

partnership, or sole proprietorship) is engaged in both agricultural enterprise and a non-agricultural business venture. If the agricultural enterprise part of your business entity has suffered a physical disaster, that enterprise is not eligible for SBA physical disaster assistance. If the non-agricultural business venture of your entity has suffered physical disaster damage, that part of your business operation would be eligible for SBA physical disaster assistance. If both the agricultural enterprise part and the non-agricultural business venture have incurred physical disaster damage, only the non-agricultural business venture of your business entity would be eligible for SBA physical disaster assistance.

* * * * *

Dated: June 25, 1997.

Aida Alvarez,
Administrator.

[FR Doc. 97-17204 Filed 6-30-97; 8:45 am]

BILLING CODE 8025-01-P

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

15 CFR Part 922

[Docket No. 905222055-6228-03]

RIN 0648-AH92

Regulation Prohibiting the Attraction of White Sharks in the Monterey Bay National Marine Sanctuary

AGENCY: Sanctuaries and Reserves Division (SRD), Office of Ocean and Coastal Resource Management (OCRM), National Ocean Service (NOS), National Oceanic and Atmospheric Administration (NOAA), Department of Commerce (DOC).

ACTION: Correcting amendment.

SUMMARY: This document contains corrections to the final regulation which was published Thursday, December 19, 1996 (61 FR 66913). The regulation prohibits the attraction of white sharks in the Monterey Bay National Marine Sanctuary.

EFFECTIVE DATE: July 1, 1997.

FOR FURTHER INFORMATION CONTACT: Ed Ueber at (415) 561-6622 or Elizabeth Moore at (301) 713-3141.

SUPPLEMENTARY INFORMATION: The National Oceanic and Atmospheric Administration (NOAA) published a final rule prohibiting the attraction of white sharks within the seaward limit of State waters of the Monterey Bay National Marine Sanctuary (61 FR 66914). This notice corrects a typographical error in the coordinates depicting one of the two points by

which the coastline for Monterey Bay, which is inland waters, is determined for purposes of the prohibition.

List of Subjects in 15 CFR Part 922

Administrative practice and procedure, Coastal zone, Education, Environmental protection, Marine resources, Natural resources, Penalties, Recreation and recreation areas, Reporting and recordkeeping requirements, Research.

(Federal Domestic Assistance Catalog Number 11.429 Marine Sanctuary Program)

Dated: June 23, 1997.

Nancy Foster,

Assistant Administrator for Ocean Services and Coastal Zone Management.

PART 922—[CORRECTED]

Accordingly, 15 CFR Part 922, Subpart M is corrected by making the following correcting amendment:

1. The authority citation for 15 CFR Part 922 continues to read as follows:

Authority: 16 U.S.C. 1431 et seq.

§ 922.132(a)(10) [Corrected]

2. In § 922.132(a)(10) in the last sentence, the coordinate "121°01'45" W" is revised to read "122°01'45" W".

[FR Doc. 97-17143 Filed 6-30-97; 8:45 am]

BILLING CODE 3510-08-M

SECURITIES AND EXCHANGE COMMISSION

17 CFR Parts 239, 240, 249 and 269

[Release No. 33-7424; 34-38771; 35-26733; 39-2354; IC-22727]

Amendments to Forms and Schedules to Remove Voluntary Provision of Social Security Numbers

AGENCY: Securities and Exchange Commission.

ACTION: Final rules.

SUMMARY: The Securities and Exchange Commission is adopting revisions to forms and schedules filed under the Securities Act of 1933, the Securities Exchange Act of 1934, related provisions of the Investment Company Act of 1940 and the Public Utility Holding Company Act of 1935, and the Trust Indenture Act of 1939, to eliminate the portion of those forms that requests filers who are natural persons to furnish their Social Security numbers.

EFFECTIVE DATE: The rule revisions are effective July 1, 1997.

FOR FURTHER INFORMATION CONTACT: Marija Willen, Regulatory Counsel, Division of Corporation Finance, (202) 942-1805; Richard C. Strasser, Special

Counsel, Division of Market Regulation, (202) 942-0073, U.S. Securities and Exchange Commission, Washington, DC 20549.

