

## RISK CATEGORIES—Continued

[Figure 2]

Class 7 .....	Radioactive Materials, yellow label III, yellow label II, and white label I.
Class 8 .....	Liquids PG II, III Solids PG I, II, III.

## Category "C" (Minimum Weight)

The materials listed in Category "C" are materials that present the least amount of risk to the transportation system.

Class 2 .....	2.2 Nonflammable Gas.
Class 6 .....	Division 6.1 Packing Group III.
Class 7 .....	All other RAM (LSA, LTD QTY, Instruments and Articles).
Class 9 .....	Miscellaneous Dangerous Goods (ORM-D and Consumer Commodity).

**Note:** This guidance is not intended to replace the experienced judgment to a special agent who is convinced, based on the evidence and facts of a case, that the failure of an air carrier, shipper, freight forwarder, or passenger to follow established regulations has posed a risk to aviation safety.

Issued in Washington, DC on April 14, 1999.  
**Jane F. Garvey,**  
*Administrator.*  
[FR Doc. 99-9983 Filed 4-20-99; 8:45 am]  
**BILLING CODE 4910-13-M**

**SECURITIES AND EXCHANGE COMMISSION****17 CFR Parts 202, 240, 242 and 249**

[Release No. 34-41297; File No. S7-12-98]

RIN 3235-AH41

**Regulation of Alternative Trading Systems; Technical Amendment**

**AGENCY:** Securities and Exchange Commission.

**ACTION:** Final rule; technical amendment and revised compliance date.

**SUMMARY:** The Securities and Exchange Commission is modifying the compliance dates for Rule 301(b)(3) and making a technical change to Rule 202.3. These and other rules and rule amendments relate to the regulation of alternative trading systems and exchanges and were published on December 22, 1998 (63 FR 70844). The effective date for the other rules and amendments published in 63 FR 70844 remains April 21, 1999, except for the effective date for §§ 242.301(b)(5)(i)(D) and (E) and §§ 242.301(b)(6)(i)(D) and (E), which remains April 1, 2000.

**DATES:** Effective Date: April 21, 1999 for amendment to § 202.3.

**Compliance Date:** Alternative trading systems must comply with §§ 242.301(b)(3) with respect to the 50 securities listed in Schedule A by August 23, 1999; with respect to the securities listed on Schedules A and B by September 28, 1999; with respect to the securities listed on Schedules A, B and C by April 25, 2000; and with respect to all securities by June 20, 2000.

**FOR FURTHER INFORMATION CONTACT:**  
Elizabeth King, Senior Special Counsel, at (202) 942-0140, Constance Kiggins, Special Counsel, at (202) 942-0059, and Kevin Ehrlich, Attorney, at (202) 942-0778, Division of Market Regulation, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549-1001.

**SUPPLEMENTARY INFORMATION:****I. Background**

On December 8, 1998, the Securities and Exchange Commission ("Commission") adopted new rules and rule amendments to allow alternative trading systems to choose whether to register as national securities exchanges, or to register as broker-dealers and comply with additional requirements under Regulation ATS, depending on their activities and trading volume.<sup>1</sup> The effective date for most of these new rules and rule amendments is April 21, 1999. The Commission stated in the adopting release that, prior to April 21, 1999, it would publish a list of those securities with respect to which alternative trading systems must comply with Rule 301(b)(3) on April 21, 1999 and those securities with respect to which alternative trading systems must comply with Rule 301(b)(3) on August 30, 1999. Rule 301(b)(3) requires an alternative trading system to provide to a national securities exchange or national securities association, for inclusion in the public quotation system, the prices and sizes of its best priced buy and sell orders, that are displayed to more than one person, in each covered security in which the alternative trading system represents 5% or more of the total trading volume.<sup>2</sup>

<sup>1</sup> Securities Exchange Act Release No. 40760 (Dec. 8, 1998), 63 FR 70844 (Dec. 22, 1998).

<sup>2</sup> 17 CFR 242.301(b)(3). For purposes of Regulation ATS, a "covered security" includes all exchange-listed, Nasdaq NM securities, and Nasdaq Small Cap securities, other than debt and convertible securities. See Rule 11Ac1-1(a)(6), 17

**II. Delay of the Compliance Dates for Rule 301(b)(3)**

One major alternative trading system has indicated that it will be unable to comply with the requirements of Rule 301(b)(3) by the original compliance dates without putting the operation of its system at serious risk of failure. The operational failure of a major alternative trading system could interfere with the markets as a whole. Accordingly, the Commission believes it necessary to adjust the compliance dates for Rule 301(b)(3) as follows:

August 23, 1999: Compliance with Rule 301(b)(3) with respect to the 50 Nasdaq securities listed on Schedule A, attached in the appendix.

September 28, 1999: Compliance with Rule 301(b)(3) for the 50% of Nasdaq securities listed on Schedules A and B, attached in the appendix.

April 25, 2000: Compliance with Rule 301(b)(3) for the 75% of Nasdaq securities listed on Schedules A, B, and C, attached in the appendix.

June 20, 2000: Compliance with Rule 301(b)(3) for all Nasdaq securities.

Schedules A, B, and C were created by ranking all covered securities traded on Nasdaq by their January 1999 volume, and including an equal number of securities from each decile. Some securities that were not traded on Nasdaq in January 1999 may commence trading on Nasdaq subsequently. Alternative trading systems may wait until June 20, 2000 to comply with Rule 301(b)(3) with respect to these securities.

All other compliance dates for the rules and rule amendments adopted last December remain the same. The Commission encourages those alternative trading systems that are able to comply with Rule 301(b)(3) on April 21, 1999 to do so.

Alternative trading systems are reminded that Rule 301(b)(3) only requires alternative trading systems to "provide to a national securities exchange or national securities association" their best priced orders in covered securities in which they represent 5% or more of all trading volume.<sup>3</sup> Accordingly, in the absence of a mechanism for publicly displaying those alternative trading systems' orders in exchange-listed securities, alternative trading systems will not be violating Rule 301(b)(3) if alternative trading systems' best priced orders in those securities in which they trade 5% or more of the volume are not publicly displayed. When a mechanism is developed to display alternative trading systems' orders in exchange-listed securities, Rule 301(b)(3) requires them to cooperate in linking with the market or markets providing that mechanism. Under Section 553(d) of the Administrative Procedure Act,<sup>4</sup> publication of a substantive rule not less than 30 days before its effective date is required except as otherwise provided by the agency for good cause. Because the change extends compliance dates, the Commission finds that there is good cause for doing so.

### III. Rule of Practice 202.3

On December 8, 1998, the Commission adopted changes to Rule of Practice 202.3(b)(2) regarding the processing of exchanges' applications for registration as national securities exchanges or exemption from registration based on such exchanges' limited volume of transactions. These amendments require the Commission to grant registration or institute proceedings to determine whether registration should be denied within 90 days of the date of filing of an application. Section 19(a)(1) of the Securities Exchange Act of 1934 ("Exchange Act"), however, requires the Commission to grant an exchange's application for registration as a national securities exchange, or institute proceedings to determine whether registration should be denied, within 90 days of the date of publishing notice of the exchange's filing of such application. Consequently, the Commission is making a technical correction to Rule 202.3(b)(2) to conform to the time periods in the statute. The Commission finds, in accordance with Section 553(b)(3)(A) of the Administrative Procedure Act,<sup>5</sup> that the amendment to Rule 202.3 relates

solely to agency organization, procedures, or practice, and does not relate to a substantive rule. Accordingly, notice and opportunity for public comment are unnecessary, and publication of the amendment 30 days before its effective date of April 21, 1999 is also unnecessary.

