

comments received be included in the report of the results of the study to the Commission's Congressional oversight committees.

**DATES:** Comments must be received by August 21, 2001.

**ADDRESSES:** Comments may be sent to Jean A. Webb, Secretary of the Commission, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street, NW., Washington, DC 20581. In addition, comments may be sent by facsimile transmission to (202) 418-5521, or by electronic mail to [secretary@cftc.gov](mailto:secretary@cftc.gov). Reference should be made to "Intermediaries Study."

**FOR FURTHER INFORMATION CONTACT:**

Lawrence B. Patent, Associate Chief Counsel, or Barbara S. Gold, Assistant Chief Counsel, Division of Trading and Markets, Commodity Futures Trading Commission, Three Lafayette Centre, 1155 21st Street NW., Washington, DC 20581. Mr. Patent may be reached by telephone at (202) 418-5439 or by electronic mail at [lpatent@cftc.gov](mailto:lpatent@cftc.gov) and Ms. Gold may be reached by telephone at (202) 418-5450 or by electronic mail at [bgold@cftc.gov](mailto:bgold@cftc.gov).

**SUPPLEMENTARY INFORMATION:** The CFMA, enacted last December as part of the Consolidated Appropriations Act, 2001 (Public Law No. 106-554, 114 Stat. 2763), requires the Commission to conduct a study of the Act and the Commission's rules and orders governing the conduct of persons required to register under the Act.<sup>1</sup> The study must address: (1) The core principles and interpretations of acceptable business practices that the Commission has adopted or intends to adopt to replace provisions of the Act and rules thereunder, and the extent to which these changes have been or may be made pursuant to the Commission's exemptive authority under Section 4(c) of the Act; (2) the rules that the Commission has determined must be retained and the reasons therefor; and (3) the regulatory functions that the Commission performs that can be delegated to a registered futures association and the regulatory functions that the Commission has determined must be retained and the reasons therefor. In conducting the study, the Commission must solicit the views of

the public as well as Commission registrants, registered entities,<sup>2</sup> and registered futures associations. The study must be completed by December 21, 2001 (which is one year from the date of enactment of the CFMA) and a report of the results of the study, including an analysis of comments received, must be transmitted to the Commission's Congressional oversight committees, the Committee on Agriculture of the House of Representatives and the Committee on Agriculture, Nutrition and Forestry of the Senate. The Commission is publishing this notice of solicitation of comments to assist it in conducting the study.

Persons wishing to comment should organize their comments as follows: (1) Identify by section number, rule number, or **Federal Register** citation the particular provision of the Act, the Commission's rules, or the Commission's orders, respectively, upon which comment is being provided; (2) indicate whether that provision should be (a) amended, (b) revoked without replacement, (c) revoked and replaced with a core principle and statement of acceptable business practices, or (d) retained; (3) include the proposed text of any suggested amendment or core principle and statement of acceptable business practices; (4) include a brief discussion in support of the suggested action and describe any problems encountered in complying with the existing relevant statutory and regulatory framework; (5) indicate, if applicable, whether the suggested change may be made pursuant to the Commission's exemptive authority in Section 4(c) of the Act; and (6) identify any regulatory functions that the Commission performs that can be delegated to a registered futures association and include a brief discussion to support such a delegation.

Because of the potential for conflicting comments, it would aid the Commission's analysis if commenters also indicated the relative importance of proposed changes. This can be done by ranking them in priority order or, at least, by categorizing them in some fashion, e.g., high priority, medium priority, and low priority.

<sup>2</sup> The definition of term "registered entities" was added to the Act by the CFMA as new Section 1a(29) and includes designated contract markets, registered derivatives transaction execution facilities, and registered derivatives clearing organizations. Designated contract markets may include registered national securities exchanges, registered national securities associations, and alternative trading systems whose only futures-related activity involves security futures product. See Section 252(a) of the CFMA, adding a new Section 5f to the Act.