SUPPLEMENTARY INFORMATION: The U.S. Securities and Exchange Commission (the "Commission") is adopting amendments to the following forms and schedules under the Securities Act of 1933 (the "Securities Act"),¹ the Securities Exchange Act of 1934 (the "Exchange Act"),² and the Trust Indenture Act of 1939 (the "Trust Indenture Act"):³ Form 144;⁴ Schedule 13D;⁵ Schedule 13G;⁶ Schedule 14D-1;⁷ Form 3;⁸ Form 4;⁹ Form 5;¹⁰ Form MSD;¹¹ Form TA-1;¹² and Form T-2.¹³

I. Discussion

Commission rules and regulations require the filing and public disclosure of information by natural persons as well as corporate and other entities. The Commission is amending forms that request individual filers to disclose their Social Security numbers. These forms will no longer include any reference to Social Security numbers, and as appropriate, the forms will be revised to delete the portion of the form where filers included this information.

The Commission is taking this action in response to increasing concern about the improper use of Social Security numbers for access to otherwise non-public information.¹⁴ The forms on which individuals can disclose their Social Security numbers are available to the public. In the past, this has not led to significant abuse. However, with the growth of the EDGAR database and its availability to millions of viewers on the Commission's web site, the Commission is concerned that these numbers are too readily available. This is especially true where impersonal electronic

¹ 15 U.S.C. 77a et seq.

² 15 U.S.C. 78a et seq.

³ 15 U.S.C. 77aaa-77bbb.

⁴ 17 CFR 239.144.

⁵ 17 CFR 240.13d-101.

⁶ 17 CFR 240.13d-102.

⁷ 17 CFR 240.14d-100.

⁸ 17 CFR 249.103.

⁹ 17 CFR 249.104.

¹⁰ 17 CFR 249.105.

¹¹ 17 CFR 249.1100.

¹² 17 CFR 249b.100.

¹³ 17 CFR 269.2.

¹⁴ Some of the forms being amended also call for disclosure of the I.R.S. identification number of the filing party—in most cases on a voluntary basis—if the filing party is an entity rather than an individual. The forms as amended retain this information. The disclosure of I.R.S. identification number of entities does not raise the same concerns as Social Security numbers. In fact, a number of the Commission's forms require disclosure of the I.R.S. identification number of the filing party.

commercial transactions made possible by recent developments in technology encourage potential impostors. The Commission has determined that the usefulness of Social Security numbers filers voluntarily provide on these forms is outweighed by the risk of misuse created by the disclosure of those numbers.

A Social Security number can be the key to obtaining personal and private information about individuals. In recent years, the use of Social Security numbers as a universal identifier has increased significantly. With a Social Security number and certain other publicly-available information, it is possible to retrieve sensitive personal and financial information about people from a variety of sources, both legal and illegal. These sources include the Internet, which has increased both the amount and type of information available and the level of concern about the privacy of personal information.

Generally, the forms that the Commission is amending do not require that filers disclose their Social Security numbers. The forms include cautionary notes stating that the information is public and explaining how it may be used. For example, Social Security numbers may be used to help to identify filers. Because the forms make the inclusion of the number voluntary, however, some filers include the number and some do not. As a result, Social Security numbers cannot be used as a consistent mechanism for tracking the information provided about individuals in the Commission's forms. The Commission staff, and others who analyze the information disclosed in the forms, must use other means to track the individuals for analysis of the information. The Social Security number is not otherwise necessary for the evaluation of the information disclosed.

At this time, the Commission will continue to request that filers voluntarily disclose Social Security numbers on three Exchange Act forms: Form BD (uniform application for registration as a broker-dealer or to amend such an application), Form BDW (notice of withdrawal from registration as a broker-dealer) and Form X-17A-19 (report by national securities exchanges and registered national securities associations of changes in the membership status of any of their members). These forms are used not only by the Commission but also by state regulators and self-regulatory organizations. Other users of the forms have independent authority to establish their own forms and have determined that Social Security numbers are useful

for their purposes. Historically, they have not supported amending the forms to remove the request for Social Security numbers. Because it is important that these forms remain uniform, the Commission has decided to continue to request that filers voluntarily disclose Social Security numbers on these forms. Currently, these forms are not filed on EDGAR or disseminated over the Internet. Should the information begin to be published on the Internet, the issue will need to be reconsidered by the Commission and by the other users of the forms.