### IV. Findings

Section 23(a)(2) of the Exchange Act<sup>6</sup> requires the Commission to consider the anti-competitive effects of any rules it adopts, and to balance these effects against the benefits that further the purposes of the Exchange Act. Further, Section 2 of the Securities Act of 1933<sup>7</sup> and Section 3 of the Exchange Act,<sup>8</sup> as amended by the recently enacted National Securities Markets Improvements Act of 1996,<sup>9</sup> provide that whenever the Commission is engaged in rulemaking and is required to consider or determine whether an action is necessary or appropriate in the public interest, the Commission also shall consider, in addition to the protection of investors, whether the act will promote efficiency, competition, and capital formation. Because the amendments take steps to assure the fair and orderly activities of the national securities markets and make a conforming correction to a procedural rule, they do not have any anti-competitive effects and they serve to promote efficiency, competition, and capital formation, and are therefore in the public interest.

### List of Subjects in 17 CFR Part 202

Administrative practice and procedure, Securities.

### Text of Amendment

For the reasons set out in the preamble, Title 17, Chapter II of the Code of Federal Regulations is amended as follows:

### PART 202—INFORMAL AND OTHER PROCEDURES

1. The authority citation for Part 202 continues to read in part as follows:

**Authority:** 15 U.S.C. 77s, 77t, 78d-1, 78u, 78w, 78l(d), 79r, 79t, 77sss, 77uuu, 80a-37, 80a-41, 80b-9, and 80b-11, unless otherwise noted.

\* \* \* \* \*

2. The last sentence of paragraph (b)(2) of § 202.3 is revised to read as follows:

### § 202.3 Processing of filings.

\* \* \* \* \*

(b) \* \* \*

(2) \* \* \* Within 90 days of the date of publication of a notice of the filing of an application for registration as a national securities exchange, or exemption from registration by reason of such exchanges' limited volume of transactions (or within such longer period as to which the applicant consents), the Commission shall by order grant registration, or institute proceedings to determine whether registration should be denied as provided in § 240.19(a)(1) of this chapter.

Dated: April 16, 1999.

By the Commission.

**Jonathan G. Katz,**  
Secretary.

**Note:** The appendix will not appear in the Code of Federal Regulations.

### Appendix

#### Schedule A

AERTA
AMXX
ASFI
ATEST
BARZ
BCGI
BGMR
BJCT
BPTM
BRIOF
BWCF
CFFC
CINRF
CLHB
CRTQ
EXAR
FRSH
FSFT
FUJIY
GBNK
GCTY
GILD
IMNX
INTC
JAGI
JBOH
JXSB
KASP
LAKE
LCAV
LVEN
LVMHY
MCSC
MILB
MPTBS
NETTW
PAWN
PCBC
PIXR
POSIF
PRTK
SBGI
SPCH
SSRIF
STNRF
TBCC

<sup>3</sup> 17 CFR 272.301(b)(3).

<sup>4</sup> 5 U.S.C. 553(d).

<sup>5</sup> 5 U.S.C. 553(B)(3)(A).

<sup>6</sup> 15 U.S.C. 78w(a)(2).

<sup>7</sup> 15 U.S.C. 77b.

<sup>8</sup> 15 U.S.C. 78c.

<sup>9</sup> Pub. L. No. 104-290, 106, 110 Stat. 3416 (1996).

TRID	AERN	AMPI
UHCO	AERS	AMRN
VRLN	AESPW	AMSC
WNMP	AFBC	AMSGB
<i>Schedule B</i>	AFCO	AMSO
AAABB	AFCX	AMTA
AABC	AFIC	AMTD
AACE	AFLX	AMVP
AALA	AFSC	AMVPW
AAON	AFTXZ	AMWD
AASI	AFWY	AMXI
AATT	AGAI	AMZN
ABAG	AGAM	ANCO
ABAN	AGBGC	ANDB
ABAX	AGCH	ANDW
ABBBY	AGNU	ANGN
ABCO	AGRPA	ANIK
ABCW	AGRPB	ANLG
ABDR	AHAA	ANSR
ABERF	AHEPZ	ANSS
ABFI	AHWYW	ANST
ABOV	AICX	ANTC
ABPCA	AIFC	ANTP
ABRI	AIND	AORGB
ABRX	AINN	APAC
ABSC	AIPN	APAGF
ABTC	AIRT	APAT
ABTE	AKLM	APCC
ABTI	AKSY	APCFY
ABTX	AKZOY	APFC
ACAI	ALCO	APGR
ACAM	ALDA	APLX
ACAS	ALDNF	APMC
ACCOB	ALDV	APOG
ACEC	ALFA	APOL
ACEI	ALGSF	APPB
ACEIW	ALGX	APQCF
ACEL	ALHY	AQCR
ACEP	ALHYC	ARCAF
ACES	ALIF	ARGAC
ACHI	ALLB	ARGCD
ACLNF	ALLC	ARIAW
ACLY	ALLF	ARLCF
ACMI	ALLP	ARMHY
ACNAF	ALLR	ARMXF
ACRG	ALNT	AROW
ACRN	ALOG	ARSD
ACRO	ALPH	ARTE
ACSEF	ALRC	ARTI
ACTM	ALREF	ARTNA
ACTN	ALSC	ARTT
ACTU	ALTIF	ARTW
ACVC	ALTR	ARVX
ACYT	ALXN	ASAI
ADACE	ALYD	ASAM
ADAM	AMAC	ASBC
ADAX	AMAR	ASBI
ADBE	AMBC	ASBP
ADCCW	AMBI	ASDG
ADDM	AMCN	ASGR
ADECY	AMCRY	ASHA
ADMS	AMCT	ASHEW
ADPT	AMCV	ASHW
ADRN	AMEN	ASIGF
ADSK	AMENW	ASII
ADTK	AMEP	ASIPY
ADTN	AMEPW	ASMLF
ADVH	AMES	ASND
ADVNA	AMESW	ASNT
ADVS	AMFM	ASPCE
AEGGF	AMLJ	ASPT
AEHR	AMLN	ASPX
AEOS	AMMB	ASTE
AEPI	AMPBB	ASTI
AERL	AMPD	ASTM
	AMPDW	ASTNW

ASTSF	BEXP	BSTC
ASTX	BEYE	BTEK
ASVI	BFAM	BTHS
ASYCF	BFCI	BTRN
ASYM	BFEN	BTSR
ASYS	BFSC	BTUI
ASYT	BGEN	BTWS
ATAC	BGRH	BULL
ATCI	BHIKF	BURMY
ATEC	BHQU	BUTI
ATEN	BHWK	BVAS
ATGI	BIAC	BVCC
ATLC	BIGC	BVEW
ATLCW	BIKR	BVRSF
ATLRS	BIMEC	BVSN
ATMI	BINC	BWINA
ATMS	BIORY	BYFC
ATPX	BIPRY	BYND
ATRO	BITI	BZET
ATSI	BITS	CACC
ATYTF	BKSC	CACS
AVCO	BKUNA	CADA
AVDO	BKUNZ	CAFE
AVEC	BLCA	CAFEW
AVGN	BLDPF	CAII
AVIW	BLOCA	CAKE
AVIR	BLPG	CALC
AVND	BLSC	CALM
AVTM	BLSI	CALY
AWRE	BLUE	CAMP
AWWC	BMCC	CANI
AXAS	BMCS	CANRC
AXLE	BMLS	CARL
AXLEW	BMTC	CARS
AXNT	BNCM	CARY
AXPH	BNGO	CASCW
AXSI	BNHN	CASH
AXTI	BNHNA	CASL
AZIC	BNSOF	CASS
AZPN	BNSWF	CAST
AZUR	BNTT	CATY
AZURW	BOBE	CBBI
BAGL	BOBS	CBBO
BANC	BOBSW	CBCL
BANF	BOGN	CBES
BASEA	BOGNW	CBEV
BASI	BOKF	CBEVW
BATS	BOLD	CBIN
BAYB	BONT	CBKN
BBHF	BOOL	CBLI
BBIQY	BOOM	CBMI
BBTK	BORAY	CBRNA
BBUC	BOSA	CBRNB
BBUCU	BOSWF	CBRYA
BBUCW	BOTX	CBSH
BCICF	BOYL	CBSI
BCORY	BPAO	CBSS
BCRX	BPFH	CBTE
BCSB	BPLX	CBTSY
BCST	BPMI	CBXC
BCTI	BPOP	CCAR
BDCO	BRCOA	CCBG
BDJI	BRCP	CCBL
BDMS	BREL	CCBT
BDRY	BREW	CCCIW
BDRYW	BRGP	CCEE
BEAR	BRID	CCEL
BEAS	BRKL	CCHE
BEAV	BRKT	CCHM
BEBE	BRLI	CCLNF
BEEF	BRTL	CCON
BEERF	BRTLW	CCOW
BERW	BSBN	CCPRZ
BESIF	BSET	CCRD
BEST	BSNX	CCSE
BETM	BSRTS	CCSI

