

FDC date	State	City	Airport	FDC No.	SIAP
07/08/96	KS	Wichita	Wichita Mid-Continent Airport ...	6/4595	VOR/DME RNAV OR GPS RWY 1L AMDT 1...
07/08/96	MO	Springfield	Springfield Regional	6/4591	ILS RWY 2, AMDT 16A...
07/08/96	MO	Springfield	Springfield Regional	6/4592	NDB OR GPS RWY 2, AMDT 16...
07/09/96	AZ	Tucson	Tucson Intl	6/4646	ILS RWY 11L AMDT 12...
07/09/96	CT	Windsor Locks	Bradley Intl	6/4670	VOR OR TACAN RWY 15 AMDT 2A...

[FR Doc. 96-18276 Filed 7-17-96; 8:45 am]
BILLING CODE 4910-13-M

14 CFR Part 97

[Docket No. 28627; Amdt. No. 1742]

RIN 2120-AA65

Standard Instrument Approach Procedures; Miscellaneous Amendments

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This amendment establishes, amends, suspends, or revokes Standard Instrument Approach Procedures (SIAPs) for operations at certain airports. These regulatory actions are needed because of the adoption of new or revised criteria, or because of changes occurring in the National Airspace System, such as the commissioning of new navigational facilities, addition of new obstacles, or changes in air traffic requirements. These changes are designed to provide safe and efficient use of the navigable airspace and to promote safe flight operations under instrument flight rules at the affected airports.

DATES: An effective date for each SIAP is specified in the amendatory provisions.

Incorporation by reference—approved by the Director of the Federal Register on December 31, 1980, and reapproved as of January 1, 1982.

ADDRESSES: Availability of matters incorporated by reference in the amendment is as follows:

For Examination—

1. FAA Rules Docket, FAA Headquarters Building, 800 Independence Avenue, SW., Washington, DC 20591;

2. The FAA Regional Office of the region in which the affected airport is located; or

3. The Flight Inspection Area Office which originated the SIAP.

*For Purchase—*Individual SIAP copies may be obtained from:

1. FAA Public Inquiry Center (APA-200), FAA Headquarters Building, 800

Independence Avenue, SW., Washington, DC 20591; or

2. The FAA Regional Office of the region in which the affected airport is located.

*By Subscription—*Copies of all SIAPS, mailed once every 2 weeks, are for sale by the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402.

FOR FURTHER INFORMATION CONTACT:

Paul J. Best, Flight Procedures Standards Branch (AFS-420), Technical Programs Division, Flight Standards Service, Federal Aviation Administration, 800 Independence Avenue, SW., Washington, DC 20591; telephone (202) 267-8277.

SUPPLEMENTARY INFORMATION: This amendment to part 97 of the Federal Aviation Regulations (14 CFR part 97) establishes, amends, suspends, or revokes Standard Instrument Approach Procedures (SIAPs). The complete regulatory description of each SIAP is contained in official FAA form documents which are incorporated by reference in this amendment under 5 U.S.C. 552(a), 1 CFR part 51, and § 97.20 of the Federal Aviation Regulations (FAR). The applicable FAA Forms are identified as FAA Form 8260-5. Materials incorporated by reference are available for examination or purchase as stated above.

The large number of SIAPs, their complex nature, and the need for a special format make their verbatim publication in the Federal Register expensive and impractical. Further, airmen do not use the regulatory text of the SIAPs, but refer to their graphic depiction on charts printed by publishers of aeronautical materials. Thus, the advantages of incorporation by reference are realized and publication of the complete description of each SIAP contained in FAA form documents is unnecessary. The provisions of this amendment state the affected CFR (and FAR) sections, with the types and effective dates of the SIAPs. This amendment also identifies the airport, its location, the procedure identification and the amendment number.

This amendment to part 97 is effective upon publication of each separate SIAP as contained in the transmittal. The SIAPs contained in this amendment are based on the criteria contained in the United States Standard for Terminal Instrument Approach Procedures (TERPS). In developing these SIAPs, the TERPS criteria were applied to the conditions existing or anticipated at the affected airports.

