SIFI         | SI Financial Group, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001292580 | 000-50801  |
| SINT         | SI International, Inc. common stock                                 | Common Stock               | NGS                  | 12(g)             | 0001143363 | 000-50080  |
| SIEB         | Siebert Financial Corp. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0000065596 | 000-05703  |
| BSRR         | Sierra Bancorp Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001130144 | 000-33063  |
| SWIR         | Sierra Wireless, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001111863 | 000-30718  |
| SIFY         | Sify Limited American Depositary Shares                             | American Depositary Shares | NGM                  | 12(g)             | 0001094324 | 000-27663  |
| SIGA         | SIGA Technologies Inc. Common Stock                                 | Common Stock               | NCM                  | 12(g)             | 0001010086 | 000-23047  |
| SIGM         | Sigma Designs, Inc. Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0000790715 | 000-15116  |
| SIAL         | Sigma-Aldrich Corporation Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0000090185 | 000-08135  |
| SGTL         | Sigmatel, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001043639 | 000-50391  |
| SGMA         | SigmaTron International, Inc. Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000915358 | 000-23248  |
| SLGN         | Silgan Holdings, Inc. Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0000849869 | 000-22117  |
| SILC         | Silicom Ltd Ordinary Shares   | Ordinary Shares            | NCM                  | 12(g)             | 0000916793 | 000-23288  |
| SIMG         | Silicon Image, Inc. Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0001003214 | 000-26887  |
| SLAB         | Silicon Laboratories, Inc. Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0001038074 | 000-29823  |
| SIMO         | Silicon Motion Technology Corporation American Depositary Shares    | American Depositary Shares | NGM                  | 12(g)             | 0001329394 | 000-51380  |
| SSTI         | Silicon Storage Technology, Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0000855906 | 000-26944  |



| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| SPIL         | Siliconware Precision Industries Company, Ltd. American Depositary Shares                                      | American Depositary Shares | NGS                  | 12(g)             | 0001111759 | 000-30702  |
| SSRI         | Silver Standard Resources, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000921638 | 000-26424  |
| SSTR         | Silverstar Holdings Ltd Common Shares  | Common Stock               | NCM                  | 12(g)             | 0001003390 | 000-27494  |
| SIMC         | Simclar, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000764039 | 000-14659  |
| SFNC         | Simmons First National Corporation Class A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000090498 | 000-06253  |
| STEC         | SimpleTech Inc Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001102741 | 000-31623  |
| SINA         | sina.com Ordinary Shares   | Ordinary Shares            | NGS                  | 12(g)             | 0001094005 | 000-30698  |
| SBGI         | Sinclair Broadcast Group, Inc. Class A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000912752 | 000-26076  |
| SMDI         | Sirenza Microdevices, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001103777 | 000-30615  |
| SIRF         | SIRF Technology Holdings, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001163943 | 000-50669  |
| SIRI         | Sirius Satellite Radio Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000908937 | 000-24710  |
| RNAI         | Sirna Therapeutics, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000892112 | 000-27914  |
| SIRO         | Sirona Dental Systems, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001014507 | 000-22673  |
| SKIL         | SkillSoft plc American Depositary Shares   | American Depositary Shares | NGM                  | 12(g)             | 0000940181 | 000-25674  |
| SECDP        | Sky Financial Group, Inc. - Second Bancorp Capital Trust I - 9.00% Cumulative Trust Preferred Securities       | Other Securities           | NGS                  | 12(g)             | 0000855876 | 000-18209  |
| SKYF         | Sky Financial Group, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000855876 | 000-18209  |
| SKYE         | SkyePharma PLC American Depositary Shares  | American Depositary Shares | NGM                  | 12(g)             | 0001018117 | 000-29860  |
| SKYW         | SkyWest, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000793733 | 000-14719  |
| SWKS         | Skyworks Solutions, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000004127 | 001-5560   |
| SFBC         | Slade's Ferry Bancorp Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000857499 | 000-23904  |
| WINS         | SM&A Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001050031 | 000-23585  |
| SMOD         | SMART Modular Technologies, Inc. Ordinary Shares   | Ordinary Shares            | NGS                  | 12(g)             | 0001326973 | 000-51771  |
| SWHC         | Smith & Wesson Holding Corp Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001092796 | 000-29015  |
| SWRG         | Smith & Wollensky Restaurant Group, Inc. (The) Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001137047 | 001-16505  |
| SMSI         | Smith Micro Software, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000948708 | 000-26536  |
| SMTB         | Smithtown Bancorp Inc Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000747345 | 000-13314  |
| SMXC         | Smithway Motor Xpress Corp. Class A Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000941914 | 000-20793  |
| SMTX         | SMTC Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001108320 | 000-31051  |
| SSCCP        | Smurfit-Stone Container Corporation 7% Series A Cumulative Exchangeable Redeemable Convertible Preferred Stock | Preferred Stock            | NGS                  | 12(g)             | 0000919226 | 000-23876  |

| Issue Symbol | Issue Name   | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|------------------|----------------------|-------------------|------------|------------|
| SSCC         | Smurfit-Stone Container Corporation Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000919226 | 000-23876  |
| SCKT         | Socket Communications, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000944075 | 001-13810  |
| SOHU         | Sohu.com Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0001104188 | 000-30961  |
| SLXA         | Solexa, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000913275 | 000-22570  |
| SMTS         | Somanetics Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000704328 | 000-19095  |
| SOMX         | Somaxon Pharmaceuticals, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001339455 | 000-51665  |
| SMRA         | Somera Communications, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001094243 | 000-27843  |
| SOMH         | Somerset Hills Bancorp Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001189396 | 000-50055  |
| SOMHW        | Somerset Hills Bancorp warrants to purchase one share of common stock  | Warrant          | NCM                  | 12(g)             | 0001189396 | 000-50055  |
| SNSTA        | Sonesta International Hotels Corporation Class A Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000091741 | 000-09032  |
| SONC         | Sonic Corp. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000868611 | 000-18859  |
| SOFO         | Sonic Foundry, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001029744 | 001-14007  |
| SNCI         | Sonic Innovations, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001105982 | 000-30335  |
| SNIC         | Sonic Solutions Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000916235 | 000-23190  |
| SNWL         | SonicWALL, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0001093885 | 000-27723  |
| SONO         | SonoSite, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001055355 | 000-23791  |
| SONT         | Sontra Medical Corporation Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001031927 | 000-23017  |
| SONS         | Sonus Networks, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001105472 | 000-30229  |
| SNUS         | SONUS Pharmaceuticals, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000949858 | 000-26866  |
| SORL         | SORL Auto Parts, Inc. Common Stock   | Common Stock     | NCM                  | 12(g)             | 0000714284 | 000-11991  |
| TSFG         | South Financial Group Inc. (The) Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000797871 | 000-15083  |
| SSFC         | South Street Financial Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001014964 | 000-21083  |
| SOGB         | Southcoast Financial Corporation Common Stock  | Common Stock     | NGM                  | 12(g)             | 0001083689 | 000-25933  |
| SCMF         | Southern Community Financial Corporation Common Stock  | Common Stock     | NGS                  | 12(g)             | 0001159427 | 000-33227  |
| SCMFO        | Southern Community Financial Corporation Southern Community Capital Trust II - % Cumulative Trust Preferred Securities | Other Securities | NGS                  | 12(g)             | 0001159427 | 000-33227  |
| SMBC         | Southern Missouri Bancorp, Inc. Common Stock   | Common Stock     | NGM                  | 12(g)             | 0000916907 | 000-23406  |
| SBSI         | Southside Bancshares, Inc. Common Stock  | Common Stock     | NGS                  | 12(g)             | 0000705432 | 000-12247  |
| OKSB         | Southwest Bancorp, Inc. Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000914374 | 000-23064  |
| SWWC         | Southwest Water Company Common Stock   | Common Stock     | NGS                  | 12(g)             | 0000092472 | 000-08176  |
| SPAB         | SPACEHAB, Incorporated Common Stock  | Common Stock     | NCM                  | 12(g)             | 0001001907 | 000-27206  |
| SPAN         | Span-America Medical Systems, Inc. Common Stock  | Common Stock     | NGM                  | 12(g)             | 0000718924 | 000-11392  |