Commenters should be aware that the Commission intends, as it has stated previously, to repropose and readopt those provisions of the New Regulatory Framework relating to intermediaries that were adopted and then withdrawn last year that are not affected by the CFMA, with any necessary technical, conforming changes. These new rules and rule amendments address, among other things, the definition of the term "principal," the addition of a principal, certified financial reports, ethics training, disclosure, account opening procedures, trading standards, reporting requirements, and offsetting positions.<sup>3</sup> Commenters should also be aware that the Commission has already delegated to a registered futures association certain functions concerning registration processing and statutory disqualification, financial monitoring and auditing, review of sales practices, review of CPO and CTA Disclosure Documents, and matters related to foreign futures and options.

Commenters are reminded that all comments will be available for public inspection and copying and will be posted on the Commission's website, [www.cftc.gov](http://www.cftc.gov). The Commission also requests that any commenter that is a Commission registrant identify itself as such and include its registration category. Following a review of comments received, the Commission or its staff may conduct follow-up discussions with interested parties.

Issued by the Commission in Washington, DC on June 13, 2001.

**Jean A. Webb,**

*Secretary of the Commission.*

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**BILLING CODE 6351-01-M**

## DEPARTMENT OF DEFENSE

### Department of the Army

#### Reserve Officers' Training Corps (ROTC) Program Subcommittee

**AGENCY:** U.S. Army Cadet Command, DoD.

**ACTION:** Notice of meeting.

**SUMMARY:** In Accordance with section 10(a)(2) of the Federal Advisory Committee Act (U.S.C., App. 2) announcement is made of the following Committee meeting:

*Name of Committee:* Reserve Officers' Training Corps (ROTC) Program Subcommittee.

*Place:* Pentagon, Washington, DC.

<sup>3</sup> 66 FR 14262, 14267-68 (March 9, 2001); see also 65 FR 77993 (Dec. 13, 2000).

<sup>1</sup> Section 125 of the CFMA. Persons required to register under the Act include futures commission merchants, introducing brokers, commodity pool operators (CPOs), commodity trading advisors (CTAs), associated persons of any of the foregoing, floor brokers and floor traders. Those terms are defined in Section 1a(20), 1a(23), 1a(5), 1a(6), 4k, 1a(16) and 1a(17), respectively, of the Act, as amended by the CFMA.

*Date(s)*: July 15–17, 2001.

*Time*: 8 a.m.–5 p.m., July 16, 2001; 8 A.M.–Noon, July 17, 2001.

*Proposed Agenda*: Review and discuss status of Army ROTC since the February 2001 meeting held in Hampton, Virginia.

**FOR FURTHER INFORMATION CONTACT**: Commander, HQ U.S. Army Cadet Command, ATTN: ATCC-TT (MAJ Hewitt), Fort Monroe, VA 23651. Telephone number is (757) 788–5456.

**SUPPLEMENTARY INFORMATION**: This meeting is open to the public. Any interested person may attend, appear before, or file statements with the committee.

**Luz D. Ortiz,**

*Army Federal Register Liaison Officer.*

[FR Doc. 01–15735 Filed 6–21–01; 8:45 am]

**BILLING CODE 3710–08–M**

## DEPARTMENT OF DEFENSE

### Department of the Army

#### **Availability for Non-Exclusive, Exclusive, or Partially Exclusive Licensing of U.S. Patent Concerning Methods for Production of Antigens Under Control of Temperature-Regulated Promoters in Enteric Bacteria**

**AGENCY**: U.S. Army Medical Research and Materiel Command, DoD.

**ACTION**: Notice.

**SUMMARY**: In accordance with 37 CFR 404.6, announcement is made of the availability for licensing of U.S. Patent Serial No. 5,698,416 entitled “Methods for Production of Antigens Under Control of Temperature-Regulated Promoters in Enteric Bacteria” issued December 16, 1997. This patent application has been assigned to the United States Government as represented by the Secretary of the Army.

**ADDRESSES**: Commander, U.S. Army Medical Research and Materiel Command, ATTN: Command Judge Advocate, MCMR–JA, 504 Scott Street, Fort Detrick, Maryland 21702–5012.

**FOR FURTHER INFORMATION CONTACT**: For patent issues, Ms. Elizabeth Arwine, Patent Attorney, (301) 619–7808. For licensing issues, Dr. Paul Mele, Office of Research & Technology Assessment, (301) 619–6664. Both at telefax (301) 619–5034.