In addition, the Commission is not now amending Forms ADV (uniform application for registration as an investment adviser or to amend such application) and ADV-W (notice of withdrawal from registration as an investment adviser), which are filed by investment advisers under the Investment Advisers Act of 1940.¹⁵ These forms, like those mentioned in the previous paragraph, are used by state regulators as well as the Commission. Forms ADV and ADV-W are not available on EDGAR or on the Internet. The Commission currently is reviewing Forms ADV and ADV-W and anticipates proposing substantial revisions to the forms. In connection with the review process, the Commission will consider eliminating Social Security numbers from the forms. State regulators have independent authority to establish their own forms, however, and may determine that Social Security numbers are useful for their purposes. The Commission, therefore, may decide to continue to request that filers voluntarily disclose Social Security numbers on Forms ADV and ADV-W so that the forms remain uniform.

II. Effective Date

These changes are effective on the date of their publication in the **Federal Register**. The Commission's Publications Unit is printing new forms. The current forms will continue to be valid, but filers using those forms are requested not to include their Social Security numbers.

III. Certain Findings

Since the amendments to the forms and schedules to delete the voluntary provision of Social Security numbers relate solely to agency organization, procedure, or practice, publication for notice and comment is not required under the Administrative Procedure Act.¹⁶ It follows that the requirements of

the Regulatory Flexibility Act do not apply.

The rules relating to the disclosure of Social Security numbers are effective upon publication in the **Federal Register**. The Commission finds that there is good cause to dispense with the 30-day delay between publication and effectiveness normally required by the Administrative Procedure Act.¹⁸ There would be no hardship imposed on the filers of the affected forms, since the amendments simply would eliminate space on the forms for information that filers were providing voluntarily for the Commission's use, or on users of the information since the Social Security number information has been provided voluntarily. Balancing the possible harm to filers from the disclosure of their Social Security numbers against any possible hardship to filers or investors and other end-users, the Commission finds good cause for making these rules immediately effective.

The amendments to these forms do not come within the scope of the Paperwork Reduction Act of 1995¹⁹ because the amendments are not a substantive or material change to a collection of information.²⁰

Under 5 U.S.C. 804, this rule is exempt from the definition of the term "rule" for purposes of Chapter 8, entitled "Congressional Review of Agency Rulemaking," since the rule is a rule of agency organization, procedure, or practice that does not substantially affect the rights or obligations of non-agency parties.

Section 23(a)(2) of the Exchange Act²¹ requires the Commission to consider the anti-competitive effects of any rules it adopts thereunder, and to balance them against the benefits that further the purposes of the Act. Because the amendments here do not effect any substantive change, they do not have any anti-competitive effects.

IV. Cost-Benefit Analysis

Because these amendments are procedural rules, and will impact the Commission rather than any filer, a traditional cost-benefit analysis appears unnecessary. The amendments will benefit individual filers by eliminating the possibility of the disclosure of confidential information and there do not appear to be any significant costs to the public as a result of making these changes.

¹⁷ 5 U.S.C. 601-612.

¹⁸ 5 U.S.C. 553(d).

¹⁹ 44 U.S.C. 3501 *et seq.*

²⁰ 5 CFR 1320.5(g).

²¹ 15 U.S.C. 78w(a)(2).

¹⁵ 15 U.S.C. 80b-1-80b-21.

¹⁶ 5 U.S.C. 553(b).

Furthermore, section 2 of the Securities Act²² and Section 3 of the Exchange Act,²³ as amended by the recently enacted National Securities Markets Improvement Act of 1996,²⁴ provide that whenever the Commission is engaged in rulemaking and is required to consider or determine whether an action is necessary or appropriate in the public interest, the Commission also shall consider, in addition to the protection of investors, whether the act will promote efficiency, competition, and capital formation. Because the amendments will help to protect individual filers from the disclosure of otherwise confidential information, the amendments are in the public interest and will not affect efficiency, competition or capital formation.