| Issue Symbol | Issue Name   | Issue Type   | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|--------------|----------------------|-------------------|------------|------------|
| SBSA         | Spanish Broadcasting System, Inc. Class A Common Stock | Common Stock | NGM                  | 12(g)             | 0000927720 | 000-27823  |
| SPSN         | Spanion Inc. Class A Common Stock                      | Common Stock | NGS                  | 12(g)             | 0001322705 | 000-51666  |
| SGRP         | SPAR Group, Inc. Common Stock                          | Common Stock | NCM                  | 12(g)             | 0001004989 | 000-27824  |
| SPAR         | Spartan Motors, Inc. Common Stock                      | Common Stock | NGS                  | 12(g)             | 0000743238 | 000-13611  |
| SPTN         | Spartan Stores, Inc. Common Stock                      | Common Stock | NGM                  | 12(g)             | 0000877422 | 000-31127  |
| HDTV         | SpatialLight, Inc. Common Stock                        | Common Stock | NCM                  | 12(g)             | 0000881468 | 000-19828  |
| SUAI         | Specialty Underwriters' Alliance, Inc. Common Stock    | Common Stock | NGM                  | 12(g)             | 0001297568 | 000-50891  |
| SLNK         | SpectraLink Corporation Common Stock                   | Common Stock | NGS                  | 12(g)             | 0000894268 | 000-28180  |
| SPNC         | Spectranetics Corporation (The) Common Stock           | Common Stock | NGM                  | 12(g)             | 0000789132 | 000-19711  |
| SPEC         | Spectrum Control, Inc. Common Stock                    | Common Stock | NGM                  | 12(g)             | 0000092769 | 000-08796  |
| SPPI         | Spectrum Pharmaceuticals, Inc. Common Stock            | Common Stock | NGM                  | 12(g)             | 0000831547 | 000-28782  |
| SSPI         | Spectrum Signal Processing Inc. Common Stock           | Common Stock | NCM                  | 12(g)             | 0000884455 | 000-19906  |
| SPDE         | Speedus Corp. Common Stock                             | Common Stock | NCM                  | 12(g)             | 0001002520 | 000-27582  |
| SPEX         | Spherix Incorporated Common Stock                      | Common Stock | NGM                  | 12(g)             | 0000012239 | 000-05576  |
| SPIR         | Spire Corporation Common Stock                         | Common Stock | NGM                  | 12(g)             | 0000731657 | 000-12742  |
| SPCHB        | Sport Chalet, Inc. Class B Common Stock                | Common Stock | NGM                  | 12(g)             | 0000892907 | 000-20736  |
| SPCHA        | Sport Chalet, Inc. Common Stock Class A                | Common Stock | NGM                  | 12(g)             | 0000892907 | 000-20736  |
| SPOR         | Sport-Haley, Inc. Common Stock                         | Common Stock | NGM                  | 12(g)             | 0000892653 | 001-12888  |
| SGDE         | Sportsman's Guide, Inc. (The) Common Stock             | Common Stock | NGS                  | 12(g)             | 0000791450 | 000-15767  |
| SPSS         | SPSS Inc. Common Stock                                 | Common Stock | NGS                  | 12(g)             | 0000869570 | 000-22194  |
| STRC         | SRI/Surgical Express, Inc. Common Stock                | Common Stock | NGM                  | 12(g)             | 0001014041 | 000-20997  |
| SBSL         | SRS Labs, Inc. Common Stock                            | Common Stock | NCM                  | 12(g)             | 0001016470 | 000-21123  |
| SJOE         | St Joseph Capital Corp Common Stock                    | Common Stock | NCM                  | 12(g)             | 0001015856 | 000-50219  |
| STAA         | STAAR Surgical Company Common Stock                    | Common Stock | NGM                  | 12(g)             | 0000718937 | 000-11634  |
| STGSW        | STAGE STORES INC NEW Stage Stores Inc Warrants A       | Warrant      | NGM                  | 12(g)             | 0000006885 | 000-21011  |
| STGSZ        | STAGE STORES INC NEW Stage Stores Inc Warrants B       | Warrant      | NGM                  | 12(g)             | 0000006885 | 000-21011  |
| STAK         | Staktek Holdings, Inc. Common Stock                    | Common Stock | NGM                  | 12(g)             | 0000866830 | 000-50553  |
| STMP         | Stamps.com Inc. Common Stock (\$0.001 Par Value)       | Common Stock | NGM                  | 12(g)             | 0001082923 | 000-26427  |
| SMSC         | Standard Microsystems Corporation Common Stock         | Common Stock | NGS                  | 12(g)             | 0000933384 | 000-07422  |
| STAN         | Standard Parking Corporation common stock              | Common Stock | NGM                  | 12(g)             | 0001059262 | 000-50796  |
| STLY         | Stanley Furniture Company, Inc. Common Stock           | Common Stock | NGS                  | 12(g)             | 0000797465 | 000-14938  |
| SPLS         | Staples, Inc. Common Stock                             | Common Stock | NGS                  | 12(g)             | 0000791519 | 000-17586  |
| STRZ         | Star Buffet, Inc. Common Stock                         | Common Stock | NCM                  | 12(g)             | 0001043156 | 000-23099  |
| STSI         | Star Scientific, Inc. Common Stock                     | Common Stock | NGM                  | 12(g)             | 0000776008 | 000-15324  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| SBUX         | Starbucks Corporation Common Stock                                      | Common Stock               | NGS                  | 12(g)             | 0000829224 | 000-20322  |
| STFC         | State Auto Financial Corporation Common Stock                           | Common Stock               | NGS                  | 12(g)             | 0000874977 | 000-19289  |
| STBC         | State Bancorp, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000723458 | 000-14874  |
| SNBI         | State National Bancshares, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001332626 | 000-51548  |
| STTS         | STATS ChipPAC Ltd. American Depositary Shares                           | American Depositary Shares | NGS                  | 12(g)             | 0001101873 | 000-29103  |
| GASS         | StealthGas, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001328919 | 000-51559  |
| STLD         | Steel Dynamics, Inc. Common Stock                                       | Common Stock               | NGS                  | 12(g)             | 0001022671 | 000-21719  |
| STTX         | Steel Technologies Inc. Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0000771790 | 000-14061  |
| SCLD         | SteelCloud Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001058027 | 000-24015  |
| SMRT         | Stein Mart, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000884940 | 000-20052  |
| STNR         | Steiner Leisure Limited Common Shares                                   | Common Stock               | NGS                  | 12(g)             | 0001018946 | 000-28972  |
| STEL         | Stellent, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000867347 | 000-19817  |
| STEM         | StemCells, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000883975 | 000-19871  |
| STEN         | STEN Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000350557 | 000-18785  |
| STXS         | Stereotaxis, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001289340 | 000-50884  |
| SRCL         | Stericycle, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000861878 | 000-21229  |
| SBIB         | Sterling Bancshares, Inc. Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0000891098 | 000-20750  |
| SBIBN        | Sterling Bancshares Capital Trust III<br>_ % Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0000891098 | 000-20750  |
| STRL         | Sterling Construction Company Inc Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000874238 | 000-19450  |
| SLFI         | Sterling Financial Corporation Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000811671 | 000-16276  |
| STSA         | Sterling Financial Corporation Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000891106 | 000-20800  |
| SHOO         | Steven Madden, Ltd. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000913241 | 000-23702  |
| STEL         | Stewart Enterprises, Inc. Class A Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000878522 | 001-15449  |
| STKR         | StockerYale Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000094538 | 000-27372  |
| SNSA         | Stolt-Nielsen S.A. American Depositary Shares                           | American Depositary Shares | NGS                  | 12(g)             | 0000831980 | 000-16977  |
| STON         | StoneMor Partners L.P. Common Unit Rep Limited Partnership Interests    | Limited Partnership        | NGM                  | 12(g)             | 0001286131 | 000-50910  |
| STGN         | Stratagene Corporation Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0001108674 | 000-50786  |
| SDIX         | Strategic Diagnostics Inc. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000911649 | 000-68440  |
| STRD         | Strategic Distribution, Inc. Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0000073822 | 000-05228  |
| STXN         | Stratex Networks Inc Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0000812703 | 000-15895  |
| STLW         | Stratos International, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001111721 | 000-30869  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| STRT         | Strattec Security Corporation Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0000933034 | 000-25150  |
| STRS         | Stratus Properties, Inc. Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0000885508 | 000-19989  |
| STRA         | Strayer Education, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0001013934 | 000-21039  |
| STRM         | Streamline Health Solutions, Inc. Common Stock                  | Common Stock               | NCM                  | 12(g)             | 0001008586 | 000-28132  |
| FUEL         | Streichler Mobile Fueling, Inc. Common Stock                    | Common Stock               | NCM                  | 12(g)             | 0001024452 | 000-21825  |
| SUBK         | Suffolk Bancorp Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0000754673 | 000-13580  |
| SUMX         | Summa Industries Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0000062262 | 001-7755   |
| SBIT         | Summit Bancshares, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000745344 | 000-11986  |
| SBSA         | Summit Bank Corporation Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000820067 | 000-21267  |
| SMMF         | Summit Financial Group, Inc. Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000811808 | 000-16587  |
| SUMT         | Sum Total Systems, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001269132 | 000-50640  |
| SNBC         | Sun Bancorp, Inc. Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0001017793 | 000-20957  |
| SUNH         | Sun Healthcare Group, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0000904978 | 000-49663  |
| SNHY         | Sun Hydraulics Corporation Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0001024795 | 000-21835  |
| SUNW         | Sun Microsystems, Inc. Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000709519 | 000-15086  |
| SDAY         | SUNDAY Communications Limited American Depositary Shares        | American Depositary Shares | NGM                  | 12(g)             | 0001106952 | 000-30590  |
| SNSS         | Sunesis Pharmaceuticals, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0001061027 | 000-51531  |
| STKL         | SunOpta, Inc. Common Stock                                      | Common Stock               | NGS                  | 12(g)             | 0000351834 | 000-09989  |
| SPWR         | SunPower Corporation Class A Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0000867773 | 000-51593  |
| SUNN         | Suntron Corporation Common Stock                                | Common Stock               | NCM                  | 12(g)             | 0001160513 | 000-49651  |
| SUPVA        | Super Vision International, Inc. Class A Common Stock           | Common Stock               | NCM                  | 12(g)             | 0000917523 | 000-23590  |
| SCON         | Superconductor Technologies Inc. Common Stock                   | Common Stock               | NCM                  | 12(g)             | 0000895665 | 000-21074  |
| SUPG         | SuperGen, Inc. Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0000919722 | 000-27628  |
| SUPR         | Superior Bancorp Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0001065298 | 000-25033  |
| SPSX         | Superior Essex Inc Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0001271193 | 000-50514  |
| SWSI         | Superior Well Services, Inc. Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0001323715 | 000-51435  |
| SPPR         | Supertel Hospitality, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0000929545 | 000-25060  |
| SPPRP        | Supertel Hospitality, Inc. Series A Convertible Preferred Stock | Preferred Stock            | NGM                  | 12(g)             | 0000929545 | 000-25060  |
| SUPX         | Supertex, Inc. Common Stock                                     | Common Stock               | NGS                  | 12(g)             | 0000730000 | 000-12718  |
| SPRT         | Support.com, Inc. Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0001104855 | 000-30901  |
| SURW         | SureWest Communications Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0000943117 | 000-29660  |
| SRDX         | SurModics, Inc. Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0000924717 | 000-23837  |
| SUSQ         | Susquehanna Bancshares, Inc. Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000700863 | 000-10674  |

| Issue Symbol | Issue Name  | Issue Type       | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|------------------|----------------------|-------------------|------------|------------|
| STRN         | Sutron Corporation Common Stock                       | Common Stock     | NCM                  | 12(g)             | 0000728331 | 000-12227  |
| SIVBO        | SVB Capital II Cumulative Trust Preferred Securities  | Other Securities | NGS                  | 12(g)             | 0000719739 | 000-15637  |
| SIVB         | SVB Financial Group Common Stock                      | Common Stock     | NGS                  | 12(g)             | 0000719739 | 000-15637  |
| SWFT         | Swift Transportation Co., Inc. Common Stock           | Common Stock     | NGS                  | 12(g)             | 0000863557 | 000-18605  |
| SCMR         | Sycamore Networks, Inc. Common Stock                  | Common Stock     | NGM                  | 12(g)             | 0001092367 | 000-27273  |
| SYKE         | Sykes Enterprises, Incorporated Common Stock          | Common Stock     | NGS                  | 12(g)             | 0001010612 | 000-28274  |
| SYMC         | Symantec Corporation Common Stock                     | Common Stock     | NGS                  | 12(g)             | 0000849399 | 000-17781  |
| SMBI         | Symbion, Inc. Common Stock                            | Common Stock     | NGS                  | 12(g)             | 0001091312 | 000-50574  |
| SYMM         | Symmetricon, Inc. Common Stock                        | Common Stock     | NGM                  | 12(g)             | 0000082628 | 000-02287  |
| SMMX         | Synyx Technologies, Inc. Common Stock                 | Common Stock     | NGS                  | 12(g)             | 0001095330 | 000-27765  |
| SYGR         | Synagro Technologies, Inc. Common Stock               | Common Stock     | NGM                  | 12(g)             | 0000895565 | 000-21054  |
| SYNL         | Synalloy Corporation Common Stock                     | Common Stock     | NGM                  | 12(g)             | 0000095953 | 000-19687  |
| SYNA         | Synaptics Incorporated Common Stock \$0.001 Par Value | Common Stock     | NGS                  | 12(g)             | 0000817720 | 000-49602  |
| SNCR         | Synchronoss Technologies, Inc. Common Stock           | Common Stock     | NGM                  | 12(g)             | 0001131554 | 000-52049  |
| SURG         | Synergetics USA, Inc. Common Stock                    | Common Stock     | NCM                  | 12(g)             | 0000836429 | 000-51602  |
| SYNX         | SYNERGX SYSTEMS INC                                   | Common Stock     | NCM                  | 12(g)             | 0000823130 | 000-17580  |
| SYBR         | Synergy Brands Inc. Common Stock                      | Common Stock     | NCM                  | 12(g)             | 0000870228 | 000-19409  |
| SYNF         | Synergy Financial Group, Inc. Common Stock            | Common Stock     | NGM                  | 12(g)             | 0001263766 | 000-50467  |
| ELOS         | Syneron Medical Ltd. Ordinary Shares                  | Ordinary Shares  | NGS                  | 12(g)             | 0001291361 | 000-50867  |
| SNPS         | Synopsys, Inc. Common Stock                           | Common Stock     | NGS                  | 12(g)             | 0000883241 | 000-19807  |
| SYNO         | Synovis Life Technologies, Inc. Common Stock          | Common Stock     | NGM                  | 12(g)             | 0000780127 | 000-13907  |
| SYNP         | Synplicity, Inc. Common Stock                         | Common Stock     | NGM                  | 12(g)             | 0001027362 | 000-31545  |
| BRLC         | Syntax-Brilliant Corporation Common Stock             | Common Stock     | NGM                  | 12(g)             | 0001232229 | 000-50289  |
| SYNT         | Syntel, Inc. Common Stock                             | Common Stock     | NGS                  | 12(g)             | 0001040426 | 000-22903  |
| SYNM         | Syntroleum Corporation Common Stock                   | Common Stock     | NGM                  | 12(g)             | 0001029023 | 000-21911  |
| SYNMZ        | Syntroleum Corporation Warrants (Expire 5/26/2008)    | Warrant          | NGM                  | 12(g)             | 0001029023 | 000-21911  |
| SYNMW        | Syntroleum Corporation Warrants 11/4/2007             | Warrant          | NGM                  | 12(g)             | 0001029023 | 000-21911  |
| SYPR         | Sypris Solutions, Inc. Common Stock                   | Common Stock     | NGM                  | 12(g)             | 0000864240 | 000-24020  |
| SXCI         | Systems Excellence Inc. Common Stock                  | Common Stock     | NGM                  | 12(g)             | 0001363851 | 000-52073  |
| TROW         | T. Rowe Price Group, Inc. Common Stock                | Common Stock     | NGS                  | 12(g)             | 0001113169 | 000-32191  |
| TTES         | T-3 Energy Services Inc Common Stock                  | Common Stock     | NGM                  | 12(g)             | 0000879884 | 000-19580  |
| TAIT         | Taitron Components Incorporated Class A Common Stock  | Common Stock     | NCM                  | 12(g)             | 0000942126 | 000-25844  |
| TTWO         | Take-Two Interactive Software, Inc. Common Stock      | Common Stock     | NGS                  | 12(g)             | 0000946581 | 000-29230  |
| TLEO         | Taleo Corporation Class A Common Stock                | Common Stock     | NGM                  | 12(g)             | 0001134203 | 000-51299  |