CCUY	CMPC	CRDT
CDEN	CMPD	CREAF
CDIS	CMPL	CRESY
CDLI	CMPS	CRFT
CDSI	CMPX	CRGN
CDSIU	CMRN	CRNSF
CDWC	CMSS	CROS
CDWN	CMST	CRRB
CECE	CMSVD	CRRS
CELG	CMSX	CRUS
CELL	CMTI	CRXA
CELS	CMTID	CRZO
CEMX	CMTIW	CRZY
CENB	CMTL	CSBF
CENI	CMVT	CSBI
CEPH	CMWLW	CSGI
CERB	CNBA	CSLI
CERG	CNBF	CSNRW
CEXP	CNBT	CSON
CFAC	CNCX	CSPI
CFBC	CNDO	CSPLF
CFFI	CNDR	CSRE
CFIC	CNDS	CSTL
CFKY	CNEBF	CTAS
CFMT	CNET	CTBC
CFON	CNFL	CTBP
CFSB	CNKT	CTCOB
CFTP	CNNG	CTEA
CFWC	CNQR	CTEC
CFWY	CNRMF	CTEKW
CGCA	CNSI	CTEL
CGCOC	CNSO	CTHR
CGEN	CNTO	CTIB
CGGI	CNYF	CTLG
CGII	CODY	CTSH
CGRM	CODYU	CTSI
CHANF	CODYW	CTWO
CHCO	COFI	CTWS
CHDX	COGE	CUBA
CHERA	COGIF	CUCO
CHEX	COHB	CULS
CHFC	COHO	CUNO
CHGO	COHR	CVAL
CHIR	COHT	CVAN
CHKE	COHTW	CVAS
CHKR	COHU	CVTX
CHMD	COKE	CVUS
CHMP	COLB	CWCOF
CHNG	COLM	CWST
CHRW	COLTY	CXIL
CHRX	COMMF	CXIM
CHRZ	CONW	CXIPY
CHUX	COOK	CXSNF
CIBN	CORE	CYBGE
CICI	CORR	CYCH
CINS	CORS	CYCL
CITC	COSI	CYCLD
CITI	COST	CYLK
CITZ	COTTF	CYOE
CKEYF	COVR	CYPH
CLCDF	COYT	CYPHZ
CLNTF	CPBI	CYTC
CLRP	CPCI	CYTO
CLTX	CPCL	CYTR
CLWTF	CPDN	DAIEY
CLWY	CPIA	DALY
CLZR	CPLY	DATC
CLZRW	CPSS	DATM
CMCO	CPTL	DAYR
CMCSA	CPTS	DBCC
CMCSK	CPWM	DBII
CMGT	CPWR	DBLEW
CMIN	CRAI	DBRN
CMIV	CRAN	DCAI
CMLS	CRBO	DCBK
CMMRD	CRDN	DCRNW

DCTI	DSTR	EPIE
DDIM	DTAGY	EPLTF
DDRX	DTII	EPTG
DECAF	DTPI	EQNX
DECC	DTSI	EQUI
DECK	DUCK	EQUUS
DEFI	DUSA	ERIE
DEPO	DVID	EROX
DESI	DVIDW	EROXC
DETC	DVIDZ	ERTH
DEVС	DWSN	ESCA
DFCO	DWTI	ESCI
DFIN	DYNT	ESFT
DGAS	DZTK	ESLT
DGIC	EASI	ESMCL
DGIT	EBSC	ESNJZ
DGTC	EBSI	ESOL
DHMS	ECGOF	ESREF
DHULZ	ECHO	ESRX
DIALY	ECILF	ESSF
DIAN	ECIN	ESSI
DIEG	ECLP	ESST
DIGE	ECOL	ETEC
DIIBF	ECSGY	ETHCY
DIMD	EDAC	ETRC
DINEW	EDAPY	EUFA
DIPL	EDBR	EUPH
DIPLE	EDBRW	EVAN
DISH	EDFY	EVMD
DISK	EDGE	EVOL
DISS	EDMC	EVSNF
DIST	EDUSF	EVTC
DIYS	EDUT	EWEB
DJCO	EFBC	EXAP
DKWD	EFBI	EXDS
DLPH	EFIC	EXGN
DLTDF	EFTC	EXPO
DLTK	EGEO	EYES
DLTR	EGGS	EZPW
DLVRY	EGL	FAHC
DMRK	EGLS	FAMCK
DNAP	EGRP	FAME
DNCC	EIDSY	FARC
DNKY	EILLD	FATS
DOBQ	EISI	FAUX
DOCI	EKFG	FAUXW
DOCSF	ELET	FAVS
DOCU	ELMG	FBAYF
DOCX	ELMS	FBBC
DOMZ	ELNK	FBCG
DORL	ELRC	FBCI
DOSE	ELTKF	FBHC
DOTX	ELUXY	FBKP
DPAC	ELXS	FBNW
DPMI	EMCI	FBRK
DPRC	EMCO	FCAP
DPTR	EMIS	FCBIB
DRAI	EMITF	FCFS
DRFNY	EMLTF	FCGI
DRIV	EMMS	FCME
DRKN	EMON	FCNB
DROV	EMPI	FCPY
DRRA	ENBRF	FCTR
DRTE	ENBX	FCWI
DSCI	ENDG	FDCC
DSCO	ENET	FDHG
DSCOU	ENGL	FDJA
DSCOW	ENMD	FDLNA
DSCP	ENML	FDLNB
DSCS	ENPT	FDPC
DSCSW	ENSI	FEIC
DSET	ENSW	FERO
DSGR	ENTS	FFCH
DSGRW	ENVG	FFED
DSLGF	ENVY	FFHS
DSPT	ENZN	FFIC