**SUPPLEMENTARY INFORMATION**: Production of proteins in bacteria containing DNA sequences encoding proteins under the control of a temperature-regulated promoter is

improved by growing the organisms at temperatures of less than 35 °C until the late logarithmic phase. Thereafter the temperature may be raised to 36 °C to 39 °C. Antigens produced by the method of invention may be used as vaccines, as means for measuring efficacy of vaccines, as probes to detect antigens from clinical samples and for biochemical characterizations of antigens.

**Luz D. Ortiz,**

*Army Federal Register Liaison Officer.*

[FR Doc. 01–15734 Filed 6–21–01; 8:45 am]

**BILLING CODE 3710–08–M**

## DEPARTMENT OF DEFENSE

### Department of the Army; Corps of Engineers

#### **Intent To Prepare a Draft Supplemental Environmental Impact Statement (SEIS) in Conjunction With Proposed Flood Control Measures (Levee 37) on the Upper Des Plaines River at Mount Prospect in Cook County, IL**

**AGENCY**: U.S. Army Corps of Engineers, DoD.

**ACTION**: Notice of intent.

**SUMMARY**: The project involves proposed construction of flood control measures along the Upper Des Plaines River at Prospect Heights and Mount Prospect in Cook County, Illinois. Alternatives under consideration include earthen levees, concrete floodwalls, and temporary road closures.

**FOR FURTHER INFORMATION CONTACT**: Mr. Keith Ryder, (312) 353–6400 ext. 2020; U.S. Corps of Engineers, Suite 600, 111 North Canal Street, Chicago, IL 60606–7206.

**SUPPLEMENTARY INFORMATION**: The SEIS will document changes to the recommended plan (pertinent to the Levee 37 Project Area) originally proposed in the 1999 environmental impact statement.

**Luz D. Ortiz,**

*Army Federal Register Liaison Officer.*

[FR Doc. 01–15736 Filed 6–21–01; 8:45 am]

**BILLING CODE 3710–HN–M**

## DEPARTMENT OF EDUCATION

### Notice of Proposed Information Collection Requests

**AGENCY**: Department of Education.

**SUMMARY**: The Leader, Regulatory Information Management Group, Office of the Chief Information Officer, invites

comments on the proposed information collection requests as required by the Paperwork Reduction Act of 1995.

**DATES**: Interested persons are invited to submit comments on or before August 21, 2001.

**SUPPLEMENTARY INFORMATION**: Section 3506 of the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35) requires that the Office of Management and Budget (OMB) provide interested Federal agencies and the public an early opportunity to comment on information collection requests. OMB may amend or waive the requirement for public consultation to the extent that public participation in the approval process would defeat the purpose of the information collection, violate State or Federal law, or substantially interfere with any agency's ability to perform its statutory obligations. The Leader, Regulatory Information Management Group, Office of the Chief Information Officer, publishes that notice containing proposed information collection requests prior to submission of these requests to OMB. Each proposed information collection, grouped by office, contains the following: (1) Type of review requested, e.g. new, revision, extension, existing or reinstatement; (2) Title; (3) Summary of the collection; (4) Description of the need for, and proposed use of, the information; (5) Respondents and frequency of collection; and (6) Reporting and/or Recordkeeping burden. OMB invites public comment.

The Department of Education is especially interested in public comment addressing the following issues: (1) Is this collection necessary to the proper functions of the Department; (2) will this information be processed and used in a timely manner; (3) is the estimate of burden accurate; (4) how might the Department enhance the quality, utility, and clarity of the information to be collected; and (5) how might the Department minimize the burden of this collection on the respondents, including through the use of information technology.

Dated: June 18, 2001.

**John Tressler,**

*Leader, Regulatory Information Management, Office of the Chief Information Office.*

### Office of Student Financial Assistance Programs

*Type of Review*: Extension of a currently approved collection.

*Title*: Lender's Request for Payment of Interest and Special Allowance (JS)\*.

*Frequency*: Quarterly, Annually.