| Issue Symbol | Issue Name  | Issue Type          | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|---------------------|----------------------|-------------------|------------|------------|
| TALK         | Talk America Holdings Inc. Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000948545 | 000-26728  |
| TALX         | TALX Corporation Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000917524 | 000-21465  |
| TBAC         | Tandy Brands Accessories, Inc. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000869487 | 000-18927  |
| TNOX         | Tanox, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001099414 | 000-30231  |
| TPPH         | Tapestry Pharmaceuticals, Inc. Common Stock   | Common Stock        | NCM                  | 12(g)             | 0000891504 | 000-24320  |
| TRGT         | Targacept, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001124105 | 000-51173  |
| TGEN         | Targeted Genetics Corporation Common Stock  | Common Stock        | NCM                  | 12(g)             | 0000921114 | 000-23930  |
| TARO         | Taro Pharmaceutical Industries Ltd. Ordinary Shares   | Ordinary Shares     | NGS                  | 12(g)             | 0000906338 | 000-22286  |
| TARR         | Tarragon Corporation Common Stock   | Common Stock        | NGS                  | 12(g)             | 0001038217 | 000-22999  |
| TAGS         | Tarrant Apparel Group Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000944948 | 000-26430  |
| TASR         | TASER International, Inc. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001069183 | 001-16391  |
| TSTY         | Tasty Baking Company Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000096412 | 000-50369  |
| TATTF        | TAT Technologies Ltd. Ordinary Shares   | Ordinary Shares     | NCM                  | 12(g)             | 0000808439 | 000-16050  |
| TAYC         | Taylor Capital Group, Inc. Common Stock   | Common Stock        | NGS                  | 12(g)             | 0001025536 | 000-50035  |
| TAYCP        | Taylor Capital Group, Inc. Cumulative Trust Preferred Securities                                  | Other Securities    | NGS                  | 12(g)             | 0001025536 | 000-50035  |
| TAYD         | Taylor Devices, Inc. Common Stock   | Common Stock        | NCM                  | 12(g)             | 0000096536 | 000-03498  |
| TBWC         | TB Wood's Corporation Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001000227 | 001-14182  |
| TBSI         | TBS International Limited Class A Common Stock  | Common Stock        | NGM                  | 12(g)             | 0001065648 | 000-51368  |
| TCLP         | TC PipeLines, LP Common Units representing Limited Partner Interests                              | Limited Partnership | NGS                  | 12(g)             | 0001075607 | 000-26091  |
| AMTD         | TD Ameritrade Holding Corporation Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001173431 | 000-22163  |
| TFIN         | Team Financial, Inc. Common Stock   | Common Stock        | NGM                  | 12(g)             | 0001082484 | 000-26335  |
| TFINP        | Team Financial, Inc. Team Financial Capital Trust I - 9.50% Cumulative Trust Preferred Securities | Other Securities    | NGM                  | 12(g)             | 0001082484 | 000-26335  |
| TSTF         | TeamStaff, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000785557 | 000-18492  |
| TECD         | Tech Data Corporation Common Stock  | Common Stock        | NGS                  | 12(g)             | 0000790703 | 000-14625  |
| TECH         | Techne Corporation Common Stock   | Common Stock        | NGS                  | 12(g)             | 0000842023 | 000-17272  |
| TICC         | Technology Investment Capital Corp. Common Stock  | Common Stock        | NGS                  | 12(g)             | 0001259429 | 000-50398  |
| TRCI         | Technology Research Corporation Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000741556 | 000-13763  |
| TSCC         | Technology Solutions Company Common Stock   | Common Stock        | NGM                  | 12(g)             | 0000877645 | 000-19433  |
| TEAM         | TechTeam Global, Inc. Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000805054 | 000-16284  |
| TWLL         | Techwell, Inc. COMMON STOCK   | Common Stock        | NGM                  | 12(g)             | 0001171529 | 000-52014  |
| TECUA        | Tecumseh Products Company Class A Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000096831 | 000-00452  |
| TECUB        | Tecumseh Products Company Class B Common Stock  | Common Stock        | NGM                  | 12(g)             | 0000096831 | 000-00452  |



| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| TGALD        | Tegal Corporation New Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000931059 | 000-26824  |
| TKLC         | Tekelec Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000790705 | 000-15135  |
| TELOZ        | TEL Offshore Trust Units of Beneficial Interest   | Units/Benef Int            | NCM                  | 12(g)             | 0000097148 | 000-06910  |
| TSYS         | TeleCommunication Systems, Inc. Class A Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001111665 | 000-30821  |
| TFONY        | Telefonos de Mexico SA de CV Ser A Spons ADR American Depositary Shares                     | American Depositary Shares | NCM                  | 12(g)             | 0000866213 | 001-32741  |
| TELN         | Telenor ASA American Depositary Shares  | American Depositary Shares | NGS                  | 12(g)             | 0001126113 | 000-31054  |
| TTEC         | TeleTech Holdings, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001013880 | 000-21055  |
| TELK         | Telik, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001109196 | 000-31265  |
| TLAB         | Tellabs, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000317771 | 000-09692  |
| WRLS         | Telular Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000915324 | 000-23212  |
| TLVT         | Telvent GIT, S.A. Ordinary Shares   | Ordinary Shares            | NGM                  | 12(g)             | 0001257803 | 000-50991  |
| TMCV         | Temecula Valley Bancorp Inc. (CA) Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001172678 | 000-49844  |
| TNCC         | Tennessee Commerce Bancorp, Inc. (TN) Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001323033 | 000-51281  |
| TRBM         | Terabeam, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000712511 | 000-29053  |
| TRCA         | Tercica, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001262175 | 000-50461  |
| TESOF        | Tesco Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001022705 | 000-28778  |
| TESS         | TESSCO Technologies Incorporated Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000927355 | 000-24746  |
| TSRA         | Tessera Technologies, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001261694 | 000-50460  |
| TTEK         | Tetra Tech, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000831641 | 000-19655  |
| TEVA         | Teva Pharmaceutical Industries Limited American Depositary Shares                           | American Depositary Shares | NGS                  | 12(g)             | 0000818686 | 000-16174  |
| TCBI         | Texas Capital Bancshares, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001077428 | 000-30533  |
| TRBS         | Texas Regional Bancshares, Inc. Class A Voting Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0000787648 | 000-14517  |
| TXRH         | Texas Roadhouse, Inc. Class A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001289460 | 000-50972  |
| TXUI         | Texas United Bancshares Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001169238 | 000-49928  |
| THRD         | TF Financial Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000921051 | 000-24168  |
| ARTL         | The Aristotle Corporation Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000790071 | 000-14669  |
| ARTLP        | The Aristotle Corporation Series I \$6.00 convertible voting cumulative 11% Preferred Stock | Preferred Stock            | NCM                  | 12(g)             | 0000790071 | 000-14669  |
| TBBK         | The Bancorp Inc Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001295401 | 000-51018  |
| TBHS         | The Bank Holdings, Inc. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001234383 | 000-50645  |
| TXCO         | The Exploration Company Common Stock  | Common Stock               | NCM                  | 12(g)             | 0000313395 | 000-09120  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| PRSC         | The Providence Service Corporation Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0001220754 | 000-50364  |
| NCTY         | The9 Limited American Depository Shares                           | American Depository Shares | NGM                  | 12(g)             | 0001296774 | 000-51053  |
| THRX         | Theravance, Inc. Common Stock                                     | Common Stock               | NGM                  | 12(g)             | 0001080014 | 000-30319  |
| TWAV         | Therma-Wave, Inc. Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0000828119 | 000-26911  |
| KOOL         | THERMOGENESIS Corp. Common Stock                                  | Common Stock               | NCM                  | 12(g)             | 0000811212 | 000-16375  |
| TSCM         | TheStreet.com, Inc. Common Stock (\$0.01 Par Value)               | Common Stock               | NGM                  | 12(g)             | 0001080056 | 000-25779  |
| TWTI         | Third Wave Technologies, Inc. Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0001120438 | 000-31745  |
| TGIS         | Thomas Group, Inc. Common Stock                                   | Common Stock               | NCM                  | 12(g)             | 0000900017 | 000-22010  |
| TPGI         | Thomas Properties Group, Inc. Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0001283709 | 000-50854  |
| TWPG         | Thomas Weisel Partners Group, Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0001340354 | 000-51730  |
| THOR         | Thoratec Corporation Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0000350907 | 000-49798  |
| THQI         | THQ Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000865570 | 000-18813  |
| THLD         | Threshold Pharmaceuticals, Inc. Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0001183765 | 000-51136  |
| TIBB         | TIB Financial Corporation Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0001013796 | 000-21329  |
| TIBX         | TIBCO Software, Inc. Common Stock                                 | Common Stock               | NGS                  | 12(g)             | 0001085280 | 000-26579  |
| TONE         | TierOne Corporation Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0001170605 | 000-50015  |
| TIII         | TII Network Technologies, Inc. Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000277928 | 001-08048  |
| TSBK         | Timberland Bancorp, Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0001046050 | 000-23333  |
| TWTC         | Time Warner Telecom Inc. Class A Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0001057758 | 000-30218  |
| TIVO         | TiVo Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001088825 | 000-27141  |
| TLCV         | TLC Vision Corporation Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001010610 | 000-29302  |
| TLGD         | Tollgrade Communications, Inc. Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0001002531 | 000-27312  |
| TOMO         | Tom Online Inc. ADS   | American Depository Shares | NGS                  | 12(g)             | 0001263288 | 000-50631  |
| TOPT         | TOP Tankers, Inc. Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0001296484 | 000-50859  |
| TOPP         | Topp Company, Inc. (The) Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000812076 | 000-15817  |
| TORM         | TOR Minerals International Inc Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000842295 | 000-17321  |
| TRGL         | Toreador Resources Corporation Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0000098720 | 000-02517  |
| TOFC         | Tower Financial Corporation Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001072847 | 000-25287  |
| TWGP         | Tower Group, Inc. Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0001289592 | 000-50990  |
| TSEMG        | Tower Semiconductor Ltd. Debentures Convertible into Common Stock | Convertible Debenture      | NCM                  | 12(g)             | 0000928876 | 000-24790  |
| TSEM         | Tower Semiconductor Ltd. Ordinary Shares                          | Ordinary Shares            | NGM                  | 12(g)             | 0000928876 | 000-24790  |

