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(5 U.S.C. 552(a))

Dated at Rockville, Maryland, this 13th day of November 1997.

For the Nuclear Regulatory Commission.

Joseph A. Murphy,

*Director, Division of Regulatory Applications,
Office of Nuclear Regulatory Research.*

[FR Doc. 97-31871 Filed 12-4-97; 8:45 am]

BILLING CODE 7590-01-P

RAILROAD RETIREMENT BOARD

Privacy Act of 1974; Proposed Changes To Systems of Records

AGENCY: Railroad Retirement Board.

ACTION: Notice of proposed change to a system of records.

SUMMARY: The purpose of this document is to give notice of a proposed routine use in one system of records and an addition to the categories of records in the same system.

DATES: The amendment to the categories of records shall become effective as proposed without further notice in 40 calendar days from the date of this publication unless OMB approves the RRB request for waiver of the 40-day advanced notice requirement for new or altered system reports, in which case the altered system, with the exception of the proposed routine use, will become effective as of the date the waiver is granted. The routine use will be effective not earlier than 30 calendar days from the date of this publication unless comments are received before this date which would result in a contrary determination.

ADDRESSES: Send comments to Beatrice Ezerski, Secretary to the Board, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092.

FOR FURTHER INFORMATION CONTACT: LeRoy Blommaert, Privacy Act Officer, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092, (312) 751-4548.

SUPPLEMENTARY INFORMATION: Since 1938, the Railroad Retirement Board (RRB) has provided current railroad workers with a yearly statement of their commulative service and compensation. (This information is contained in Privacy Act System of Records RRB-5, Master File of Employees Creditable Compensation.) For many years, the statements were mailed to the railroad employers for distribution to their workers. Beginning in 1985 railroad employers were given the option of furnishing the RRB with address information for their employees, in which case, the RRB would mail the statements to the employees. In time, all of the Class I (large) railroads, which account for over 80% of railroad workers, plus many of the medium-size railroads elected this option, so that today the RRB mails over 96 percent of the service and compensation statements directly to the rail employees.

Originally, address information furnished by the railroad employer was kept in computerized tape format for 1 year until the following year's submissions from railroad employers. Beginning in 1994, the RRB began to retain the address information in an on-line environment, so that it could be accessed by computer terminal along

with various service and compensation data about a particular employee.

The proposed routine use ("q" for RRB-5) would authorize the RRB to disclose to a Member of Congress the name and address of a Member's railroad worker constituent in order that the Member could communicate with him or her about legislation affecting the railroad retirement or unemployment/sickness insurance system.

The Railroad Retirement Board has determined that this proposed routine use meets the compatibility requirement because it is a necessary and proper use. The RRB previously published routine uses allowing disclosure of name and address information of retirement annuitants and unemployment and sickness claimants to Members of Congress (RRB-21, "x"; RRB-22, "ff"). Thus, publication of this proposed routine use would bring this system of records into conformity with the other two main systems of records containing name and address information with respect to disclosure to Members of Congress for the same purpose.

On November 17, 1997, the Railroad Retirement Board filed an altered system report for this system with the chairmen of the designated Senate and House committees and with the Office of Management and Budget. This was done to comply with Section 3 of the Privacy Act of 1974 and OMB Circular No. A-130, Appendix I.

By Authority of the Board.

Beatrice Ezerski,

Secretary to the Board.

RRB-5

System name:

Master File of Railroad Employees' Creditable Compensation—RRB

* * * * *

This section is revised to read as follows:

Categories of records in the system:

Individual name, social security number, claim number, date of birth, sex, race, last employer identification number, amount of daily pay rate if under \$100, ICC occupation code, creditable service and compensation from 1937 to date, and home address.

* * * * *

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

* * * * *

A new paragraph "q" is added to read as follows:

q. The name and address of a railroad worker may be released to a Member of Congress when the Member requests it

in order that he or she may communicate with the worker about legislation which affects the railroad retirement or railroad unemployment and sickness insurance program.

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BILLING CODE 7905-01-M

SECURITIES AND EXCHANGE COMMISSION

Proposed Collection; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Filings and Information Services, Washington, D.C. 20549.

Extension: Form BD/Rule 15b1-1, SEC File No. 270-0019, OMB Control No. 3235-0012.