FFIN	GALTF	GSFC
FFKY	GALXC	GSII
FFLC	GASS	GSLA
FFOH	GBBK	GSLC
FFSL	GBCB	GSLMD
FFSX	GBCI	GSMI
FFWC	GBCOB	GSNX
FFYF	GBCS	GSPT
FIBR	GBIX	GSTD
FIIF	GBLX	GSTRF
FILMW	GBSE	GTAX
FINL	GBSEW	GTIM
FLBK	GCFC	GTIMZ
FLCIW	GCHI	GTIS
FLEX	GCLI	GTSG
FLFC	GCOM	GTSI
FLGS	GCTI	GUAR
FLMK	GDCOF	GUOC
FLMLY	GDYS	GULF
FLSHF	GECM	GUMM
FLWR	GEEK	GUSH
FLXI	GEER	GWBK
FLYT	GEHL	GWRX
FMBN	GELX	GYMB
FMCO	GENBB	GYRO
FMDAY	GEND	GZTC
FMFC	GENE	HABC
FMSB	GENS	HAHO
FMXI	GENSW	HAKI
FNBF	GFCO	HARB
FNBN	GFLS	HARL
FNBP	GGEN	HARS
FNBR	GGUY	HAVA
FNCE	GIBG	HAWK
FNDTF	GICOF	HBAN
FNGB	GIFH	HBCCA
FNIN	GIFI	HBCO
FOBBA	GIGA	HBCOW
FONE	GIII	HBFW
FOOT	GILTF	HBIX
FORL	GKSRA	HBNK
FORSF	GLAR	HCBC
FOSL	GLBE	HCIA
FPGP	GLBK	HCOW
FPIC	GLDC	HCSG
FRDM	GLGC	HCTLF
FRIZ	GLYT	HDIE
FRME	GMAI	HDII
FRTZ	GMCC	HDLD
FSACF	GMED	HDNG
FSBI	GMTI	HDSK
FSCR	GNCI	HDSN
FSLA	GNCNF	HDVS
FSNM	GNET	HDWY
FSPT	GNSA	HEAT
FSTC	GNSM	HEII
FSTH	GNSSF	HELX
FSTW	GNTA	HENL
FSVB	GNTX	HERBA
FSVP	GOAL	HFGI
FTFC	GOLF	HFIT
FTHR	GOSB	HFSA
FTIC	GOTH	HFWA
FTSB	GOTHZ	HGFN
FTUS	GPSI	HGIC
FUEL	GPTX	HGSI
FUELW	GRAN	HHGP
FULT	GRCO	HIBB
FUNC	GRDL	HIBWF
FUND	GRDN	HIBZF
FVCX	GREY	HIFN
FVNB	GRIL	HKID
FWBN	GRIN	HLFC
FWRD	GSBI	HLGCF
FWRX	GSCI	HLIT
GADZ	GSES	HLMD

HLRT	IINT	ITEQ
HLYW	IISLF	ITGI
HMGC	IIVI	ITGR
HMGN	ILABY	ITIG
HMLK	ILCO	ITII
HMPS	ILDcy	ITLA
HMSC	ILFO	ITRC
HMSY	ILOGY	ITSW
HNBC	IMAG	ITVU
HNCS	IMAL	IUBC
HOEN	IMCC	IVAC
HOMEF	IMCI	IVISF
HOMF	IMCL	IVTC
HOWT	IMCO	IWHM
HPAC	IMCX	JACO
HPBC	IMGN	JADWF
HPII	IMON	JANNF
HPWR	IMPH	JAPNY
HRBC	IMPX	JASN
HRLYW	IMPXD	JBHT
HRSH	IMSI	JCBS
HSKL	IMTKA	JCORZ
HTBK	IMTN	JDAS
HTCH	IMUL	JDEC
HTCO	INAI	JEAN
HTEI	INBI	JEFF
HTHR	INCC	JJSF
HTLD	INDB	JKHY
HUBC	INDGF	JLMI
HUBG	INEI	JLNY
HUMP	INFM	JLNYW
HURC	INFR	JMED
HWLD	INFU	JNKN
HWYM	INIS	JOSB
HYBD	INLD	JPSP
IATA	INLK	JSBA
IATV	INLN	JTFX
IBCA	INMD	JTWO
IBCO	INMRy	JUNI
IBCP	INRB	JUNO
IBHVF	INSGY	JWAIA
IBOC	INSI	JXVL
IBSDF	INSP	KARE
IBSX	INSS	KARR
ICCSA	INTD	KBALB
ICED	INTF	KELL
ICGX	INTO	KENT
ICOC	INTS	KESI
ICOS	INTT	KEST
ICST	INTU	KIDQ
ICUB	INTXA	KILN
IDEA	IOMT	KING
IDGB	IONAY	KITS
IDPH	IPCRF	KLAC
IDTC	IPIC	KLIC
IDTI	IPLY	KLLM
IDXC	IPSW	KLOC
IDXX	IQIQ	KNAP
IEIB	IQIQW	KNDL
IFCI	IQST	KNGT
IFIN	IREG	KNIC
IFIT	IRETS	KNTK
IFITU	ISCO	KOFX
IFITZ	ISCX	KOGC
IFLO	ISFC	KOOL
IFNY	ISIP	KOSS
IFSB	ISKO	KREN
IFSCD	ISNR	KRHC
IFSIA	ISNS	KROG
IFTI	ISWI	KRSC
IGCA	ISWIW	KS BK
IGLC	ITCC	KSWS
IHHI	ITCD	KT CO
IHIIZ	ITDS	KTIE
IHOP	ITEC	KTTY
IHSC	ITELD	KWIC

KYZN	MAGSF	MFCV
LABH	MAHI	MFLR
LBL	MAME	MFNX
LACO	MANA	MFRI
LADF	MANC	MGAMZ
LAIX	MANS	MGAS
LANC	MANU	MGCC
LANPF	MARC	MGCX
LANV	MASB	MGMA
LAYN	MASK	MGRC
LCBM	MATE	MHMY
LCRY	MATH	MICCF
LDII	MATK	MICN
LDSH	MATR	MICTF
LEAS	MATVY	MIKE
LEBC	MATW	MIKL
LECE	MAVK	MIKR
LECT	MAXC	MILK
LEPI	MAXF	MIMS
LEXI	MAYS	MINIZ
LFCO	MAZL	MINT
LFUS	MBBC	MIPS
LFUSW	MBFC	MISI
LGAM	MBIA	MKAU
LGND	MBII	MKIE
LGTO	MBLA	MKTAY
LHSG	MBNK	MKTW
LHSPF	MBNY	MLAB
LIBB	MBRS	MLCH
LICB	MBTA	MLHR
LIFF	MCAR	MMACW
LIND	MCBI	MMAN
LINK	MCBN	MMCN
LION	MCCL	MMWW
LIPO	MCFR	MNBB
LITE	MCHM	MNMD
LJLB	MCOM	MNRTA
LJPC	MCON	MNTX
LKST	MCRE	MOCO
LLTC	MCRS	MODM
LMLAF	MCSX	MOIL
LMTR	MCTL	MOLX
LNCR	MDBK	MOLXA
LNDL	MDCC	MOND
LOCK	MDCD	MORP
LODE	MDEA	MOSX
LODG	MDERF	MOVA
LOEH	MDEWF	MOVI
LOGC	MDII	MOYC
LOJN	MDKI	MRBK
LPAC	MDMD	MRCM
LPGLY	MDPA	MRCY
LPTHA	MDSIF	MRET
LSCC	MDSL	MRGE
LSKIC	MDWV	MRGO
LSON	MEAD	MRII
LSTR	MECN	MRIS
LTCH	MEDJD	MRRW
LTEK	MEDP	MRSA
LTRE	MEDQ	MRTN
LUCK	MEDS	MRVC
LUCY	MELI	MRVT
LUFK	MEMCF	MSBF
LUNR	MENT	MSBK
LUTH	MEOHF	MSCA
LUXY	MERB	MSDX
LWAY	MESA	MSFT
LXBK	META	MSGI
LXMO	METB	MSIX
LYTS	METHA	MSON
LZRC	METNF	MSPT
MACE	METRW	MSSI
MACR	METZ	MSTR
MADB	MFAC	MTEC
MADGF	MFCB	MTIC
MAGN	MFCO	MTIN