V. Statutory Basis

The amendments to Form 144 are being adopted by the Commission pursuant to sections 2(11), 4(1) and 19(a) of the Securities Act. The amendments to Schedule 13D, Schedule 13G, Schedule 14D-1, Form 3, Form 4 and Form 5 are being adopted by the Commission pursuant to sections 3(a)(11), 3(a)(12), 3(b), 9(b), 10(a), 12(h), 13, 14, 16 and 23 of the Exchange Act. As Forms 3, 4 and 5 relate to the Investment Company Act of 1940²⁵ and the Public Utility Holding Company Act of 1935,²⁶ the changes to those forms are also adopted pursuant to Investment Company Act sections 30 and 38 and Public Utility Holding Company Act sections 17 and 20, respectively. The amendments to Form MSD are being adopted by the Commission pursuant to sections 15, 15B(a), 17(a) and 23(a) of the Exchange Act. The amendments to Form TA-1 are being adopted by the Commission pursuant to sections 17, 17(A)(c) and 23(a) of the Exchange Act. The amendments to Form T-2 are being adopted pursuant to the authority set forth in sections 304, 305, 307, 308, 310, 314 and 319 of the Trust Indenture Act.

List of Subjects in 17 CFR Parts 239, 240, 249 and 269

Reporting and recordkeeping, Securities.

Text of the Amendments

In accordance with the foregoing, Title 17, Chapter II of the Code of Federal Regulations is amended as follows:

²² 15 U.S.C. 77b.

²³ 15 U.S.C. 78c.

²⁴ Pub. L. 104-290, secs. 106, 110 Stat. 3416 (1996).

²⁵ 15 U.S.C. 80a-1 *et seq.*

²⁶ 15 U.S.C. 79a *et seq.*

PART 239—FORMS PRESCRIBED UNDER THE SECURITIES ACT OF 1933

1. The authority citation for part 239 continues to read, in part, as follows:

Authority: 15 U.S.C. 77f, 77g, 77h, 77j, 77s, 77z-2, 77sss, 78c, 78l, 78m, 78n, 78o(d), 78u-5, 78w(a), 78ll(d), 79e, 79f, 79g, 79j, 79l, 79m, 79n, 79q, 79t, 80a-8, 80a-29, 80a-30 and 80a-37, unless otherwise noted.

* * * * *

2. By amending § 239.144, paragraph (c), by revising the second and last sentences to read as follows:

§ 239.144 Form 144, for notice of proposed sale of securities pursuant to § 230.144 of this chapter.

* * * * *

(c) * * * Disclosure of the information specified in this form is mandatory before processing notices of proposed sale of securities under § 230.144 of this chapter. * * * Failure to disclose the information requested by Form 144 would make an exception under § 230.144 of this chapter unavailable and may result in civil or criminal action for violations of the Federal securities laws.

§ 239.14 [Form 144 Amended]

3. By amending Form 144 (referenced in § 239.144) by revising the caption to Item 2(b) and revising Instruction 2(b) to the cover page to read as follows:

Note: The text of Form 144 does not, and the amendments will not, appear in the Code of Federal Regulations.

Form 144

Notice of Proposed Sale of Securities

Pursuant to Rule 144 Under the Securities Act of 1933

* * * * *

Item 2(b). I.R.S. Ident. No.

* * * * *

Instructions:

* * *

2. (a) * * *

(b) Such person's I.R.S. identification number, if such person is an entity

* * * * *

PART 240—GENERAL RULES AND REGULATIONS, SECURITIES EXCHANGE ACT OF 1934

4. The general authority citation for part 240 is revised to read as follows:

Authority: 15 U.S.C. 77c, 77d, 77g, 77j, 77s, 77z-2, 77eee, 77ggg, 77nnn, 77sss, 77ttt, 78c, 78d, 78f, 78i, 78j, 78k, 78k-1, 78l, 78m, 78n, 78o, 78p, 78q, 78s, 78u-5, 78w, 78x, 78ll(d), 79q, 79t, 80a-20, 80a-23, 80a-29, 80a-37, 80b-3, 80b-4 and 80b-11, unless otherwise noted.