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.), the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information described below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

- Form BD/Rule 15b1-1, Application for Registration as Broker or Dealer

Sections 15(b) (1) and (2) of the Securities Exchange Act of 1934 authorizes the Commission to prescribe by rule an application form for registration that contains such information about broker-dealers that is necessary or appropriate in the public interest or for the protection of investors. Similarly, Section 15B(a)(2) of the Exchange Act authorizes the Commission to prescribe an application form for registration of municipal securities dealers, and Section 15C(a)(2) of the Exchange Act authorizes the Commission to prescribe an application form for registration of government securities broker-dealers. Section 15C(a)(1)(B) further provides that registered broker-dealers engaging in government securities activities use provide the Commission with notice of such activities, in such form as the Commission may prescribe. To implement the foregoing statutory provisions of the Exchange Act, the Commission has promulgated, pursuant to Rule 15b1-1, 17 CFR 240.15b1-1, Form BD (17 CFR 249.501), the uniform application for broker-dealer registration. Form BD requires the applicant or registrant filing the form to provide the Commission with certain information concerning the nature of its

business and the background of its principals, controlling persons, and employees. Form BD is designed to permit the Commission to determine whether the applicant meets the statutory requirements to engage in the securities business. Form BD also is used to register as broker-dealers with certain self-regulatory organizations ("SROs") and all of the states.

For fiscal year 1996, the Commission received approximately 840 full form BDs for an initial or successor applications for registration as a broker-dealer, non-bank municipal securities dealer, or non-bank government securities broker-dealer (pursuant to Rule 15b1-1, 15b1-3, 15b1-4, 15Ba2-2(a), 15Ba2-4, 15Ba2-5, 15Ca2-1, 15Ca2-3, and 15Ca2-4). Although the time necessary to complete Form BD will vary depending on the nature and complexity of the applicant's securities business, Commission staff estimates that the average time necessary to complete the full form is approximately 2.75 hours. Thus, the total burden hours for the filing of a full form BD is 2,310 hours (2.75×840).

In addition to full form BDs, applicants are required to file amendments to Form BD when information originally reported changes or becomes inaccurate. For fiscal year 1996, the Commission received approximately 15,000 amendments. The staff estimates that the average time necessary to complete an amendment is approximately 0.33 hours. Thus, the total burden hours for the filing of a form BD amendments is 4,950 hours ($0.33 \times 15,000$). In sum, the total annual burden for Form BD and Form BD amendments is 7,260 hours ($2,310 + 4,950$).

Written comments are invited on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimates of the burden of the proposed collection of information; (c) ways to enhance the equality, utility, and clarity of the information on respondents; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Considerations will be given to comments and suggestions submitted in writing on or before February 3, 1998.

Please direct your comments to Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange

Commission, 450 Fifth Street, N.W., Washington, DC 20549.

Dated: November 25, 1997.

Margaret H. McFarland,
Deputy Secretary.

[FR Doc. 97-31845 Filed 12-4-97; 8:45 am]
BILLING CODE 8010-01-M

SECURITIES AND EXCHANGE COMMISSION

[Investment Company Act Release No. 22919; 812-10880]

Federated Index Trust, et al.; Notice of Application

December 1, 1997.

AGENCY: Securities and Exchange Commission ("SEC").

ACTION: Notice of application for exemption under section 6(c) of the Investment Company Act of 1940 (the "Act") from section 15(a) of the Act.

SUMMARY OF APPLICATION: The requested order would permit the implementation, without shareholder approval, of a new sub-advisory agreement ("New Agreement") for a period of up to 120 days following the date of a change in control of ANB Investment Management and Trust Company (the "Subadviser") (but in no event later than May 30, 1998) (the "Interim Period"). The order also would permit the Subadviser to receive all fees earned under the New Agreement following shareholder approval.

Applicants: Subadviser and Federated Index Trust (the "Trust").

Filing Date: The application was filed on November 25, 1997.

Hearing or Notification of Hearing: An order granting the application will be issued unless the SEC orders a hearing. Interested persons may request a hearing by writing to the SEC's Secretary and serving applicants with a copy of the request, personally or by mail. Hearing requests should be received by the SEC by 5:30 p.m. on December 24, 1997, and should be accompanied by proof of service on applicants in the form of an affidavit or, for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the SEC's Secretary.

ADDRESSES: Secretary, SEC, 450 Fifth Street, N.W., Washington, D.C. 20549. Trust, c/o John W. McGonigle, Esq., Federated Investors Funds, 5800 Corporate Drive, Pittsburgh, Pennsylvania 15237-7000. Subadviser,