| Issue Symbol | Issue Name  | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|----------------------------|----------------------|-------------------|------------|------------|
| TSEMW        | Tower Semiconductor Ltd. Warrants - convert into one ordinary share at an exercise price of \$7.50. | Warrant                    | NCM                  | 12(g)             | 0000928876 | 000-24790  |
| CLUB         | Town Sports International Holdings, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001281774 | 000-52013  |
| TRAC         | Track Data Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000922811 | 000-24634  |
| TSCO         | Tractor Supply Company Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000916365 | 000-23314  |
| TRAD         | TradeStation Group Inc Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001111559 | 000-31049  |
| TRFC         | Traffic.com, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001097503 | 000-51746  |
| TRFX         | Traffix, Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001000297 | 000-27046  |
| TRBR         | Trailer Bridge, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001039184 | 000-22837  |
| TWMC         | Trans World Entertainment Corp. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000795212 | 000-14818  |
| TACT         | TransAct Technologies Incorporated Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001017303 | 000-21121  |
| TSAI         | Transaction Systems Architects, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000935036 | 000-25346  |
| TRNS         | Transcat, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 000099302  | 000-03905  |
| TRCR         | Transcend Services, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000858452 | 000-18217  |
| TBIO         | Transgenomic, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001043961 | 000-30975  |
| TMTA         | Transmeta Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001001193 | 000-31803  |
| TXCC         | TranSwitch Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000944739 | 000-25996  |
| TZOO         | Travelzoo Inc Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001133311 | 000-50171  |
| TMIC         | Trend Micro Incorporated American Depositary Shares   | American Depositary Shares | NGS                  | 12(g)             | 0001089463 | 333-10486  |
| TGIC         | Triad Guaranty Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000911631 | 000-22342  |
| TCBK         | TriCo Bancshares Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000356171 | 000-10661  |
| TRMA         | Trico Marine Services, Inc. Common Stock New  | Common Stock               | NGM                  | 12(g)             | 0000921549 | 000-28316  |
| TRID         | Trident Microsystems, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000859475 | 000-20784  |
| TRMB         | Trimble Navigation Limited Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000864749 | 000-18645  |
| TRMS         | Trimeris, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000911326 | 000-23155  |
| TRIB         | Trinity Biotech plc American Depositary Shares  | American Depositary Shares | NGS                  | 12(g)             | 0000888721 | 000-22320  |
| TPPA         | Trintech Group PLC American Depositary Shares   | American Depositary Shares | NGM                  | 12(g)             | 0001094316 | 000-30320  |
| TPTH         | TriPath Imaging Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001041426 | 000-22885  |
| TCMI         | Triple Crown Media, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001333291 | 000-51636  |
| TRPS         | Tripes, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000920691 | 000-23666  |
| TQNT         | TriQuint Semiconductor, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000913885 | 000-22660  |
| TRIS         | Tri-S Security Corporation Common Stock   | Common Stock               | NCM                  | 12(g)             | 0001304901 | 000-51148  |

| Issue Symbol | Issue Name  | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|-----------------|----------------------|-------------------|------------|------------|
| TRISW        | Tri-S Security Corporation Warrants Expires 2/08/2010 | Warrant         | NCM                  | 12(g)             | 0001304901 | 000-511148 |
| TZIX         | TriZetto Group, Inc. (The) Common Stock               | Common Stock    | NGS                  | 12(g)             | 0001092458 | 000-27501  |
| TRMM         | TRM Corporation Common Stock                          | Common Stock    | NGM                  | 12(g)             | 0000749254 | 000-19657  |
| TRLG         | True Religion Apparel, Inc. Common Stock              | Common Stock    | NGM                  | 12(g)             | 0001160858 | 000-51483  |
| TRMP         | Trump Entertainment Resorts, Inc. Common Stock        | Common Stock    | NGM                  | 12(g)             | 0000943320 | 001-13794  |
| TRST         | TrustCo Bank Corp NY Common Stock                     | Common Stock    | NGS                  | 12(g)             | 0000357301 | 000-10592  |
| TRMK         | Trustmark Corporation Common Stock                    | Common Stock    | NGS                  | 12(g)             | 0000036146 | 000-03683  |
| TRXI         | TRX, Inc. Common Stock                                | Common Stock    | NGM                  | 12(g)             | 0001103025 | 000-51478  |
| TSRI         | TSR, Inc. Common Stock                                | Common Stock    | NGM                  | 12(g)             | 0000098338 | 000-08656  |
| TTIL         | TTI Team Telecom International Ltd. Ordinary Shares   | Ordinary Shares | NGM                  | 12(g)             | 0001026266 | 000-28986  |
| TTMI         | TTM Technologies, Inc. Common Stock                   | Common Stock    | NGS                  | 12(g)             | 0001116942 | 000-31285  |
| TUES         | Tuesday Morning Corp. Common Stock                    | Common Stock    | NGS                  | 12(g)             | 0000878726 | 000-19658  |
| TFCO         | Tufco Technologies, Inc. Common Stock                 | Common Stock    | NGM                  | 12(g)             | 0000895329 | 000-21018  |
| TMWD         | Tumbleweed Communications Corp. Common Stock          | Common Stock    | NGM                  | 12(g)             | 0001022509 | 000-26223  |
| OVEN         | TurboChef Technologies, Inc. Common Stock             | Common Stock    | NGM                  | 12(g)             | 0000916545 | 001-32334  |
| TUTS         | Tut Systems, Inc. Common Stock                        | Common Stock    | NGM                  | 12(g)             | 0000878436 | 000-25291  |
| TVIN         | TVI Corporation Common Stock                          | Common Stock    | NCM                  | 12(g)             | 0000352079 | 000-10449  |
| TVIA         | TVIA, Inc. Common Stock                               | Common Stock    | NCM                  | 12(g)             | 0001109279 | 000-30539  |
| TWTR         | Tweeter Home Entertainment Group, Inc Common Stock    | Common Stock    | NGM                  | 12(g)             | 0001060390 | 000-24091  |
| TWIN         | Twin Disc, Incorporated Common Stock                  | Common Stock    | NGM                  | 12(g)             | 0000100378 | 000-50987  |
| RMIX         | U.S. Concrete, Inc. Common Stock                      | Common Stock    | NGM                  | 12(g)             | 0001073429 | 000-26025  |
| USEG         | U.S. Energy Corp. Common Stock                        | Common Stock    | NCM                  | 12(g)             | 0000101594 | 000-06814  |
| USEY         | U.S. Energy Systems, Inc. Common Stock                | Common Stock    | NCM                  | 12(g)             | 0000351917 | 000-10238  |
| GROW         | U.S. Global Investors, Inc. Class A Common Stock      | Common Stock    | NCM                  | 12(g)             | 0000754811 | 000-13928  |
| USHS         | U.S. Home Systems, Inc. Common Stock                  | Common Stock    | NGM                  | 12(g)             | 0000844789 | 000-18291  |
| USPH         | U.S. Physical Therapy, Inc. Common Stock              | Common Stock    | NGS                  | 12(g)             | 0000885978 | 001-11151  |
| XPRSA        | U.S. Xpress Enterprises, Inc. Class A Common Stock    | Common Stock    | NGS                  | 12(g)             | 0000923571 | 000-24806  |
| UAUA         | UAL Corporation Common Stock New                      | Common Stock    | NGS                  | 12(g)             | 0000100517 | 001-6033   |
| UAPH         | UAP Holding Corp. Common Stock                        | Common Stock    | NGS                  | 12(g)             | 0001279529 | 000-51035  |
| UCBH         | UCBH Holdings, Inc. Common Stock                      | Common Stock    | NGS                  | 12(g)             | 0001061580 | 000-24947  |
| UFPT         | UFP Technologies, Inc. Common Stock                   | Common Stock    | NCM                  | 12(g)             | 0000914156 | 001-12648  |
| ULCM         | Ulticom, Inc. Common Stock                            | Common Stock    | NGM                  | 12(g)             | 0001103184 | 000-30121  |
| ULTI         | Ultimate Software Group, Inc. (The) Common Stock      | Common Stock    | NGM                  | 12(g)             | 0001016125 | 000-24347  |
| UCTT         | Ultra Clean Holdings, Inc. Common Stock               | Common Stock    | NGS                  | 12(g)             | 0001275014 | 000-50646  |