* * * * *

5. By amending § 240.13d-101 by revising Item (1) on the cover page and

the heading and the last sentence of Instruction (1) for the cover page, by removing the phrase "Social Security or" in the second, third and fourth undesignated paragraphs under SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 13D, by revising the words "regulatory statements" to read "regulatory statutes" in the third undesignated paragraph under SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 13D, and in the fourth undesignated paragraph under SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 13D by correcting the word "resuly" to read "result", to read as follows:

§ 240.13d-101 Schedule 13D—Information to be included in statements filed pursuant to § 240.13d-1(a) and amendments thereto filed pursuant to § 240.13d-2(a).

* * * * *

(1) Names of reporting persons.

I.R.S. Identification Nos. of above persons (entities only).

* * * * *

Instructions for Cover Page

(1) *Names and I.R.S. Identification Numbers of Reporting Persons*—* * *

Reporting persons that are entities are also requested to furnish their I.R.S. identification numbers, although disclosure of such numbers is voluntary, not mandatory (see "SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 13D" below).

* * * * *

6. By amending § 240.13d-102 by revising Item (1) on the cover page and the heading and last sentence to Instruction No. 1 for the cover page, and adding SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 13G following the third undesignated paragraph under "NOTES:" and before "Instructions" to read as follows:

§ 240.13d-102 Schedule 13G—Information to be included in statements filed pursuant to § 240.13d-1 (b) and (c) and amendments thereto filed pursuant to § 240.13d-2(b).

* * * * *

(1) Names of reporting persons.

I.R.S. Identification Nos. of above persons (entities only).

* * * * *

Instructions for Cover Page

(1) *Names and I.R.S. Identification Numbers of Reporting Persons*—* * *

Reporting persons that are entities are also requested to furnish their I.R.S. identification numbers, although disclosure of such numbers is voluntary, not mandatory (see "SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 13G" below).

* * * * *

SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 13G

Under Sections 13(d), 13(g) and 23 of the Securities Exchange Act of 1934 and the rules and regulations thereunder, the Commission is authorized to solicit the information required to be supplied by this schedule by certain security holders of certain issuers.

Disclosure of the information specified in this schedule is mandatory, except for I.R.S. identification numbers, disclosure of which is voluntary. The information will be used for the primary purpose of determining and disclosing the holdings of certain beneficial owners of certain equity securities. This statement will be made a matter of public record. Therefore, any information given will be available for inspection by any member of the public.

Because of the public nature of the information, the Commission can use it for a variety of purposes, including referral to other governmental authorities or securities self-regulatory organizations for investigatory purposes or in connection with litigation involving the Federal securities laws or other civil, criminal or regulatory statutes or provisions. I.R.S. identification numbers, if furnished, will assist the Commission in identifying security holders and, therefore, in promptly processing statements of beneficial ownership of securities.

Failure to disclose the information requested by this schedule, except for I.R.S. identification numbers, may result in civil or criminal action against the persons involved for violation of the Federal securities laws and rules promulgated thereunder.

* * * * *

7. By amending § 240.14d-100 by revising Item (1) on the cover page and the heading and last sentence to Instruction No. 1 for the cover page, and in the second, third and fourth undesignated paragraphs under SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 14D-1 removing the phrase "Social Security or" to read as follows:

§ 240.14d-100 Schedule 14D-1. Tender offer statement pursuant to section 14(d)(1) of the Securities Exchange Act of 1934.

* * * * *

(1) Names of reporting persons.

I.R.S. Identification Nos. of above persons (entities only).

* * * * *

Instructions for Cover Page

(1) *Names and I.R.S. Identification Numbers of Reporting Persons*— * * * Reporting persons that are entities are also requested to furnish their I.R.S. identification numbers, although disclosure of such numbers is voluntary, not mandatory (see "SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 14D-1" below).

* * * * *

PART 249—FORMS, SECURITIES EXCHANGE ACT OF 1934

PART 249b—FURTHER FORMS, SECURITIES EXCHANGE ACT OF 1934

8. The authority citation for parts 249 and 249b continues to read in part as follows:

Authority: 15 U.S.C. 78a, *et seq.*, unless otherwise noted;

* * * * *

9. By amending § 249.103 by revising the third sentence to read as follows:

§ 249.103 Form 3, initial statement of beneficial ownership of securities.

