

SR–NASD–2001–34 and should be submitted by July 13, 2001.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.⁹

Margaret H. McFarland,

Deputy Secretary.

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SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–44432; File No. SR–PCX–2001–22]

Self-Regulatory Organizations; Notice of Filing and Immediate Effectiveness of Proposed Rule Change by the Pacific Exchange, Inc. Relating to Fees for Application for Approved Status Despite Grounds for Statutory Disqualification Fee

June 15, 2001.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (“Act”)¹ and Rule 19b–4 thereunder,² notice is hereby given that on June 1, 2001, the Pacific Exchange, Inc. (“PCX” or “Exchange”) filed with the Securities and Exchange Commission (“Commission”) the proposed rule change as described in Items I, II and III below, which items have been prepared by the Exchange. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The PCX proposes to change its schedule of Fees and Charges for Exchange Services by adding a fee for an Application for Approved Status Despite Grounds for Statutory Disqualification.³ The text of the proposed rule change is below. New text is in italics.

Text of the Proposed Rule Change

SCHEDULE OF FEES AND CHARGES FOR EXCHANGE SERVICES

* * * * *

PCX GENERAL MEMBERSHIP FEES

Application for Approved Status Despite Ground for Statutory Disqualification.	\$250.00 per application.
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II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in Sections A, B and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The purpose of the proposed new fee is to cover the expenses of handling the Application for Approved Status Despite Grounds for Statutory Disqualification.

This fee is payable whenever a person or entity is subject to a statutory disqualification under the Act and (1) is an applicant for Exchange membership, (2) is seeking to be an associated person of an Exchange Member (except where the Exchange is merely asked to concur in an SEC Rule 19h–1 filing by another self-regulatory organization), or (3) is an existing Exchange member or associated person who submits an Application for Approved Status Despite Grounds for Statutory Disqualification. This fee is in addition to any other membership fees that might be applicable.

2. Statutory Basis

The Exchange believes that the proposal is consistent with Section 6(b) of the Act,⁴ in general, and Section 6(b)(4) of the Act,⁵ in particular, in that it provides for the equitable allocation of reasonable dues, fees and other charges among its members.⁶

⁴ 15 U.S.C. 78f(b).

⁵ 15 U.S.C. 78f(b)(4).

⁶ The proposed fee will be applied to the expenses of Exchange staff review, investigation and evaluation of Applications for Approved Status Despite Grounds for Statutory Disqualification. Telephone discussion between Cindy L. Sink, Senior Staff Attorney, Regulatory Policy, PCX, Karl Varner, Senior Counsel, Division of Market Regulation (“Division”), Commission, and Frank N.

B. Self-Regulatory Organization’s Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act.

C. Self-Regulatory Organization’s Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

Written comments on the proposed rule change were neither solicited nor received.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The foregoing rule change has become effective pursuant to Section 19(b)(3)(A)⁷ of the Act and subparagraph (f)(2) of Rule 19b–4⁸ thereunder, because it establishes or changes a due, fee, or other charge. At any time within 60 days of the filing of such proposed rule change, the Commission may summarily abrogate such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.⁹

IV. Solicitation of Comments

Interested persons are invited to submit written data, view and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549–0609. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Commission’s Public Reference Room. Copies of such filing will also be available for inspection and copying at the principal office of the PCX. All submissions should refer to File No.

Genco, Attorney Advisor, Division, Commission (June 11, 2001).

⁷ 15 U.S.C. 78s(b)(3)(A).

⁸ 17 U.S.C. 240.19b–4.

⁹ See 15 U.S.C. 78(b)(3)(C).

⁹ 17 CFR 200.30–3(a)(12).

¹ 15 U.S.C. 78s(b)(1).

² 17 CFR 240.19b–4.

³ See PCX Rule 1.7(a)—Denial of and Conditions to Membership.

SR-PCX-2001-22 and should be submitted by July 13, 2001.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.¹⁰

Margaret H. McFarland,

Deputy Secretary.

[FR Doc. 01-15678 Filed 6-21-01; 8:45 am]

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SMALL BUSINESS ADMINISTRATION

[Declaration of Disaster #3349]

State of Florida

As a result of the President's major disaster declaration on June 17, 2001, I find that Gadsden, Jefferson, Leon, Liberty, and Wakulla Counties in the State of Florida constitute a disaster area due to damages caused by Tropical Storm Allison occurring on June 11 and continuing through June 15, 2001. Applications for loans for physical damage as a result of this disaster may be filed until the close of business on August 16, 2001 and for economic injury until the close of business on March 18, 2002 at the address listed below or other locally announced locations: U.S. Small Business Administration, Disaster Area 2 Office, One Baltimore Place, Suite 300, Atlanta, GA 30308.