| Issue Symbol | Issue Name  | Issue Type   | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|---|--------------|----------------------|-------------------|------------|------------|
| ULBI         | Ultralife Batteries, Inc. Common Stock                    | Common Stock | NGM                  | 12(g)             | 0000875657 | 000-20852  |
| UTEK         | Ultratech, Inc. Common Stock                              | Common Stock | NGM                  | 12(g)             | 0000909791 | 000-22248  |
| UMBF         | UMB Financial Corporation Common Stock                    | Common Stock | NGS                  | 12(g)             | 0000101382 | 000-04887  |
| UMPQ         | Umpqua Holdings Corporation Common Stock                  | Common Stock | NGS                  | 12(g)             | 0001077771 | 000-25597  |
| UARM         | Under Armour, Inc. Class A Common Stock                   | Common Stock | NGS                  | 12(g)             | 0001336917 | 001-10635  |
| UNCA         | Unica Corporation Common Stock                            | Common Stock | NGM                  | 12(g)             | 0001138804 | 000-51461  |
| UNAM         | Unico American Corporation Common Stock                   | Common Stock | NGM                  | 12(g)             | 0000100716 | 000-03978  |
| UBSH         | Union Bankshares Corporation Common Stock                 | Common Stock | NGS                  | 12(g)             | 0000883948 | 000-20293  |
| UDRL         | Union Drilling, Inc. Common Stock                         | Common Stock | NGM                  | 12(g)             | 0001133260 | 000-51630  |
| UBCD         | UnionBancorp, Inc Common Stock                            | Common Stock | NGM                  | 12(g)             | 0001019650 | 000-28846  |
| INDM         | United America Indemnity, Ltd. Class A Common Stock       | Common Stock | NGM                  | 12(g)             | 0001263813 | 000-50511  |
| UAHC         | United American Healthcare Corporation Common Stock       | Common Stock | NCM                  | 12(g)             | 0000867963 | 001-11638  |
| UBCP         | United Bancorp, Inc. Common Stock                         | Common Stock | NCM                  | 12(g)             | 0000731653 | 000-16540  |
| UBOH         | United Bancshares, Inc. Common Stock                      | Common Stock | NGM                  | 12(g)             | 0001087456 | 000-29283  |
| UBSI         | United Bankshares, Inc. Common Stock                      | Common Stock | NGS                  | 12(g)             | 0000729986 | 000-13322  |
| UCBA         | United Community Bancorp Common Stock                     | Common Stock | NGM                  | 12(g)             | 0001344970 | 000-51800  |
| UCBI         | United Community Banks, Inc. Common Stock                 | Common Stock | NGS                  | 12(g)             | 0000857855 | 000-21656  |
| UCFC         | United Community Financial Corp. Common Stock             | Common Stock | NGS                  | 12(g)             | 0000707886 | 000-24399  |
| UBNK         | United Financial Bancorp, Inc. Common Stock               | Common Stock | NGS                  | 12(g)             | 0001319572 | 000-51369  |
| UBMT         | United Financial Corp Common Stock                        | Common Stock | NGM                  | 12(g)             | 0001011309 | 000-28080  |
| UHCP         | United Heritage Corporation Common Stock                  | Common Stock | NCM                  | 12(g)             | 0000354567 | 000-9997   |
| UNFI         | United Natural Foods, Inc. Common Stock                   | Common Stock | NGS                  | 12(g)             | 0001020859 | 000-21531  |
| UNTD         | United Online, Inc. Common Stock                          | Common Stock | NGS                  | 12(g)             | 0001142701 | 000-33367  |
| UPFC         | United PanAm Financial Corporation Common Stock           | Common Stock | NGS                  | 12(g)             | 0001049231 | 000-24051  |
| URGI         | United Retail Group, Inc. Common Stock                    | Common Stock | NGM                  | 12(g)             | 0000881905 | 000-19774  |
| UBFO         | United Security Bancshares Common Stock                   | Common Stock | NGS                  | 12(g)             | 0001137547 | 000-32897  |
| USBI         | United Security Bancshares, Inc. Common Stock             | Common Stock | NCM                  | 12(g)             | 0000717806 | 000-14549  |
| USLM         | United States Lime & Minerals, Inc. Common Stock          | Common Stock | NGM                  | 12(g)             | 000082020  | 000-04197  |
| USTR         | United Stationers Inc. Common Stock                       | Common Stock | NGS                  | 12(g)             | 0000355999 | 000-10653  |
| USPI         | United Surgical Partners International, Inc. Common Stock | Common Stock | NGS                  | 12(g)             | 0001101723 | 000-32837  |
| UTHR         | United Therapeutics Corporation Common Stock              | Common Stock | NGS                  | 12(g)             | 0001082554 | 000-26301  |
| UNTY         | Unity Bancorp, Inc. Common Stock                          | Common Stock | NGM                  | 12(g)             | 0000920427 | 000-24893  |
| UHCO         | Universal American Financial Corp. Common Stock           | Common Stock | NGS                  | 12(g)             | 0000709878 | 001-08506  |
| UEIC         | Universal Electronics Inc. Common Stock                   | Common Stock | NGS                  | 12(g)             | 0000101984 | 000-21044  |

| Issue Symbol | Issue Name   | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-----------------|----------------------|-------------------|------------|------------|
| UFPI         | Universal Forest Products, Inc. Common Stock                 | Common Stock    | NGS                  | 12(g)             | 0000912767 | 000-22684  |
| USAP         | Universal Stainless & Alloy Products, Inc. Common Stock      | Common Stock    | NGM                  | 12(g)             | 0000931584 | 000-25032  |
| UACL         | Universal Truckload Services, Inc. Common Stock              | Common Stock    | NGM                  | 12(g)             | 0001308208 | 000-51142  |
| UNIB         | University Bancorp Inc Michigan Common Stock                 | Common Stock    | NCM                  | 12(g)             | 0000811211 | 000-16023  |
| UVSP         | Univest Corporation of Pennsylvania Common Stock             | Common Stock    | NGS                  | 12(g)             | 0000102212 | 000-07617  |
| URBN         | Urban Outfitters, Inc. Common Stock                          | Common Stock    | NGS                  | 12(g)             | 0000912615 | 000-16999  |
| ULGX         | Urologix, Inc. Common Stock                                  | Common Stock    | NGM                  | 12(g)             | 0000882873 | 000-28414  |
| CLEC         | US LEC Corp. Class A Common Stock                            | Common Stock    | NGM                  | 12(g)             | 0001054290 | 000-24061  |
| USMO         | USA Mobility, Inc. Common Stock                              | Common Stock    | NGS                  | 12(g)             | 0001289945 | 000-51027  |
| USAK         | USA Truck, Inc. Common Stock                                 | Common Stock    | NGS                  | 12(g)             | 0000883945 | 000-19858  |
| USNA         | USANA Health Sciences Inc. Common Stock                      | Common Stock    | NGS                  | 12(g)             | 0000896264 | 000-21116  |
| USIH         | USI Holdings Corporation Common Stock                        | Common Stock    | NGS                  | 12(g)             | 0001102643 | 000-50041  |
| UTMD         | Utah Medical Products, Inc. Common Stock                     | Common Stock    | NGM                  | 12(g)             | 0000706698 | 000-11178  |
| UTIW         | UTI Worldwide Inc. Ordinary Shares                           | Ordinary Shares | NGS                  | 12(g)             | 0001124827 | 000-31869  |
| UTSI         | UTStarcom, Inc. Common Stock                                 | Common Stock    | NGS                  | 12(g)             | 0001030471 | 000-29661  |
| LNUX         | VA Software Corporation Common Stock                         | Common Stock    | NGM                  | 12(g)             | 0001096199 | 000-28369  |
| VAIL         | Vail Banks, Inc. Common Stock                                | Common Stock    | NGM                  | 12(g)             | 0001035770 | 000-25081  |
| VLNC         | Valence Technology, Inc. Common Stock                        | Common Stock    | NCM                  | 12(g)             | 0000885551 | 000-20028  |
| VLTS         | Valentis, Inc. Common Stock                                  | Common Stock    | NCM                  | 12(g)             | 0000932352 | 000-22987  |
| VLRX         | Valera Pharmaceuticals, Inc. Common Stock                    | Common Stock    | NGM                  | 12(g)             | 0001305409 | 000-51768  |
| VLLY         | Valley Bancorp Common Stock                                  | Common Stock    | NGM                  | 12(g)             | 0001295334 | 000-50950  |
| VYFC         | Valley Financial Corporation Common Stock                    | Common Stock    | NCM                  | 12(g)             | 0000921590 | 000-28342  |
| VALU         | Value Line, Inc. Common Stock                                | Common Stock    | NGM                  | 12(g)             | 0000717720 | 000-11306  |
| VCLK         | ValueClick, Inc. Common Stock                                | Common Stock    | NGS                  | 12(g)             | 0001080034 | 000-30135  |
| VVTV         | ValueVision Media, Inc. Class A Common Stock                 | Common Stock    | NGM                  | 12(g)             | 0000870826 | 000-20243  |
| VNDA         | Vanda Pharmaceuticals Inc. Common Stock                      | Common Stock    | NGM                  | 12(g)             | 0001347178 | 000-51863  |
| VSEA         | Varian Semiconductor Equipment Associates, Inc. Common Stock | Common Stock    | NGS                  | 12(g)             | 0001079023 | 000-25395  |
| VARI         | Varian, Inc. Common Stock                                    | Common Stock    | NGS                  | 12(g)             | 0001079028 | 000-25393  |
| VSTY         | Varsity Group, Inc. Common Stock                             | Common Stock    | NGM                  | 12(g)             | 0001069502 | 000-28977  |
| VDSI         | VASCO Data Security International, Inc. Common Stock         | Common Stock    | NCM                  | 12(g)             | 0001044777 | 000-24389  |
| VASC         | Vascular Solutions, Inc. Common Stock                        | Common Stock    | NGM                  | 12(g)             | 0001030206 | 000-27605  |
| VSGN         | Vasogen Inc. Common Shares                                   | Common Stock    | NGM                  | 12(g)             | 0001042018 | 000-29350  |
| WOOF         | VCA Antech, Inc. Common Stock                                | Common Stock    | NGS                  | 12(g)             | 0000817366 | 001-16783  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| VECO         | Veeco Instruments Inc. Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0000103145 | 000-16244  |
| VEXP         | Velocity Express Corporation New Common Stock                | Common Stock               | NCM                  | 12(g)             | 0001002902 | 000-28452  |
| VMSI         | Ventana Medical Systems, Inc. Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0000893160 | 000-20931  |
| VRGY         | Verigy Ltd. Ordinary Shares                                  | Ordinary Shares            | NGS                  | 12(g)             | 0001352341 | 000-52038  |
| VRNT         | Verint Systems Inc. Common Stock                             | Common Stock               | NGM                  | 12(g)             | 0001166388 | 000-49790  |
| VRSN         | VeriSign, Inc. Common Stock                                  | Common Stock               | NGS                  | 12(g)             | 0001014473 | 000-23593  |
| VNLS         | Vernalis plc American Depositary Shares                      | American Depositary Shares | NGM                  | 12(g)             | 0000851616 | 000-20104  |
| VSNT         | Versant Corporation Common Stock                             | Common Stock               | NCM                  | 12(g)             | 0000865917 | 000-28540  |
| VRSO         | Verso Technologies, Inc. Common Stock                        | Common Stock               | NCM                  | 12(g)             | 0000797448 | 000-22190  |
| VRTX         | Vertex Pharmaceuticals Incorporated Common Stock             | Common Stock               | NGS                  | 12(g)             | 0000875320 | 000-19319  |
| VERT         | VerticalNet, Inc. Common Stock                               | Common Stock               | NCM                  | 12(g)             | 0001043946 | 000-25269  |
| VTRU         | Vertue Incorporated Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0001020996 | 000-21527  |
| VRTA         | Vestin Realty Mortgage I, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001328300 | 000-51964  |
| VRTB         | Vestin Realty Mortgage II, Inc. Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0001327603 | 000-51892  |
| VIAC         | ViaCell, Inc. Common Stock                                   | Common Stock               | NGM                  | 12(g)             | 0001114529 | 000-51110  |
| VSAT         | ViaSat, Inc. Common Stock                                    | Common Stock               | NGS                  | 12(g)             | 0000797721 | 000-21767  |
| VICL         | Vical Incorporated Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0000819050 | 000-21088  |
| VICR         | Vicor Corporation Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0000751978 | 000-18277  |
| VIDE         | Video Display Corporation Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0000758743 | 000-13394  |
| VWPT         | Viewpoint Corporation Common Stock                           | Common Stock               | NGM                  | 12(g)             | 0000919794 | 000-27168  |
| VIGN         | Vignette Corporation Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001042185 | 000-25375  |
| VISG         | Visage Technology, Inc. Common Stock                         | Common Stock               | NGM                  | 12(g)             | 0001018332 | 000-21559  |
| VBFC         | Village Bank and Trust Financial Corp. Common Stock          | Common Stock               | NCM                  | 12(g)             | 0001290476 | 000-50765  |
| VLGEA        | Village Super Market, Inc. Class A Common Stock              | Common Stock               | NGM                  | 12(g)             | 0000103595 | 000-02633  |
| VIMC         | Vimicro International Corporation American Depositary Shares | American Depositary Shares | NGM                  | 12(g)             | 0001341088 | 000-51606  |
| VNBC         | Vineyard National Bancorp Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0000840256 | 000-20862  |
| VION         | Vion Pharmaceuticals Inc. Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000944522 | 000-26534  |
| VIRL         | Virage Logic Corporation Common Stock                        | Common Stock               | NGM                  | 12(g)             | 0001050776 | 000-31089  |
| VBAC         | Virbac Corporation Common Stock                              | Common Stock               | NCM                  | 12(g)             | 0000922814 | 000-24312  |
| VCBI         | Virginia Commerce Bancorp Common Stock                       | Common Stock               | NGS                  | 12(g)             | 0001099305 | 000-28635  |
| VFGI         | Virginia Financial Group Inc Common Stock                    | Common Stock               | NGS                  | 12(g)             | 0001036070 | 000-22283  |
| VPHM         | ViroPharma Incorporated Common Stock                         | Common Stock               | NGS                  | 12(g)             | 0000946840 | 000-21699  |
| VSCP         | VirtualScopies, Inc. Common Stock                            | Common Stock               | NCM                  | 12(g)             | 0001307752 | 000-52018  |