MTIX	NITE	OFLDF
MTLG	NKID	OFLUF
MTLM	NLCI	OGGI
MTNT	NLCS	OHSL
MTRA	NMBT	OLGR
MTRO	NMPC	OLOG
MTRX	NMPS	OLSAY
MTST	NMRX	OLSYD
MTWKF	NMSS	OMED
MTXC	NMTI	OMGA
MUZEW	NMTX	OMQP
MVII	NMTXZ	ONCO
MVISW	NNBR	ONCOZ
MVSN	NOBE	ONDI
MWAR	NOGWF	ONHN
MWAV	NOLD	ONPR
MWHX	NOOF	ONSSU
MWRK	NOPT	ONST
MXBIF	NORPF	ONSTW
MXICY	NORT	ONTC
MXWL	NOVB	OPEN
MYLX	NOVL	OPHDW
MYST	NOVN	OPMRF
MZON	NOVT	OPSI
NABI	NPCI	OPTS
NADX	NPIX	OPTT
NAIG	NPRO	ORBKF
NAMC	NPSI	ORBT
NAMCW	NPSP	ORLY
NANX	NPTH	OROA
NARA	NRES	ORTCZ
NASI	NRGN	ORTH
NATK	NRIM	ORTHW
NATS	NRMI	OSBC
NAUT	NRTI	OSFT
NAVР	NRTY	OSIP
NBCP	NSBC	OSIS
NBOC	NSCC	OSIX
NBTB	NSCF	OSSI
NBTY	NSDB	OSTE
NCBC	NSFC	OTRKB
NCBE	NSIT	OTTR
NCBH	NSPR	OUSA
NCBM	NSSI	OVBC
NCSS	NSTA	OWLD
NDSN	NSYS	OWOS
NECB	NTEC	OWWI
NECSY	NTLI	OXGN
NEIB	NTOL	OXGNW
NEIC	NTRL	OXIS
NEMA	NTRS	OZEMY
NEOM	NUTR	OZRK
NEON	NVGNY	PACK
NEOP	NVLS	PAGE
NEOT	NVUE	PAMM
NERX	NWEQ	PANL
NESC	NWFI	PANLW
NESI	NWFL	PANRA
NETA	NWLIA	PARL
NETE	NWRE	PATI
NETS	NWSB	PATK
NETT	NWST	PAYX
NEWC	NXLK	PAZZF
NEWP	NXTR	PBCI
NEWRZ	OAKF	PBCT
NFLI	OAKT	PBHC
NFLIW	OBCI	PBIOW
NGASF	OBIE	PBIX
NGPSF	OBIS	PBK5
NHCH	OCCF	PBMIW
NHMCF	OCLI	PBNK
NHTB	OCOM	PBOC
NHTCC	ODSI	PBSF
NICEY	ODWA	PBSID
NICH	OFCP	PBSIW
NINE	OFIGF	PBTC

PBTX	PMTC	PWRH
PBYP	PMTI	PXXI
PCCG	PMWI	PYTV
PCFC	PNBC	PZZA
PCFR	PNBK	PZZI
PCFRW	PNDR	QADI
PCHM	PNRG	QCSB
PCLE	PNTE	QDRMY
PCMS	POCC	QECD
PCRV	POCI	QEKG
PCSNC	POOL	QEPC
PCSS	POSO	QFAB
PCTH	POVT	QHGI
PCTHW	POWR	QKTN
PCTY	PPAR	QLGC
PDII	PPCO	QLTIF
PDKL	PPDI	QRSI
PDLI	PPLS	QSND
PDSEW	PPOD	QSYS
PDSF	PPRT	QTRN
PEBK	PPTI	QUAL
PEBO	PQUE	QUES
PECX	PRAC	QUIP
PEEK	PRACW	QUIZ
PEGA	PRAN	QUST
PEGAE	PRBC	QWST
PENC	PRBZ	RACN
PENCW	PRCT	RADS
PESC	PRCY	RADX
PETD	PRED	RAGS
PFBC	PREN	RAIN
PFCO	PRFM	RAND
PFDC	PRFN	RANGY
PFNC	PRGN	RAWA
PFSL	PRGO	RBCAA
PGEI	PRGX	RBIN
PGEOF	PRIA	RBNC
PGEX	PRKR	RBOT
PGLD	PRLS	RBOW
PGNX	PRMA	RCBK
PHBK	PROA	RCCC
PHCC	PROG	RCHI
PHEL	PROV	RCHY
PHLI	PROX	RCII
PHLYZ	PRRR	RCMT
PHOC	PRTL	RCOM
PHON	PRTW	RCOMW
PHSE	PRVT	RDCMF
PHTN	PRWW	RDGE
PHXX	PRXL	RDOC
PICM	PSBI	RDUS
PICO	PSCO	REAL
PIFIE	PSDI	REBC
PIHCU	PSFC	RECY
PILT	PSFI	REFR
PIONA	PSFT	REGN
PIOS	PSON	RELI
PLAB	PSQL	RELV
PLAY	PSRC	RELY
PLCE	PSTFY	REMX
PLDI	PSUN	RENEF
PLFC	PSYS	RENWF
PLL	PTEC	REPT
PLNR	PTIX	RESM
PLPT	PTNX	RESR
PLSIZ	PTSI	RESY
PLSK	PTUSE	RFGI
PLTN	PUBO	RFHIW
PLUS	PUMA	RGEN
PLXS	PUREW	RGFC
PMCO	PURW	RGLD
PMCP	PVII	RGNT
PMRP	PVSA	RHCS
PMRT	PVSW	RHPS
PMRTZ	PWCC	RICAD
PMRY	PWER	RIFL

RIGS	SBUX	SKYEW
RIGX	SCAI	SKYF
RIMS	SCCB	SKYM
RINO	SCCO	SLAM
RIPE	SCES	SLFI
RIVR	SCFS	SLHN
RKNG	SCI	SLIC
RLAXY	SCHR	SLMD
RLLYW	SCIO	SLNK
RMBS	SCIXF	SLVN
RMCI	SCMM	SLVR
RMHT	SCNG	SMBC
RMOC	SCNI	SMCC
RMTR	SCNYA	SMCH
RNETW	SCNYB	SMCHW
RNIC	SCOC	SMCX
RNTK	SCOT	SMEDF
ROAC	SCSC	SMGS
ROAD	SCSWF	SMIN
ROCK	SCTLF	SMIT
ROCLF	SCTT	SMOD
ROCM	SCUR	SMPS
ROHN	SCVL	SMSC
ROI	SDTI	SMSI
ROIX	SEAC	SMTC
ROMC	SECAY	SMTS
ROMN	SEGU	SNAP
ROSDF	SEIC	SNDCF
ROSE	SELAY	SNDK
ROSI	SELB	SNDSC
ROSWF	SEMD	SNFCA
RPII	SENEA	SNPS
RRRR	SENEB	SNRS
RSBI	SERO	SNSTA
RSFC	SESI	SNTC
RSGI	SFEF	SNTO
RSIS	SFFC	SNUS
RSLN	SFIN	SOAPW
RSPN	SFSK	SOCR
RSTI	SFSW	SOCT
RTEL	SFUN	SODK
RTRK	SFXE	SOFT
RTRSY	SGAI	SOLP
RURL	SGDE	SOLPU
RUSH	SGNL	SONE
RUSMF	SGNS	SONO
RVEE	SGOLY	SOPN
RWAV	SGPH	SPAN
RWDT	SGVB	SPAZC
RYFL	SHBK	SPCO
RZYM	SHLL	SPCOC
SACM	SHLR	SPEC
SAESY	SHOE	SPFO
SAFC	SHOO	SPGLA
SAFT	SHPGY	SPIR
SALT	SHRP	SPLI
SANM	SHUF	SPOR
SANYY	SHVA	SPPR
SAPE	SIAL	SPPTY
SASR	SIDY	SPTR
SATC	SIGYY	SPWY
SATH	SIHS	SPZN
SAVLY	SIII	SQNT
SBAS	SILCF	SRCL
SBCM	SILI	SRDX
SBEI	SIMA	SRGEW
SBHC	SIMC	SSFC
SBIB	SIMS	SSII
SBIG	SIRC	SSLI
SBIO	SIRN	SSYS
SBIT	SIVB	STAC
SBLI	SIZL	STAF
SBNK	SKCB	STBC
SBSE	SKFRY	STBF
SBSI	SKRI	STCL
SBTK	SKYC	STFC