The FAA has determined through testing that current non-localizer type, non-precision instrument approaches developed using the TERPS criteria can be flown by aircraft equipped with Global Positioning System (GPS) equipment. In consideration of the above, the applicable Standard Instrument Approach Procedures (SIAPs) will be altered to include “or GPS” in the title without otherwise reviewing or modifying the procedure. (Once a stand alone GPS procedure is developed, the procedure title will be altered to remove “or GPS” from these non-localizer, non-precision instrument approach procedure titles.) Because of the close and immediate relationship between these SIAPs and safety in air commerce, I find that notice and public procedure before adopting these SIAPs are, impracticable and contrary to the public interest and, where applicable, that good cause exists for making some SIAPs effective in less than 30 days.

The FAA has determined that this regulation only involves an established body of technical regulations for which frequent and routine amendments are necessary to keep them operationally current. It, therefore—(1) Is not a “significant regulatory action” under Executive Order 12866; (2) is not a “significant rule” under DOT Regulatory Policies and Procedures (44 FR 11034; February 26, 1979); and (3) does not warrant preparation of a regulatory evaluation as the anticipated impact is so minimal. For the same reason, the FAA certifies that this amendment will not have a significant economic impact on a substantial number of small entities under the criteria of the Regulatory Flexibility Act.

List of Subjects in 14 CFR Part 97

Air Traffic Control, Airports,
Navigation (Air).

Issued in Washington, DC on July 12, 1996.

Thomas C. Accardi,
Director, Flight Standards Service.

Adoption of the Amendment

Accordingly, pursuant to the authority delegated to me, part 97 of the Federal Aviation Regulations (14 CFR part 97) is amended by establishing, amending, suspending, or revoking Standard Instrument Approach Procedures, effective at 0901 UTC on the dates specified, as follows:

PART 97—STANDARD INSTRUMENT APPROACH PROCEDURES

1. The authority citation for part 97 is revised to read as follows:

Authority: 49 U.S.C. 40103, 40113, 40120, 44701; 49 U.S.C. 106(g); and 14 CFR 11.49(b)(2).

2. Part 97 is amended to read as follows:

§§ 97.23, 97.27, 97.33, 97.35 [Amended]

By amending: § 97.23 VOR, VOR/DME, VOR or TACAN, and VOR/DME or TACAN; § 97.27 NDB, NDB/DME; § 97.33 RNAV SIAPs; and § 97.35 COPTER SIAPs, identified as follows:

* * * Effective Aug 15, 1996

St. Mary's, AK, St. Mary's, NDB/DME or GPS RWY 16, Amdt 1A CANCELLED

St. Mary's, AK, St. Mary's, NDB/DME RWY 16, Amdt 1A

St. Mary's, AK, St. Mary's, NDB or GPS RWY 34, Orig-A CANCELLED

St. Mary's, AK, St. Mary's, NDB RWY 34, Orig-A

Battle Creek, MI, W. K. Kellogg, VOR or TACAN or GPS RWY 5, Amdt. 19 CANCELLED

Battle Creek, MI, W. K. Kellogg, VOR or TACAN RWY 5, Amdt. 19

Hammonton, NJ, Hammonton Muni, VOR or GPS-B, Amdt 1 CANCELLED

Hammonton, NJ, Hammonton Muni, VOR or GPS-B Amdt 1

Port Clinton, OH, Carl R Keller Field, NDB or GPS RWY 27, Amdt 11 CANCELLED

Port Clinton, OH, Carl R Keller Field, NDB RWY 27, Amdt 11

Wiscasset, ME, Wiscasset, NDB or GPS RWY 25, Amdt 4A CANCELLED

Wiscasset, ME, Wiscasset, NDB RWY 25, Amdt 4A

Fairmont, WV, Fairmont Municipal, VOR/DME or GPS RWY 22, Amdt 4 CANCELLED

Fairmont, WV, Fairmont Municipal, VOR/DME RWY 22, Amdt 4

Riverton, WY, Riverton Regional, VOR or GPS RWY 28, Amdt 8A CANCELLED

Riverton, WY, Riverton Regional, VOR RWY 28, Amdt 8A

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SECURITIES AND EXCHANGE COMMISSION**17 CFR Part 249**

[Release No. 34-37431; File No. S7-2-95]

RIN 3235-AG25

Form BD Amendments

AGENCY: Securities and Exchange Commission.