| Issue Symbol | Issue Name   | Issue Type      | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-----------------|----------------------|-------------------|------------|------------|
| VRYA         | ViryaNet Ltd. Ordinary Shares  | Ordinary Shares | NCM                  | 12(g)             | 0001119744 | 000-315113 |
| EICU         | Visicu, Inc. Common Stock  | Common Stock    | NGM                  | 12(g)             | 0001166463 | 000-51865  |
| VSCI         | Vision-Sciences, Inc. Common Stock   | Common Stock    | NCM                  | 12(g)             | 0000894237 | 000-20970  |
| VSTA         | VistaCare Common Stock   | Common Stock    | NGM                  | 12(g)             | 0000787030 | 000-50118  |
| VPRT         | VistaPrint Limited Common Stock  | Common Stock    | NGS                  | 12(g)             | 0001262976 | 000-51539  |
| VTAL         | Vital Images, Inc. Common Stock  | Common Stock    | NGM                  | 12(g)             | 0000912888 | 000-22229  |
| VITL         | Vital Signs, Inc. Common Stock   | Common Stock    | NGS                  | 12(g)             | 0000855846 | 000-18793  |
| VSTH         | VitalStream Holdings, Inc. Common Stock  | Common Stock    | NCM                  | 12(g)             | 0000789851 | 001-10013  |
| VTNC         | Vitrin Corporation, Inc. Common Stock  | Common Stock    | NGM                  | 12(g)             | 0000946823 | 000-26256  |
| VITR         | Vitria Technology, Inc. Common Stock   | Common Stock    | NGM                  | 12(g)             | 0001050808 | 000-27207  |
| VVUS         | VIVUS, Inc. Common Stock   | Common Stock    | NGM                  | 12(g)             | 0000881524 | 000-23490  |
| VNUS         | VNUS Medical Technologies, Inc. Common Stock   | Common Stock    | NGM                  | 12(g)             | 0001040666 | 000-50988  |
| VOCL         | VocalTec Communications Ltd. Ordinary shares   | Ordinary Shares | NCM                  | 12(g)             | 0001005699 | 000-27648  |
| VOCS         | Vocus, Inc. Common Stock   | Common Stock    | NGM                  | 12(g)             | 0001329919 | 000-51644  |
| VTEK         | Vodavi Technology, Inc. Common Stock   | Common Stock    | NCM                  | 12(g)             | 0000949491 | 000-26912  |
| VOLC         | Volcano Corporation Common Stock   | Common Stock    | NGM                  | 12(g)             | 0001137567 | 000-52045  |
| VLCM         | Volcom, Inc. Common Stock  | Common Stock    | NGS                  | 12(g)             | 0001324570 | 000-51382  |
| VLTR         | Volterra Semiconductor Corporation Common Stock  | Common Stock    | NGM                  | 12(g)             | 0001050550 | 000-50857  |
| VOXW         | Voxware, Inc. Common Stock   | Common Stock    | NCM                  | 12(g)             | 0000933454 | 000-21403  |
| VSEC         | VSE Corporation Common Stock   | Common Stock    | NGM                  | 12(g)             | 0000102752 | 000-03676  |
| VYYO         | Vyvo, Inc. Common Stock  | Common Stock    | NGM                  | 12(g)             | 0001104730 | 000-30189  |
| WBPRN        | W Holding Company Incorporated 2001 Series C 7.60% Non-Cumulative Monthly Income Preferred Stock | Preferred Stock | NGM                  | 12(g)             | 0001084887 | 000-27377  |
| WBPRK        | W Holding Company Incorporated 2003 Series G Non-Cumulative Preferred Stock                      | Preferred Stock | NGM                  | 12(g)             | 0001084887 | 000-27377  |
| WBPRJ        | W Holding Company Incorporated 2004 Series H Non-Cumulative Preferred Stock                      | Preferred Stock | NGM                  | 12(g)             | 0001084887 | 000-27377  |
| WBPRO        | W Holding Company Incorporated 7.25% 1999 Series B Noncumulative Monthly Income Preferred Stock  | Preferred Stock | NGM                  | 12(g)             | 0001084887 | 000-27377  |
| WBPRM        | W Holding Company Incorporated 7.40% Noncumulative Monthly Income Preferred Stock, 2001 Series D | Preferred Stock | NGM                  | 12(g)             | 0001084887 | 000-27377  |
| WBPRL        | W Holding Company Incorporated Series E Preferred Stock  | Preferred Stock | NGM                  | 12(g)             | 0001084887 | 000-27377  |
| WBPRZ        | W Holding Company Incorporated Series F Preferred Stock  | Preferred Stock | NGM                  | 12(g)             | 0001084887 | 000-27377  |
| WBNK         | Waccamaw Bankshares Inc Common Stock   | Common Stock    | NCM                  | 12(g)             | 0001144686 | 000-32985  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| WACL         | Wacoal Holdings Corporation American Depositary Shares           | American Depositary Shares | NCM                  | 12(g)             | 0000104040 | 000-11743  |
| WRNC         | Warnaco Group Inc (The) Common Stock                             | Common Stock               | NGS                  | 12(g)             | 0000801351 | 001-10857  |
| WRES         | Warren Resources, Inc. Common Stock                              | Common Stock               | NGM                  | 12(g)             | 0000892986 | 000-33275  |
| WARR         | Warrior Energy Service Corporation New Common Stock              | Common Stock               | NGM                  | 12(g)             | 0000839871 | 000-18754  |
| WWVY         | Warwick Valley Telephone Company Common Stock                    | Common Stock               | NGM                  | 12(g)             | 0000104777 | 000-11174  |
| WBCO         | Washington Banking Company Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0001058690 | 000-24503  |
| WFSL         | Washington Federal, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0000936528 | 000-25454  |
| WGII         | Washington Group International, Inc. Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000906469 | 001-12054  |
| DIMEZ        | Washington Mutual, Inc. Dime Bancorp Litigation Tracking Warrant | Other Securities           | NGM                  | 12(g)             | 0000933136 | 001-14667  |
| WASH         | Washington Trust Bancorp, Inc. Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0000737468 | 000-13091  |
| WWIN         | Waste Industries USA, Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001125845 | 000-31050  |
| WSII         | Waste Services, Inc. Common Stock                                | Common Stock               | NGM                  | 12(g)             | 0001065736 | 000-25955  |
| WGRD         | WatchGuard Technologies, Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001062019 | 000-26819  |
| WAUW         | Wauwatosa Holdings, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0001329517 | 000-51507  |
| WAVXD        | Wave Systems Corp. Class A New Common Stock                      | Common Stock               | NGM                  | 12(g)             | 0000919013 | 000-24752  |
| WVCM         | Wavecom S.A. American Depositary Shares                          | American Depositary Shares | NGM                  | 12(g)             | 0001085763 | 000-30078  |
| WAYN         | Wayne Savings Bancshares Inc. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001036030 | 000-23433  |
| WCAA         | WCA Waste Corporation Common Stock                               | Common Stock               | NGM                  | 12(g)             | 0001282398 | 000-50808  |
| WDFC         | WD-40 Company Common Stock                                       | Common Stock               | NGS                  | 12(g)             | 0000105132 | 000-06936  |
| WWWV         | Web.com, Inc. Common Stock                                       | Common Stock               | NGM                  | 12(g)             | 0000854460 | 000-17932  |
| WEBX         | WebEx Communications, Inc. Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0001109935 | 000-30849  |
| WBMD         | WebMD Health Corp. Class A Common Stock                          | Common Stock               | NGS                  | 12(g)             | 0001326583 | 000-51547  |
| WEBM         | webMethods, Inc. Common Stock                                    | Common Stock               | NGM                  | 12(g)             | 0001035096 | 001-15681  |
| WBSN         | WebSense, Inc. Common Stock                                      | Common Stock               | NGS                  | 12(g)             | 0001098277 | 000-30093  |
| WSSI         | WebSideStory, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001091158 | 000-31613  |
| WSPI         | Website Pros, Inc. Common Stock                                  | Common Stock               | NGM                  | 12(g)             | 0001095291 | 000-51595  |
| WBSTP        | Webster Financial Corporation Series B 8.625% Cumulative         | Preferred Stock            | NGM                  | 12(g)             | 0001047865 | 000-23513  |
| WZEN         | Webzen Inc American Depositary Shares                            | American Depositary Shares | NGM                  | 12(g)             | 0001266467 | 000-50476  |
| WGNR         | Wegener Corporation Common Stock                                 | Common Stock               | NCM                  | 12(g)             | 0000715073 | 000-11003  |
| WERN         | Werner Enterprises, Inc. Common Stock                            | Common Stock               | NGS                  | 12(g)             | 0000793074 | 000-14690  |
| WSBC         | WesBanco, Inc. Common Stock                                      | Common Stock               | NGS                  | 12(g)             | 0000203596 | 000-08467  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| WTBA         | West Bancorporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001166928 | 000-49677  |
| WCBO         | West Coast Bancorp Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000717059 | 000-10997  |
| WSTC         | West Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001024657 | 000-21771  |
| WMAR         | West Marine, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000912833 | 000-22512  |
| WSTF         | Westaff Inc. Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000931911 | 000-24990  |
| WEDX         | Westaim Corporation (The) Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001108828 | 000-30600  |
| WABC         | Westamerica Bancorporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000311094 | 001-09383  |
| WBKC         | WestBank Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000742070 | 000-12784  |
| WSTL         | Westell Technologies, Inc. Class A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001002135 | 000-27266  |
| WTSLA        | Wet Seal, Inc. (The) Class A Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000863456 | 000-18632  |
| WEYS         | Weyco Group, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000106532 | 000-09068  |
| WGNB         | WGNB Corp. Common Stock  | Common Stock               | NCM                  | 12(g)             | 0001115568 | 000-30805  |
| WPSC         | Wheeling-Pittsburgh Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000941738 | 000-50300  |
| WEDC         | White Electronic Designs Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0000013606 | 001-04817  |
| WTNY         | Whitney Holding Corporation Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000106926 | 000-01026  |
| WHIT         | Whittier Energy Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001108520 | 000-30598  |
| WFMI         | Whole Foods Market, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000865436 | 000-19797  |
| WTHN         | WiderThan Co., Ltd. American Depositary Shares   | American Depositary Shares | NGM                  | 12(g)             | 0001342167 | 000-51631  |
| OATS         | Wild Oats Markets, Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000909990 | 000-21577  |
| WVVI         | Willamette Valley Vineyards, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000838875 | 000-21522  |
| WMSI         | Williams Industries, Inc. Common Stock   | Common Stock               | NCM                  | 12(g)             | 0000107294 | 000-08190  |
| WLSC         | Williams Scotsman International, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0000923144 | 000-51521  |
| WLFC         | Willis Lease Finance Corporation Common Stock  | Common Stock               | NGM                  | 12(g)             | 0001018164 | 000-28774  |
| WLFCP        | Willis Lease Finance Corporation Series A Preferred Shares   | Preferred Stock            | NGM                  | 12(g)             | 0001018164 | 000-28774  |
| WGBC         | Willow Grove Bancorp, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001163428 | 000-25191  |
| WIBC         | Wilshire Bancorp, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001285224 | 000-50923  |
| WLSN         | Wilson's The Leather Experts Inc. Common Stock   | Common Stock               | NGM                  | 12(g)             | 0001016607 | 000-21543  |
| WIND         | Wind River Systems, Inc. Common Stock  | Common Stock               | NGS                  | 12(g)             | 0000833829 | 000-21342  |
| WINA         | Winmark Corporation Common Stock   | Common Stock               | NGM                  | 12(g)             | 0000908315 | 000-22012  |
| WTFC         | Wintrust Financial Corporation Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001015328 | 000-21923  |
| WTFCP        | Wintrust Financial Corporation Wintrust Capital Trust I - 9.0% Cumulative Trust Preferred Securities | Other Securities           | NGS                  | 12(g)             | 0001015328 | 000-21923  |
| WFII         | Wireless Facilities, Inc. Common Stock   | Common Stock               | NGS                  | 12(g)             | 0001069258 | 000-27231  |