* * * Disclosure of information specified on this Form is mandatory, except for disclosure of the I.R.S. identification number by entities, which is voluntary. * * *

§ 249.103 [Form 3 amended]

10. By amending Form 3 (referenced in § 249.103) by revising the first sentence of the second undesignated paragraph of the introductory statement to the General Instructions and by revising Item 3 to the information preceding Table 1 to read as follows:

Note: The text of Form 3 does not, and the amendments will not, appear in the Code of Federal Regulations.

Form 3 Initial Statement of Beneficial Ownership of Securities

* * *

Disclosure of information specified on this form is mandatory, except for disclosure of the I.R.S. identification number of the reporting person if such person is an entity, which is voluntary. * * *

* * * * *

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

* * * * *

11. By amending § 249.104 by revising the third sentence to read as follows:

§ 249.104 Form 4, statement of changes in beneficial ownership of securities.

* * * Disclosure of information specified on this Form is mandatory, except for disclosure of the I.R.S. identification number by entities, which is voluntary. * * *

§ 249.104 [Form 4 amended]

12. By amending Form 4 (referenced in § 249.104) by revising the first sentence of the second undesignated paragraph of the introductory statement to the General Instructions and by revising Item 3 to the information preceding Table 1 to read as follows:

Note: The text of Form 4 does not, and the amendments will not, appear in the Code of Federal Regulations.

Form 4 Statement of Changes of Beneficial Ownership of Securities

* * *

Disclosure of information specified on this form is mandatory, except for disclosure of the I.R.S. identification number of the reporting person if such person is an entity, which is voluntary. * * *

* * * * *

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

* * * * *

13. By amending § 249.105 by revising the third sentence to read as follows:

§ 249.105 Form 5, annual statement of beneficial ownership of securities.

* * * Disclosure of information specified on this Form is mandatory, except for disclosure of the I.R.S. identification number by entities, which is voluntary. * * *

§ 249.105 [Form 5 amended]

14. By amending Form 5 (referenced in § 249.105) by revising the first sentence of the second undesignated paragraph of the introductory statement to the General Instructions and by revising Item 3 to the information preceding Table 1 to read as follows:

Note: The text of Form 5 does not, and the amendments will not, appear in the Code of Federal Regulations.

Form 5 Annual Statement of Beneficial Ownership of Securities

* * *

Disclosure of information specified on this form is mandatory, except for disclosure of the I.R.S. identification number of the reporting person if such person is an entity, which is voluntary. * * *

* * * * *

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

* * * * *

§ 249.1100 [Form MSD amended]

15. By amending General Instruction M to Form MSD (referenced in § 249.1100), by removing the words " , except social security numbers, disclosure of which is voluntary" in the second sentence.

Note: The text of Form MSD does not, and the amendments will not, appear in the Code of Federal Regulations.

§ 249b.100 Form TA-1 amended]

16. By amending Form TA-1 (referenced in § 249b.100) to remove the second column entitled "Social Security Number" in Schedules A, B and C.

Note: The text of Form TA-1 does not, and the amendments will not, appear in the Code of Federal Regulations.

**PART 269—FORMS PRESCRIBED
UNDER THE TRUST INDENTURE ACT
OF 1939**

17. The authority citation for part 269 continues to read as follows:

Authority: 15 U.S.C. 77ddd(c), 77eee, 77ggg, 77hhh, 77iii, 77jjj, 77sss, 78ll(d), unless otherwise noted.

18. By amending § 269.2 by revising the third sentence, removing the eighth sentence and revising the ninth sentence to read as follows:

**§ 269.2 Form T-2, for statement of
eligibility and qualification for individual
trustees.**

* * * Disclosure of the information specified in this form is mandatory before processing statements of eligibility and qualification. * * * Failure to disclose the information requested by this form may result in enforcement action by the Commission to compel compliance with the Federal securities laws.

§ 269.2 [Form T-2 amended]

19. By amending Form T-2 (referenced in § 269.2), in SPECIAL INSTRUCTIONS FOR COMPLETING FORM T-2, removing the phrase “, except for social security account numbers, disclosure of which is voluntary” in the first sentence of the second paragraph, removing the second sentence of the third paragraph, and removing the phrase “, except for social security account numbers” in the fourth paragraph, and in the Form by removing the second line, “(Social Security Number)”.

Note: The text of Form T-2 does not and the amendments will not appear in the Code of Federal Regulations.