STFR	TELEW	TRUC
STGC	TELU	TRUCW
STHLY	TELV	TRUV
STMT	TENT	TRVS
STOSY	TERA	TSBS
STRA	TESI	TSCC
STRC	TESOF	TSCN
STRD	TESTA	TSFW
STRT	TESTB	TSIC
STRZ	TEXM	TSII
STS A	TEXP	TSMAF
STTZF	TFCO	TSSS
STVI	TFRC	TSST
SUBK	TGAL	TSSW
SUGN	TGCI	TTEC
SUMT	TGEN	TTELF
SUMX	TGIS	TTILF
SUNH	TGNT	TUNE
SUNQ	TGSI	TUTR
SUPC	THNK	TVGTF
SUPR	THR D	TVGWF
SUPVU	THRT	TVLI
SUPX	TIII	TWFC
SVBF	TISAF	TWHH
SVECF	TISWF	TWLB
SVRN	TKGFA	TWMC
SWBT	TKGFW	TWRI
SWKOY	TKOCF	TWSTY
SXNB	TLAB	TXCC
SYBS	TLCM	TXCO
SYGR	TLD T	TXHI
SYKE	TLGD	UACA
SYMC	TLTN	UBAN
SYMX	TLXAF	UBCD
SYNC	TMAN	UBID
SYNT	TMAX	UBIX
SYNX	TMBR	UBMT
SYPR	TMCS	UBSC
SYSF	TMEIW	UBSH
TACO	TMOT	UCBH
TACT	TMSR	UCMP
TAIT	TMSTB	UCOR
TALX	TMXI	UDYN
TANK	TNSU	UEIC
TAROF	TOGA	UFBS
TARR	TOPS	UFCS
TASA	TOYOV	UFPT
TATTF	TPARY	UGLY
TA VI	TPEG	UHCI
TAYD	TPOA	UHCP
TBAEW	TQNT	UICI
TBCOA	TRAK	UIHIA
TBCOL	TRBO	ULTI
TBFC	TRBR	UMED
TBUS	TRBS	UMPQ
TBUSW	TRCD	UNAM
TCCO	TRCI	UNBC
TCDN	TRCR	UNBJ
TCDNW	TRDT	UNFY
TCIVA	TRDX	UNII
TCIX	TREV	UNIT
TCLN	TREVV	UNSRW
TCMS	TRGNY	UNTD
TCNOF	TRIZF	UNTY
TCOMB	TRKA	UNVC
TCPI	TRMB	UNVCW
TCPS	TRMK	UPUP
TCSI	TRMM	URBN
TCTV	TRND	URGI
TCXXF	TRNI	UROQ
TDEO	TRNS	USAD
TDEOW	TRON	USA K
TECH	TROW	USAM
TECUB	TRSEF	USAP
TELE	TRSM	USBCE
TELEU	TRST	USDL

USEY	VTCH	XMCM
USEYW	VTEK	XMIT
USFC	VTEX	XOSY
USFS	VTNAF	XRAY
USHG	VTSS	XRIT
USPH	VVUS	XTEL
USPN	VVVI	XTON
USTC	WALB	XTRM
USWB	WALK	XYBR
UTBI	WALL	XYBRW
UTCI	WANG	YANB
UTCIW	WARPF	YELL
UTEK	WATFZ	YFED
UTOG	WATR	YHOO
UTVI	WAVO	YORK
UVEW	WAXS	YSII
UVSGA	WAYN	ZAPS
UVSLW	WBCO	ZEUS
VADO	WBPR	ZHOM
VAIL	WBST	ZILA
VALN	WCII	ZING
VALU	WCMC	ZION
VCAP	WCNX	ZITL
VCLL	WCOM	ZMAX
VCLLW	WCSTF	ZMTX
VCSI	WDFC	ZNDTY
VDAT	WDRY	ZNRG
VDATW	WEFC	ZOMX
VDNX	WERN	ZOOM
VECO	WFDS	ZYSBB
VENGF	WFSG	
VENT	WGBC	<i>Schedule C</i>
VERP	WGOV	AAGIY
VFLX	WHGB	AAHS
VFSC	WILWF	AAIR
VGCO	WIND	AAME
VGHN	WIRE	AASIU
VIAS	WLDA	AASIW
VICL	WLFC	AASP
VICR	WLHN	AATI
VIDE	WLPT	ABBK
VIFL	WLRF	ABCB
VINT	WMCO	ABCL
VION	WNUT	ABCR
VIONU	WPEC	ABGX
VIONW	WPIC	ABMC
VIRS	WPNE	ACAT
VISG	WPSN	ACET
VITK	WRDP	ACIT
VITL	WRKS	ACLR
VLAB	WRKSW	ACNUF
VLGEA	WRLD	ACRI
VLNC	WRLSD	ACSC
VMRX	WRNB	ACSY
VNTV	WSBI	ACTL
VNWK	WSBK	ACTT
VOCLF	WSCC	ADCC
VONE	WSCI	ADLI
VOXW	WSFS	ADLRF
VPUR	WSTL	ADSP
VRES	WSTNA	ADVNB
VRGN	WTEC	AEIS
VRIO	WTHG	AESP
VRLK	WTLK	AFFI
VRSN	WVFC	AFFX
VRTX	WWCA	AFIS
VRTY	WWES	AGIS
VSCI	WWLIW	AGPH
VSEIF	WWTR	AHPI
VSIN	WYNT	AHWY
VSIND	XATA	AILP
VSIO	XEIKY	AIRB
VSLF	XETA	AKRN
VSNT	XIOX	ALAB
VSTN	XLNX	ALEX
VSVR	XLSW	ALGI

ALGO	BCII	CDTS
ALKS	BCIS	CDWI
ALLE	BCOM	CEBC
ALNC	BDLS	CECO
ALNK	BDOG	CEDC
ALOT	BEIQ	CEDR
ALSI	BELFB	CEFT
ALTA	BERK	CELT
ALTM	BFOH	CENT
ALYN	BGALY	CERS
AMCC	BHAG	CETV
AMCE	BHQUC	CFBXL
AMFC	BIGX	CFBXZ
AMHC	BIKE	CFCM
AMRSW	BILL	CFCP
AMSFF	BIMCD	CFGI
AMSGA	BIOI	CFNC
AMSWA	BIPL	CGCP
AMSY	BIRM	CHAR
AMVC	BJICA	CHERB
ANAD	BKFR	CHGOW
ANAT	BLCI	CHR
ANDE	BLMT	CHTT
ANET	BLUD	CIEN
ANIC	BMAN	CINF
ANLT	BMED	CIRQF
APOS	BMRA	CISC
AQLA	BMTR	CKFR
AREA	BNBGA	CLAS
ARGX	BNKU	CLBK
ARIA	BNRX	CLCP
ARINA	BONSQ	CLFY
ARIS	BONZ	CLKB
ARKR	BOSCF	CLRS
ARMF	BOSS	CLRT
ARNX	BOYD	CLST
ARQL	BPLS	CLTK
ARRO	BRAI	CLTR
ARSC	BRBI	CLTY
ARTC	BRBK	CLYS
ARTL	BRYO	CMCI
ASCT	BSMT	CMDL
ASEC	BSYS	CMED
ASFT	BTBTY	CMND
ASHE	BTGC	CMOS
ASMIF	BTGI	CMRO
ASYSW	BUKSC	CMSB
ATLB	BUNZ	CMSV
ATLCU	BWFC	CMTO
ATML	BYBI	CMWL
ATPC	CACB	CNAF
ATRI	CADE	CNBC
ATRM	CAFI	CNGR
AURA	CAMD	CNIT
AVAN	CAND	CNRD
AVCC	CARD	CNRS
AVDL	CARN	CNSP
AVGE	CASA	CNTL
AVID	CATH	CNTR
AVII	CAVB	CNXT
AVRT	CBAN	COBH
AVTR	CBCI	COBZ
AVXT	CBMD	CODI
AXIM	CBNJ	COLL
AXYS	CBNY	COMS
AZTC	CBRL	COOP
BAANF	CBSA	COPI
BAMM	CCCFF	COPY
BARR	CCLR	CORX
BATSW	CCRO	COSC
BBBY	CDIC	COSE
BBDC	CDIR	CPMNY
BBII	CDMS	CPRT
BBRC	CDNW	CPTI
BBSI	CDRD	CPWY
BCHE	CDSC	CRCL