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|-------------------|------------|------------|
| WITS         | Witness Systems, Inc. Common Stock                     | Common Stock               | NGS                  | 12(g)             | 0001097338 | 000-29335  |
| WJCI         | WJ Communications, Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0000105006 | 000-31337  |
| WDHD         | Woodhead Industries, Inc. Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0000108215 | 000-05971  |
| WGOV         | Woodward Governor Company Common Stock                 | Common Stock               | NGS                  | 12(g)             | 0000108312 | 000-08408  |
| WRLD         | World Acceptance Corporation Common Stock              | Common Stock               | NGS                  | 12(g)             | 0000108385 | 000-19599  |
| WHRT         | World Heart Corporation Ordinary Shares (Canada)       | Ordinary Shares            | NGM                  | 12(g)             | 0001024520 | 000-28882  |
| WGAT         | Worldgate Communications, Inc. Common Stock            | Common Stock               | NCM                  | 12(g)             | 0001030058 | 000-25755  |
| WRSP         | WorldSpace, Inc. Common Stock                          | Common Stock               | NGM                  | 12(g)             | 0001315054 | 000-51466  |
| WPCS         | WPCS International, Inc. Common Stock                  | Common Stock               | NCM                  | 12(g)             | 0001086745 | 000-26277  |
| WPPGY        | WPP Group plc American Depositary Shares               | American Depositary Shares | NGS                  | 12(g)             | 0000806968 | 000-16350  |
| WPT          | WPT Enterprises, Inc. Common Stock                     | Common Stock               | NGM                  | 12(g)             | 0001283843 | 000-50848  |
| WMGI         | Wright Medical Group, Inc. Common Stock                | Common Stock               | NGS                  | 12(g)             | 0001137861 | 000-32883  |
| WSFS         | WSFS Financial Corporation Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000828944 | 000-16668  |
| WSCJ         | WSI Industries Inc. Common Stock                       | Common Stock               | NCM                  | 12(g)             | 0000104897 | 000-00619  |
| WVFC         | WVS Financial Corp. Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0000910679 | 000-22444  |
| WYNN         | Wynn Resorts, Limited Common stock                     | Common Stock               | NGS                  | 12(g)             | 0001174922 | 000-50028  |
| XATA         | XATA Corporation Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000854398 | 000-27166  |
| XGEN         | Xenogen Corporation Common Stock                       | Common Stock               | NGM                  | 12(g)             | 0001164449 | 000-32239  |
| XNPT         | XenoPort, Inc. Common Stock                            | Common Stock               | NGM                  | 12(g)             | 0001130591 | 000-51329  |
| XETA         | XETA Technologies, Inc. Common Stock                   | Common Stock               | NGM                  | 12(g)             | 0000742550 | 000-16231  |
| XLNX         | Xilinx, Inc. Common Stock                              | Common Stock               | NGS                  | 12(g)             | 0000743988 | 000-18548  |
| XMSR         | XM Satellite Radio Holdings Inc. Class A Common Stock  | Common Stock               | NGS                  | 12(g)             | 0001091530 | 000-27441  |
| XOMA         | XOMA Ltd. Common Stock                                 | Common Stock               | NGM                  | 12(g)             | 0000791908 | 000-14710  |
| XRIT         | X-Rite, Incorporated Common Stock                      | Common Stock               | NGS                  | 12(g)             | 0000790818 | 000-14800  |
| XTLB         | XTL Biopharmaceuticals Ltd. American Depositary Shares | American Depositary Shares | NGM                  | 12(g)             | 0001023549 | 000-51310  |
| XRTX         | Xyrtex Ltd. Common Shares                              | Common Stock               | NGS                  | 12(g)             | 0001284823 | 000-50800  |
| YHOO         | Yahoo! Inc. Common Stock                               | Common Stock               | NGS                  | 12(g)             | 0001011006 | 000-28018  |
| YAKC         | Yak Communications, Inc. Common Stock                  | Common Stock               | NGM                  | 12(g)             | 0001084544 | 000-33471  |
| YANB         | Yardville National Bancorp Common Stock                | Common Stock               | NGS                  | 12(g)             | 0000787849 | 000-26086  |
| YORW         | York Water Company (The) Common Stock                  | Common Stock               | NGS                  | 12(g)             | 0000108985 | 000-00690  |
| UBET         | Youbet.com, Inc. Common Stock                          | Common Stock               | NCM                  | 12(g)             | 0000814055 | 000-26015  |
| YBTV         | Young Broadcasting, Inc. Class A Common Stock          | Common Stock               | NGM                  | 12(g)             | 0000929144 | 000-25042  |
| YDNT         | Young Innovations, Inc. Common Stock                   | Common Stock               | NGS                  | 12(g)             | 0000949874 | 000-23213  |

| Issue Symbol | Issue Name   | Issue Type                    | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-------------------------------|----------------------|-------------------|------------|------------|
| YRCW         | YRC Worldwide, Inc. Common Stock                                   | Common Stock                  | NGS                  | 12(g)             | 0000716006 | 000-12255  |
| ZANE         | Zanett Inc. Common Stock   | Common Stock                  | NCM                  | 12(g)             | 0001133872 | 000-27068  |
| ZRBA         | Zareba Systems, Inc. Common Stock                                  | Common Stock                  | NCM                  | 12(g)             | 0000104987 | 000-01388  |
| ZBRA         | Zebra Technologies Corporation Class A Common Stock                | Common Stock                  | NGS                  | 12(g)             | 0000877212 | 000-19406  |
| ZVXI         | Zevox International, Inc. Common Stock                             | Common Stock                  | NGM                  | 12(g)             | 0000827056 | 001-12965  |
| ZHNE         | Zhone Technologies, Inc. Common Stock                              | Common Stock                  | NGM                  | 12(g)             | 0001101680 | 000-50263  |
| ZICA         | Zi Corporation Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000922658 | 000-24018  |
| ZILA         | Zila, Inc. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0000827156 | 000-17521  |
| ZILG         | ZILOG, Inc. New Common Stock                                       | Common Stock                  | NGM                  | 12(g)             | 0000319450 | 001-13748  |
| ZION         | Zions Bancorporation Common Stock (No Par Value)                   | Common Stock                  | NGS                  | 12(g)             | 0000109380 | 000-02610  |
| ZIPR         | ZipRealty, Inc. Common Stock                                       | Common Stock                  | NGM                  | 12(g)             | 0001142512 | 000-51002  |
| ZIXI         | Zixit Corporation Common Stock                                     | Common Stock                  | NGM                  | 12(g)             | 0000855612 | 000-17995  |
| ZOLL         | Zoll Medical Corporation Common Stock                              | Common Stock                  | NGS                  | 12(g)             | 0000887568 | 000-20225  |
| ZOLT         | Zoltek Companies, Inc. Common Stock                                | Common Stock                  | NGM                  | 12(g)             | 0000890923 | 000-20600  |
| ZOMX         | Zomax Incorporated Common Stock                                    | Common Stock                  | NGM                  | 12(g)             | 0001010788 | 000-28426  |
| ZONS         | Zones Inc. Common Stock  | Common Stock                  | NGM                  | 12(g)             | 0001013786 | 000-28488  |
| ZOOM         | Zoom Technologies, Inc. Common Stock                               | Common Stock                  | NCM                  | 12(g)             | 0000822708 | 000-18672  |
| ZRAN         | Zoran Corporation Common Stock                                     | Common Stock                  | NGS                  | 12(g)             | 0001003022 | 000-27246  |
| ZUMZ         | Zumiez Inc. Common Stock   | Common Stock                  | NGS                  | 12(g)             | 0001318008 | 000-51300  |
| ZIGO         | Zygo Corporation Common Stock                                      | Common Stock                  | NGS                  | 12(g)             | 0000730716 | 000-12944  |
| ZGEN         | ZymoGenetics, Inc. Common Stock                                    | Common Stock                  | NGM                  | 12(g)             | 0001129425 | 000-33489  |
| ADRA         | BLDRS Index Funds Trust BLDRS Asia 50 ADR Index Fund               | Other Securities              | NGM                  | 12(g)(2)(B)       | 0001169717 | 811-21057  |
| ADRD         | BLDRS Index Funds Trust BLDRS Developed Markets 100 ADR Index Fund | Other Securities              | NGM                  | 12(g)(2)(B)       | 0001169717 | 811-21057  |
| ADRE         | BLDRS Index Funds Trust BLDRS Emerging Markets 50 ADR Index Fund   | Other Securities              | NGM                  | 12(g)(2)(B)       | 0001169717 | 811-21057  |
| ADRU         | BLDRS Index Funds Trust BLDRS Europe 100 ADR Index Fund            | Other Securities              | NGM                  | 12(g)(2)(B)       | 0001169717 | 811-21057  |
| CSQ          | Calamos Strategic Total Return Common Stock                        | Shares of Beneficial Interest | NGS                  | 12(g)(2)(B)       | 0001275214 | 811-21484  |
| ONEQ         | Fidelity Nasdaq Composite Index Tracking Stock                     | Other Securities              | NGM                  | 12(g)(2)(B)       | 0000205323 | 811-2546   |
| QTEC         | First Trust NASDAQ - 100-Technology Sector Index Fund              | Other Securities              | NGM                  | 12(g)(2)(B)       | 0001329377 | 001-32621  |
| QQEW         | First Trust NASDAQ-100 Equal Weighted Index Fund                   | Other Securities              | NGM                  | 12(g)(2)(B)       | 0001329377 | 001-32621  |
| CUBA         | Herzfeld Caribbean Basin Fund, Inc. (The) Common Stock             | Common Stock                  | NCM                  | 12(g)(2)(B)       | 0000880406 | 811-06445  |
| JHFT         | John Hancock Financial Trends Fund, Inc. Common Stock              | Common Stock                  | NGS                  | 12(g)(2)(B)       | 0000852954 | 811-05734  |

| Issue Symbol | Issue Name   | Issue Type                    | Issue Market Segment | Registration Type | CIK        | SEC File # |
|--------------|--|-------------------------------|----------------------|-------------------|------------|------------|
| QQQQ         | Nasdaq-100 Trust, Series I Nasdaq-100 Shares   | Other Securities              | NGM                  | 12(g)(2)(B)       | 0001067839 | 811-08947  |
| JLA          | Nuveen Equity Premium Advantage Fund Nuveen Equity Premium Advantage Fund Common Shares of Beneficial Interest | Shares of Beneficial Interest | NGM                  | 12(g)(2)(B)       | 0001320492 | 811-21731  |
| JPZ          | Nuveen Equity Premium Income Fund Nuveen Equity Income Premium Fund Shares of Beneficial Interest              | Shares of Beneficial Interest | NGM                  | 12(g)(2)(B)       | 0001298699 | 811-21619  |
| JSN          | Nuveen Equity Premium Opportunity Fund Nuveen Equity Premium Opportunity Fund Shares of Beneficial Interest    | Shares of Beneficial Interest | NGM                  | 12(g)(2)(B)       | 0001308658 | 811-21674  |
| FUND         | Royce Focus Trust, Inc. Common Stock   | Common Stock                  | NGS                  | 12(g)(2)(B)       | 0000825202 | 811-05379  |
| PEFX         | S&P 500 Protected Equity Fund, Inc. (The) Common Stock   | Common Stock                  | NGS                  | 12(g)(2)(B)       | 0001090431 | 811-9479   |
| WSCC         | Waterside Capital Corporation Common Stock   | Common Stock                  | NCM                  | 12(g)(2)(B)       | 0000924095 | 811-8387   |