ACTION: Final rule.

SUMMARY: The Securities and Exchange Commission is adopting amendments to Form BD, the uniform broker-dealer registration form under the Securities Exchange Act of 1934. The primary purpose of the amendments is to implement recommended changes to the Central Registration Depository system, a computer system operated by the National Association of Securities Dealers, Inc. that maintains registration information regarding broker-dealers and their registered personnel. Specifically, the amendments are intended to facilitate retrieval of broker-dealer registration and disciplinary information through the redesigned Central Registration Depository by eliciting more precise disclosure and by reorganizing disciplinary items into related categories. The changes to the disclosure section of Form BD are consistent with changes made by the North American Securities Administrators Association, Inc. to the analogous section in Form U-4, the uniform form for registration of associated persons of a broker-dealer. The Commission also is adopting clarifying amendments to Form BD, including instructions for filing Form BD electronically with the Central Registration Depository.

DATES: Effective Date: August 19, 1996. Compliance Date: (i) July 29, 1996 for filings made on or after that date by broker-dealer participants in the redesigned Central Registration Depository pilot; (ii) September 9, 1996 for filings made on or after that date by new broker-dealer applicants; and (iii) no later than six months following receipt of notification of conversion to the redesigned Central Registration Depository system for filings made on or after that date by broker-dealers already registered as of September 9, 1996.

FOR FURTHER INFORMATION CONTACT: Glenn J. Jessee, Special Counsel, (202) 942-0073, Office of Chief Counsel, Division of Market Regulation, Securities and Exchange Commission, 450 Fifth Street, N.W., Mail Stop 5-10, Washington, D.C. 20549.

SUPPLEMENTARY INFORMATION:**I. Introduction**

The Securities and Exchange Commission ("Commission") is adopting amendments to Form BD, the uniform application form for broker-dealer registration under the Securities Exchange Act of 1934 ("Exchange Act").¹ The amendments are expected to provide the Commission, self-regulatory organizations ("SROs"), and state securities regulators with better information about a registrant's disciplinary history by grouping disciplinary information into related categories and by customizing the corresponding Disclosure Reporting Pages ("DRPs") used to disclose details of the registrant's disciplinary history. The amendments also are intended to elicit more precise information about the business activities of broker-dealer applicants.

These amendments respond to certain recommended changes to the Central Registration Depository ("CRD"), a computer system operated by the National Association of Securities Dealers, Inc. ("NASD") that maintains registration information regarding broker-dealers and their registered personnel.²

The redesigned system, which is expected to be operational beginning September 9, 1996, is expected to enhance its use by the Commission, SROs, and state securities regulators by providing for (i) streamlined capture and display of data; (ii) better access to information through the use of standardized and specialized computer searches; and (iii) electronic filing of uniform forms, including Forms BD, BDW, U-4, and U-5.³ Planned

¹ 17 CFR 240.15b1-1; 17 CFR 249.501.

² In 1992, the Commission, as part of its ongoing effort to reduce the costs associated with broker-dealer registration, joined the CRD system and adopted amendments to the broker-dealer registration process. Those amendments required, among other things, that all broker-dealers file Form BD with the Commission through the CRD. Securities Exchange Act Release No. 31660 (Dec. 28, 1992), 58 FR 11.

Direct participation in the CRD system has improved the efficiency of the registration process by creating a comprehensive, centralized database of all registrants, and by giving the Commission more immediate access to current data in broker-dealer filings. In addition, the new system has resulted in cost savings to registrants, who no longer are required to make multiple filings with the Commission, certain SROs, and state regulators.

³ In a separate release, the Commission is proposing amendments to Form BDW, the uniform request for broker-dealer withdrawal under the Exchange Act. See Securities Exchange Act Release No. 37432. The proposed amendments to Form BDW are analogous to certain amendments to Form BD being adopted today, as well as amendments to Forms U-4 and U-5 that were adopted by the North

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