CRDM	DMMC	ETEK
CRED	DMSC	EUSA
CREE	DNEX	EVBS
CREN	DNFC	EVER
CRGO	DRCO	EWST
CRHCY	DRMD	EXAC
CRLS	DRTK	EXCA
CRRC	DRYR	EXCO
CRRR	DSGIF	EXEC
CRYSF	DSIT	FACO
CSAR	DTEK	FACT
CSBK	DTLN	FARL
CSCO	DTMC	FARM
CSCQ	DVIDU	FARO
CSCQW	DVNTF	FASI
CSNR	DWCH	FAST
CSWC	DWYR	FAXX
CSYI	DXPE	FBAN
CTBI	DXYN	FBER
CTCO	DYHM	FBNC
CTCQ	DYII	FBSI
CTEK	DYMTF	FCBF
CTEN	DYMX	FCBK
CTGI	DYNX	FCCN
CTIC	DYOLF	FCFC
CTII	DYPR	FDTR
CTND	EAII	FEET
CTRX	EASTW	FESX
CTRY	EBAY	FFBC
CTSC	ECBE	FFBZ
CTWOW	ECSI	FFDB
CTXS	EDCO	FFDF
CTYA	EDUC	FFES
CVBK	EEFT	FFHH
CVCLF	EFCX	FFKT
CVLY	EFII	FFTI
CVTI	EGASW	FHCC
CWBC	EGHT	FHRI
CWEI	EGLO	FIBC
CXILW	EILL	FIFS
CYAN	ELAMF	FILM
CYGN	ELCO	FIRM
CYPB	ELIX	FISB
CYPBZ	ELON	FISV
CYPHW	ELSE	FKFS
CYSP	ELSI	FLCHF
DAIO	EMAK	FLCI
DAKT	EMCC	FLDR
DAOU	EMKR	FLIC
DAVL	EMLD	FLOW
DAVX	ENCD	FLPB
DAWK	ENDO	FLSC
DBLE	ENER	FMAX
DBRSY	ENGEF	FMBI
DCAIW	ENGSY	FMBK
DCLK	ENMC	FNCLY
DCPI	ENSO	FNCO
DCRN	ENSR	FNF1
DEAR	EPEX	FNLY
DECO	EPIQ	FONX
DECTF	EQSB	FORMF
DEMP	EQTX	FORTY
DENT	ERCI	FOUR
DGII	ERGO	FRAG
DGJLF	ERICZ	FRBK
DGSI	ESBF	FRES
DHBT	ESBK	FRGB
DHSM	ESCMF	FRND
DIAGF	ESCO	FRNT
DIGL	ESIO	FRPP
DIIG	ESMCW	FSAWF
DINE	ESON	FSNJ
DKEY	ESPI	FSON
DLIA	ESPRY	FSRV
DLNK	ESTI	FSTR
DMDS	ETCIA	FTBK

FTCG	HELE	INMT
FTEC	HELO	INNO
FTEKF	HERBB	INOC
FTFN	HFBC	INPR
FTSP	HHCA	INPT
FULL	HHHH	INSO
FUSE	HICKA	INST
FUTR	HIIHOF	INSUA
FWBI	HILI	INTAF
FWWB	HIPC	INTG
FYII	HISS	INTL
GABC	HITK	INTXL
GABS	HMAR	INTXZ
GAEQ	HMII	INVN
GASSD	HMLD	INVX
GATT	HMSI	IPEC
GBCOA	HMSIU	IRIDF
GBFE	HMSIW	IRSN
GBND	HNWC	ISAC
GBTOT	HNWCW	ISBF
GBTB	HORT	ISEE
GDCUF	HRDG	ISGTF
GDCWF	HRVE	ISIG
GENSZ	HSIC	ISIS
GENZ	HSTD	ISLE
GEOC	HTEC	ITRI
GEOI	HTECC	ITWO
GGGO	HWCC	IUSAA
GIGX	HWKN	IZZI
GISH	HYPT	JADEF
GLCBY	HYSL	JAMS
GLDB	IAAC	JEVC
GLDR	IACP	JMAR
GLIA	IART	JMCG
GLMA	IBIS	JSTN
GLUX	ICCC	JUDG
GMCR	ICHR	KAMNA
GMGC	ICII	KAYE
GNCMA	ICIX	KERA
GNLB	ICLRY	KEYS
GNRL	ICMI	KFBI
GNTIY	ICOCZ	KIDD
GNTL	ICOGF	KIDS
GNTY	ICOR	KINN
GPFI	ICTSF	KLOCZ
GRDG	ICUI	KMET
GRIF	IDBEF	KOPN
GRLL	IELSF	KPLNF
GRTS	IFLYW	KPLWF
GSBNW	IFMX	KRON
GSLM	IGPFF	KRSL
GSOF	IHIIL	KTEC
GSTX	IKOS	KTIC
GTCMY	ILNK	KTII
GTMW	IMAA	KTWO
GTNR	IMAT	KTWOW
GTRC	IMAXF	KVCO
GUPB	IMGK	KVHI
GZEA	IMKE	KYZNW
GZMO	IMMU	LABN
HACH	IMNR	LABS
HACHA	IMONW	LACI
HAIN	IMSC	LAND
HALL	IMSG	LARL
HAMP	INCY	LASE
HANS	INDYY	LAWS
HARY	INF	LBTYB
HAST	INFO	LCCI
HAUS	INFS	LCCO
HAVN	INGR	LCOS
HBHC	INHL	LCSI
HBOC	INHM	LEASW
HCAP	INHO	LECH
HCORC	INKP	LFED
HCRI	INKT	LGCB
HEAL	INLQ	LGSAF