In addition, applications for economic injury loans from small businesses located in the following contiguous counties in Florida may be filed until the specified date at the above location: Calhoun, Franklin, Gulf, Jackson, Madison, and Taylor; and Brooks, Decatur, Grady, Seminole, and Thomas counties in the State of Georgia.

The interest rates are:

	Percent
For Physical Damage:	
Homeowners with credit available elsewhere	6.625
Homeowners without credit available elsewhere	3.312
Businesses with credit available elsewhere	8.000
Businesses and non-profit organizations without credit available elsewhere	4.000
Others (including non-profit organizations) with credit available elsewhere	7.125
For Economic Inquiry:	
Businesses and small agricultural cooperatives without credit available elsewhere	4.000

The number assigned to this disaster for physical damage is 334908. For economic injury the number is 9L9200 for Florida, and 9L9300 for Georgia.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008)

Dated: June 18, 2001.

Herbert L. Mitchell,

Associate Administrator for Disaster Assistance.

[FR Doc. 01-15738 Filed 6-21-01; 8:45 am]

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SMALL BUSINESS ADMINISTRATION

[Declaration of Disaster #3348; Amendment #1]

State of Louisiana

In accordance with a notice received from the Federal Emergency Management Agency, dated June 14, 2001, the above-numbered Declaration is hereby amended to include Beauregard, Iberia, Jefferson, Orleans, St. Bernard, St. Charles, St. James, St. John the Baptist, St. Mary, St. Tammany, Tangipahoa and Washington Parishes in the State of Louisiana as disaster areas caused by Tropical Storm Allison occurring on June 5, 2001 and continuing.

In addition, applications for economic injury loans from small businesses located in Allen, Calcasieu, Plaquemines and Vernon Parishes in the State of Louisiana; Amite, Hancock, Marion, Pearl River, Pike, and Walthall in the State of Mississippi; and Newton County in the State of Texas may be filed until the specified date at the previously designated location. Any counties contiguous to the above named primary counties and not listed here have been previously declared.

The economic injury number assigned is 9L9100 for Texas and 9L9400 for Mississippi.

All other information remains the same, i.e., the deadline for filing applications for physical damage is August 10, 2001, and for loans for economic is March 11, 2002.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008)

Dated: June 18, 2001.

Herbert L. Mitchell,

Associate Administrator for Disaster Assistance.

[FR Doc. 01-15739 Filed 6-21-01; 8:45 am]

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SMALL BUSINESS ADMINISTRATION

Region IV, North Florida District, Jacksonville, Florida, Advisory Council Meeting; Public Meeting

The U.S. Small Business Administration, North Florida District Office, Jacksonville, Florida, Advisory Council will hold a public meeting from 12:00 p.m. to 2 p.m., eastern standard time July 11, 2001, at the North Florida District Office, 7825 Baymeadows Way, Suite 100-B, in the Conference Room, to discuss such matters as may be presented by members, staff of the U.S. Small Business Administration, or others present.

Anyone wishing to make an oral presentation to the Board must contact Claudia Taylor, in writing by letter or fax no later than June 25, 2001, in order to be put on the agenda. For further information, write or call Claudia D. Taylor, U.S. Small Business Administration, 7825 Baymeadows Way, Suite 100-B, Jacksonville, Florida 32256-7504, telephone (904) 443-1933, fax (904) 443-1980.

Nancyellen Gentile,

Committee Management Office.

[FR Doc. 01-15737 Filed 6-21-01; 8:45 am]

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SMALL BUSINESS ADMINISTRATION

RIN: 3245-AE72

Small Business Innovation Research Program Policy Directive

AGENCY: U.S. Small Business Administration.

ACTION: Notice of proposed policy directive; Notice of reopening of the comment period.

SUMMARY: The proposed rule proposes revisions to the Small Business Innovation Research (SBIR) Program Policy Directive. The revised proposed policy directive reflects recently enacted statutory requirements. It is proposed to provide guidance to participating Federal agencies for the general conduct of the SBIR Program. The notice of proposed policy directive was published on May 18, 2001, 66 FR 27721. The comment period closed on June 18, 2001. We are reopening the comment period because the Small Business Administration believes that affected businesses need more time to adequately respond.

DATES: The comment period for the proposed policy directive published on May 18, 2001 (66 FR 27721) is reopened through July 23, 2001.

¹⁰ 17 CFR 200.30-3(a)(12).