Exhibit B: List of securities of issuers that have elected to opt-out of requested relief

| Issue Symbol | Issue Name | Issue Type | Issue Market Segment | Registration Type | CIK | SEC File # |
|--------------|------------|------------|----------------------|-------------------|-----|------------|
|--------------|------------|------------|----------------------|-------------------|-----|------------|

None



Exhibit C: List of securities exempt from Section 12(g) registration under Section 12(g)(2)(G) and Rule 12g3-2(b)

| Issue Symbol | Issue Name   | Issue Type                 | Issue Market Segment | Exemption Type | CIK        | SEC File # |
|--------------|--|----------------------------|----------------------|----------------|------------|------------|
| AAUK         | Anglo American plc American Depositary Shares                              | American Depositary Shares | NCM                  | 12g3-2(b)      | 0001088370 | 82-97      |
| DAIEY        | Dai'ei, Inc. American Depositary Shares                                    | American Depositary Shares | NCM                  | 12g3-2(b)      | 0000800167 | 82-230     |
| FUJIY        | Fuji Photo Film Co., Ltd. American Depositary Shares                       | American Depositary Shares | NCM                  | 12g3-2(b)      | 0000800365 | 82-00078   |
| HSVLY        | Highveld Steel and Vanadium Corporation Limited American Depositary Shares | American Depositary Shares | NCM                  | 12g3-2(b)      | 0000800630 | 82-596     |
| NSANY        | Nissan Motor Co., Ltd. American Depositary Shares                          | American Depositary Shares | NCM                  | 12g3-2(b)      | 0000800937 | 82-207     |
| REXMY        | Rexam, Plc American Depositary Shares                                      | American Depositary Shares | NCM                  | 12g3-2(b)      | 0000013522 | 82-00004   |
| STOSY        | Santos, Ltd. American Depositary Shares (Australia)                        | American Depositary Shares | NCM                  | 12g3-2(b)      | 0000800861 | 82-34      |
| SANYY        | Sanyo Electric Co., Ltd. American Depositary Shares                        | American Depositary Shares | NCM                  | 12g3-2(b)      | 0000800863 | 82-264     |
| VELCF        | Velcro Industries N.V. Common Stock  | Common Stock               | NCM                  | 12g3-2(b)      | 0000802100 | 82-145     |
| ANAT         | American National Insurance Company Common Stock                           | Common Stock               | NGS                  | 12(g)(2)(G)    |            |            |
| KCLI         | Kansas City Life Insurance Company Common Stock                            | Common Stock               | NCM                  | 12(g)(2)(G)    | 0000054473 | 002-40764  |
| NWLIA        | National Western Life Insurance Company Class A Common Stock               | Common Stock               | NGS                  | 12(g)(2)(G)    | 0000070684 | 002-17039  |
| UFCS         | United Fire & Casualty Company Common Stock                                | Common Stock               | NGS                  | 12(g)(2)(G)    | 0000101199 | 002-39621  |

[FR Doc. 06-6708 Filed 8-7-06; 8:45 am]

BILLING CODE 8010-01-C

## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34-54241]

### In the Matter of the Application of the Nasdaq Stock Market, Inc. and the NASDAQ Stock Market LLC for an Exemption From Section 12(a) Allowing Trading of Certain Unregistered Securities

July 31, 2006.

#### I. Introduction

On January 13, 2006, the Commission approved the application of the Nasdaq Stock Market, Inc. ("Nasdaq") to register one of its subsidiaries, the NASDAQ Stock Market LLC ("Nasdaq Exchange") as a national securities exchange.<sup>1</sup> Prior to Nasdaq's submission of the application to become an exchange, Nasdaq was a wholly-owned subsidiary of the National Association of Securities Dealers, Inc. ("NASD") that operated as an interdealer quotation system. Historically under NASD rules, a company's securities were eligible for listing on Nasdaq if the security was registered under either Section 12(g)<sup>2</sup> or Section 12(b)<sup>3</sup> of the Securities Exchange Act of 1934 ("Exchange Act").<sup>4</sup> However, in certain circumstances, NASD rules also permitted the trading of securities that are exempt from registration under Section 12(g) of the Exchange Act.

Among other exempt securities, NASD rules allow the trading of any security of an insurance company that is exempt from registration under Section 12(g)(2)(G) of the Exchange Act<sup>5</sup> and the

securities of certain foreign private issuers that are exempt from Section 12(g) registration pursuant to Exchange Act Rule 12g3-2(b).<sup>6</sup>

Once the Nasdaq Exchange begins to operate as a national securities exchange, Section 12(a) of the Exchange Act<sup>7</sup> would prohibit any Nasdaq Exchange member, broker, or dealer from effecting any transaction in any security, other than an "exempted security" as defined in Section 3(a)(12) of the Exchange Act,<sup>8</sup> on the Nasdaq Exchange, unless the security is registered under Section 12(b) of the Exchange Act. There are no exemptions from Section 12(b) registration afforded to insurance companies and foreign private issuers that correspond to the exemptions available to these issuers under Section 12(g)(2)(G) of the Exchange Act and Exchange Act Rule 12g3-2(b). Accordingly, the securities of these issuers would need to be registered under Section 12(b) of the Exchange Act before transactions in those securities could be effected by Nasdaq Exchange members, brokers and dealers, consistent with Section 12(a) on the Nasdaq Exchange, absent the exemption provided by this order.

#### II. Request by Nasdaq and the Nasdaq Exchange for an Exemption From Section 12(a) of the Exchange Act

On July 31, 2006, the Commission received an application (the "Nasdaq Application")<sup>9</sup> from the Nasdaq and the Nasdaq Exchange for an exemption pursuant to Section 36 of the Exchange Act,<sup>10</sup> in accordance with the procedures set forth in Exchange Act Rule 0-12.<sup>11</sup> Section 36 of the Exchange Act gives the Commission the authority to exempt any person, security or transaction from any Exchange Act provision by rule, regulation or order, to the extent that the exemption is necessary or appropriate in the public interest and consistent with the protection of investors. Nasdaq and the Nasdaq Exchange have requested a three-year exemption from Section 12(a) of the Exchange Act, with respect to transactions in securities of the issuers

listed in Exhibit C to the Nasdaq Application that are currently exempt from registration under Section 12(g) of the Exchange Act. According to Nasdaq and the Nasdaq Exchange, the securities of four insurance companies and nine foreign private issuers currently are trading on Nasdaq in reliance on these exemptions.<sup>12</sup>

An exemption from Section 12(a) would permit Nasdaq Exchange members and brokers or dealers to effect transactions in these securities on the Nasdaq Exchange without registration under Section 12(b) of the Exchange Act. Nasdaq and the Nasdaq Exchange believe that the three-year period will provide these issuers with adequate time to complete the Section 12(b) registration process and prepare financial statements should they choose to continue to have their securities traded on the Nasdaq Exchange after expiration of the three-year period. Under the terms of the requested exemption, the insurance companies would have to continue to satisfy the conditions set forth in Section 12(g)(2)(G) of the Exchange Act and the foreign private issuers would have to remain in compliance with the conditions set forth in Exchange Act Rule 12g3-2(b) to qualify for the exemption.

Prior to submitting this request, Nasdaq and the Nasdaq Exchange notified the insurance companies and the foreign private issuers of their plan to request a Section 12(a) exemption on the issuers' behalf and allowed each issuer that did not wish to be the subject of the request to opt-out of the process. Nasdaq and the Nasdaq Exchange provided these issuers a period of 10 business days to notify Nasdaq of an opt-out preference. The issuers that chose to opt-out from the request are listed in Exhibit B to the Nasdaq Application.

#### III. Order Granting Nasdaq's Application for an Exemption Pursuant to Section 36 of the Exchange Act

We believe that exempting Nasdaq Exchange members, brokers and dealers for a limited time from the requirements of Section 12(a) regarding the trading of the securities listed in Exhibit C to the Nasdaq Application is necessary and appropriate in the public interest, and is consistent with the protection of investors in order to afford these issuers time to comply with the Section 12(b) registration requirements. As represented by Nasdaq and the Nasdaq Exchange in their request, immediate registration under Section 12(b) could

<sup>1</sup> See Release No. 34-53128 (January 13, 2006) [71 FR 3550].

<sup>2</sup> 15 U.S.C. 78j(g).

<sup>3</sup> 15 U.S.C. 78j(b).

<sup>4</sup> 15 U.S.C. 78a *et seq.*

<sup>5</sup> 15 U.S.C. 78j(g)(2)(G). Section 12(g)(2)(G) provides that any security issued by an insurance company is exempt from registration if all of the following conditions are met:

- Such insurance company is required to and does file an annual statement with the Commissioner of Insurance (or other officer or agency performing a similar function) of its domiciliary State, and such annual statement conforms to that prescribed by the National Association of Insurance Commissioners or in the determination of such State commissioner, officer or agency substantially conforms to that so prescribed.

- Such insurance company is subject to regulation by its domiciliary State of proxies, consents, or authorizations in respect of securities issued by such company and such regulation conforms to that prescribed by the National Association of Insurance Commissioners.

- After July 1, 1966, the purchase and sales of securities issued by such insurance company by

beneficial owners, directors, or officers of such company are subject to regulation (including reporting) by its domiciliary State substantially in the manner provided in Section 16.

<sup>6</sup> See 17 CFR 240.12g3-2(b).

<sup>7</sup> 15 U.S.C. 78j(a).

<sup>8</sup> 15 U.S.C. 78c(a)(12).

<sup>9</sup> Letter from Edward S. Knight to Nancy M. Morris (July 31, 2006). The Nasdaq Application is included in accompanying Release No. 34-54240 (July 31, 2006).

<sup>10</sup> 15 U.S.C. 78mm.

<sup>11</sup> 17 CFR 240.0-12. Exchange Act Rule 0-12 sets forth procedures for filing applications for orders for exemptive relief pursuant to Section 36.

<sup>12</sup> See the Nasdaq Application.