Dated: June 25, 1997.

By the Commission.

Margaret H. McFarland,

Deputy Secretary.

[FR Doc. 97-17104 Filed 6-30-97; 8:45 am]

BILLING CODE 8010-01-U

**PENSION BENEFIT GUARANTY
CORPORATION****29 CFR Part 4001**

RIN 1212-AA75

**Reorganization, Renumbering, and
Reinvention of Regulations;
Terminology; Correction**

AGENCY: Pension Benefit Guaranty Corporation.

ACTION: Correction.

SUMMARY: On July 1, 1996, the Pension Benefit Guaranty Corporation published in the **Federal Register** (at 61 FR 34001, FR Doc. 96-16398) a final rule reorganizing, renumbering, and reinventing its regulations. This document contains a correction to 29 CFR Part 4001 as so published.

EFFECTIVE DATE: July 1, 1996.

FOR FURTHER INFORMATION CONTACT: Harold J. Ashner, Assistant General Counsel, or Marc L. Jordan, Attorney, Office of the General Counsel, Suite 340, Pension Benefit Guaranty Corporation, 1200 K Street, NW., Washington, DC 20005-4026; 202-326-4024 (202-326-4179 for TTY and TDD).

SUPPLEMENTARY INFORMATION: FR Doc. 96-16398, appearing at 61 FR 34001 (July 1, 1996), contained an error that is corrected as follows:

List of Subjects in 29 CFR Part 4001

Administrative practice and procedure, Business and industry, Pension insurance, Pensions.

Accordingly, 29 CFR Part 4001 is corrected as follows:

PART 4001—TERMINOLOGY

1. The authority citation for Part 4001 continues to read as follows:

Authority: 29 U.S.C. 1301, 1302(b)(3).

2. In § 4001.2, the definition of “mass withdrawal” is corrected to read as follows:

§ 4001.2 [Corrected]

* * * * *

Mass withdrawal means

(1) The withdrawal of every employer from the plan,

(2) The cessation of the obligation of all employers to contribute under the plan, or

(3) The withdrawal of substantially all employers pursuant to an agreement or arrangement to withdraw.

* * * * *

Issued in Washington, D.C., this 26th day of June, 1997.

John Seal,

Acting Executive Director, Pension Benefit Guaranty Corporation.

[FR Doc. 97-17138 Filed 6-30-97; 8:45 am]

BILLING CODE 7708-01-P

DEPARTMENT OF THE INTERIOR**Office of Surface Mining Reclamation
and Enforcement****30 CFR Part 902**

[AK-005-FOR]

**Alaska Regulatory Program;
Correction**

AGENCY: Office of Surface Mining Reclamation and Enforcement, Interior.

ACTION: Final rule; correction.

SUMMARY: The Office of Surface Mining Reclamation and Enforcement (OSM) is correcting the effective date of a final rule that appeared in the **Federal Register** on March 31, 1997. The document approved an amendment to the Alaska regulatory program (62 FR 15115), effective on the date of publication, March 31, 1997. OSM had prepared a separate rulemaking on March 5, 1997, (62 FR 9932), which became effective April 4, 1997. Due to the difference in the effective date, the March 5, 1997 rule would result in a nullification of the Alaska state program amendment previously listed. Therefore, this document corrects the effective date of the Alaska state program amendment to April 7, 1997.

EFFECTIVE DATE: The amendment to 30 CFR Part 902 (62 FR 15115), is effective April 7, 1997.

FOR FURTHER INFORMATION CONTACT:

John A. Trelease, Division of Regulatory Support, Office of Surface Mining Reclamation and Enforcement, 1951 Constitution Avenue, NW, Room 210 SIB, Washington, DC 20240; Telephone (202) 208-2783.

In FR Doc. 97-8104, appearing on page 15115 in the **Federal Register** of Monday, March 31, 1997, the following correction is made:

On page 15115, the Alaska (AK-005-FOR) state program amendment's **EFFECTIVE DATE** is corrected to read April 7, 1997.

Dated: June 25, 1997.

Kathrine L. Henry,

Acting Director.

[FR Doc. 97-17131 Filed 6-30-97; 8:45 am]

BILLING CODE 4310-05-M