LIFE	MIKN	NSIX
LIQB	MILT	NSPK
LJPCW	MITSY	NTAIF
LKFN	MLOG	NTAP
LMAR	MMAC	NTBK
LMIA	MMGR	NTEG
LNCB	MMSI	NTFYW
LNCE	MNES	NTKI
LNET	MNRCY	NTMG
LOGIY	MNYC	NTPA
LOGLF	MNYCW	NTPL
LOGN	MODI	NTSC
LONDY	MOKA	NTSTW
LPTHW	MONM	NUHC
LQMD	MONT	NURTF
LSBX	MOTO	NVDA
LSCP	MOTR	NVDC
LTCW	MPSI	NVDCW
LUCR	MPVIF	NWCA
LUND	MRLL	NWCMW
LVCI	MRYP	NWNG
LVLT	MSEL	NWPX
LVSB	MSEX	NWREW
MABXA	MSPTD	NWSS
MAII	MSTG	NWSW
MAIL	MTLC	NYHC
MAIN	MTMC	NZYM
MAIR	MTRN	OCAD
MALL	MTRS	OCAL
MAPS	MTSC	OCAS
MARN	MTSLF	ODETA
MARPS	MUEI	ODFL
MARY	MUEL	ODIS
MAST	MUSE	OFIS
MAXI	MVAC	OGLE
MAXS	MVCOW	OHRI
MBHI	MWDS	OICO
MBLF	MWGP	OLAB
MBRW	MWHS	OLCWFF
MCCRK	MWSI	OLGC
MCHP	MXIM	OMPT
MCLD	MYGN	ONFC
MCRI	MYSW	ONTR
MCRL	NAII	ONTRW
MDCAF	NATI	ONYX
MDCI	NATL	OPHD
MDCL	NATLW	OPTLF
MDLK	NATR	OPTN
MDSN	NATW	ORAL
MDST	NAVG	ORCI
MECH	NBAK	ORCL
MEDA	NBSC	OREX
MEDI	NBSI	ORFR
MEDJ	NCEB	ORNGY
MEDM	NCES	ORTL
MEDY	NCOMC	ORYX
MEGO	NCTI	OSFTW
MERCs	NERIF	OSHDF
MERK	NETG	OSII
MERQ	NETM	OTCM
MERX	NEXT	OTRX
METF	NFLD	OUTL
METG	NGEN	OVRL
METHB	NHAN	OYOG
METLF	NHCI	PAASF
MFBC	NHHC	PACC
MFIC	NICKF	PAIR
MFUN	NMGC	PALX
MGAM	NMSB	PANA
MGAMW	NMSCA	PAPA
MGASW	NOBH	PARA
MHCO	NOEL	PARS
MICA	NOIZ	PATH
MIFC	NOOFW	PAUH
MIFGY	NPBC	PBFI
MIGI	NRRD	PBMI

PCIG	QDIN	SEQU
PCOP	QGENF	SEVN
PDSE	QGLY	SEWY
PDSFW	QMDC	SFAM
PEAKF	QSRI	SFBC
PEDE	QTEL	SFGD
PEGI	QUIX	SHCR
PERI	QUSTW	SHDN
PERLF	RACE	SHFL
PESI	RADAF	SHLM
PETC	RADIF	SHLO
PETM	RAIL	SIEB
PFGI	RANKY	SIGC
PFINA	RARE	SIGI
PFBS	RAVE	SIGM
PGLAF	RAVN	SILVZ
PGTZ	RAWL	SIMN
PHFC	RAZR	SIMWF
PHLY	RBPAA	SIND
PHRX	RCNC	SIPX
PHSB	RDRT	SISGF
PHSYB	REHB	SIXR
PHYC	REIN	SJNB
PHYN	RENG	SKAN
PIAM	RENO	SKBO
PIHCW	RENX	SKFB
PILL	RESC	SKYT
PIOG	RESP	SKYW
PJTVC	REXI	SLFC
PLAN	REXL	SLICW
PLCM	REXMY	SLPT
PLFE	RFIL	SLTID
PLMD	RFMD	SMAN
PLSIA	RFMI	SMCS
PLUSW	RGBK	SMMT
PMCS	RGCO	SMTR
PMEDW	RGIS	SMTRF
PMFG	RIBI	SNBC
PMID	RICA	SNBJ
PMOR	RICK	SNBS
PMORW	RIDE	SNHY
PMRTW	RIDG	SNIC
PNTK	RIVL	SNSR
POLY	RLLY	SNTKY
POWI	RMCF	SOBI
PPTV	RMII	SOCC
PRCC	RNET	SONC
PRCM	ROBV	SORC
PRGS	ROYL	SOTR
PRHC	RPCLF	SPAB
PRMS	RSCR	SPAR
PRRC	RTEX	SPDE
PRSP	RTRO	SPLK
PRZM	RTSTD	SPLN
PSCOW	RXSD	SPNSF
PSWT	RYOU	SPOT
PTCH	SABB	SPRI
PTEK	SAFE	SPRX
PTEN	SAFS	SPSI
PTHW	SANG	SRGE
PTII	SASOY	SRSL
PTIS	SAVB	SSAX
PTRO	SAWS	SSCC
PTVL	SBAN	SSIW
PUBSF	SBCO	SSPE
PULB	SCBFH	SSSS
PULBD	SCBS	SSTI
PUTT	SCHK	STAR
PVAT	SCITY	STBI
PVATW	SCLN	STER
PVCC	SCOR	STIM
PVFC	SDCOZ	STIZ
PWAV	SECM	STKLF
QCBC	SECX	STKR
QCOM	SEMI	STLTF
QDELW	SEMX	STRO

STRX	TTRIF	WINS
STTX	TUBY	WKGP
SUIT	TUSC	WNNB
SUMM	TUTS	WOFC
SUND	TWIN	WORK
SUNW	TWRS	WPPGY
SUPI	TWTR	WSII
SUSCD	UBCP	WSTF
SUSQ	UBSI	WSTR
SVGI	UCFC	WTBK
SVIN	UFAB	WTFC
SWFT	UFMG	WTNY
SWLDY	UHLD	WTRS
SWMAY	ULGX	WTSC
SWWC	UNDG	WVVI
SXTN	UNFI	WWESW
SYBBF	UNIQ	WWIN
SYCM	UNPH	WWVY
SYMBA	UNSRA	XCED
SYNL	UPFC	YBTVA
SYXI	URSI	YILD
TAMR	USBN	ZBRA
TANT	USEC	ZICAF
TBAC	USEG	ZIGO
TCAM	USHP	ZNRGW
TCCC	USPL	ZOLT
TCHC	USPTS	ZONA
TCIVB	USTX	ZSEV
TDRP	USVI	ZVXI
TDSC	UVSL	ZYSDD
TECUA	VARL	ZYSKK
TERN	VCAI	[FR Doc. 99-10008 Filed 4-16-99; 4:48 pm]
TEST	VCAM	BILLING CODE 8010-01-P
TEVIY	VCFC	
TFCE	VDRY	
TFONY	VELCF	
TFSM	VERD	
TGIC	VESC	
THDO	VFND	
THOR	VIAX	
HTHL	VIONZ	
TIMBZ	VITX	
TKIOY	VLSI	
TKLC	VMRXW	
TKTL	VMSI	
TLNOF	VPHM	
TLSP	VRBA	
TLXN	VRTL	
TMAR	VSAT	
TMBS	VTEL	
TMFW	VVID	
TMTV	WABC	
TMTX	WACLY	
TNTX	WAIN	
TNZRY	WANGW	
TOFF	WAVE	
TPEGW	WAVEW	
TRAV	WAVT	
TRBD	WBKC	
TREN	WCBO	
TRES	WCCI	
TRDFD	WCFB	
TRGA	WCLX	
TRGI	WDHD	
TRGIW	WEBB	
TRGL	WEBC	
TRGPW	WEBK	
TRIC	WEYS	
TRMS	WFMI	
TRVL	WFSL	
TSAI	WGNR	
TSATA	WHIT	
TSCP	WHJI	
TSEMF	WIDFC	
TSFT	WIKS	
TSRI	WILM	

## SECURITIES AND EXCHANGE COMMISSION

### 17 CFR Parts 232, 270 and 274

[Release No. IC-23786; File No. S7-31-98]

RIN 3235-AG29

#### Deregistration of Certain Registered Investment Companies

**AGENCY:** Securities and Exchange Commission.

**ACTION:** Final rule.

**SUMMARY:** The Commission is adopting amendments to the rule and form under the Investment Company Act of 1940 that govern the deregistration of registered investment companies. The Commission also is adopting amendments that require investment companies to file the form electronically through the Commission's Electronic Data Gathering, Analysis, and Retrieval ("EDGAR") system. The amendments are designed to expedite the process for deregistering investment companies.

**EFFECTIVE DATE:** The rule amendments will become effective June 1, 1999.

#### FOR FURTHER INFORMATION CONTACT:

Robin Gross Lehv, Staff Attorney, or Penelope Saltzman, Senior Counsel, at (202) 942-0690, Office of Regulatory Policy, Division of Investment Management, Securities and Exchange Commission, 450 5th Street, N.W.,